Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information.

A For the 2017 calendar year, or tax year beginning

<table>
<thead>
<tr>
<th>Name of organization</th>
<th>Employer identification number</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS</td>
<td>13-1623829</td>
</tr>
</tbody>
</table>

B Check if applicable:

- [ ] Address change
- [ ] Name change
- [ ] Initial return
- [ ] Final return

D Employer identification number

<table>
<thead>
<tr>
<th>Address</th>
<th>Name</th>
<th>Initial return</th>
<th>Final return</th>
</tr>
</thead>
<tbody>
<tr>
<td>424 EAST 92ND STREET</td>
<td>NEW YORK, NY 10128-6804</td>
<td>.</td>
<td>.</td>
</tr>
</tbody>
</table>

C Employer identification number

<table>
<thead>
<tr>
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D Employer identification number

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</table>

E Telephone number

<table>
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<tr>
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F Telephone number

<table>
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</table>

G Green receipt

<table>
<thead>
<tr>
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</tr>
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<td>.</td>
<td>.</td>
</tr>
</tbody>
</table>

H(a) Is this a group return

<table>
<thead>
<tr>
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</tr>
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<td>.</td>
</tr>
</tbody>
</table>

H(b) Are all subsidiaries included?

<table>
<thead>
<tr>
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</tr>
</thead>
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</tbody>
</table>

If "No," attach a list. (See instructions)

<table>
<thead>
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<td>.</td>
<td>.</td>
</tr>
</tbody>
</table>

I Year of formation: 1866

J Website: WWW.ASPCA.ORG

K Form of organization: Corporation

L An organization exempt from federal income tax under section 501(a)(3)

M An organization sponsoring a section 509(a)(3) foundation

N State of legal domicile: NY

Part I: Summary

1 Briefly describe the organization's mission or most significant activities: TO ENSURE SAFETY & PROTECTION OF ANIMALS THROUGH AN ARRAY OF SERVICES INCLUDING EDUCATION.

2 Check this box if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part V, line 1a)

4 Number of independent voting members of the governing body (Part V, line 1b)

5 Total number of individuals employed in calendar year 2017 (Part V, line 2a)

6 Total number of volunteers (estimate if necessary)

7 Total unrelated business revenue from Part VIII, column (C), line 12

8 Contributions and grants (Part VIII, line 1b)

9 Program service revenue (Part VIII, line 2g)

10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)

11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)

12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)

13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)

14 Benefits paid to or for members (Part IX, column (A), line 4)

15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)

16 Professional fundraising fees (Part IX, column (A), line 11)

17 Other expenses (Part IX, column (D), line 25)

18 Total expenses (Part IX, column (D), line 25)

19 Revenue less expenses. Subtract line 18 from line 12

20 Total assets (Part X, line 16)

21 Total liabilities (Part X, line 26)

22 Net assets or fund balances. Subtract line 21 from line 20

Part II: Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature of officer

PETER ACCINNO, INTERIM CFO

Date

Preparer's signature

JULIUS G. GREEN, CPA

Preparer's name

B A K E R  T I L L Y  V I R C H O W  K R A U S E, L L P

Preparer's name

1650 MARKET STREET, SUITE 4500

Preparer's address

PHILADELPHIA, PA 19103-7441

Phone no. 215.972.0701

PTIN P00350393

Signature of preparer

Date 10/1/16

Form 990 (2017)
**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

13-1623829 Page 2

**Part III | Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III: [X]

1. Briefly describe the organization's mission:

   **SEE SCHEDULE O**

2. Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

   [ ] Yes [X] No

   If "Yes," describe these new services on Schedule O.

3. Did the organization cease conducting, or make significant changes in how it conducts, any program services?

   [ ] Yes [X] No

   If "Yes," describe these changes on Schedule O.

4. Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses.

   Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

   | Code: | Expenses $ | 49,475,556 | including grants of | (Revenue $ | 13,470,713 | )
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SEE SCHEDULE O</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Code:</th>
<th>Expenses $</th>
<th>42,642,609</th>
<th>including grants of</th>
<th>(Revenue $</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SEE SCHEDULE O</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Code:</th>
<th>Expenses $</th>
<th>32,324,373</th>
<th>including grants of</th>
<th>(Revenue $</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>SEE SCHEDULE O</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4d. Other program services (Describe in Schedule O.)

   (Expenses $41,758,904, including grants of $11,840,490, (Revenue $)

4e. Total program service expenses $166,201,442.

**SEE SCHEDULE O FOR CONTINUATION(S)**
<table>
<thead>
<tr>
<th>Part IV</th>
<th>Checklist of Required Schedules</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>1</td>
<td>X</td>
</tr>
<tr>
<td>2</td>
<td>Is the organization required to complete Schedule B, Schedule of Contributors?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>2</td>
<td>X</td>
</tr>
<tr>
<td>3</td>
<td>Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>3</td>
<td>X</td>
</tr>
<tr>
<td>4</td>
<td>Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>4</td>
<td>X</td>
</tr>
<tr>
<td>5</td>
<td>Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>5</td>
<td>X</td>
</tr>
<tr>
<td>6</td>
<td>Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>6</td>
<td>X</td>
</tr>
<tr>
<td>7</td>
<td>Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>7</td>
<td>X</td>
</tr>
<tr>
<td>8</td>
<td>Did the organization maintain collections of works of art, historical treasures, or other similar assets?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>8</td>
<td>X</td>
</tr>
<tr>
<td>9</td>
<td>Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>9</td>
<td>X</td>
</tr>
<tr>
<td>10</td>
<td>Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>10</td>
<td>X</td>
</tr>
<tr>
<td>11</td>
<td>If the organization's answer to any of the following questions is &quot;Yes,&quot; then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Did the organization report an amount for land, buildings, and equipment in Part X, line 10?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>a</td>
<td>X</td>
</tr>
<tr>
<td>b</td>
<td>Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>b</td>
<td>X</td>
</tr>
<tr>
<td>c</td>
<td>Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>c</td>
<td>X</td>
</tr>
<tr>
<td>d</td>
<td>Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>d</td>
<td>X</td>
</tr>
<tr>
<td>e</td>
<td>Did the organization report an amount for liabilities in Part X, line 25?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>e</td>
<td>X</td>
</tr>
<tr>
<td>f</td>
<td>Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>f</td>
<td>X</td>
</tr>
<tr>
<td>12a</td>
<td>Did the organization obtain separate, independent audited financial statements for the tax year?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>12a</td>
<td>X</td>
</tr>
<tr>
<td>12b</td>
<td>Was the organization included in consolidated, independent audited financial statements for the tax year?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>12b</td>
<td>X</td>
</tr>
<tr>
<td>13</td>
<td>Is the organization a school described in section 170(b)(1)(A)(ii)?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>13</td>
<td>X</td>
</tr>
<tr>
<td>14a</td>
<td>Did the organization maintain an office, employees, or agents outside of the United States?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>14a</td>
<td>X</td>
</tr>
<tr>
<td>14b</td>
<td>Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>14b</td>
<td>X</td>
</tr>
<tr>
<td>15</td>
<td>Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>15</td>
<td>X</td>
</tr>
<tr>
<td>16</td>
<td>Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>16</td>
<td>X</td>
</tr>
<tr>
<td>17</td>
<td>Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>17</td>
<td>X</td>
</tr>
<tr>
<td>18</td>
<td>Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 9a?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>18</td>
<td>X</td>
</tr>
<tr>
<td>19</td>
<td>Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a?</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
</tr>
<tr>
<td>19</td>
<td>X</td>
</tr>
<tr>
<td>Question</td>
<td>Yes</td>
</tr>
<tr>
<td>-------------------------------------------------------------------------</td>
<td>-----</td>
</tr>
<tr>
<td>20a Did the organization operate one or more hospital facilities?</td>
<td></td>
</tr>
<tr>
<td>b If &quot;Yes,&quot; to line 20a, did the organization attach a copy of its</td>
<td></td>
</tr>
<tr>
<td>audited financial statements to this return?</td>
<td></td>
</tr>
<tr>
<td>21 Did the organization report more than $5,000 of grants or other</td>
<td></td>
</tr>
<tr>
<td>assistance to any domestic organization or</td>
<td></td>
</tr>
<tr>
<td>domestic government on Part IX, column (A), line 1? If &quot;Yes,&quot;</td>
<td></td>
</tr>
<tr>
<td>complete Schedule I, Parts I and II</td>
<td></td>
</tr>
<tr>
<td>22 Did the organization report more than $5,000 of grants or other</td>
<td></td>
</tr>
<tr>
<td>assistance to or for domestic individuals on Part IX, column (A),</td>
<td></td>
</tr>
<tr>
<td>line 2? If &quot;Yes,&quot; complete Schedule I, Parts I and III</td>
<td></td>
</tr>
<tr>
<td>23 Did the organization answer &quot;Yes&quot; to Part VII, Section A, line 3,</td>
<td></td>
</tr>
<tr>
<td>4, or 5 about compensation of the organization's current</td>
<td></td>
</tr>
<tr>
<td>and former officers, directors, trustees, key employees, and</td>
<td></td>
</tr>
<tr>
<td>highest compensated employees? If &quot;Yes,&quot; complete Schedule J</td>
<td></td>
</tr>
<tr>
<td>24a Did the organization have a tax-exempt bond issue with an</td>
<td></td>
</tr>
<tr>
<td>outstanding principal amount of more than $100,000 as of the</td>
<td></td>
</tr>
<tr>
<td>last day of the year, that was issued after December 31, 2002? If</td>
<td></td>
</tr>
<tr>
<td>&quot;Yes,&quot; answer lines 24b through 24d and complete Schedule K. If</td>
<td></td>
</tr>
<tr>
<td>&quot;No&quot;, go to line 25a</td>
<td></td>
</tr>
<tr>
<td>b Did the organization invest any proceeds of tax-exempt bonds beyond</td>
<td></td>
</tr>
<tr>
<td>a temporary period exception?</td>
<td></td>
</tr>
<tr>
<td>c Did the organization maintain an escrow account other than a</td>
<td></td>
</tr>
<tr>
<td>refunding escrow at any time during the year to defend any</td>
<td></td>
</tr>
<tr>
<td>tax-exempt bonds?</td>
<td></td>
</tr>
<tr>
<td>d Did the organization act as an &quot;on behalf of&quot; issuer for bonds</td>
<td></td>
</tr>
<tr>
<td>outstanding at any time during the year?</td>
<td></td>
</tr>
<tr>
<td>25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did</td>
<td></td>
</tr>
<tr>
<td>the organization engage in an excess benefit transaction with a</td>
<td></td>
</tr>
<tr>
<td>disqualified person during the year? If &quot;Yes,&quot; complete Schedule L,</td>
<td></td>
</tr>
<tr>
<td>Part I</td>
<td></td>
</tr>
<tr>
<td>b Is the organization aware that it engaged in an excess benefit</td>
<td></td>
</tr>
<tr>
<td>transaction with a disqualified person in a prior year, and that</td>
<td></td>
</tr>
<tr>
<td>the transaction has not been reported on any of the</td>
<td></td>
</tr>
<tr>
<td>organization's prior Forms 990 or 990-EZ? If &quot;Yes,&quot; complete</td>
<td></td>
</tr>
<tr>
<td>Schedule L, Part I</td>
<td></td>
</tr>
<tr>
<td>26 Did the organization report any amount on Part X, line 5, 6, or 22</td>
<td></td>
</tr>
<tr>
<td>for receivables from or payables to any current or former</td>
<td></td>
</tr>
<tr>
<td>officers, directors, trustees, key employees, highest</td>
<td></td>
</tr>
<tr>
<td>compensated employees, or disqualified persons? If &quot;Yes,&quot; complete</td>
<td></td>
</tr>
<tr>
<td>Schedule L, Part II</td>
<td></td>
</tr>
<tr>
<td>27 Did the organization provide a grant or other assistance to an</td>
<td></td>
</tr>
<tr>
<td>officer, director, trustee, key employee, substantial</td>
<td></td>
</tr>
<tr>
<td>contributor or employee thereof, a grant selection committee</td>
<td></td>
</tr>
<tr>
<td>member, or to a 35% controlled entity or family member</td>
<td></td>
</tr>
<tr>
<td>of any of these persons? If &quot;Yes,&quot; complete Schedule L, Part III</td>
<td></td>
</tr>
<tr>
<td>28 Was the organization a party to a business transaction with one of</td>
<td></td>
</tr>
<tr>
<td>the following parties (see Schedule L, Part IV instructions for</td>
<td></td>
</tr>
<tr>
<td>applicable filing thresholds, conditions, and exceptions):</td>
<td></td>
</tr>
<tr>
<td>a A current or former officer, director, trustee, or key employee? If</td>
<td></td>
</tr>
<tr>
<td>&quot;Yes,&quot; complete Schedule L, Part IV</td>
<td></td>
</tr>
<tr>
<td>b A family member of a current or former officer, director, trustee,</td>
<td></td>
</tr>
<tr>
<td>or key employee? If &quot;Yes,&quot; complete Schedule L, Part IV</td>
<td></td>
</tr>
<tr>
<td>c An entity of which a current or former officer, director, trustee,</td>
<td></td>
</tr>
<tr>
<td>or key employee (or a family member thereof) was an officer,</td>
<td></td>
</tr>
<tr>
<td>director, trustee, or direct or indirect owner? If &quot;Yes,&quot; complete</td>
<td></td>
</tr>
<tr>
<td>Schedule L, Part IV</td>
<td></td>
</tr>
<tr>
<td>29 Did the organization receive more than $25,000 in non-cash</td>
<td></td>
</tr>
<tr>
<td>contributions? If &quot;Yes,&quot; complete Schedule M</td>
<td></td>
</tr>
<tr>
<td>30 Did the organization receive contributions of art, historical</td>
<td></td>
</tr>
<tr>
<td>treasures, or other similar assets, or qualified conservation</td>
<td></td>
</tr>
<tr>
<td>contributions? If &quot;Yes,&quot; complete Schedule M</td>
<td></td>
</tr>
<tr>
<td>31 Did the organization liquidate, terminate, or dissolve and cease</td>
<td></td>
</tr>
<tr>
<td>operations?</td>
<td></td>
</tr>
<tr>
<td>If &quot;Yes,&quot; complete Schedule N, Part I</td>
<td></td>
</tr>
<tr>
<td>32 Did the organization sell, exchange, dispose of, or transfer more</td>
<td></td>
</tr>
<tr>
<td>than 25% of its net assets? If &quot;Yes,&quot; complete Schedule N, Part II</td>
<td></td>
</tr>
<tr>
<td>33 Did the organization own 100% of an entity disregarded as separate</td>
<td></td>
</tr>
<tr>
<td>from the organization under Regulations sections 301.7701-2 and</td>
<td></td>
</tr>
<tr>
<td>301.7701-3? If &quot;Yes,&quot; complete Schedule R, Part I</td>
<td></td>
</tr>
<tr>
<td>34 Was the organization related to any tax-exempt or taxable entity?</td>
<td></td>
</tr>
<tr>
<td>If &quot;Yes,&quot; complete Schedule R, Part II, III, or IV, and Part V, line 1</td>
<td></td>
</tr>
<tr>
<td>35a Did the organization have a controlled entity within the</td>
<td></td>
</tr>
<tr>
<td>meaning of section 512(b)(13)?</td>
<td></td>
</tr>
<tr>
<td>b If &quot;Yes&quot; to line 35a, did the organization receive any payment from</td>
<td></td>
</tr>
<tr>
<td>or engage in any transaction with a controlled entity within the</td>
<td></td>
</tr>
<tr>
<td>meaning of section 512(b)(13)? If &quot;Yes,&quot; complete Schedule R, Part</td>
<td></td>
</tr>
<tr>
<td>V, line 2</td>
<td></td>
</tr>
<tr>
<td>36 Section 501(c)(3) organizations. Did the organization make any</td>
<td></td>
</tr>
<tr>
<td>transfers to an exempt non-charitable related organization? If &quot;Yes,&quot;</td>
<td></td>
</tr>
<tr>
<td>complete Schedule R, Part V</td>
<td></td>
</tr>
<tr>
<td>37 Did the organization conduct more than 5% of its activities through</td>
<td></td>
</tr>
<tr>
<td>an entity that is not a related organization and that is treated</td>
<td></td>
</tr>
<tr>
<td>as a partnership for federal income tax purposes? If &quot;Yes,&quot; complete</td>
<td></td>
</tr>
<tr>
<td>Schedule R, Part VI</td>
<td></td>
</tr>
<tr>
<td>38 Did the organization complete Schedule O and provide explanations in</td>
<td></td>
</tr>
<tr>
<td>Schedule O for Part VI, lines 11b and 19?</td>
<td></td>
</tr>
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*Note: All Form 990 filers are required to complete Schedule O.*
<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a. Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1b. Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2a. Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return</td>
<td>1193</td>
<td></td>
</tr>
<tr>
<td>3a. Did the organization have unrelated business gross income of $1,000 or more during the year?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4a. At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5a. Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6a. Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7a. Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7b. Did the organization notify the donor of the value of the goods or services provided?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7c. Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7d. If &quot;Yes,&quot; indicate the number of Forms 8282 filed during the year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7e. Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7f. Did the organization, during the year, pay premiums directly or indirectly on a personal benefit contract?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7g. If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7h. If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1096-C or Form 1098-C?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Sponsoring organizations maintaining donor advised funds.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. Section 501(c)(7) organizations. Enter:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. Section 501(c)(12) organizations. Enter:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12a. Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1120?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12b. If &quot;Yes,&quot; enter the amount of tax-exempt interest received or accrued during the year</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13. Section 501(c)(29) qualified nonprofit health insurance issuers.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14a. Did the organization receive any payments for indoor tanning services during the tax year?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14b. If &quot;Yes,&quot; has it filed a Form 720 to report these payments? If &quot;No,&quot; provide an explanation in Schedule O</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Section A. Governing Body and Management

1a Enter the number of voting members of the governing body at the end of the tax year 14
   1b Enter the number of voting members included in line 1a, above, who are independent 13
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? X
5 Did the organization become aware during the year of a significant divestment of the organization's assets? X
6 Did the organization have members or stockholders? X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? X
7b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: X
   a. The governing body X
   b. Each committee with authority to act on behalf of the governing body X
9 Is there any officer, director, trustee, or key employee listed in Part VI, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

10a Did the organization have local chapters, branches, or affiliates? X
   b. If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? X
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? X
   b. Describe in Schedule O the process, if any, used by the organization to review this Form 990. X
12a Did the organization have a written conflict of interest policy? If "No," go to line 13 X
   b. Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? X
   c. Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done X
13 Did the organization have a written whistleblower policy? X
14 Did the organization have a written document retention and destruction policy? X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? X
   a. The organization's CEO, Executive Director, or top management official X
   b. Other officers or key employees of the organization X
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? X
   b. If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? X

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶AL, AR, CA, CT, FL, GA, HI, IL, KS, KY, IA, MD
18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3) only) available for public inspection. Indicate how you made these available. Check all that apply. □ Own website □ Another's website □ Upon request □ Other (explain in Schedule O)
19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year. □
20 State the name, address, and telephone number of the person who possesses the organization's books and records: ▶PETER ACCINNO - 212-876-7700
520 EIGHTH AVENUE, NEW YORK, NY 10018
## The American Society for the Prevention of Cruelty to Animals

### Part VII: Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

**Check if Schedule C contains a response or note to any line in this Part VII**

### Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed for the calendar year ending with or within the organization’s tax year.

- List all of the organization’s current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation.
- List all of the organization’s current key employees, if any. See instructions for definition of ‘key employee.’
- List the organization’s five highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization’s former officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Average hours per week (list any hours for related organizations below line)</th>
<th>(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) FREDERICK TANNE</td>
<td>1.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>CHAIRPERSON</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) SALLY SPOONER</td>
<td>1.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(3) CATHY WALLACK</td>
<td>1.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>SECRETARY</td>
<td>0.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(4) JANE W. PARVER</td>
<td>1.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>DIRECTOR &amp; TREASURER AS OF 6/13/17</td>
<td>0.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>(5) DODIE GUNNER</td>
<td>1.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>TREASURER TO 6/13/17</td>
<td>0.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
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<tr>
<td>(6) TIM F. WRAY</td>
<td>3.00</td>
<td>x</td>
<td>x</td>
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<tr>
<td>DIRECTOR</td>
<td>0.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
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<tr>
<td>(7) HELEN S.C. PILKINGTON</td>
<td>1.00</td>
<td>x</td>
<td>x</td>
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<tr>
<td>DIRECTOR TO 9/7/17</td>
<td>0.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
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<tr>
<td>(8) AREIANA BOARDMAN</td>
<td>1.00</td>
<td>x</td>
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<tr>
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<td>0.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
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<tr>
<td>(9) C. ALLEN PARKER</td>
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<td>x</td>
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</tr>
<tr>
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<td>x</td>
<td>0.</td>
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<tr>
<td>(10) GEORGINA BLOOMBERG</td>
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<td>x</td>
<td>x</td>
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<tr>
<td>DIRECTOR TO 3/24/17</td>
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<tr>
<td>(11) JEFF PFEIFELZ</td>
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<tr>
<td>DIRECTOR</td>
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<td>x</td>
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<tr>
<td>(12) LINDA LLOYD LAMBERT</td>
<td>1.00</td>
<td>x</td>
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<td>0.</td>
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<tr>
<td>DIRECTOR</td>
<td>0.00</td>
<td>x</td>
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<tr>
<td>(13) MARTIN PURIS</td>
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<tr>
<td>(14) SCOTT TIEL</td>
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<td>x</td>
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<td>0.</td>
<td>0.</td>
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<tr>
<td>(15) TRACY V. MATTLAND</td>
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<td>x</td>
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<tr>
<td>DIRECTOR</td>
<td>0.00</td>
<td>x</td>
<td>x</td>
<td>0.</td>
<td>0.</td>
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<td>(16) MARY JO WHITE</td>
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<tr>
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<td>x</td>
<td>x</td>
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<tr>
<td>(17) MATTHEW BESCHADER, PRES &amp; CEO</td>
<td>65.00</td>
<td>x</td>
<td>x</td>
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<td>INCL MERIT ADJ/BONUS'15-'17; SEE PG71</td>
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<td>x</td>
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<td>47,859.</td>
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</tbody>
</table>

Form 990 (2017)
## THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

### Part VII
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

<table>
<thead>
<tr>
<th>(A) Name and title</th>
<th>(B) Average hours per week (list any hours for related organizations below line)</th>
<th>(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(18) TODD HENDRICKS</td>
<td>50.00</td>
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<td>333,383.</td>
<td>0.</td>
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<tr>
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<td>318,288.</td>
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<tr>
<td>(19) ELLIZABETH HETOGROFF</td>
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<td>296,443.</td>
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<td>(20) SARAH LEVIN GOODSTEINE</td>
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<tr>
<td>(21) JULIE MORRIS</td>
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<tr>
<td>(22) STACY WOLF</td>
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<tr>
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<td>44,419.</td>
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<td>44,419.</td>
</tr>
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<td>(25) STEPHEN J. NUSSE</td>
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<td>44,419.</td>
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<td>X</td>
<td>260,405.</td>
<td>0.</td>
<td>44,419.</td>
</tr>
<tr>
<td>(26) J. MAI GAYLE</td>
<td>50.00</td>
<td>X</td>
<td>260,405.</td>
<td>0.</td>
<td>44,419.</td>
</tr>
<tr>
<td>DIRECTOR, SURGERY</td>
<td>0.00</td>
<td>X</td>
<td>260,405.</td>
<td>0.</td>
<td>44,419.</td>
</tr>
</tbody>
</table>

1b Sub-total: 3,331,123. 0. 459,427.

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization: 146

3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual. Yes No: 3 X

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? If "Yes," complete Schedule J for such individual. Yes No: 4 X

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person. Yes No: 5 X

### Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

<table>
<thead>
<tr>
<th>(A) Name and business address</th>
<th>(B) Description of services</th>
<th>(C) Compensation</th>
</tr>
</thead>
<tbody>
<tr>
<td>EAGLE-COM, INC., 110 EGLINGTON AVENUE EAST, STE 604, TORONTO, ONTARIO, M4P 1E</td>
<td>MEDIA BROADCAST</td>
<td>19,781,716.</td>
</tr>
<tr>
<td>TRUE NORTH, INC., 630 THIRD AVENUE, 12TH FLOOR, NEW YORK, NY 10017</td>
<td>MEDIA PLACEMENT</td>
<td>8,592,808.</td>
</tr>
<tr>
<td>JAMES R. VANNÓY &amp; SONS CONSTRUCTION INC., 230 HILLIARD AVE UNIT 01, ASHEVILLE, NC</td>
<td>CONSTRUCTION</td>
<td>6,313,441.</td>
</tr>
<tr>
<td>FORUM SERVICES GROUP, INC.</td>
<td>STAFFING &amp; CONSULTING SERVICES</td>
<td>5,213,627.</td>
</tr>
<tr>
<td>DIALOGUE DIRECT, 589 EIGHTH AVENUE, 21ST FLOOR, NEW YORK, NY 10018</td>
<td>PROFESSIONAL FUNDRAISING</td>
<td>4,744,646.</td>
</tr>
</tbody>
</table>

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization: 147

SEE PART VII, SECTION A CONTINUATION SHEETS
### THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

#### Form 990

**Part VII** Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

<table>
<thead>
<tr>
<th>(A) Name and title</th>
<th>(B) Average hours per week (list any hours for related organizations below line)</th>
<th>(C) Position (check all that apply)</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>(27) NANCY FERRY</td>
<td>50.00</td>
<td>X</td>
<td>241,346</td>
<td>0.</td>
<td>32,851.</td>
</tr>
<tr>
<td>SVP, GOVERNMENT RELATIONS</td>
<td>0.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(28) GAIL BUCHWALD</td>
<td>50.00</td>
<td>X</td>
<td>230,892</td>
<td>0.</td>
<td>30,675.</td>
</tr>
<tr>
<td>SVP, ADOPTIONS &amp; NYC NO-KILL</td>
<td>0.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(29) RANDALL LOCKWOOD</td>
<td>50.00</td>
<td>X</td>
<td>228,282</td>
<td>0.</td>
<td>40,792.</td>
</tr>
<tr>
<td>SVP, FORENSIC SCIENCE &amp; ANTI-CRUELTY</td>
<td>0.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Total to Part VII, Section A, line 1c**

700,520. 104,318.
### Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

<table>
<thead>
<tr>
<th>Contributions, Gifts, Grants, and Other Similar Amounts</th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>Revenue excluded from tax under sections 512-514</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 a Federated campaigns 1a</td>
<td>2,622,852</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 b Membership dues 1b</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 c Fundraising events 1c</td>
<td>1,817,297</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 d Related organizations 1d</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 e Government grants (contributions) 1e</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 f All other contributions, gifts, grants, and similar amounts not included above 1f</td>
<td>215,348,920</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 g Noncash contributions included in lines 1a-1f $3,044,296</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 h Total, Add lines 1a-1f $219,959,109</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Program Service Revenue

<table>
<thead>
<tr>
<th>Service/Program</th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>Revenue excluded from tax under sections 512-514</th>
</tr>
</thead>
<tbody>
<tr>
<td>2 a ANIMAL POISON CONTROL CENTER</td>
<td>9,226,535</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 b MOBILE CLINIC VET. &amp; CLINIC REVENUE</td>
<td>1,955,361</td>
<td>1,955,361</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 c ANIMAL HOSPITAL REVENUE</td>
<td>1,736,197</td>
<td>1,736,197</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 d ADOPTION CENTER REVENUE</td>
<td>558,120</td>
<td>558,120</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 e</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 f All other program service revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 g Total, Add lines 2a-2f</td>
<td>13,470,713</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Investment Income (including dividends, interest, and other similar amounts)

3 | 2,559,085

#### Income from Investment of Tax-exempt Bond Proceeds

4 | 2,590,453

#### Royalties

5 | 2,590,453

#### Gross Rents

6 | (i) Real (ii) Personal

<table>
<thead>
<tr>
<th>(i) Real</th>
<th>(ii) Personal</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Securities

7 | (i) Other

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Gross Amount from Sales of Assets Other Than Inventory

7 a | 8,534,661

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Gain or (Loss)

8 | 8,534,661

#### Gross Income from Fundraising Events (Not Including Contributions Reported on Line 1d)

8 a | 1,283,649

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Less: Direct Expenses

8 b | 780,461

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Net Income or (Loss) from Fundraising Events

8 c | 503,168

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Gross Income from Gaming Activities

9 a | | 144,491

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Less: Direct Expenses

9 b | 773

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Net Income or (Loss) from Gaming Activities

9 c | 144,491

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Gross Sales of Inventory, Less Returns and Allowances

10 a | | 145,264

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Less: Cost of Goods Sold

10 b | 145,264

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

#### Net Income or (Loss) from Sales of Inventory

10 c | 456,917

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

### Miscellaneous Revenue

<table>
<thead>
<tr>
<th>Miscellaneous Revenue</th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>Revenue excluded from tax under sections 512-514</th>
</tr>
</thead>
<tbody>
<tr>
<td>11 a LIST SALES</td>
<td>311,653</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 b INCOME FROM K-1 ACTIVITY</td>
<td>144,491</td>
<td>144,491</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 c GAIN FROM K-1 ACTIVITY</td>
<td>773</td>
<td>773</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 d All other revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 e Total, Add lines 11a-11d</td>
<td>456,917</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Total Revenue, See Instructions

12 | 248,084,106

<table>
<thead>
<tr>
<th>(i) Other</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

---

Form 990 (2017)

11-28-17
### Part IX: Statement of Functional Expenses

**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

13-1623829  Page 10

**Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).**

Check if Schedule C contains a response or note to any line in this Part IX

<table>
<thead>
<tr>
<th></th>
<th>Total expenses</th>
<th>Program service expenses</th>
<th>Management and general expenses</th>
<th>Fundraising expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A)</td>
<td>(B)</td>
<td></td>
<td>(C)</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21</td>
<td>11,840,490</td>
<td>11,840,490</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Grants and other assistance to domestic individuals. See Part IV, line 22</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Benefits paid to or for members</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Compensation of current officers, directors, trustees, and key employees</td>
<td>3,185,268</td>
<td>2,776,549</td>
<td>166,289</td>
</tr>
<tr>
<td>6</td>
<td>Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Other salaries and wages</td>
<td>60,824,288</td>
<td>51,857,520</td>
<td>3,883,748</td>
</tr>
<tr>
<td>8</td>
<td>Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)</td>
<td>3,417,858</td>
<td>2,979,295</td>
<td>178,431</td>
</tr>
<tr>
<td>9</td>
<td>Other employee benefits</td>
<td>12,966,667</td>
<td>11,302,847</td>
<td>676,932</td>
</tr>
<tr>
<td>10</td>
<td>Payroll taxes</td>
<td>5,176,208</td>
<td>4,512,022</td>
<td>270,227</td>
</tr>
<tr>
<td>11</td>
<td>Fees for services (non-employed):</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Management</td>
<td>418,674</td>
<td>262,521</td>
<td>114,555</td>
</tr>
<tr>
<td>b</td>
<td>Legal</td>
<td>1,056,462</td>
<td>287,210</td>
<td>411,891</td>
</tr>
<tr>
<td>c</td>
<td>Accounting</td>
<td>739,779</td>
<td></td>
<td>739,779</td>
</tr>
<tr>
<td>d</td>
<td>Lobbying</td>
<td>392,530</td>
<td>392,530</td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Professional fundraising services. See Part IV, line 17</td>
<td>1,631,623</td>
<td></td>
<td>1,631,623</td>
</tr>
<tr>
<td>f</td>
<td>Investment management fees</td>
<td>690,152</td>
<td></td>
<td>690,152</td>
</tr>
<tr>
<td>g</td>
<td>Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch.0)</td>
<td>17,528,537</td>
<td>13,520,679</td>
<td>130,588</td>
</tr>
<tr>
<td>12</td>
<td>Advertising and promotion</td>
<td>34,196,222</td>
<td>18,297,303</td>
<td>40,082</td>
</tr>
<tr>
<td>13</td>
<td>Office expenses</td>
<td>19,442,683</td>
<td>10,945,082</td>
<td>172,401</td>
</tr>
<tr>
<td>14</td>
<td>Information technology</td>
<td>12,169,675</td>
<td>5,395,083</td>
<td>516,841</td>
</tr>
<tr>
<td>15</td>
<td>Royalties</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>Occupancy</td>
<td>5,763,400</td>
<td>4,319,147</td>
<td>740,185</td>
</tr>
<tr>
<td>17</td>
<td>Travel</td>
<td>728,147</td>
<td>727,365</td>
<td>714</td>
</tr>
<tr>
<td>18</td>
<td>Payments of travel or entertainment expenses for federal, state, or local public officials</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19</td>
<td>Conferences, conventions, and meetings</td>
<td>6,872,700</td>
<td>6,543,639</td>
<td>109,187</td>
</tr>
<tr>
<td>20</td>
<td>Interest</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Payments to affiliates</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Depreciation, depletion, and amortization</td>
<td>5,418,123</td>
<td>4,233,525</td>
<td>668,809</td>
</tr>
<tr>
<td>23</td>
<td>Insurance</td>
<td>1,211,937</td>
<td>1,029,089</td>
<td>101,639</td>
</tr>
<tr>
<td>24</td>
<td>Other expenses, itemize expenses not covered above. (List miscellaneous expenses in line 24a. If line 24a amount exceeds 10% of line 25, column (A) amount, list line 24a expenses on Schedule 0)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>VETERINARY &amp; MEDICAL SR</td>
<td>9,694,019</td>
<td>9,693,723</td>
<td>37</td>
</tr>
<tr>
<td>b</td>
<td>OPERATING SUPPLIES</td>
<td>2,985,710</td>
<td>2,854,444</td>
<td>59,945</td>
</tr>
<tr>
<td>c</td>
<td>REPAIRS AND MAINTENANCE</td>
<td>1,523,629</td>
<td>1,353,967</td>
<td>118,636</td>
</tr>
<tr>
<td>d</td>
<td>MISCELLANEOUS EXPENSES</td>
<td>1,396,310</td>
<td>1,077,412</td>
<td>210,619</td>
</tr>
<tr>
<td>e</td>
<td>All other expenses</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### 25 Total functional expenses. Add lines 1 through 24e

221,271,091 | 166,201,442 | 10,001,687 | 45,067,962 |

#### 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.

Check here [X] if following SOP 68-2 (ASC 954-720)

70,036,149 | 34,333,397 | 83,414 | 35,619,338
<table>
<thead>
<tr>
<th>Assets</th>
<th>(A) Beginning of year</th>
<th>(B) End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Cash - non-interest-bearing</td>
<td>16,518,289</td>
<td>20,397,279</td>
</tr>
<tr>
<td>2. Savings and temporary cash investments</td>
<td>11,955,496</td>
<td>18,324,004</td>
</tr>
<tr>
<td>3. Pledges and grants receivable, net</td>
<td>13,363,334</td>
<td>11,143,059</td>
</tr>
<tr>
<td>4. Accounts receivable, net</td>
<td>6,344,338</td>
<td>5,886,435</td>
</tr>
<tr>
<td>5. Loans and other receivables from current and former officers,</td>
<td></td>
<td></td>
</tr>
<tr>
<td>directors, trustees, key employees, and highest compensated</td>
<td></td>
<td></td>
</tr>
<tr>
<td>employees. Complete Part II of Schedule L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Loans and other receivables from other disqualified persons</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(as defined under section 4958(f)(1)), persons described in section</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4958(c)(9)(B), and contributing employers and sponsoring</td>
<td></td>
<td></td>
</tr>
<tr>
<td>organizations of section 501(c)(9) voluntary employees'</td>
<td></td>
<td></td>
</tr>
<tr>
<td>beneficiary organizations (see instr.). Complete Part II of Sch L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Notes and loans receivable, net</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Inventories for sale or use</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Prepaid expenses and deferred charges</td>
<td>2,028,296</td>
<td>1,008,282</td>
</tr>
<tr>
<td>10a. Land, buildings, and equipment: cost or other basis. Complete</td>
<td>100,078,822</td>
<td></td>
</tr>
<tr>
<td>Part VI of Schedule D</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b. Less: accumulated depreciation</td>
<td>49,525,297</td>
<td>54,035,422</td>
</tr>
<tr>
<td>11. Investments - publicly traded securities</td>
<td>91,617,401</td>
<td>112,766,740</td>
</tr>
<tr>
<td>12. Investments - other securities. See Part IV, line 11</td>
<td>52,007,844</td>
<td>62,879,257</td>
</tr>
<tr>
<td>13. Investments - program-related. See Part IV, line 11</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14. Intangible assets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15. Other assets. See Part IV, line 11</td>
<td>19,077,718</td>
<td>21,127,139</td>
</tr>
<tr>
<td>16. Total assets. Add lines 1 through 15 (must equal line 34)</td>
<td>262,438,013</td>
<td>307,567,617</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Liabilities</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>17. Accounts payable and accrued expenses</td>
<td>11,588,929</td>
<td>14,066,048</td>
</tr>
<tr>
<td>18. Grants payable</td>
<td>2,115,468</td>
<td>1,952,817</td>
</tr>
<tr>
<td>19. Deferred revenue</td>
<td></td>
<td></td>
</tr>
<tr>
<td>20. Tax-exempt bond liabilities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>21. Escrow or custodial account liability. Complete Part IV of</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Schedule D</td>
<td></td>
<td></td>
</tr>
<tr>
<td>22. Loans and other payables to current and former officers,</td>
<td></td>
<td></td>
</tr>
<tr>
<td>directors, trustees, key employees, highest compensated employees,</td>
<td></td>
<td></td>
</tr>
<tr>
<td>and disqualified persons. Complete Part II of Schedule L</td>
<td></td>
<td></td>
</tr>
<tr>
<td>23. Secured mortgages and notes payable to unrelated third parties</td>
<td></td>
<td></td>
</tr>
<tr>
<td>24. Unsecured notes and loans payable to unrelated third parties</td>
<td></td>
<td></td>
</tr>
<tr>
<td>25. Other liabilities (including federal income tax, payables to</td>
<td></td>
<td></td>
</tr>
<tr>
<td>related third parties and other liabilities not included on</td>
<td></td>
<td></td>
</tr>
<tr>
<td>lines 17-24). Complete Part X of Schedule D</td>
<td></td>
<td></td>
</tr>
<tr>
<td>26. Total liabilities. Add lines 17 through 25</td>
<td>31,083,287</td>
<td>33,655,049</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Net Assets or Fund Balances</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>27. Unrestricted net assets</td>
<td>161,673,149</td>
<td>201,820,534</td>
</tr>
<tr>
<td>28. Temporarily restricted net assets</td>
<td>44,634,468</td>
<td>44,461,322</td>
</tr>
<tr>
<td>29. Permanently restricted net assets</td>
<td>25,047,109</td>
<td>27,630,712</td>
</tr>
<tr>
<td>30. Capital stock or trust principal, or current funds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>31. Paid-in or capital surplus, or land, building, or equipment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>fund</td>
<td></td>
<td></td>
</tr>
<tr>
<td>32. Retained earnings, endowment, accumulated income, or other</td>
<td></td>
<td></td>
</tr>
<tr>
<td>funds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>33. Total net assets or fund balances</td>
<td>231,354,726</td>
<td>273,912,568</td>
</tr>
<tr>
<td>34. Total liabilities and net assets/fund balances</td>
<td>262,438,013</td>
<td>307,567,617</td>
</tr>
</tbody>
</table>
## Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue (must equal Part VIII, column (A), line 12)</td>
<td>248,084,106.</td>
</tr>
<tr>
<td>2</td>
<td>Total expenses (must equal Part IX, column (A), line 25)</td>
<td>221,271,091.</td>
</tr>
<tr>
<td>3</td>
<td>Revenue less expenses. Subtract line 2 from line 1</td>
<td>26,813,015.</td>
</tr>
<tr>
<td>4</td>
<td>Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))</td>
<td>231,354,726.</td>
</tr>
<tr>
<td>5</td>
<td>Net unrealized gains (losses) on investments</td>
<td>13,118,114.</td>
</tr>
<tr>
<td>6</td>
<td>Donated services and use of facilities</td>
<td>7</td>
</tr>
<tr>
<td>7</td>
<td>Investment expenses</td>
<td>21,714.</td>
</tr>
<tr>
<td>8</td>
<td>Prior period adjustments</td>
<td>2,604,999.</td>
</tr>
<tr>
<td>9</td>
<td>Other changes in net assets or fund balances (explain in Schedule O)</td>
<td>273,912,568.</td>
</tr>
</tbody>
</table>

## Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Accounting method used to prepare the Form 990:</td>
<td>Cash</td>
<td>Accrual</td>
</tr>
<tr>
<td>2a</td>
<td>Were the organization's financial statements compiled or reviewed by an independent accountant?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>2b</td>
<td>Were the organization's financial statements audited by an independent accountant?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>2c</td>
<td>If &quot;Yes&quot; to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>3a</td>
<td>As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>3b</td>
<td>If &quot;Yes,&quot; did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>
**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.  
Go to www.irs.gov/Form990 for instructions and the latest information.

<table>
<thead>
<tr>
<th>Name of the organization</th>
<th>Employer identification number</th>
</tr>
</thead>
<tbody>
<tr>
<td>THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS</td>
<td>13-1623829</td>
</tr>
</tbody>
</table>

### Part I Reason for Public Charity Status

(All organizations must complete this part.) See instructions.

1. [ ] A church, convention, or association of churches described in section 170(b)(1)(A)(i).
2. [ ] A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
3. [ ] A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4. [ ] A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state:

5. [ ] An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)

6. [ ] A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).

7. [x] An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)

8. [ ] A community trust described in section 170(b)(1)(A)(vii). (Complete Part II.)

9. [ ] An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university:

10. [ ] An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)

11. [ ] An organization organized and operated exclusively to test for public safety. See section 509(a)(4).

12. [ ] An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.

   a. [ ] Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.

   b. [ ] Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.

   c. [ ] Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.

   d. [ ] Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.

   e. [ ] Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.


### Enter the number of supported organizations


### Provide the following information about the supported organization(s)

<table>
<thead>
<tr>
<th>(i) Name of supported organization</th>
<th>(iii) EIN</th>
<th>(ii) Type of organization (described on lines 1-10 above see instructions)</th>
<th>(iv) Is the organization listed under section 170(b)(1)(A)(vi)?</th>
<th>(v) Amount of monetary support (see instructions)</th>
<th>(vi) Amount of other support (see instructions)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

### Total

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

732021 10-06-17 Schedule A (Form 990 or 990-EZ) 2017
### Schedule A (Form 990 or 990-EZ) 2017

**Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(v)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part III.)

#### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2013</th>
<th>(b) 2014</th>
<th>(c) 2015</th>
<th>(d) 2016</th>
<th>(e) 2017</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Gifts, grants, contributions, and membership fees received. (Do not include any &quot;unusual grants,&quot; etc.)</td>
<td>144513028163600103182705546197064307219969109</td>
<td>907852093</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Tax revenues levied for the organization’s benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Total, Add lines 1 through 3</td>
<td>144513028163600103182705546197064307219969109</td>
<td>907852093</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. The portion of total contributions by each person other than a governmental unit or publicly supported organization included on line 1 that exceeds 2% of the amount shown on line 11, column (f)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Public support. Subtract line 5 from line 4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2013</th>
<th>(b) 2014</th>
<th>(c) 2015</th>
<th>(d) 2016</th>
<th>(e) 2017</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>7. Amounts from line 4</td>
<td>144513028163600103182705546197064307219969109</td>
<td>907852093</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources</td>
<td>4567926.5093532.5222599.4588637.5149538.24622232.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9. Net income from unrelated business activities, whether or not the business is regularly carried on</td>
<td></td>
<td></td>
<td>37,048.145,264.182,312.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10. Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI)</td>
<td></td>
<td></td>
<td>1680318.2372646.396,241.253,763.311,653.5014621.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11. Total support. Add lines 7 through 10</td>
<td></td>
<td></td>
<td></td>
<td>937671258</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12. Gross receipts from related activities, etc. (see instructions)</td>
<td></td>
<td></td>
<td></td>
<td>72,259,319.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13. First five years. If the Form 990 is for the organization’s first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section C. Computation of Public Support Percentage

| | 14. Public support percentage for 2017 (line 6, column (f) divided by line 11, column (f)) | 14 | 96.82% |
| 15. Public support percentage from 2016 Schedule A, Part II, line 14 | 15 | 96.28% |

- **16a 33 1/3% support test - 2017.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization.

- **16b 33 1/3% support test - 2016.** If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization.

- **17a 10% -facts-and-circumstances test - 2017.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization.

- **17b 10% -facts-and-circumstances test - 2016.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization.

- **18 Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions.
**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

**Schedule A (Form 990 or 990-EZ) 2017**

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2013</th>
<th>(b) 2014</th>
<th>(c) 2015</th>
<th>(d) 2016</th>
<th>(e) 2017</th>
<th>(f) Total</th>
</tr>
</thead>
</table>
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") |         |         |         |         |         |          |
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose |         |         |         |         |         |          |
3 Gross receipts from activities that are not an unrelated trade or business under section 513 |         |         |         |         |         |          |
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf |         |         |         |         |         |          |
5 The value of services or facilities furnished by a governmental unit to the organization without charge |         |         |         |         |         |          |
6 Total. Add lines 1 through 5 |         |         |         |         |         |          |
7a Amounts included on lines 1, 2, and 3 received from disqualified persons |         |         |         |         |         |          |
    b Amounts included on lines 1 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 12 for the year |         |         |         |         |         |          |
    c Add lines 7a and 7b |         |         |         |         |         |          |
8 Public support. (Subtract 2, 5, and 7) |         |         |         |         |         |          |

### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2013</th>
<th>(b) 2014</th>
<th>(c) 2015</th>
<th>(d) 2016</th>
<th>(e) 2017</th>
<th>(f) Total</th>
</tr>
</thead>
</table>
9 Amounts from line 6 |         |         |         |         |         |          |
    a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources |         |         |         |         |         |          |
    b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 |         |         |         |         |         |          |
    c Add lines 9a and 9b |         |         |         |         |         |          |
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on |         |         |         |         |         |          |
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI) |         |         |         |         |         |          |
13 Total support. (Add lines 9, 10c, 11, and 12) |         |         |         |         |         |          |
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ❑ |         |         |         |         |         |          |

### Section C. Computation of Public Support Percentage

| Public support percentage for 2017 line 8, column (f) divided by line 13, column (f) | 15% | % |
| Public support percentage from 2016 Schedule A, Part III, line 15 | 15% | % |

### Section D. Computation of Investment Income Percentage

| Investment income percentage for 2017 line 10c, column (f) divided by line 13, column (f) | 17% | % |
| Investment income percentage from 2016 Schedule A, Part III, line 17 | 18% | % |

19a 33 1/3% support tests - 2017. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ❑ |

19b 33 1/3% support tests - 2016. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ❑ |

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ❑ |
Section A. All Supporting Organizations

1. Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.

2. Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).

3a. Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.

b. Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(3)? If "Yes," describe in Part VI when and how the organization made the determination.

c. Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.

4a. Was any supported organization not organized in the United States (foreign supported organization)? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.

b. Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled by or in connection with its supported organizations.

c. Did the organization support any foreign supported organization that does not have an IRS determination of status under sections 501(c)(2) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.

5a. Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below. Also, provide detail in Part VI, including: (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).

b. Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?

c. Substitutions only. Was the substitution the result of an event beyond the organization's control?

6. Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than: (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.

7. Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).

8. Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7?

9a. Did the organization control directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.

b. Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.

c. Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.

10a. Was the organization subject to the excess business holdings rules of section 4843 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.

b. Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Part IV: Supporting Organizations (continued)

11 Has the organization accepted a gift or contribution from any of the following persons?
   a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)
      below, the governing body of a supported organization?
   b A family member of a person described in (a) above?
   c A 5% controlled entity of a person described in (a) or (b) above? If “Yes,” to a, b, or c, provide detail in Part VI.

Section B. Type I Supporting Organizations

1 Did the directors, trustees, or membership of one or more supported organizations have the power to
   regularly appoint or elect at least a majority of the organization’s directors or trustees at all times during the
   tax year? If “No,” describe in Part VI how the supported organization(s) effectively operated, supervised, or
   controlled the organization’s activities. If the organization had more than one supported organization,
   describe how the powers to appoint and/or remove directors or trustees were allocated among the supported
   organizations and what conditions or restrictions, if any, applied to such powers during the tax year.

2 Did the organization operate for the benefit of any supported organization other than the supported
   organization(s) that operated, supervised, or controlled the supporting organization? If “Yes,” explain in
   Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,
   supervised, or controlled the supporting organization.

Section C. Type II Supporting Organizations

1 Were a majority of the organization’s directors or trustees during the tax year also a majority of the directors
   or trustees of each of the organization’s supported organization(s)? If “No,” describe in Part VI how control
   or management of the supported organization was vested in the same persons that controlled or managed
   the supported organization(s).

Section D. All Type III Supporting Organizations

1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the
   organization’s tax year, (I) a written notice describing the type and amount of support provided during the prior tax
   year, (II) a copy of the Form 990 that was most recently filed as of the date of notification, and (III) copies of the
   organization’s governing documents in effect on the date of notification, to the extent not previously provided?

2 Were any of the organization’s officers, directors, or trustees either (I) appointed or elected by the supported
   organization(s) or (II) serving on the governing body of a supported organization? If “No,” explain in Part VI how
   the organization maintained a close and continuous working relationship with the supported organization(s).

3 By reason of the relationship described in (2), did the organization's supported organizations have a
   significant voice in the organization’s investment policies and in directing the use of the organization’s
   income or assets at all times during the tax year? If “Yes,” describe in Part VI the role the organization’s
   supported organizations played in this regard.

Section E. Type III Functionally Integrated Supporting Organizations

1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
   a The organization satisfied the Activities Test. Complete line 2 below.
   b The organization is the parent of each of its supported organizations. Complete line 3 below.
   c The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2 Activities Test. Answer (a) and (b) below.
   a Did substantially all of the organization’s activities during the tax year directly further the exempt purposes of
      those supported organizations to which the organization was responsive? If “Yes,” then in Part VI explain
      how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.
   b Did the activities described in (a) constitute activities that, but for the organization’s involvement, one or more
      of the organization's supported organization(s) would have been engaged in? If “Yes,” explain in Part VI the
      reasons for the organization’s position that its supported organization(s) would have engaged in these
      activities but for the organization’s involvement.

3 Parent of Supported Organizations. Answer (a) and (b) below.
   a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or
      trustees of each of the supported organizations? Provide details in Part VI.
   b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each
      of its supported organizations? If “Yes,” describe in Part VI the role played by the organization in this regard.
### Part V - Type III Non-Functionally Integrated 501c(3) Supporting Organizations

Check here if the organization satisfied the integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

#### Section A - Adjusted Net Income

<table>
<thead>
<tr>
<th></th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Net short-term capital gain</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Recoveries of prior-year distributions</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Other gross income (see instructions)</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Add lines 1 through 3</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Depreciation and depletion</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Other expenses (see instructions)</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)</td>
<td>8</td>
</tr>
</tbody>
</table>

#### Section B - Minimum Asset Amount

<table>
<thead>
<tr>
<th></th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a. Average monthly value of securities</td>
<td>1a</td>
</tr>
<tr>
<td></td>
<td>b. Average monthly cash balances</td>
<td>1b</td>
</tr>
<tr>
<td></td>
<td>c. Fair market value of other non-exempt-use assets</td>
<td>1c</td>
</tr>
<tr>
<td></td>
<td>d. Total (add lines 1a, 1b, and 1c)</td>
<td>1d</td>
</tr>
<tr>
<td></td>
<td>e. Discount claimed for blockage or other factors (explain in detail in Part VI):</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Acquisition indebtedness applicable to non-exempt-use assets</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2 from line 1d</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Net value of non-exempt-use assets (subtract line 4 from line 3)</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Multiply line 5 by .035</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Recoveries of prior-year distributions</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>Minimum Asset Amount (add line 7 to line 6)</td>
<td>8</td>
</tr>
</tbody>
</table>

#### Section C - Distributable Amount

<table>
<thead>
<tr>
<th></th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Adjusted net income for prior year (from Section A, line 8, Column A)</td>
</tr>
<tr>
<td>2</td>
<td>Enter 85% of line 1</td>
</tr>
<tr>
<td>3</td>
<td>Minimum asset amount for prior year (from Section B, line 8, Column A)</td>
</tr>
<tr>
<td>4</td>
<td>Enter greater of line 2 or line 3</td>
</tr>
<tr>
<td>5</td>
<td>Income tax imposed in prior year</td>
</tr>
<tr>
<td>6</td>
<td>Distributable Amount. Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)</td>
</tr>
</tbody>
</table>

Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

---

Schedule A (Form 990 or 990-EZ) 2017
### Schedule A (Form 990 or 990-EZ) 2017
#### OF CRUELTY TO ANIMALS

**Part V** | Type II Non-Functionally Integrated 501(a)(3) Supporting Organizations (continued)

<table>
<thead>
<tr>
<th>Section D - Distributions</th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Amounts paid to supported organizations to accomplish exempt purposes</td>
</tr>
<tr>
<td>2</td>
<td>Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity</td>
</tr>
<tr>
<td>3</td>
<td>Administrative expenses paid to accomplish exempt purposes of supported organizations</td>
</tr>
<tr>
<td>4</td>
<td>Amounts paid to acquire exempt-use assets</td>
</tr>
<tr>
<td>5</td>
<td>Qualified set-aside amounts (prior IRS approval required)</td>
</tr>
<tr>
<td>6</td>
<td>Other distributions (describe in Part VI). See instructions.</td>
</tr>
<tr>
<td>7</td>
<td>Total annual distributions. Add lines 1 through 6.</td>
</tr>
<tr>
<td>8</td>
<td>Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.</td>
</tr>
<tr>
<td>9</td>
<td>Distributable amount for 2017 from Section C, line 6</td>
</tr>
<tr>
<td>10</td>
<td>Line 8 amount divided by line 9 amount</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Section E - Distribution Allocations (see instructions)</th>
<th>(i) Excess Distributions</th>
<th>(ii) Underdistributions Pre-2017</th>
<th>(iii) Distributable Amount for 2017</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Distributable amount for 2017 from Section C, line 6</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Underdistributions, if any, for years prior to 2017 (reasonable cause required-explain in Part VI). See instructions.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Excess distributions carryover, if any, to 2017</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a From 2013</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b From 2014</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c From 2015</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d From 2016</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f Total of lines 3a through e</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Applied to undistributed of prior years</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Applied to 2017 distributable amount</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>i Carryover from 2012 not applied (see instructions)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Remainder. Subtract lines 3g, 3h, and 3i from 3f.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7 Remaining underdistributions for years prior to 2017, if any. Subtract lines 5g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Remaining underdistributions for 2017. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9 Excess distributions carryover to 2018. Add lines 3j</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a Excess from 2013</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Excess from 2014</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Excess from 2015</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Excess from 2016</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e Excess from 2017</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:

#### LIST RENTALS

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2013</td>
<td>$360,693</td>
</tr>
<tr>
<td>2015</td>
<td>$368,475</td>
</tr>
<tr>
<td>2016</td>
<td>$253,763</td>
</tr>
<tr>
<td>2017</td>
<td>$311,653</td>
</tr>
</tbody>
</table>

#### FUNDRAISING EVENTS

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2013</td>
<td>$1,108,668</td>
</tr>
</tbody>
</table>

#### MISCELLANEOUS

<table>
<thead>
<tr>
<th>Year</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>2013</td>
<td>$210,957</td>
</tr>
<tr>
<td>2014</td>
<td>$2,372,646</td>
</tr>
<tr>
<td>2015</td>
<td>$27,766</td>
</tr>
</tbody>
</table>
**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

- Complete if the organization is described below.
- Attach to Form 990 or Form 990-EZ.
- Go to www.irs.gov/Form990 for instructions and the latest information.

**If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**
- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**
- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

<table>
<thead>
<tr>
<th>Name of organization</th>
<th>THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Employer Identification number</td>
<td>13-1623829</td>
</tr>
</tbody>
</table>

**Part I-A**

Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1. Provide a description of the organization's direct and indirect political campaign activities in Part IV.
2. Political campaign activity expenditures
3. Volunteer hours for political campaign activities

**Part I-B**

Complete if the organization is exempt under section 501(c)(3).

1. Enter the amount of any excise tax incurred by the organization under section 4955
2. Enter the amount of any excise tax incurred by organization managers under section 4955
3. If the organization incurred a section 4955 tax, did it file Form 4720 for this year?
   - Yes
   - No
4. Was a correction made?
   - Yes
   - No

b. If "Yes," describe in Part IV.

**Part I-C**

Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1. Enter the amount directly expended by the filing organization for section 527 exempt function activities
2. Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities
3. Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b
4. Did the filing organization file Form 1120-POL for this year?
   - Yes
   - No
5. Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

<table>
<thead>
<tr>
<th>(a) Name</th>
<th>(b) Address</th>
<th>(c) EIN</th>
<th>(d) Amount paid from filing organization's funds. If none, enter -0.</th>
<th>(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0.</th>
</tr>
</thead>
</table>

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.
### Limits on Lobbying Expenditures
(The term "expenditures" means amounts paid or incurred.)

<table>
<thead>
<tr>
<th>Limit</th>
<th>Description</th>
<th>(a) Filing organization's totals</th>
<th>(b) Affiliated group totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Total lobbying expenditures to influence public opinion (grass roots lobbying)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>Total lobbying expenditures to influence a legislative body (direct lobbying)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1c</td>
<td>Total lobbying expenditures (add lines 1a and 1b)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1d</td>
<td>Other exempt purpose expenditures</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1e</td>
<td>Total exempt purpose expenditures (add lines 1c and 1d)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1f</td>
<td>Lobbying nontaxable amount. Enter the amount from the following table in both columns.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### If the amount on line 1e, column (a) or (b) is:

- **Not over $500,000**
  - 20% of the amount on line 1e.
- **Over $500,000 but not over $1,000,000**
  - $100,000 plus 15% of the excess over $500,000.
- **Over $1,000,000 but not over $1,500,000**
  - $175,000 plus 10% of the excess over $1,000,000.
- **Over $1,500,000 but not over $17,000,000**
  - $225,000 plus 5% of the excess over $1,500,000.
- **Over $17,000,000**
  - $1,000,000

#### Grassroots nontaxable amount (enter 25% of line 1f)

#### Subtract line 1g from line 1a. If zero or less, enter 0.

#### Subtract line 1h from line 1c. If zero or less, enter 0.

#### If there is an amount other than zero on either line 1h or line 1l, did the organization file Form 4720 reporting section 4911 tax for this year? □ Yes □ No

### 4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

#### Calendar year (or fiscal year beginning in) | (a) 2014 | (b) 2016 | (c) 2016 | (d) 2017 | (e) Total
--- | --- | --- | --- | --- | ---
2a | Lobbying nontaxable amount |
2b | Lobbying ceiling amount (150% of line 2a, column (a)) |
2c | Total lobbying expenditures |
2d | Grassroots nontaxable amount |
2e | Grassroots ceiling amount (150% of line 2d, column (a)) |
2f | Grassroots lobbying expenditures |

---

Schedule C (Form 990 or 990-EZ) 2017
# THE AMERICAN SOCIETY FOR THE PREVENTION

Schedule C (Form 990 or 990-EZ) 2017

**PART II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response on lines 1a through 1l below, provide in Part IV a detailed description of the lobbying activity.

<table>
<thead>
<tr>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

1. During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:
   - a. Volunteers? X
   - b. Paid staff or management (include compensation in expenses reported on lines 1c through 1l)? X 13,689.
   - d. Mailings to members, legislators, or the public? X 83,520.
   - e. Publications, or published or broadcast statements? X 601,472.
   - f. Grants to other organizations for lobbying purposes? X 67,066.
   - g. Direct contact with legislators, their staffs, government officials, or a legislative body? X 69,869.
   - h. Other activities? X 881,212.

2a. Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? X

2b. If "Yes," enter the amount of any tax incurred under section 4912

2c. If "Yes," enter the amount of any tax incurred by organization managers under section 4912

2d. If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?

## PART III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td></td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td></td>
<td>3</td>
</tr>
</tbody>
</table>

## PART III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

<p>| | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td></td>
</tr>
</tbody>
</table>

## PART IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

**PART II-B, LINE 1, LOBBYING ACTIVITIES:**

**GENERAL - THE ASPCA'S MISSION TO PREVENT CRUELTY TO ANIMALS IS**

**PRIMARILY ADVANCED THROUGH A SERIES OF SIGNIFICANT DIRECT CARE**

**PROGRAMS. THE ASPCA'S NATIONAL RELOCATION PROGRAM TO SAVE LIVES OF**

**AT-RISK HOMELESS ANIMALS; PARTNERSHIPS WITH COMMUNITIES TO INCENTIVATE**

**MORE LIVE RELEASE AND RESCUE FOR HOMELESS ANIMALS; PROFESSIONAL**
DEVELOPMENT FOR SHELTERS AND RESCUE ORGANIZATIONS; A BEHAVIORAL
RESEARCH CENTER TO REHABILITATE UNDER SOCIALIZED, FEARFUL DOGS FROM
PUPPY MILLS, HOARDING AND OTHER CRUELTY CASES; A COLLABORATION WITH THE
NEW YORK CITY POLICE DEPARTMENT; THE ASPCA’S CRUELTY INTERVENTION
ADVOCACY PROGRAM TO ADDRESS THE ROOT CAUSES OF SUFFERING IN HOARDING
CASES; THE ASPCA ANIMAL HOSPITAL; AND SPAY/NEUTER OPERATIONS AND
ADOPTION CENTER IN NEW YORK CITY ARE ALL LABORATORIES FOR UNDERSTANDING
THE MYRIAD PROBLEMS ANIMALS FACE AND INFORM THEIR WORK TO ADVANCE
POLICIES THAT WILL PREVENT CRUELTY IN THE FUTURE. THE LESSONS THE ASPCA
TAKES FROM THESE PROGRAMS ENABLE THEM TO BRING EXPERT VOICES AND
INFORMED OPINIONS TO THEIR WORK FOR LAWS TO DETER CRUEL TREATMENT OF
ANIMALS.

1A. VOLUNTEERS: THE ASPCA WORKS WITH VOLUNTEERS HOLDING CITIZEN
TRAINING WORKSHOPS IN LOCAL COMMUNITIES, PROVIDING OPPORTUNITIES FOR
THEM TO JOIN THEIR STAFF AT THE STATE AND FEDERAL CAPITOLS TO PROMOTE
OR OPPOSE LEGISLATION THROUGH MEETINGS WITH LEGISLATORS AND THEIR
AIDES. THE ASPCA EMPLOYS TRAINING TOOLS SUCH AS WEBINARS AND
CONFERENCES.

1B. PAID STAFF OR MANAGEMENT: ASPCA MANAGEMENT AND STAFF STRATEGIZE AND
COORDINATE THEIR PUBLIC POLICY EFFORTS AIMED AT ENHANCING OUR ABILITY
TO PERFORM DIRECT CARE WORK AND TO HELP PREVENT CRUELTY. THEY
CULTIVATE AND EXPAND CONTACTS WITHIN GOVERNMENT BODIES, INCLUDING
LEGISLATURES AND REGULATORY AGENCIES, AND WORK WITH OTHER NATIONAL AND
LOCAL ORGANIZATIONS TO PROMOTE HUMANE POLICIES.

1C. MEDIA ADVERTISEMENTS: PERIODICALLY, THE ASPCA BUYS ADVERTISEMENT
SPACE IN PUBLIC POLICY FOCUSED NEWSPAPERS (E.G., ROLL CALL, THE HILL)

THAT MEMBERS OF CONGRESS AND THEIR STAFFS REGULARLY READ. THE

ADVERTISEMENTs ARE STRATEGICALLY SCHEDULED (OFTEN AHEAD OF A CRUCIAL
COMMITTEE VOTE OR FLOOR VOTE) TO MAXIMIZE EXPOSURE AND TIMELINESS TO
THEIR ISSUES. THE ASPCA ALSO BUYS STRATEGICALLY TARGETED ADVERTISING
SPACE ON SOCIAL MEDIA (E.G., FACEBOOK) FOR THE SAME PURPOSE.

1D. MAILINGS TO MEMBERS, LEGISLATORS, OR THE PUBLIC: THE ASPCA

COMMUNICATES WITH THEIR MEMBERS, UNPAID VOLUNTEERS, LEGISLATORS AND THE
PUBLIC THROUGH MAILINGS, EMAIL, AND ELECTRONIC ALERTS TO UPDATE AND
INFORM AS WELL AS TO ENCOURAGE THEIR PARTICIPATION IN POSITIVE OUTCOMES
FOR ANIMALS. THE ASPCA EMPLOYS TRADITIONAL AND SOCIAL MEDIA TOOLS TO
INFORM THE PUBLIC OF LEGISLATION, REGULATIONS, AND OTHER POLICIES THAT
PROMOTE ANIMAL WELFARE OR THAT ARE HOSTILE TO IT AND TO PROVIDE THEM
WITH SUPPORT AND TOOLS FOR POLICY CHANGE.

1F. GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES: THE ASPCA

PROVIDES GRANTS TO ORGANIZATIONS TO PROMOTE ANIMAL WELFARE INCLUDING
THOSE WORKING TO FURTHER ANIMAL PROTECTION EFFORTS IN LOCAL AND STATE
LEGISLATURES AND CONGRESS AS WELL AS IN REGULATIONS AT ALL LEVELS.

1G. DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS,
OR A LEGISLATIVE BODY: THE ASPCA PROMOTES ANTI-CRUELTY LEGISLATION
THROUGH DIRECT CONTACTS WITH FEDERAL AND STATE LEGISLATORS, THEIR
STAFF, GOVERNMENT OFFICIALS AT ALL LEVELS, AND LOCAL LEGISLATURES.
THE ASPCA'S STAFF, UNPAID VOLUNTEERS, AND CONSULTANTS WORK TO INFLUENCE
LEGISLATION TO HELP ANIMALS THROUGH SUCH CONTACTS.
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

1H. RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES,
OR ANY OTHER MEANS: THE ASPCA HOLDS VOICES FOR ANIMALS DAYS, LOBBY
DAYS, LEADERSHIP TRAINING SUMMITS, CITIZEN LOBBYING WORKSHOPS,
INCLUDING SPEECHES AND SEMINARS, AND GIVES PRESENTATIONS AND SPEECHES
TO ENCOURAGE PUBLIC AWARENESS OF HUMANE LEGISLATION AND TO PROMOTE
ACTION INFLUENCING POSITIVE OUTCOMES FOR ANIMAL WELFARE POLICY.

1I. OTHER ACTIVITIES: THE ASPCA WORKS CLOSELY WITH OTHER NATIONAL,
STATE, AND LOCAL SHELTERS AND ANIMAL WELFARE ORGANIZATIONS AS WELL AS
OTHER INDUSTRY OR NON-PROFIT ORGANIZATIONS WITH COMMON INTERESTS TO
ALIGN PUBLIC POLICIES WITH BEST PRACTICES FOR ANIMAL WELFARE AND TO
ENSURE THAT LAW ENFORCEMENT, FIELD WORK, DISASTER RELIEF, ANTI-CRUELTY
EFFORTS, AND SHELTERING OPERATIONS ARE ABLE TO BEST PROTECT ANIMALS.
THE ASPCA EMPLOYS PROFESSIONAL CONSULTANTS TO SUPPORT AND INFORM THEIR
LOBBYING EFFORTS AND TO CONDUCT COALITION WORK, INTERNAL COORDINATION
AND GRASSROOTS NETWORKING AND CULTIVATION FOR HUMANE PUBLIC POLICY
ADVANCEMENT.
**Supplemental Financial Statements**

**Part I**  
**Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

<table>
<thead>
<tr>
<th></th>
<th>(a) Donor advised funds</th>
<th>(b) Funds and other accounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total number at end of year</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Aggregate value of contributions (during year)</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Aggregate value of grants from (during year)</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Aggregate value at end of year</td>
<td></td>
</tr>
</tbody>
</table>

5. Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?  
   - Yes  
   - No

6. Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?  
   - Yes  
   - No

**Part II**  
**Conservation Easements.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1. Purpose(s) of conservation easements held by the organization (check all that apply):
   - Preservation of land for public use (e.g., recreation or education)
   - Preservation of a historically important land area
   - Protection of natural habitat
   - Preservation of a certified historic structure
   - Preservation of open space

2. Complete lines 2a through 2d if the organization held a qualified conservation easement in the form of a conservation easement on the last day of the tax year.

<table>
<thead>
<tr>
<th></th>
<th>Held at the End of the Tax Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a</td>
<td>Total number of conservation easements</td>
</tr>
<tr>
<td>2b</td>
<td>Total acreage restricted by conservation easements</td>
</tr>
<tr>
<td>2c</td>
<td>Number of conservation easements on a certified historic structure included in (a)</td>
</tr>
<tr>
<td>2d</td>
<td>Number of conservation easements included in (c) acquired after 7/25/06, and not on a historic structure listed in the National Register</td>
</tr>
</tbody>
</table>

3. Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year.

4. Number of states where property subject to conservation easement is located.

5. Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?  
   - Yes  
   - No

6. Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year.

7. Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year.

8. Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?  
   - Yes  
   - No

9. In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III**  
**Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a. If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b. If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
   (i) Revenue included on Form 990, Part VIII, line 1  
   (ii) Assets included in Form 990, Part X

2a. If the organization received any works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:
   (a) Revenue included on Form 990, Part VIII, line 1
   (b) Assets included in Form 990, Part X

LHA  
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THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS  13-1623829  Page 2

Schedule D (Form 990) 2017

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets

(continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
   a  [ ] Public exhibition
   b  [ ] Scholarly research
   c  [ ] Preservation for future generations
   d  [ ] Loan or exchange programs
   e  [ ] Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold at a rate rather than to be maintained as part of the organization's collection?
   [ ] Yes  [ ] No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  [ ] Yes  [ ] No

b If "Yes," explain the arrangement in Part XIII and complete the following table:


2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?
   [ ] Yes  [ ] No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII.

Part V Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

1a Beginning of year balance
   [ ] Yes  [ ] No

b Contributions

<table>
<thead>
<tr>
<th>Current year</th>
<th>Prior year</th>
<th>Two years back</th>
<th>Three years back</th>
<th>Four years back</th>
</tr>
</thead>
<tbody>
<tr>
<td>57,060,223</td>
<td>52,413,558</td>
<td>54,180,975</td>
<td>54,562,237</td>
<td>49,486,784</td>
</tr>
</tbody>
</table>

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment  [ ] 83.95%

b Permanent endowment  [ ] 11.37%

c Temporarily restricted endowment  [ ] 4.68%

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

   [ ] (i) unrelated organizations
   [ ] (ii) related organizations

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?
   [ ] Yes  [ ] No

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

<table>
<thead>
<tr>
<th>Description of property</th>
<th>(a) Cost or other basis (investment)</th>
<th>(b) Cost or other basis (other)</th>
<th>(c) Accumulated depreciation</th>
<th>(d) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Land</td>
<td>5,321,057</td>
<td></td>
<td></td>
<td>5,321,057</td>
</tr>
<tr>
<td>Buildings</td>
<td>18,829,196</td>
<td>9,974,052</td>
<td>8,855</td>
<td>144</td>
</tr>
<tr>
<td>Leasedhold improvements</td>
<td>38,334,999</td>
<td>13,077,674</td>
<td>25,257</td>
<td>325</td>
</tr>
<tr>
<td>Equipment</td>
<td>20,921,669</td>
<td>18,029,916</td>
<td>2,900</td>
<td>753</td>
</tr>
<tr>
<td>Other</td>
<td>16,671,901</td>
<td>4,970,758</td>
<td></td>
<td>11,701,143</td>
</tr>
</tbody>
</table>

Total  [ ] 54,035,422

Schedule D (Form 990) 2017
### Part VII Investments - Other Securities

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

<table>
<thead>
<tr>
<th>(a) Description of security or category (including name of security)</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Financial derivatives</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) Closely-held equity interests</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3) Other:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(A) EQUITY LONG</td>
<td>15,514,796.</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(B) GLOBAL ASSET ALLOCATION</td>
<td>17,183,680.</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(C) FUND OF FUNDS - PRIVATE</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(D) EQUITY</td>
<td>1,480,977.</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(E) FUND OF FUNDS - CAPITAL</td>
<td>7,170,431.</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(F) PRIVATE EQUITY</td>
<td>13,476,838.</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(G) EMERGING MARKETS</td>
<td>8,053,435.</td>
<td>END-OF-YEAR MARKET VALUE</td>
</tr>
<tr>
<td>(H)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12)</strong> &gt;</td>
<td><strong>62,879,257.</strong></td>
<td></td>
</tr>
</tbody>
</table>

### Part VIII Investments - Program Related

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

<table>
<thead>
<tr>
<th>(a) Description of investment</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
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<tr>
<td>(4)</td>
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<td>(6)</td>
<td></td>
<td></td>
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<tr>
<td>(7)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13)</strong> &gt;</td>
<td><strong>62,879,257.</strong></td>
<td></td>
</tr>
</tbody>
</table>

### Part IX Other Assets

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

<table>
<thead>
<tr>
<th>(a) Description</th>
<th>(b) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) PERPETUAL TRUSTS</td>
<td>20,125,603.</td>
</tr>
<tr>
<td>(2) REMAINDER TRUSTS</td>
<td>1,001,536.</td>
</tr>
<tr>
<td>(3)</td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
</tr>
<tr>
<td><strong>Total. (Column (b) must equal Form 990, Part X, col. (B) line 15)</strong> &gt;</td>
<td><strong>21,127,139.</strong></td>
</tr>
</tbody>
</table>

### Part X Other Liabilities

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. | (a) Description of liability | (b) Book value |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Federal income taxes</td>
<td></td>
</tr>
<tr>
<td>(2) DEFERRED RENT</td>
<td>4,433,510.</td>
</tr>
<tr>
<td>(3) ANNUITY OBLIGATIONS</td>
<td>7,280,697.</td>
</tr>
<tr>
<td>(4) UNFUNDED PENSION OBLIGATIONS</td>
<td>5,921,977.</td>
</tr>
<tr>
<td>(5)</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
</tr>
<tr>
<td><strong>Total. (Column (b) must equal Form 990, Part X, col. (B) line 25)</strong> &gt;</td>
<td><strong>17,636,184.</strong></td>
</tr>
</tbody>
</table>

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII [X]
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS 13-1623829 Page 4

Schedule D (Form 990) 2017

**Part XI** Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue, gains, and other support per audited financial statements</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part VIII, line 12:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td>Not unrealized gains (losses) on investments</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>Donated services and use of facilities</td>
</tr>
<tr>
<td></td>
<td>c</td>
<td>Recoveries of prior year grants</td>
</tr>
<tr>
<td></td>
<td>d</td>
<td>Other (Describe in Part XIII.)</td>
</tr>
<tr>
<td></td>
<td>e</td>
<td>Add lines 2a through 2d</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part VIII, line 12, but not on line 1:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td>Investment expenses not included on Form 990, Part VIII, line 7b</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>Other (Describe in Part XIII.)</td>
</tr>
<tr>
<td></td>
<td>c</td>
<td>Add lines 4a and 4b</td>
</tr>
<tr>
<td>5</td>
<td>Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)</td>
<td></td>
</tr>
</tbody>
</table>

**Part XII** Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<p>| | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total expenses and losses per audited financial statements</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part IX, line 25:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td>Donated services and use of facilities</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>Prior year adjustments</td>
</tr>
<tr>
<td></td>
<td>c</td>
<td>Other losses</td>
</tr>
<tr>
<td></td>
<td>d</td>
<td>Other (Describe in Part XIII.)</td>
</tr>
<tr>
<td></td>
<td>e</td>
<td>Add lines 2a through 2d</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part IX, line 25, but not on line 1:</td>
<td></td>
</tr>
<tr>
<td></td>
<td>a</td>
<td>Investment expenses not included on Form 990, Part VIII, line 7b</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>Other (Describe in Part XIII.)</td>
</tr>
<tr>
<td></td>
<td>c</td>
<td>Add lines 4a and 4b</td>
</tr>
<tr>
<td>5</td>
<td>Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)</td>
<td></td>
</tr>
</tbody>
</table>

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

---

**PART V, LINE 4:**

THE ASPCA MAINTAINS AN ENDOWMENT FOR THE PURPOSE OF GENERATING INCOME TO SUPPORT THE ORGANIZATION’S CHARITABLE MISSION. THE ORGANIZATION’S ENDOWMENT CONSISTS OF A PORTFOLIO OF ACTIVELY MANAGED FUNDS ESTABLISHED TO PROVIDE BOTH A SOURCE OF OPERATING FUNDS AS WELL AS LONG-TERM FINANCIAL STABILITY. THE ENDOWMENT’S PRINCIPAL IS INTENDED TO BE LEFT UNTouched, WHILE THE INCOME GENERATED IS USED TO FUND ASPCA PROGRAMS. SOME OF THE ENDOWMENT FUNDS MAY HAVE PURPOSE RESTRICTIONS ON THE USE OF INCOME.

---

**PART X, LINE 2:**

THE ASPCA QUALIFIES AS A TAX-EPEEMPT ORGANIZATION UNDER SECTION 501(C)(3) OF THE IRC AND CORRESPONDING PROVISIONS OF THE STATE LAW IN NEW YORK.
STATE, AND IS NOT SUBJECT TO FEDERAL OR STATE INCOME TAXES. ACCORDINGLY,
DONORS ARE ENTITLED TO A CHARITABLE CONTRIBUTION DEDUCTION AS DEFINED IN
THE IRC. CONTINUED QUALIFICATION OF TAX-EXEMPT STATUS IS CONTINGENT UPON
COMPLIANCE WITH THE REQUIREMENTS OF THE IRC. THE ASPCA RECOGNIZES THE
EFFECTS OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY
THAN NOT OF BEING SUSTAINED. NO PROVISION FOR INCOME TAXES WAS REQUIRED
FOR 2017 OR 2016.

PART XI, LINE 2D - OTHER ADJUSTMENTS:
INVESTMENT EXPENSES -590,152.
# SCHEDULE F (Form 990)

**Statement of Activities Outside the United States**

**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

**Employer identification number** 13-1623829

## Part I  General Information on Activities Outside the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

### 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

- [ ] Yes
- [ ] No

### 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

### 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

<table>
<thead>
<tr>
<th>(a) Region</th>
<th>(b) Number of offices in the region</th>
<th>(c) Number of employees, agents, and independent contractors in the region</th>
<th>(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)</th>
<th>(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region</th>
<th>(f) Total expenditures for and investments in the region</th>
</tr>
</thead>
<tbody>
<tr>
<td>NORTH AMERICA - CANADA</td>
<td>0</td>
<td>2</td>
<td>PROGRAM SERVICES</td>
<td>COMMUNITY OUTREACH SVC</td>
<td>216,652</td>
</tr>
<tr>
<td>CENTRAL AMERICA AND THE CARIBBEAN</td>
<td>0</td>
<td>0</td>
<td>INVESTMENT</td>
<td></td>
<td>18,663,757</td>
</tr>
<tr>
<td>EUROPE (INCLUDING ICELAND &amp; GREENLAND)</td>
<td>0</td>
<td>0</td>
<td>INVESTMENT</td>
<td></td>
<td>4,447,936</td>
</tr>
</tbody>
</table>

### 3a Sub-total .................

| 0                                  | 2                                  | 23,328,345                                                               |

| b Total from continuation sheets to Part I | 0                                  | 0                                                                         |

| c Totals (add lines 3a and 3b) | 0                                  | 2                                  | 23,328,345                                                               |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2017
### Part II
Grants and Other Assistance to Organizations or Entities Outside the United States.

Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th></th>
<th>(a) Name of organization</th>
<th>(b) IRS code section and EIN (if applicable)</th>
<th>(c) Region</th>
<th>(d) Purpose of grant</th>
<th>(e) Amount of cash grant</th>
<th>(f) Manner of cash disbursement</th>
<th>(g) Amount of noncash assistance</th>
<th>(h) Description of noncash assistance</th>
<th>(i) Method of valuation (book, FMV, appraisal, other)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2. Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter.

3. Enter total number of other organizations or entities.
### Part III: Grants and Other Assistance to Individuals Outside the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Part III can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Region</th>
<th>(c) Number of recipients</th>
<th>(d) Amount of cash grant</th>
<th>(e) Manner of cash disbursement</th>
<th>(f) Amount of noncash assistance</th>
<th>(g) Description of noncash assistance</th>
<th>(h) Method of valuation (book, FMV, appraisal, other)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
1. Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ................................................................. Yes ☐ No ☒

2. Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990) ................................................................. Yes ☒ No ☐

3. Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471) ................................................................. Yes ☐ No ☒

4. Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8821, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8821) ................................................................. Yes ☐ No ☒

5. Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships (see Instructions for Form 8865) ................................................................. Yes ☐ No ☒

6. Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; don't file with Form 990) ................................................................. Yes ☒ No ☐
Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.
<table>
<thead>
<tr>
<th>(i) Name and address of individual or entity (fundraiser)</th>
<th>(ii) Activity</th>
<th>(iii) Did fundraiser have custody or control of contributions?</th>
<th>(iv) Gross receipts from activity</th>
<th>(v) Amount paid to (or retained by) fundraiser listed in col. (i)</th>
<th>(vi) Amount paid to (or retained by) organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>DONOR SERVICES GROUP, LLC - 1200 WILSHIRE BLVD #650, LOS ANGELES</td>
<td>DIRECT MARKETING</td>
<td>X</td>
<td>8,039,555</td>
<td>1,481,015</td>
<td>6,558,555</td>
</tr>
<tr>
<td>DIALOGUE DIRECT, INC., 545 8TH AVE, FL 21, NEW YORK, NY</td>
<td>DIRECT MARKETING</td>
<td>X</td>
<td>4,669,730</td>
<td>4,744,645</td>
<td>-74,916</td>
</tr>
<tr>
<td>SABA TELESERVICES, INC. - 5157 W 85TH CENTURY BLVD, SUITE 200</td>
<td>DIRECT MARKETING</td>
<td>X</td>
<td>2,141,198</td>
<td>168,121</td>
<td>1,973,074</td>
</tr>
<tr>
<td>ADFCO GROUP SUPPORT - 315 WEST 35TH STREET, 10TH FLOOR, NEW YORK, NY</td>
<td>DIRECT MARKETING</td>
<td>X</td>
<td>1,183,590</td>
<td>1,540,965</td>
<td>-357,375</td>
</tr>
<tr>
<td>UP FUNDRAISING - 550 QUEEN STREET EAST SUITE 145</td>
<td>DIRECT MARKETING</td>
<td>X</td>
<td>234,158</td>
<td>244,327</td>
<td>-10,170</td>
</tr>
</tbody>
</table>

Total: 16,268,431 8,179,075 8,089,365

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing:

AL, AK, AZ, AR, CA, CO, CT, DC, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY

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SEE PART IV FOR CONTINUATIONS

73291-09-13-17
### Part II: Fundraising Events

Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

<table>
<thead>
<tr>
<th>Revenue</th>
<th>BERGH BALL</th>
<th>HUMANE AWARD</th>
<th>1</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gross receipts</td>
<td>1,581,276.</td>
<td>473,885.</td>
<td>1,045,785.</td>
</tr>
<tr>
<td>Less: Contributions</td>
<td>786,932.</td>
<td>156,085.</td>
<td>874,280.</td>
</tr>
<tr>
<td>Gross income (line 1 minus line 2)</td>
<td>794,344.</td>
<td>317,800.</td>
<td>171,505.</td>
</tr>
</tbody>
</table>

**Direct Expenses**

| Rent/facility costs | 22,452. | 11,419. | 33,871. |
| Food and beverages  | 110,965. | 85,437. | 40,308. | 236,710. |
| Entertainment       | 36,443. | 1,000. | 37,443. |
| Other direct expenses | 74,566. | 96,115. | 301,777. | 472,458. |

**Part III: Gaming**

Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

<table>
<thead>
<tr>
<th>Revenue</th>
<th>(a) Bingo</th>
<th>(b) Pull tabs/progressive bingo</th>
<th>(c) Other gaming</th>
<th>(d) Total gaming (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gross revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Direct Expenses</th>
<th>(a) Bingo</th>
<th>(b) Pull tabs/progressive bingo</th>
<th>(c) Other gaming</th>
<th>(d) Total gaming (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash prizes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Noncash prizes</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rent/facility costs</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

6 Volunteer labor: No

7 Direct expense summary. Add lines 2 through 5 in column (d)...

8 Net gaming income summary. Subtract line 7 from line 1, column (d)...

9 Enter the state(s) in which the organization conducts gaming activities:
   a Is the organization licensed to conduct gaming activities in each of those states? Yes ___ No ___
   b If "No," explain:

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? Yes ___ No ___
   b If "Yes," explain:

Schedule G (Form 990 or 990-EZ) 2017
THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

11 Does the organization conduct gaming activities with nonmembers? □ Yes □ No

12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? □ Yes □ No

13 Indicate the percentage of gaming activity conducted in:
   a The organization's facility
   b An outside facility

   | 13a | % |
   | 13b | % |

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? □ Yes □ No

   b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ ______ and the amount of gaming revenue retained by the third party ▶ $ ______

   c If "Yes," enter name and address of the third party:

Name ▶

Address ▶

16 Gaming manager information:

Name ▶

Gaming manager compensation ▶ $ ______

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ independent contractor

17 Mandatory distributions:
   a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? □ Yes □ No

   b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $ ______

[Part IV] Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 5, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) NAME OF FUNDRAISER: DONOR SERVICES GROUP, LLC

(I) ADDRESS OF FUNDRAISER: 1200 WILSHIRE BLVD #650, LOS ANGELES, CA 90017

(I) NAME OF FUNDRAISER: DIALOGUEDIRECT, INC.

(I) ADDRESS OF FUNDRAISER: 589 8TH AVE, FL# 21, NEW YORK, NY 10018

(I) NAME OF FUNDRAISER: SD&A TELESERVICES, INC.

Schedule G (Form 990 or 990-EZ) 2017
(I) ADDRESS OF FUNDRAISER:
5757 WEST CENTURY BLVD, SUITE 300, LOS ANGELES, CA 90045

(I) NAME OF FUNDRAISER: APPCO GROUP SUPPORT

(I) ADDRESS OF FUNDRAISER:
315 WEST 36TH STREET, 10TH FLOOR, NEW YORK, NY 10018

(I) NAME OF FUNDRAISER: UP FUNDRAISING

(I) ADDRESS OF FUNDRAISER:
550 QUEEN STREET EAST SUITE 145, TORONTO, ONTARIO, CANADA M5A 1V2
Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

Go to www.irs.gov/Form990 for the latest information.

Name of the organization: THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer Identification number: 13-1623829

Part I General Information on Grants and Assistance

1. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees’ eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? [X] Yes [ ] No

2. Describe in Part IV the organization’s procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Domestic Organizations and Domestic Governments

<table>
<thead>
<tr>
<th>(a) Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC Section (if applicable)</th>
<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
<th>(f) Method of valuation (book, FMV, appraisal, other)</th>
<th>(g) Description of noncash assistance</th>
<th>(h) Purpose of grant or assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td>A FARE SHARE FOR YOUTH, INC. 210 WEST 101ST ST., PH 6 NEW YORK, NY 10026</td>
<td>27-3855519</td>
<td>501(c)(3)</td>
<td>13,000</td>
<td>0</td>
<td></td>
<td></td>
<td>ANTI-CRUELTY</td>
</tr>
<tr>
<td>A GREENER WOLD 8154 11TH STREET, SUITE 1 TERRABONNE, OR 97760</td>
<td>81-2116665</td>
<td>501(c)(3)</td>
<td>30,000</td>
<td>0</td>
<td></td>
<td></td>
<td>PARK ANIMALS</td>
</tr>
<tr>
<td>ACADIANA ANIMAL AID 142 UNN MEDICIN ROAD CARENCRO, LA 70520</td>
<td>23-7414331</td>
<td>501(c)(3)</td>
<td>12,500</td>
<td>0</td>
<td></td>
<td></td>
<td>LIVE RELEASE/RELOCATION</td>
</tr>
<tr>
<td>ACTORS &amp; OTHERS FOR ANIMALS 11523 BURBANK BOULEVARD NORTH HOLLYWOOD, CA 91601</td>
<td>55-2783139</td>
<td>501(c)(3)</td>
<td>10,000</td>
<td>0</td>
<td></td>
<td></td>
<td>SAFETY NET/SURRENDER</td>
</tr>
<tr>
<td>ADA HOME KENT MEMORIAL SHELTER INC., 125 RIVER RD., CALVERTON, NY 11933</td>
<td>23-7807068</td>
<td>501(c)(3)</td>
<td>32,505</td>
<td>0</td>
<td></td>
<td></td>
<td>SPAY/NEUTER</td>
</tr>
<tr>
<td>ADAMS COUNTY HUMANE SOCIETY INC 1382 LITH AVENUE FRIENDSHIP WI 53934 FRIENDSHIP, WI 53934</td>
<td>23-7381869</td>
<td>501(c)(3)</td>
<td>30,000</td>
<td>0</td>
<td></td>
<td></td>
<td>LIVE RELEASE</td>
</tr>
</tbody>
</table>

2. Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 193

3. Enter total number of other organizations listed in the line 1 table 214

For Paperwork Reduction Act Notice, see the instructions for Form 990.
<table>
<thead>
<tr>
<th>Name and address of organization or government</th>
<th>EIN</th>
<th>IRC section if applicable</th>
<th>Amount of cash grant</th>
<th>Amount of non-cash assistance</th>
<th>Method of valuation</th>
<th>Description of non-cash assistance</th>
<th>Purpose of grant or assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td>ALASKA SOCIETY FOR PREVENTION OF CRUELTY TO ANIMALS - 549 W INTERNATIONAL AIRPORT RD B2 - ANCHORAGE, AK 99510</td>
<td>92-0068910</td>
<td>501(C)3</td>
<td>7,300</td>
<td>0</td>
<td></td>
<td>LIVE RELEASE</td>
<td></td>
</tr>
<tr>
<td>ALL ABOUT ANIMALS RESCUE</td>
<td>23451 PINEWOOD STREET</td>
<td>WARRREN, MI 48091</td>
<td>20-3006686</td>
<td>501(C)3</td>
<td>89,300.</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>ALLIANCE COUNTY SOCIETY FOR PREVENTION OF CRUELTY TO ANIMALS - P.O. BOX 341, 1374 STATE ROUTE 19S - WELLSVILLE, NY 14895</td>
<td>23-7379932</td>
<td>501(C)3</td>
<td>204,932</td>
<td>0</td>
<td></td>
<td></td>
<td>SPAY/NEUTER</td>
</tr>
<tr>
<td>ALLEN COUNTY SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS - 4914 S. HASNA ST. - FORT WAYNE, IN 46806</td>
<td>35-6042135</td>
<td>501(C)3</td>
<td>20,000</td>
<td>0</td>
<td></td>
<td></td>
<td>LIVE RELEASE</td>
</tr>
<tr>
<td>ALLEY CAT ADVOCATES INC</td>
<td>3144 HARDSTOSE RD., #204</td>
<td>LOUISVILLE, KY 40205</td>
<td>61-1343210</td>
<td>501(C)3</td>
<td>41,900</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>ALLIANCE FOR CONTRACEPTION IN CATS AND DOGS - 11145 NW OLD CORNELIUS PASS ROAD - PORTLAND, OR 97231</td>
<td>41-2185841</td>
<td>501(C)3</td>
<td>25,000</td>
<td>0</td>
<td></td>
<td></td>
<td>SPAY/NEUTER</td>
</tr>
<tr>
<td>AMARILLO-PANHANDLE HUMANE SOCIETY INC - BOX 30102 - AMARILLO, TX 79120</td>
<td>75-1311215</td>
<td>501(C)3</td>
<td>50,000</td>
<td>0</td>
<td></td>
<td></td>
<td>SPAY/NEUTER</td>
</tr>
<tr>
<td>AMIGOS DE LOS ANIMALES INC</td>
<td>HC 2 BOX 7622</td>
<td>LOTERA, PR 00772</td>
<td>66-0673931</td>
<td>501(C)3</td>
<td>10,000</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>ANIMAL ALLIES HUMANE SOCIETY INC</td>
<td>4406 AIRPORT ROAD</td>
<td>DULUTH, MN 55811</td>
<td>41-0917362</td>
<td>501(C)3</td>
<td>12,500</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>(a) Name and address of organization or government</td>
<td>(b) EIN</td>
<td>(c) IRC section if applicable</td>
<td>(d) Amount of cash grant</td>
<td>(e) Amount of non-cash assistance</td>
<td>(f) Method of valuation (book, FMV, appraisal, other)</td>
<td>(g) Description of non-cash assistance</td>
<td>(h) Purpose of grant or assistance</td>
</tr>
<tr>
<td>-------------------------------------------------</td>
<td>--------</td>
<td>-----------------------------</td>
<td>-------------------------</td>
<td>----------------------------------</td>
<td>-----------------------------------------------</td>
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Schedule I (Form 990)
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Schedule I (Form 990)

732241
04-01-17
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### THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

#### Schedule I (Form 990)

**Part II: Continuation of Grants and Other Assistance to Governments and Organizations in the United States**

<table>
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<tr>
<th>(a) Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC section if applicable</th>
<th>(d) Amount of cash grant</th>
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<th>(h) Purpose of grant or assistance</th>
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### THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

#### Part II: Continuation of Grants and Other Assistance to Governments and Organizations in the United States

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Schedule I (Form 990)
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<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
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Schedule I (Form 990)
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</tr>
<tr>
<td>1640 HANSHAW ROAD</td>
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<tr>
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<tr>
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<td>23-7449686</td>
<td>501(c)(3)</td>
<td>19,000</td>
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<td>LIVE RELEASE</td>
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<tr>
<td>735 8TH ST NE, FO BOX 701</td>
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<tr>
<td>ST. CLOUD, MN 56302</td>
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<tr>
<td>TRUSTEES OF TUFTS COLLEGE</td>
<td>04-2103634</td>
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<td>COLLEGE OF VETERINARY MEDICINE,</td>
<td>59-0974739</td>
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<td>75,834</td>
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<td>UNIVERSITY OF FLORIDA - 2015 SW</td>
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<td>UTLANDS PEAK SANCTUARY</td>
<td>46-1798261</td>
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<td>8,229</td>
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<td>VALLEY VIEW RANCH EQUINE RESCUE</td>
<td>26-3832985</td>
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<tr>
<td>(a) Name and address of organization or government</td>
<td>(b) EIN</td>
<td>(c) IRC section if applicable</td>
<td>(d) Amount of cash grant</td>
<td>(e) Amount of non-cash assistance</td>
<td>(f) Method of valuation (book, FMV, appraisal, other)</td>
<td>(g) Description of non-cash assistance</td>
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<tr>
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<td>VOLUNTEERS FOR ANIMALS</td>
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<td>Wadena County Humane Society</td>
<td>41-1878213</td>
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<td>Wags and Walks</td>
<td>45-3749303</td>
<td>501(c)3</td>
<td>159,000.</td>
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<td>WASHINGTON COUNTY HUMANE SOCIETY INC - 3655 HIGHWAY 60 - SLINGER, WI 53086</td>
<td>23-7009054</td>
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<td>9,200.</td>
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<tr>
<td>WATCOMAN COUNTY HUMANE SOCIETY</td>
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<td>PO BOX 346, 1109 WESTON AVE NORTH ST. JAMES, MN 56081</td>
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<td>WENATCHEE VALLEY HUMANE SOCIETY INC - P.O. BOX 55 - WENATCHEE, WA 98807</td>
<td>91-0838299</td>
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<td>70,900.</td>
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<td>ENSUS RESCUES LOW PROFIT LLC</td>
<td>46-1540493</td>
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<td>7,500.</td>
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<td>DISASTER/EMERGENCY</td>
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<td>2520 NAPOLEON AVENUE</td>
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<td>NEW ORLEANS, LA 70115</td>
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</tbody>
</table>

Schedule I (Form 990)
<table>
<thead>
<tr>
<th>(a) Name and address of organization or government</th>
<th>(b) EIN</th>
<th>(c) IRC section if applicable</th>
<th>(d) Amount of cash grant</th>
<th>(e) Amount of non-cash assistance</th>
<th>(f) Method of valuation (book, FMV, appraisal, other)</th>
<th>(g) Description of non-cash assistance</th>
<th>(h) Purpose of grant or assistance</th>
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<tbody>
<tr>
<td>ZIGGY AND FRIENDS CAT RESCUE</td>
<td>46-3128166</td>
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<td>20,000</td>
<td>0</td>
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<td>RELOCATION</td>
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### Part III: Grants and Other Assistance to Domestic Individuals

Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

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<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Number of recipients</th>
<th>(c) Amount of cash grant</th>
<th>(d) Amount of non-cash assistance</th>
<th>(e) Method of valuation (book, FMV, appraisal, other)</th>
<th>(f) Description of noncash assistance</th>
</tr>
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</tbody>
</table>

### Part IV: Supplemental Information

Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

**PART I, LINE 2:**

ASPCA GRANTS PROVIDE SUPPORT TO A VARIETY OF U.S. BASED NON-PROFIT OR MUNICIPAL ANIMAL WELFARE ORGANIZATIONS THROUGH CASH GRANTS, SPONSORSHIPS, SCHOLARSHIPS AND TRAINING. THE ASPCA DOES NOT ACCEPT UNSOLICITED GRANT PROPOSALS BY MAIL, ELECTRONICALLY, OR IN ANY FORMAT OTHER THAN BY SUBMITTING AN APPLICATION THROUGH ITS WEBSITE.

THE ASPCA CAREFULLY CONSIDERS A NUMBER OF FACTORS IN ITS GRANT REVIEW PROCESS. AMONG THOSE FACTORS IS AN ORGANIZATION'S ABILITY TO DEMONSTRATE
THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS

Part IV Supplemental Information

ITS STABILITY, PROFESSIONALISM AND POSITIVE IMPACT ON THE LIVES OF AT RISK
ANIMALS. ORGANIZATIONS THAT CAN DEMONSTRATE THE FOLLOWING QUALIFICATIONS
IN THEIR APPLICATION ARE IN THE BEST POSITION TO RECEIVE FUNDING FROM THE
ASPCA IN A TIMELY MANNER:

- INNOVATIVE PROGRAMS THAT MAKE A SIGNIFICANT AND POSITIVE IMPACT ON THE
LIVES OF ANIMALS AT RISK OF SUFFERING OR HOMELESSNESS
- COLLABORATION WITH OTHER ANIMAL WELFARE ORGANIZATIONS
- UP-TO-DATE AND ACCURATE WEBSITE INCLUDING REPORTS ON THE ORGANIZATION'S
ACTIVITIES AND STATISTICS
- ACTIVE FUNDRAISING EFFORTS
- ACCESS TO OTHER SOURCES OF FUNDING

THE ASPCA’S FUNDING PRIORITIES INCLUDE GRANTS FOR THE FOLLOWING PURPOSES:
- ANTI-CRUELTY EFFORTS
- EMERGENCY AND DISASTER RESPONSE AND PREPAREDNESS
- EQUINE PROJECTS
- FARM ANIMAL WELFARE
- ANIMAL RELOCATION INITIATIVES
- ANIMAL SHELTERING AND SPAY/NEUTER PROGRAMS
- ANIMAL WELFARE SPONSORSHIPS AND SCHOLARSHIPS

THE ASPCA CONDUCTS REGULAR REVIEW OF ITS GRANT APPLICANTS’ NON-PROFIT
STATUS OR STANDING IN THE COMMUNITY SERVED. GRANTEES ARE REQUIRED TO
REPORT BACK TO THE ASPCA WITH RESPECT TO THE USE AND IMPACT OF THE GRANT
FUNDS PROVIDED.
Compensation Information
For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Name of the organization: THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS
Employer Identification Number: 13-1623829

Part I: Questions Regarding Compensation

1a. Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990.
   - [ ] First-class or charter travel
   - [ ] Travel for companions
   - [ ] Tax indemnification and gross-up payments
   - [ ] Discretionary spending account
   - [ ] Housing allowance or residence for personal use
   - [ ] Payments for business use of personal residence
   - [ ] Health or social club dues or initiation fees
   - [ ] Personal services (such as, maid, chauffeur, chef)

1b. If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

2. Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?

3. Indicate which, if any, of the following filing organization used to establish the compensation of the organization's CEO/Executive Director, regarding the items checked on line 1a.
   - [X] Compensation committee
   - [ ] Independent compensation consultant
   - [ ] Compensation survey or study
   - [ ] Approval by the board or compensation committee

4. During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:
   - [X] Receive a severance payment or change-of-control payment?
   - [X] Participate in, or receive payment from, a supplemental nonqualified retirement plan?
   - [X] Participate in, or receive payment from, an equity-based compensation arrangement?

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.

5. For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:
   - [X] The organization?
   - [X] Any related organization?

6. For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:
   - [X] The organization?
   - [X] Any related organization?

7. For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III.

8. Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4956-4(c)(3)? If "Yes," describe in Part III.

9. If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4956-6(c)?
**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

**Schedule J (Form 990) 2017**

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.**

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.  

**Note:** The sum of columns (B)-(H) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) MATTHEW BERSHADKER, PRES &amp; CEO INCL MERIT ADJ/BONUS '15-'17; SEE PG7</td>
</tr>
<tr>
<td>(i) 527,062. 276,500. 810. 21,600. 26,259. 852,231. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
</tr>
<tr>
<td>(2) TODD HENDRICKS SVP, DEVELOPMENT</td>
</tr>
<tr>
<td>(i) 307,141. 25,000. 1,242. 21,600. 30,286. 385,269. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(3) ELIZABETH ESTROFF SVP, DEVELOPMENT</td>
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<tr>
<td>(i) 299,978. 17,500. 810. 21,600. 31,308. 371,196. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(4) SARAH LEVIN GOODSTINE SVP, OPERATIONS &amp; STRATEGY</td>
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<tr>
<td>(i) 291,826. 10,000. 486. 21,600. 35,090. 359,002. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(5) JULIE MORRIS SVP, COMMUNITY OUTREACH</td>
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<tr>
<td>(i) 292,879. 0. 3,564. 21,600. 13,207. 331,250. 0.</td>
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<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(6) STACY WOLF SVP, ANTI-CRUELTY GROUP POLICY</td>
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<td>(i) 267,553. 0. 1,032. 18,230. 10,735. 297,550. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(7) BERT TROUGHTON SVP, ANIMAL HEALTH SERVICE/SHELTER</td>
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<tr>
<td>(i) 250,742. 0. 10,996. 19,766. 23,601. 305,105. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(8) BEVERLY JONES SVP, CHIEF LEGAL OFFICER</td>
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<tr>
<td>(i) 235,888. 0. 517. 19,197. 28,160. 283,762. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(9) STEPHEN J. HUSKO SVP, CAPITOL PROJECTS &amp; FACILITIES</td>
</tr>
<tr>
<td>(i) 250,405. 6,159. 3,564. 21,024. 23,395. 304,547. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(10) J'MAI GAYLE DIRECTOR, SURGERY</td>
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<tr>
<td>(i) 248,782. 0. 687. 18,374. 32,795. 300,638. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<td>(11) NANCY PERRY SVP, GOVERNMENT RELATIONS</td>
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<td>(i) 240,141. 0. 1,205. 19,061. 13,790. 274,197. 0.</td>
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<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<td>(12) GAIL EICHHOLZ SVP, ADoptions &amp; NYC NO-KILL</td>
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<td>(i) 230,383. 0. 509. 18,681. 11,994. 261,567. 0.</td>
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<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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<tr>
<td>(13) RANDALL LOCKWOOD SVP, FORENSIC SCIENCE &amp; ANTI-CRUELTY</td>
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<td>(i) 222,101. 0. 6,181. 18,183. 22,609. 269,074. 0.</td>
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<tr>
<td>(ii) 0. 0. 0. 0. 0. 0. 0.</td>
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Schedule J (Form 990) 2017
PART I, LINE 7:

THE FOLLOWING EMPLOYEES RECEIVED DISCRETIONARY, NON-FIXED PAYMENTS THAT ARE
REPORTED IN SCHEDULE J, PART II, COLUMN B(II). THE DISCRETIONARY NON-FIXED
PAYMENTS ARE DETERMINED BASED ON THE PERFORMANCE EVALUATION PROCESS:

MATTHEW BERSHADKER $276,500

TODD HENDRICKS $25,000

ELIZABETH ESTROFF $17,500

SARAH LEVIN GOODSTONE $10,000

STEPHEN J. MUSSO $6,159

SCHEDULE J, PART II, COLUMN B(II)

TOTAL COMPENSATION OF THE PRESIDENT OF THE ASPCA INCLUDES MERIT
ADJUSTMENTS AND PERFORMANCE RELATED BONUSES PAID IN CALENDAR YEAR 2017,
BUT APPLIES TO PERFORMANCE FROM 2015 THROUGH 2017 DUE TO CHANGE IN
REVIEW DATES.
Noncash Contributions

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<th>Part I</th>
<th>Types of Property</th>
<th>(a) Check if applicable</th>
<th>(b) Number of contributions or items contributed</th>
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<th>(d) Method of determining noncash contribution amounts</th>
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<td>Art - Historical treasures</td>
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<td>Art - Fractional interests</td>
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<td>Clothing and household goods</td>
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<tr>
<td>7</td>
<td>Boats and planes</td>
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<td>8</td>
<td>Intellectual property</td>
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<td>9</td>
<td>Securities - Publicly traded</td>
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<td>3,043,038. QUOTE PRICE</td>
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<td>10</td>
<td>Securities - Closely held stock</td>
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<td>11</td>
<td>Securities - Partnership, LLC, or trust interests</td>
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<td>12</td>
<td>Securities - Miscellaneous</td>
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<td>Qualified conservation contribution - Historic structures</td>
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<td>14</td>
<td>Qualified conservation contribution - Other</td>
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<td>Real estate - Residential</td>
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<td>Real estate - Commercial</td>
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<td>17</td>
<td>Real estate - Other</td>
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<td>Collectibles</td>
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<td>Food inventory</td>
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<td>20</td>
<td>Drugs and medical supplies</td>
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<td>21</td>
<td>Taxidermy</td>
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<td>Historical artifacts</td>
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<td>Scientific specimens</td>
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<td>Archeological artifacts</td>
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<td>Other ▶ (GIFTS IN KIND)</td>
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<td>Other ▶ ( )</td>
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<td>28</td>
<td>Other ▶ ( )</td>
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29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement: 29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn't required to be used for exempt purposes for the entire holding period? 
   a) If "Yes," describe the arrangement in Part II. 
      30a X
   b) If "Yes," describe the arrangement in Part II.
   31 X

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? 
   a) If "Yes," describe the arrangement in Part II. 
      32a X
   b) If "Yes," describe the arrangement in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990.

Schedule M (Form 990) 2017
Part II  Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.
FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE ASPCA WAS FOUNDED ON THE BELIEF THAT ANIMALS ARE ENTITLED TO KIND AND RESPECTFUL TREATMENT AT THE HANDS OF HUMANS AND MUST BE PROTECTED UNDER THE LAW. THE ASPCA'S MISSION, AS STATED BY FOUNDER HENRY BERGH IN 1866, IS "TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS THROUGHOUT THE UNITED STATES."

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

ANIMAL HEALTH SERVICES -

ANIMAL HEALTH SERVICES (AHS) IS COMPRISED OF RESOURCES AND PROGRAMS THAT SUPPORT THE WELFARE OF ANIMALS AND HELP PET OWNERS CARE FOR THEIR PETS. AHS INCLUDES THE ASPCA ANIMAL HOSPITAL, THE ASPCA ANIMAL POISON CONTROL CENTER, THE ASPCA SPAY / NEUTER ALLIANCE (FORMERLY HUMANE ALLIANCE) BASED IN ASHEVILLE, NORTH CAROLINA, AND COMMUNITY MEDICINE IN NEW YORK CITY, LOS ANGELES, AND IN MIAMI.

THE ASPCA ANIMAL POISON CONTROL CENTER (APCC) PROVIDES EMERGENCY ASSISTANCE TO PET OWNERS AND VETERINARIANS TO HELP ANIMALS WHO HAVE BEEN EXPOSED TO POTENTIALLY HAZARDOUS SUBSTANCES. THE TEAM ANSWERED OVER 288,000 CALLS IN 2017, A 15% INCREASE OVER 2016. EACH YEAR, APCC CONTINUES TO HELP MORE ANIMALS. APCC STAFF ALSO PROVIDED 44 HOURS OF CONTINUING EDUCATION FOR VETERINARY PROFESSIONALS AND PROVIDES POISON-PREVENTION TIPS AND EDUCATIONAL INFORMATION TO PET OWNERS.

THE ASPCA ANIMAL HOSPITAL (AAH) OPERATES TO RELIEVE SUFFERING AND PROVIDE LIFESAVING CARE FOR ANIMALS WHO HAVE BEEN VICTIMS OF CRUELTY.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule O (Form 990 or 990-EZ) (2017)
AND NEGLECT, AND FOR PETS WHOSE OWNERS ARE EXPERIENCING FINANCIAL
CHALLENGES. IN 2017, AAH TREATED MORE THAN 10,250 PUBLIC CLIENTS, AND
PROVIDED CARE VIA THE AAH ONE ASPCA FUND TO 5,062 ANIMALS WHOSE OWNERS
WERE UNABLE TO AFFORD VETERINARY CARE. IN ADDITION, AAH PROVIDED
MEDICAL CARE TO 652 ANIMALS FROM NYPD CASES, TO 1,808 ADOPTION CENTER
ANIMALS, AND TO 171 ANIMALS RESCUED BY ASPCA’S COMMUNITY ENGAGEMENT.
SINCE OPENING A STATIONARY SPAY/NEUTER CLINIC IN SOUTH LOS ANGELES IN
2014, TWO MOBILE SPAY/NEUTER CLINICS AND ONE MOBILE VETERINARY UNIT
WERE ADDED, THE LATTER DEDICATED TO PRIMARY PET CARE (PPC) FOR OWNERS
WITH LITTLE ACCESS TO VETERINARY SERVICES. IN 2017, THESE FACILITIES
PROVIDED WELLNESS EXAMS FOR OVER 1,000 DOGS AND CATS BEING RELOCATED BY
THE ASPCA FROM SHELTERS IN THE LOS ANGELES AREA TO PARTS OF THE COUNTRY
WHERE THEY HAD A BETTER CHANCE OF FINDING NEW HOMES. COMMUNITY MEDICINE
PROVIDED VACCINATIONS AND OTHER SERVICES FOR KITTENS IN THE PEE WEE
DIVERSION PROGRAM. THESE KITTENS WERE TRANSFERRED FROM THE SHELTERS TO
FOSTER HOMES, WHERE THEY RECEIVED LOVING CARE UNTIL THEY WERE OLD
ENOUGH TO BE SPAYED OR NEUTERED AND ADOPTED.

THE ASPCA SPAY/NEUTER ALLIANCE (ASNA), FORMERLY HUMANE ALLIANCE,
CONTINUED TO INCREASE CAPACITY FOR SPAY/NEUTER SURGERIES ACROSS THE
COUNTRY. IN 2017, ASNA PERFORMED 26,438 SURGERIES AT ITS CLINIC IN
ASHEVILLE, NC, WORKING WITH MORE THAN 50 ANIMAL WELFARE GROUPS ACROSS
THE WESTERN PART OF THE STATE. ASNA ALSO TAUGHT SPAY/NEUTER BEST
PRACTICES TO MORE THAN 950 VETERINARIANS, VETERINARY EXTERNS AND
PROFESSIONALS, INCLUDING STAFF FROM SPAY/NEUTER CLINICS NATIONWIDE.
CUMULATIVELY, OVER THE COURSE OF 2017, THE NETWORK OF SPAY/NEUTER
CLINICS FOSTERED AND TRAINED BY ASNA STERILIZED OVER 1.1 MILLION
COMPANION ANIMALS ACROSS THE COUNTRY.
THE ASPCA'S COMMUNITY MEDICINE (CM) DEPARTMENT OFFERS SPAY/NEUTER
SERVICES AS WELL AS DOOR-TO-DOOR ADVOCACY, FULLY SUBSIDIZED SERVICES
AND MOBILE CLINICS IN KEY AREAS, OFFERING SERVICES LIKE VACCINATIONS,
PREVENTATIVE MEDICATIONS, AND OTHER TREATMENTS. IT ALSO MAKES REFERRALS
TO PARTNERS FOR MORE SPECIALIZED CARE IN AN EFFORT TO PREVENT
RELINQUENCY AND FOCUS ON PET RETENTION, PLAYING AN IMPORTANT ROLE IN
THE ORGANIZATION'S WORK TO PROTECT ANIMALS AND REDUCE OVERPOPULATION.

IN THE SOUTH BRONX AND BROOKLYN'S EAST NEW YORK NEIGHBORHOOD, TWO NEW
MOBILE CLINICS PROVIDED PRIMARY PET CARE (PPC) FOR OWNERS WITH LITTLE
ACCESS TO VETERINARY SERVICES. IN 2017, THE ASPCA PERFORMED 46,572
SPAY/NEUTER SURGERIES AND TREATED 3,848 PRIMARY PET CARE PATIENTS IN
NYC.

IN LA, THE ORGANIZATION TREATED MORE THAN 4,000 PRIMARY PET CARE
PATIENTS AND PERFORMED 16,758 SPAY/NEUTER SURGERIES.

IN MIAMI, CM DEVELOPED PLANS TO BUILD A SPAY/NEUTER AND PRIMARY PET
CARE CLINIC IN COLLABORATION WITH MIAMI-DADE ANIMAL SERVICES. THE
CLINIC IS SCHEDULED TO OPEN IN 2019.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:
PUBLIC EDUCATION AND COMMUNICATIONS
CRITICALLY IMPORTANT TO THE ASPCA'S MISSION IS EDUCATING THE PUBLIC AND
BRINGING AWARENESS TO ITS PROGRAMS, ILLUSTRATING HOW PEOPLE AND
ORGANIZATIONS CAN GET INVOLVED. IN 2017, THE ASPCA HAD MORE THAN 50.8
MILLION PAGE VIEWS ON ASPCA.ORG, BRINGING AWARENESS TO SUPPORTERS AND
THE PUBLIC AT LARGE ON ACTION THEY CAN TAKE ON BEHALF OF ANIMALS.

IN 2017, THE ASPCA DISTRIBUTED MORE THAN 1,800,000 COPIES OF ASPCA ACTION, ITS MEMBER MAGAZINE, AND 15,000 COPIES, COMBINED PRINT AND DIGITAL, OF ITS ANNUAL REPORT. ASPCA ACTION INCLUDES INFORMATION ON ASPCA EVENTS AND PROGRAMS AS WELL AS PET CARE BEHAVIOR AND ADVICE. LEGISLATIVE AND ANIMAL ADVOCACY NEWS KEEPS MEMBERS UP-TO-DATE ON CURRENT AND FUTURE INITIATIVES AND HOW THEY CAN HELP ENSURE THAT ANIMALS RECEIVE NECESSARY PROTECTION UNDER THE LAW. THIS MAGAZINE IS AVAILABLE ON THE ASPCA WEBSITE, WHICH HAS MANY ADDITIONAL EDUCATIONAL RESOURCES FOR THE PUBLIC.

THE ASPCA WAS A LEADING VOICE IN NATIONAL AND LOCAL MEDIA ON ANIMAL CRUELTY AND WELFARE ISSUES IN 2017. THIS MEDIA COVERAGE—ALONG WITH THE VOICES OF NOTABLE CELEBRITY INFLUENCERS—BROUGHT CRITICAL EXPOSURE TO THE NEEDS OF VULNERABLE AND VICTIMIZED ANIMALS AND THE ASPCA'S
COMMITMENT TO THEIR RESCUE AND PROTECTION. HIGH-PROFILE MEDIA OUTLETS
FEATURING THE WORK OF THE ASPCA POSITIONED THEIR EXPERTS ON CRITICALLY
IMPORTANT TOPICS INCLUDING BLOOD SPORTS, DISASTER RELIEF, THE ASPCA-NEW
YORK POLICE DEPARTMENT (NYPD) PARTNERSHIP, ANIMAL HOMELESSNESS AND
SHELTERING, BETTER LEGAL PROTECTIONS FOR COMPANION AND FARM ANIMALS.

IN TOTAL, THE ASPCA GENERATED MORE THAN 19,000 FAVORABLE MEDIA
PLACEMENTS ACROSS TRADITIONAL MEDIA OUTLETS AND BLOGS IN 2017. MAJOR
MEDIA OUTLETS COVERING ASPCA CAMPAIGNS AND EVENTS INCLUDED: GOOD
MORNING AMERICA, NBC: MEGYN KELLY TODAY, FOX AND FRIENDS, THE DR. OZ
SHOW, NBC: ON THE MONEY, THE NEW YORK TIMES, THE WASHINGTON POST, CNN,
THE ASSOCIATED PRESS AND USA TODAY. THE ASPCA ALSO ENGAGED MANY
CELEBRITY INFLUENCERS WHO LENT THEIR VOICES TO HIGHLIGHT THE
ORGANIZATION’S WORK.

IN JANUARY 2017, THE ASPCA ESTABLISHED A TEMPORARY SHELTER TO
QUARANTINE APPROXIMATELY 500 CATS ORIGINATING FROM ANIMAL CARE CENTERS
OF NYC SHELTERS IN ORDER TO ABATE AN OUTBREAK OF THE H7N2 VIRUS. NEWS
OF THE ASPCA’S INVOLVEMENT IN PREVENTING THE SPREAD OF THE DISEASE AND
TREATING THOSE ANIMALS ALREADY INFECTED WAS REPORTED BY BOTH LOCAL AND
NATIONAL MEDIA OUTLETS, INCLUDING THE ASSOCIATED PRESS, REUTERS, NPR,
THE NEW YORK TIMES, THE NEW YORK POST, AM NEW YORK, AND WABC. IN
FEBRUARY, THE ASPCA ANNOUNCED THAT SOME OF THE CATS WERE READY FOR
ADOPTION, PROMPTING ANOTHER ROUND OF MEDIA COVERAGE HIGHLIGHTING THE
ASPCA’S EFFORTS AROUND THE OUTBREAK. MEDIA OUTLETS REPORTING ON THE
ADOPTION OF QUARANTINED CATS INCLUDED THE NEW YORK DAILY NEWS, NEW YORK
POST, NY1, AND AM NEW YORK.
IN NEW YORK CITY, THE ASPCA'S PARTNERSHIP WITH THE NYPD RESULTED IN
MORE THAN 120 ANIMAL CRUELTY ARRESTS AND MORE THAN 650 ANIMAL VICTIMS
TREATED BY THE ASPCA. STORIES OF THAT SUCCESS AND VARIOUS FACETS OF OUR
NYC ANTI-CRUELTY WORK WERE COVERED BY A VARIETY OF NEW YORK CITY MEDIA
OUTLETS. FOR EXAMPLE, THE COMPPELLING STORY OF BLUE, A DEAF DOG THE
ASPCA HAS BEEN TRAINING FOR MONTHS AFTER BEING RESCUED FROM FREEZING
WEATHER, HIGHLIGHTED THE WORK OF THE ASPCA'S CANINE ANNEX FOR RECOVERY
AND ENRICHMENT (CARE). BOTH NATIONAL AND LOCAL OUTLETS REPORTED ON
BLUE'S AMAZING STORY, INCLUDING PEOPLE, AOL, MSN, THE NEW YORK POST,
WNYW, AND WTTG. STORIES ABOUT OTHER ASPCA-NYPD CASES APPEARED IN THE
NEW YORK POST, THE NEW YORK DAILY NEWS, NY1, WNBC, AOL, THE DAILY MAIL,
PEOPLE, AND GOTHAMIST.

IN 2017, THE ASPCA'S VETERINARY FORENSICS TEAM WAS HIGHLIGHTED IN AN
ARTICLE FOR THE NEW YORK TIMES, POSITIONING THE ORGANIZATION AS EXPERT
ON ANIMAL CRUELTY ISSUES AND VETERINARY FORENSICS AND EXPLAINING THE
WORK REQUIRED TO GATHER EVIDENCE THAT HELPS PUT ANIMAL CRUELTY
PERPETRATORS BEHIND BARS. THIS PROGRAM WAS ALSO FEATURED IN FORENSIC
MAGAZINE AND SCIENTIFIC AMERICAN.

DURING A VERY DESTRUCTIVE 2017 HURRICANE SEASON, THE ASPCA WAS DIRECTLY
INVOLVED IN DISASTER RELIEF EFFORTS ASSOCIATED WITH HURRICANE HARVEY IN
TEXAS, HURRICANE IRMA IN FLORIDA, AND HURRICANE MARIA IN THE U.S.
VIRGIN ISLANDS. FROM WATER RESCUES TO TRANSPORTING ANIMALS OUT OF
HARM'S WAY TO ESTABLISHING EMERGENCY SHELTERS, THE ASPCA'S DISASTER
RESPONSE EFFORTS WERE REPORTED NATIONALLY AND REGIONALLY ACROSS THE
U.S. MEDIA OUTLETS COVERING THE ASPCA'S EFFORTS INCLUDE: THE ASSOCIATED
PRESS, USA TODAY, WASHINGTON POST, NATIONAL GEOGRAPHIC, TODAY, AND FOX
NEWS, THE BOSTON GLOBE, THE HERALD TRIBUNE, THE DEMOCRAT & CHRONICLE, 
THE POST & COURIER, THE BRADENTON HERALD, WNYW, ST. THOMAS SOURCE, 
WCBD, AND TC PALM, CNBC, NATIONAL GEOGRAPHIC, WBTV, WIS, AND THE 
SALISBURY POST, YAHOO, MASHABLE, ESQUIRE, THE MIAMI HERALD, WAGT, 
GREENVILLE NEWS, WXIA, WSPA, THE TIMES PICA YUNE, AND THE HERALD 
JOURNAL.

THE ASPCA ASSISTED OAKLAND ANIMAL SERVICES IN THEIR RELIEF EFFORTS 
FOLLOWING WILDFIRES IN CALIFORNIA. THE ASPCA'S RELOCATION TEAM 
COLLABORATED WITH ALASKA AIRLINE TO RELOCATE 11 DISPLACED CATS TO 
SEATTLE FOR ADOPTION. THE TRANSPORT WAS REPORTED BY REGIONAL MEDIA 
OUTLETS INCLUDING KING AND KGO AND POSITIONED THE ASPCA AS AN EXPERT ON 
DISASTER RELIEF AND PREPAREDNESS.

THIS YEAR, THE ASPCA HAD ANOTHER SUCCESSFUL NATIONAL DOG FIGHTING 
AWARENESS DAY (NDFAD), AN ANNUAL CAMPAIGN DESIGNED TO EDUCATE THE 
PUBLIC ON THE PREVALENCE AND BRUTALITY OF DOG FIGHTING IN THE U.S. AND 
ENCOURAGE ANIMAL LOVERS NATIONWIDE TO TAKE ACTION AGAINST IT. THE 
#GETTOUGH ANTI-DOG FIGHTING CAMPAIGN AND OTHER ELEMENTS OF NDFAD 
generated 110 media placements in outlets including TODAY, PEOPLE PETS, 
NY DAILY NEWS, MSN, US WEEKLY, HUFFINGTON POST, BUZZFEED, ESQUIRE, 
YAHOO, QUAD-CITY TIMES, AND KWQC-TV. THE CAMPAIGN ALSO RECEIVED 
ENORMOUS SOCIAL MEDIA SUPPORT, GENERATING POSTS FROM TOP INFLUENCERS 
INCLUDING UPWORTHY, BARKBOX, THE DOGIST AND DOGS OF INSTAGRAM. THE 
ORGANIZATION RECEIVED SUPPORT FROM MANY NOTABLE CELEBRITIES WHO GOT 
INVOLVED BY SHARING SELFIES AND ENCOURAGING THEIR MILLIONS OF FOLLOWERS 
TO JOIN THE #GETTOUGH MOVEMENT AGAINST DOG FIGHTING.
THE ASPCA HOSTED MULTIPLE ADOPTION CAMPAIGNS COVERED BY MAJOR MEDIA IN 2017. DURING ADOPT A SHELTER DOG MONTH IN OCTOBER, THE ASPCA LAUNCHED FIND YOUR FIDO, A NEW ADOPTION CAMPAIGN TO HELP SHELTERS FIND MORE HOMES FOR AVAILABLE DOGS. HUNDREDS OF ANIMAL SHELTERS AND RESCUE GROUPS ACROSS THE COUNTY PARTICIPATED IN THE DIGITAL CAMPAIGN TO RAISE AWARENESS ABOUT ADOPTION AND ANIMAL HOMELESSNESS. NEWS OF THE FIND YOUR FIDO CAMPAIGN WAS REPORTED BY NATIONAL AND LOCAL MEDIA OUTLETS INCLUDING GOOD MORNING AMERICA, NBC'S ON THE MONEY, WATCH WHAT HAPPENS LIVE WITH ANDY COHEN, THE DODO, WINS-AM, METRO NEW YORK, NY1, AM NEW YORK, AND WNYW.


FORM 990, PART III, LINE 4B, DESCRIPTION OF PROGRAM SERVICE—CONTINUED IN 2017, THE ASPCA CONTINUED TO ADVOCATE FOR ANIMALS RAISED FOR FOOD IN THE U.S., PROMOTING HUMANE FARM PRACTICES AND LEGISLATION TO PROTECT THE ANIMALS RAISED FOR FOOD. IN AN EFFORT TO PROVIDE CONSUMERS WITH ACCURATE INFORMATION ABOUT THE ANIMAL WELFARE STANDARDS IN THEIR FOOD PRODUCT CHOICES, THE ASPCA SHARED HUMANE FOOD LABEL FACTS AND RECOMMENDATIONS WITH THE MEDIA. RESULTING COVERAGE APPEARED IN NATIONAL MEDIA OUTLETs INCLUDING THE NEW YORK TIMES. THE ASPCA PROVIDED INFORMATION ON HOW CONSUMERS CAN HELP ANIMALS BY MAKING RESPONSIBLE FOOD CHOICES WITH THE ASPCA'S GOOD GROCERIES GUIDE. THE HUFFINGTON POST
FEATURED THE ASPCA'S GOOD GROCERIES GUIDE AS WELL AS THE SHOP WITH YOUR HEART CAMPAIGN.

USA TODAY HIGHLIGHTED THE REAL CERTIFIED PROGRAM IN MAY, WHICH IS THE RESULT OF THE ASPCA'S WORK WITH THE UNITED STATES HEALTHFUL FOOD COUNCIL (USHFC) TO ADD TRANSPARENT AND MEANINGFUL ANIMAL WELFARE STANDARDS TO ITS RESTAURANT CERTIFICATION PROGRAM.


A NEW LAW SIGNED BY NEW YORK GOVERNOR ANDREW CUOMO MAKES NON-PROFIT SHELTERS AND RESCUES ACCOUNTABLE UNDER THE SAME ANIMAL WELFARE REGULATIONS THAT COVER LICENSED PET DEALERS AND MUNICIPAL SHELTERS. THE ASPCA VOICED SUPPORT FOR THE BILL, WHICH AIDS TO CLOSE LOOHOLEs ALLOWING UNSCRUPULOUS PET DEALERS TO MASQUERADE AS NOT-FOR-PROFIT ANIMAL SHELTERS. NATIONAL AND LOCAL OUTLETS REPORTING ON THE BILL.


THE ASPCA PROVIDED INFORMATION TO PET OWNERS ON KEEPING ANIMALS SAFE ON HALLOWEEN, AS WELL AS DURING THE COLD WINTER MONTHS AND HOLIDAY SEASON. THE ASPCA’S ADVICE, WHICH POSITIONED THE ORGANIZATION AS AN EXPERT IN ANIMAL WELFARE ISSUES, APPEARED IN NATIONAL MEDIA OUTLETS INCLUDING THE WASHINGTON POST, MARTHA STEWART, CONSUMER REPORTS, PETMD, AND PET INSIDER.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

ANTI-CRUELTY PROGRAMS –

IN 2017, THE ASPCA EXPANDED AND FURTHER DEVELOPED INNOVATIVE PROGRAMS TO HELP END ANIMAL CRUELTY AND SAVE THE LIVES OF ANIMALS ACROSS THE COUNTRY.

IN 2017, THE FIELD INVESTIGATIONS AND RESPONSE TEAM MANAGED 30 DEPLOYMENTS, 80 INVESTIGATIONS, AND 44 CONSULTATIONS THAT RESULTED IN
THE FILING OF 668 CRIMINAL CHARGES, 104 CONVICTIONS AND 47,214 ANIMALS
RESCUED OR ASSISTED.

DEPLOYING ACROSS 21 STATES/TERRITORIES IN 2017, FIR RESPONDED TO
MULTIPLE ANIMAL FIGHTING CASES, CRUELTY CASES, WILDFIRES, AND THE
BACK-TO-BACK HURRICANES HARVEY, IRMA AND MARIA WHILE JUGGLING MULTIPLE
TEMPORARY SHELTER OPERATIONS ACROSS THE COUNTRY.

IN RESPONSE TO THE WIDESPREAD DEVASTATION OF HURRICANE MARIA, FIR
TRAVELED TO THE U.S. VIRGIN ISLANDS, CONDUCTING AN ISLAND-WIDE
ANIMAL-NEEDS ASSESSMENT, DISTRIBUTING CRITICAL ANIMAL SUPPLIES,
PROVIDING SEARCH AND RESCUE SERVICES AND SUBSEQUENTLY SETTING UP A
TEMPORARY ANIMAL SHELTER ON ST. CROIX. THE TEMPORARY SHELTER HOUSED
NEARLY 600 ANIMAL VICTIMS DISPLACED BY THE HURRICANE. THE TEAM WORKED
TO REUNITE THESE VICTIMS WITH THEIR FAMILIES, AND ALSO FLEW MORE THAN
300 UNOWNED ANIMALS FROM THE ISLAND TO THE CONTINENTAL U.S. FOR
PLACEMENT WITH THE ASPCA'S RESPONSE PARTNER NETWORK. THESE EFFORTS
REQUIRED AT LEAST 162,164 HOURS BY 1,316 UNIQUE RESPONDERS IN THE
FIELD.

IN 2017, FORENSIC SCIENCES PARTICIPATED IN 14 DEPLOYMENTS, CONDUCTED
1,017 CASE CONSULTATIONS AND EXAMS, AND 57 TRAININGS, RESULTING IN THE
TRAINING OF MORE THAN 3,800 PEOPLE.

IN MAY 2017, THE INTERNATIONAL VETERINARY FORENSIC SCIENCES ASSOCIATION
(IVFSA) COLLABORATED WITH THE ASPCA TO HOST ITS 10TH ANNUAL VETERINARY
FORENSIC SCIENCES CONFERENCE IN NEW YORK CITY. ATTENDED BY 335
INDIVIDUALS FROM 38 STATES, WASHINGTON, D.C., PUERTO RICO, FIVE
CANADIAN PROVINCES, AND 13 COUNTRIES, THE THREE-DAY EVENT BROUGHT TOGETHER A VARIETY OF EXPERTS IN VETERINARY MEDICINE, LAW ENFORCEMENT, CRIMINAL PROSECUTION, FORENSIC SCIENCE, ANIMAL WELFARE, AND HUMAN SOCIAL SERVICES. THE CONFERENCE HIGHLIGHTED THE PARTNERSHIP AMONG THE ASPCA, NEW YORK CITY POLICE DEPARTMENT (NYPD), AND NYC DISTRICT ATTORNEY'S OFFICES TO AGGRESSIVELY FIGHT ANIMAL CRUELTY AND CARE FOR ANIMAL CRUELTY VICTIMS.

SINCE THE PARTNERSHIP BEGAN IN 2014, THE ASPCA HUMANE LAW ENFORCEMENT (HLE) DEPARTMENT HAS CONTINUED TO WORK CLOSELY WITH THE NEW YORK CITY POLICE DEPARTMENT (NYPD). IN 2017, MORE THAN 9,000 311-CALLS WERE RECEIVED REGARDING POSSIBLE ANIMAL CRUELTY AND APPROXIMATELY 1,400 NYPD CALLS WERE PLACED TO THE 24-HOUR HLE HOTLINE. THROUGH THE ASPCA-NYPD PARTNERSHIP, 123 ARRESTS WERE MADE, 34 SUMMONSES WERE ISSUED, 302 COMPLAINTS WERE RESPONDED TO BY THE ASPCA AND NYPD, AND 17 SEARCH WARRANTS WERE ISSUED. MORE THAN 900 ANIMALS WERE ASSISTED AND MORE THAN 100 CASES WERE REFERRED TO THE ASPCA'S COMMUNITY ENGAGEMENT TEAM BY NYPD PERSONNEL, RESULTING IN MORE THAN 260 ANIMALS ASSISTED IN 2017.

THE HLE DEPARTMENT CONDUCTED DOZENS OF TRAININGS TO OVER 3,400 NYPD PERSONNEL AND ATTENDED PRECINCT COMMUNITY COUNCIL MEETINGS, COMMUNITY BOARD MEETINGS AND DISTRICT CABINET MEETINGS THROUGHOUT THE FIVE BOROUGHS.

THE ASPCA COMMUNITY ENGAGEMENT TEAM IN NEW YORK CITY PARTNERS WITH THE NYPD, NYC ACC, SOCIAL SERVICE AGENCIES, AND THE LOCAL COMMUNITY TO IMPROVE THE WELFARE OF THOUSANDS OF PETS EVERY YEAR. THESE EFFORTS FOCUS ON VETERINARY CARE, HOARDING SITUATIONS, OUTDOOR DOGS, AND
RESOURCES FOR DOMESTIC VIOLENCE SURVIVORS. AS A RESULT OF EXTENSIVE OUTREACH TO SOCIAL SERVICE AGENCIES, THE NUMBER OF REFERRALS FROM CASEWORKERS NEARLY DOUBLED. THANKS TO THE ASPCA’S FOCUSED EFFORTS IN THE SOUTH BRONX, CE CASES IN THAT BOROUGH TRIPLED, WITH MANY REFERRALS COMING FROM COMMUNITY MEMBERS THE TEAM INTERACTED WITH DURING MEETINGS, EVENTS AND OUTREACH EFFORTS.

IN 2017, THE CE TEAM IN LA CELEBRATED THREE YEARS OF PARTNERSHIP WITH DOWNEY AND BALDWIN PARK ANIMAL CARE CENTERS. CE WORKED CLOSELY WITH THE LOS ANGELES COUNTY DEPARTMENT OF ANIMAL CARE AND CONTROL (LADACC) TO FIELD SERVICE REFERRALS FROM ANIMAL CONTROL OFFICERS INCLUDING EMERGENCY MEDICAL CARE, SPAY/NEUTER SURGERIES, VACCINES, PET SUPPLIES, RETURN-TO-OWNER FEE ASSISTANCE, AND HUMANE EUTHANASIA. IN LA, CE ASSISTED MORE THAN 9,400 ANIMALS.

IN NYC, COMMUNITY ENGAGEMENT ASSISTED MORE THAN 2,000 ANIMALS.

IN 2017, ASPCA CE IN MIAMI STAFF WORKED CLOSELY WITH MIAMI-DADE ANIMAL SERVICES (MDAS) TO DEVELOP PET RETENTION PROGRAMS TO REDUCE ANIMAL INTAKE VIA OWNER SURRENDER BOTH AT THE SHELTER AND, WITH THE ASSISTANCE OF THE HUMANE ANIMAL RESPONSE TEAM, THROUGHOUT MIAMI-DADE COUNTY. IN 2017, 641 PETS IN MIAMI RECEIVED PET RETENTION SERVICES AND SUPPLIES. ASPCA FORENSIC VETERINARIANS WORKED WITH MDAS TO DEVELOP AND IMPLEMENT ADVANCED FORENSIC PROTOCOLS, AS WELL AS PROVIDED DIRECT FORENSIC CASEWORK ASSISTANCE. ASPCA STAFF COLLABORATED WITH MDAS TO ENGAGE MULTIPLE LEVELS OF LAW ENFORCEMENT LEADERSHIP, INCLUDING PROVIDING FIVE TRAININGS ON BEST PRACTICES TO IDENTIFY AND COMBAT ANIMAL CRUELTY.
IN ANTICIPATION OF LAUNCHING AN EXPANDED PROGRAM AT A NEW NORTH CAROLINA FACILITY, THE ASPCA BEHAVIORAL REHABILITATION CENTER (BRC) WOUND DOWN OPERATIONS AT ITS PILOT PROJECT LOCATION AT ST. HUBERT’S ANIMAL WELFARE CENTER IN MADISON, NEW JERSEY. BRC TEAM FINALIZED DESIGN DETAILS TO BUILD AND STOCK A NEW, STATE-OF-THE-ART FACILITY IN WEAVERVILLE, NORTH CAROLINA, WHICH MORE THAN DOUBLED THE PREVIOUS CAPACITY TO ASSIST DOGS IN NEED. THE BRC ACHIEVED A HIGH SUCCESS RATE OF 87% FOR THE MORE THAN 300 DOGS ACCEPTED INTO THE BRC PILOT PROGRAM.

THE ASPCA’S GOVERNMENT RELATIONS (GR) DEPARTMENT HELPED SHEPHERD MANY LEGISLATIVE INITIATIVES IN 2017 THAT RESULTED IN STRONG ANIMAL PROTECTION LAWS AND INCREASED FUNDING FOR HUMANE PROGRAMS ACROSS THE COUNTRY.

HIGHLIGHTS FROM THE YEAR INCLUDE:

- SHELTER FUNDING & TRANSPARENCY: IN NEW YORK STATE, $5 MILLION WAS SECURED TO CREATE A FIRST-IN-THE-NATION CAPITAL FUND TO SUPPORT CRITICAL IMPROVEMENTS TO NONPROFIT AND MUNICIPAL ANIMAL SHELTERS. IN NEW YORK CITY, AN INVESTMENT OF $79.2 MILLION WAS SECURED FOR THE CITY’S ANIMAL SHELTERS IN ALL FIVE BOROUGHS, INCLUDING THE CONSTRUCTION OF A NEW $60 MILLION NYC ACC FACILITY IN THE BRONX. THE BROOKLYN SHELTER WILL GET A $27.3 MILLION UPGRADE, WHILE THE QUEENS ANIMAL RECEIVING CENTER WILL RELOCATE TO A LARGER FACILITY. ALSO IN NEW YORK, A BILL WAS PASSED ALLOWING THE STATE TO GATHER INFORMATION ABOUT THE NUMBER, SIZE, SCOPE AND CAPACITY OF NONPROFIT ANIMAL WELFARE ORGANIZATIONS TRANSPORTING AND OFFERING ANIMALS FOR ADOPTION.

- HOUSING: IN CALIFORNIA, PET-FRIENDLY HOUSING WAS EXPANDED VIA
LEGISLATION REQUIRING STATE FUNDED HOUSING TO ALLOW TENANTS TO OWN PETS.

- PUPPY LEASING: PREDATORY LENDING PRACTICES FOR PET PURCHASES WERE OUTLAWED IN CALIFORNIA. THIS PROTECTS CONSUMERS FROM UNSCRUPULOUS LEASING OR "RENT-TO-OWN" SCHEMES OFFERED BY SOME PET SELLERS AND REDUCES THE POTENTIAL THAT A PUPPY, KITTEN OR OTHER LEASED ANIMAL WILL BE SURRENDERED DUE TO FINANCIAL CIRCUMSTANCES.

- PUPPY MILLS: IN GEORGIA, LEGISLATION THAT WOULD HAVE UNDERMINED THE AUTHORITY OF TOWNS AND COUNTIES TO REGULATE THE SALE OF PETS IN PET STORES WAS DEFEATED. AT THE NATIONAL LEVEL, THE ASPCA SUPPORTED STRONG LANGUAGE IN THE FINAL FY2018 AGRICULTURE APPROPRIATIONS BILL DIRECTING THE U.S. DEPARTMENT OF AGRICULTURE (USDA) TO RESTORE ITS SEARCHABLE PUBLIC DATABASE OF ANIMAL WELFARE ACT VIOLATORS.

- ANIMAL FIGHTING: THE HELP EXTRACT ANIMALS FROM RED TAPE (HEART) TEAM, A NATIONAL NETWORK OF LOCAL LEADERS WORKING TO BUILD SUPPORT FOR THE HEART ACT IN CONGRESS, WAS LAUNCHED IN 2017. THE HEART ACT WOULD ENABLE DOGS WHO ARE SEIZED IN FEDERAL DOGFIGHTING CASES TO MOVE MORE RAPIDLY INTO SHELTERS OR RESCUES WHERE THEY CAN RECEIVE SUPPORT, CARE AND ASSESSMENT FOR ADOPTION.

- HORSE PROTECTION: GR WORKED CLOSELY WITH CONGRESSIONAL LEADERS TO ENSURE LANGUAGE PREVENTING HORSE SLAUGHTER PLANTS FROM REOPENING ON U.S. SOIL WAS INCLUDED IN THE FY2018 OMNIBUS APPROPRIATIONS PACKAGE. THE GR TEAM ALSO FOUGHT TO MAINTAIN CRITICAL PROTECTIONS FOR WILD HORSES. IN ADDITION, THE ASPCA’S HORSE ACTION TEAM (HAT), A DEDICATED
GROUP OF CITIZEN ADVOCATES FOCUSED ON BUILDING SUPPORT FOR THE
SAFEGUARD AMERICAN FOOD EXPORTS (SAFE) ACT, HELPED GARNER OVER 200
COSPONSORS FOR THAT BILL IN THE U.S. HOUSE OF REPRESENTATIVES.

FORM 990, PART III, LINE 4C, DESCRIPTION OF PROGRAM SERVICE -CONTINUED
IN NEW YORK CITY, LEGAL ADVOCACY FILED 19 PETITIONS UNDER NEW YORK'S
SECURITY POSTING LAW IN 2017 WITH THE GOAL OF REDUCING THE TIME THAT
ANIMALS SEIZED IN NEW YORK CITY POLICE DEPARTMENT CASES ARE HELD IN
LEGAL LIMBO. NINE RESULTED IN COURT-ORDERED FORFEITURE OF ANIMALS, NINE
IN VOLUNTARY SURRENDER OF OWNERSHIP, AND ONE IN THE RETURN OF THE DOG
WITH ASPCA MONITORING. IN ADDITION, THE LEGAL ADVOCACY TEAM PROVIDED
SUBSTANTIAL LEGAL ASSISTANCE IN APPROXIMATELY 31 ANIMAL CRUELTY CASES
NATIONWIDE. THE LEGAL ADVOCACY TEAM CONTINUED TO ADVOCATE FOR STATE
STATUTES THAT ALLOW FOR FASTER DISPOSITION OF SEIZED ANIMALS SO THAT
ANIMAL CRUELTY VICTIMS CAN BE PLACED IN NEW HOMES MORE QUICKLY. THE
LEGAL ADVOCACY TEAM ALSO PROVIDED LEGAL ANALYSIS AND DRAFTING EXPERTISE
ON APPROXIMATELY 40 LEGISLATIVE BILLS AND PROVIDED TRAINING TO LAW
ENFORCEMENT AND PROSECUTORS AROUND THE COUNTRY. THIS INCLUDED TRAINING
APPROXIMATELY 75 ASSISTANT DISTRICT ATTORNEYS IN THE BRONX AND
MANHATTAN, AND ASSISTING HLE WITH TRAINING OVER 3,400 MEMBERS OF THE
NYPD AND OTHER NEW YORK CITY AGENCIES.

THE ASPCA CONTINUED ITS FARM ANIMAL WELFARE WORK TO IMPROVE THE LIVES
OF FARM ANIMALS RAISED FOR FOOD BY FIGHTING FOR CONSUMER, CORPORATE,
LEGISLATIVE AND REGULATORY CHANGES THAT SUPPORT MORE HUMANE AND
TRANSPARENT FARMING PRACTICES. 2017 ACCOMPLISHMENTS INCLUDE:

- WORKING WITH 45 BRANDS AND 12 MAJOR FOOD BUYERS TO HELP THEM ADOPT
THE MOST RIGorous AND TRANSPARENT FARM ANIMAL WELFARE CERTIFICATION
PROGRAMS IN THE COUNTRY, HELPING IMPROVE THE LIVES OF HUNDREDS OF
THOUSANDS OF CHICKENS, COWS, PIGS AND OTHER FARM ANIMALS.

- SUBMITTING MORE THAN 56,000 COMMENTS FROM ASPCA SUPPORTERS URGING THE
USDA TO REJECT A PROPOSAL BY THE CHICKEN INDUSTRY TO INCREASE SLAUGHTER
SPEEDS AT CHICKEN-PROCESSING FACILITIES. FASTER SPEEDS COULD HAVE
RESULTED IN MORE OF THE INHUMANE TREATMENT ALREADY DOCUMENTED AT
SLAUGHTERHOUSES. THE PROPOSAL WAS ULTIMATELY REJECTED.

- DEFENDING IMPROVED ANIMAL WELFARE STANDARDS UNDER THE USDA ORGANIC
PROGRAM, WHICH WERE PASSED IN 2016 BUT REPEATEDLY DELAYED BY THE
CURRENT ADMINISTRATION. THE ASPCA SUBMITTED 15,000 SUPPORTER COMMENTS
TO THE USDA AND AUTHORED A COMPREHENSIVE REPORT MAKING THE CASE FOR THE
ENACTMENT OF THE STANDARDS, WHICH WOULD HAVE REQUIRED OUTDOOR ACCESS
FOR MORE THAN 100 MILLION ANIMALS RAISED ON ORGANIC FARMS.

- ENCOURAGING MILLIONS OF AMERICANS TO CONSIDER MAKING MORE HUMANE FOOD
CHOICES THROUGH OUR SHOP WITH YOUR HEART CAMPAIGN.

IN 2017, THE ASPCA ANNOUNCED THE NEWLY FORMED EQUINE WELFARE
DEPARTMENT. FOCUSING ON THE PROTECTION OF HOMELESS HORSES NATIONWIDE,
THE ASPCA IS WORKING COLLABORATIVELY WITH STAKEHOLDERS IN BOTH THE
RESCUE COMMUNITY AND EQUINE INDUSTRIES TO HELP THESE ANIMALS SAFELY
TRANSITION TO NEW CAREERS AND HOMES. THE INITIATIVE ALSO FOCUSES ON
INCREASING SAFETY, NET SUPPORT FOR HORSE OWNERS SO THEY HAVE ADEQUATE
ACCESS TO VITAL RESOURCES, ON ENHANCING ANTI-CRUELTY EFFORTS TO STOP
EQUINE ABUSE AND WORKING TOWARD A PERMANENT BAN ON HORSE SLAUGHTER IN
The new focus of this team also includes ASPCA participation in the Right Horse Initiative, a collective of industry professionals and equine welfare advocates working together to improve the lives of horses in transition by increasing training opportunities for horses and promoting adoption as the preferred method for acquiring a horse.

Form 990, Part III, Line 4D, Other Program Services:

Other Programs

Community Outreach

More than 4,750 pets were adopted via the ASPCA Onyx and Breezy Shefts Adoption Center in 2017. To help socialize the many animals at the Adoption Center, volunteers provided approximately 46,858 volunteer hours. In addition, the Adoption Center transferred 109 animals to partner organizations and reunited 114 animals with their families.

In 2017, the ASPCA Kitten Nursery admitted 1,575 kittens, which included more kittens under two weeks old than in any previous season. Intake of kittens less than two weeks of age increased by 19% in 2017, and a total of 55% of kittens were under five weeks old, underscoring the nursery's importance in providing care for the highest-risk population of kittens. The kitten nursery achieved an 87% live release rate and played a major role in helping to dramatically increase cat and kitten live release at animal care centers of NYC (NYC ACC).

The ASPCA's Gloria Gurney Canine Annex for Recovery & Enrichment (CAR) is home to dogs rescued through our partnership with the NYPD. Many of
THE 187 DOGS WHO ENTERED THE CARE PROGRAM IN 2017 WERE SHY, FEARFUL OR UNDER-SOCIALIZED. THE ASPCA PROVIDED CUSTOMIZED AND SPECIALIZED BEHAVIORAL REHABILITATION TO PREPARE THESE DOGS AND PUPPIES FOR LIFE IN NEW HOMES.

ASPCA COMMUNITY PARTNERS ARE CITIES OR REGIONS WHOSE MAJOR ANIMAL SHELTERING AGENCIES HAVE APPLIED AND BEEN ACCEPTED TO WORK INTENSIVELY WITH ASPCA EXPERTS TO SAVE AT-RISK ANIMALS IN THEIR AREAS. IN 2017, THE THREE ACTIVE ASPCA PARTNER COMMUNITIES WERE CHARLOTTE, NORTH CAROLINA; LOUISVILLE, KENTUCKY; AND ALBUQUERQUE, NEW MEXICO. THROUGH A VARIETY OF SUCCESSFUL, LIFESAVING PROGRAMS SUCH AS OFF-SITE AND JOINT ADOPTION EVENTS, FEE-WAIVED ADOPTIONS, ADOPTION AMBASSADOR PROGRAMS AND PET-RETENTION STRATEGIES, THESE COMMUNITIES IMPACTED A COLLECTIVE 35,904 ANIMALS IN 2017.

THE NORTHERN TIER SHELTER INITIATIVE (NTSI) IS AN ASPCA PROGRAM THAT PROVIDES CONSULTATIONS, TRAINING AND GRANTS TO ANIMAL WELFARE AGENCIES IN SEVEN MIDWESTERN AND NORTHWESTERN STATES. THE NTSI WORKS TO SAVE LIVES BY ADDRESSING THE IMMEDIATE NEEDS OF THE REGION’S VULNERABLE ANIMAL POPULATIONS, WHILE SIMULTANEOUSLY COLLECTING AND ANALYZING DATA TO ADDRESS LONG-TERM CHALLENGES. THE PROGRAM ASSISTED IN OPENING SIX NEW SPAY/NEUTER CLINICS AND EXPANDED SERVICES AT FIVE OTHER CLINICS. COMMUNITY SPAY/NEUTER SURGERIES HAVE INCREASED BY MORE THAN 12,000 EACH YEAR. THE NTSI PROVIDED 132 TRAININGS FOR SHELTER STAFF FROM 97 ORGANIZATIONS ACROSS ALL SEVEN TARGET STATES AND AWARDED 164 GRANTS TOTALING $2.25 MILLION, IMPACTING OUTCOMES FOR 252,119 SHELTER ANIMALS IN ADDITION TO HELPING IMPROVE THE WELFARE OF ANIMALS IN ADJACENT COMMUNITIES.
THROUGH ITS ASPCA ANIMAL RELOCATION PROGRAM, IN 2017 THE ASPCA RELOCATED A TOTAL OF 28,444 ANIMALS TO REGIONS WHERE THEY'RE LIKELY TO GET ADOPTED MORE QUICKLY. THE PROGRAM EXPANDED OPERATIONS BEYOND THE EAST AND WEST COASTS IN 2017 TO INCLUDE A NEW MIDWEST ROUTE: THE WATERSHED ANIMAL FUND RESCUE RIDE (WAFRR). THE PROGRAM IS MADE POSSIBLE BY AND NAMED FOR THE WATERSHED ANIMAL FUND, AN OKLAHOMA-BASED NONPROFIT ORGANIZATION THAT FUNDS INNOVATIVE PROGRAMS AIMED AT IMPROVING THE LIVES OF COMPANION ANIMALS. IN 2017, THE WAFRR MOVED MORE THAN 5,715 ANIMALS IN 190 TRIPS, EXCEEDING ITS FIRST-YEAR GOAL BY OVER 2,000 ANIMALS.

ON THE WEST COAST, 243 FLIGHTS AND 591 GROUND TRANSPORTS MOVED 10,769 ANIMALS FROM SHELTERS IN THE GREATER LOS ANGELES AREA TO SHELTERS FURTHER NORTH OR SOUTH.

ON THE EAST COAST, THE NANCY SILVERMAN RESCUE RIDE PROGRAM TRANSPORTED 11,960 ANIMALS VIA 282 GROUND TRANSPORTS AND THREE FLIGHTS FROM SHELTERS THROUGHOUT THE SOUTHEAST.

SINCE ESTABLISHING A FORMAL GRANT-MAKING PROGRAM IN 2008, THE ASPCA HAS AWARDED $117.7 MILLION TO SUPPORT U.S.-BASED NONPROFIT ORGANIZATIONS AND GOVERNMENT AGENCIES HELPING TO IMPROVE ANIMALS' LIVES. IN 2017 ALONE, THE ASPCA AWARDED 719 GRANTS TOTALING JUST UNDER $12 MILLION TO 548 ORGANIZATIONS IN ALL 50 STATES, PUERTO RICO AND WASHINGTON, D.C.

SOME OF OUR 2017 GRANT HIGHLIGHTS INCLUDE:

-MORE THAN $1.6 MILLION TO OUR LOS ANGELES PARTNERS AS PART OF THE
ASPCA'S $25 MILLION, MULTIYEAR COMMITMENT TO PROVIDE CRITICAL SERVICES TO L.A.'S ANIMALS:

- MORE THAN $1.5 MILLION TOWARD EFFORTS BENEFITTING COMPANION ANIMAL VICTIMS OF CRUELTY AND IMPROVING FARM ANIMAL WELFARE NATIONWIDE;

- MORE THAN $782,000 SUPPORTING THE PROTECTION OF AT-RISK EQUINES ACROSS THE U.S.;

- MORE THAN $680,000 GRANTED VIA THE ASPCA NORTHERN TIER SHELTER INITIATIVE, AND

- NEARLY $538,000 TO ASSIST ANIMAL VICTIMS OF DISASTERS, INCLUDING HURRICANES HARVEY, IRMA AND MARIA.

EXPENSES $ 41,758,904. INCLUDING GRANTS OF $11,840,490. REVENUE $ 0.

FORM 990, PART VI, SECTION A, LINE 1:

THE ASPCA HAS TWO CATEGORIES OF MEMBERS, "GOVERNING MEMBERS" AND "MEMBERS", BUT ONLY GOVERNING MEMBERS HAVE VOTING RIGHTS. THE ASPCA'S "GOVERNING MEMBERS" CONSIST OF THOSE PERSONS WHO ARE CURRENTLY SERVING AS MEMBERS OF THE BOARD OF DIRECTORS. ONLY GOVERNING MEMBERS HAVE THE RIGHT TO ELECT THE MEMBERS OF THE BOARD OF DIRECTORS UNDER THE ASPCA'S BY-LAWS. THE ASPCA'S "MEMBERS" CONSIST OF ONE OR MORE MEMBERSHIP CATEGORIES (E.G., CHAMPIONS, BENEFACTORS, SPONSORS, ASSOCIATES, FRIENDS, JUNIORS, ETC.) AS MAY BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. WITH THE EXCEPTION OF THOSE MEMBERS WHO ARE ALSO GOVERNING MEMBERS, NO "MEMBER" HAS THE RIGHT TO VOTE ON THE ELECTION OF DIRECTORS TO THE BOARD OF DIRECTORS. ANY CONTRIBUTOR OVER AGE 18 WHO MAKES A DONATION OF $25 OR MORE TO THE ASPCA IS
DEEMED A "MEMBER".

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 WAS PREPARED BY A NATIONALLY-RENOUNDED ACCOUNTING FIRM IN
CONJUNCTION WITH THE ORGANIZATION'S FINANCE DEPARTMENT. THE DRAFT OF THE
FORM 990 IS REVIEWED BY SENIOR MANAGEMENT, LEGAL COUNSEL, AS WELL AS THE
AUDIT COMMITTEE OF THE BOARD OF DIRECTORS.

A COPY IS CIRCULATED TO THE FULL BOARD OF DIRECTORS PRIOR TO ITS FILING
WITH THE INTERNAL REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

ALL DIRECTORS, OFFICERS AND KEY EMPLOYEES COMPLETE A WRITTEN CONFLICT OF
INTEREST QUESTIONNAIRE AND DECLARATION ANNUALLY. THE SECRETARY OF THE ASPCA
PROVIDES COPIES OF ALL COMPLETED DISCLOSURE STATEMENTS TO THE CHAIR OF THE
AUDIT COMMITTEE AND TO THE CHIEF LEGAL OFFICER. ANY POTENTIAL CONFLICTS ARE
ADDED TO RECORDS MAINTAINED BY THE ASPCA'S LEGAL DEPARTMENT.

FORM 990, PART VI, SECTION B, LINE 15:

THE AUDIT COMMITTEE OF THE ASPCA BOARD IS THE AUTHORIZED
COMPENSATION-SETTING BODY THAT REVIEWS AND APPROVES THE COMPENSATION OF THE
"DISQUALIFIED PERSONS" OF THE ASPCA. THE ASPCA ENGAGES AN INDEPENDENT
COMPENSATION EXPERT TO CONDUCT A COMPENSATION STUDY TO ASSESS THE
REASONABLENESS OF EACH "DISQUALIFIED PERSON'S" TOTAL COMPENSATION IN
ACCORDANCE WITH THE REBUTTABLE PRESUMPTION "SAFE HARBOR" PROVISIONS OF
SECTION 4958 OF THE INTERNAL REVENUE CODE. THE COMPENSATION EXPERT ASSESSES
THE REASONABLENESS OF EACH PERSON'S TOTAL COMPENSATION BASED ON
COMPARABILITY DATA FOR THE POSITIONS UNDER REVIEW AND PROVIDES SUCH DATA
AND ANALYSIS TO THE AUDIT COMMITTEE FOR ITS REVIEW. THE COMPARABILITY DATA IS DRAWN FROM INDUSTRY SURVEYS AND DATA SOURCES FOR COMPARABLE POSITIONS IN ORGANIZATIONS OF SIMILAR SCOPE, OPERATING BUDGET, AND TYPE.

WITH RESPECT TO "DISQUALIFIED PERSONS" OTHER THAN THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE PRESIDENT & CEO'S ANALYSIS OF EACH INDIVIDUAL'S PERFORMANCE, DELIBERATES, AND VOTES ON WHETHER TO APPROVE THE TOTAL COMPENSATION RECOMMENDATION PROPOSED BY THE PRESIDENT & CEO. THE PERSON WHOSE COMPENSATION IS UNDER REVIEW IS NOT PRESENT AND DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT SUCH PERSON MAY ANSWER QUESTIONS THAT WILL HELP THE COMMITTEE IN ITS DELIBERATIONS.


FOR ALL "DISQUALIFIED PERSONS," THE AUDIT COMMITTEE DOCUMENTS THE BASIS FOR
ITS DETERMINATIONS CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY
DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATIONS WERE MADE. THE
MINUTES INCLUDE THE FOLLOWING INFORMATION: 1. THE TERMS OF THE APPROVED
COMPENSATION AND THE DATE APPROVED; 2. THE NAMES OF MEMBERS OF
THE AUDIT COMMITTEE WHO WERE PRESENT DURING DISCUSSION OF THE COMPENSATION
AND THOSE WHO VOTED ON IT; 3. THE COMPARABILITY DATA THAT WAS RELIED ON BY
THE AUDIT COMMITTEE AND HOW SUCH DATA WAS OBTAINED; AND 4. ANY ACTIONS
(SUCH AS RECISAL) TAKEN BY A MEMBER OF THE AUDIT COMMITTEE HAVING A
CONFLICT OF INTEREST. THE AUDIT COMMITTEE THEN APPROVES THE
MINUTES WITHIN A REASONABLE PERIOD OF TIME AFTER ITS PREPARATION.

SIMILARLY, THE BOARD DOCUMENTS THE BASIS FOR ITS DETERMINATION OF THE
PRESIDENT & CEO'S COMPENSATION CONCURRENTLY WITH THE APPROVAL OF THE
COMPENSATION BY DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATION
WAS MADE.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:
AL, AR, CA, CT, FL, GA, HI, IL, KS, KY, LA, MD, MA, MI, MN, MS, NH, NJ, NM, NY, OK, OR, PA, SC, TN
UT, VA, WV, WI, RI

FORM 990, PART VI, SECTION C, LINE 19:
AUDITED FINANCIAL STATEMENTS, CONFLICT OF INTEREST POLICY, CERTIFICATE OF
INCORPORATION AND BY-LAWS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST AND
THROUGH CHARITABLE REGISTRATION REQUIREMENTS IN OVER 40 STATES. THE ASPCA
MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE
OF BUSINESS AND PLACING A COPY ON ITS WEBSITE. THE FORM 990 IS ALSO
PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG.
TOTAL COMPENSATION OF THE PRESIDENT OF THE ASPCA INCLUDES MERIT ADJUSTMENTS AND PERFORMANCE RELATED BONUSES PAID IN CALENDAR YEAR 2017, BUT APPLIES TO PERFORMANCE FROM 2015 THROUGH 2017 DUE TO CHANGE IN REVIEW DATES.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS 2,103,897.

PENSION-RELATED ADJUSTMENT 501,102.

TOTAL TO FORM 990, PART XI, LINE 9 2,604,999.

FORM 990, PART IV, LINE 2

THE ASPCA IS NOT REQUIRED TO COMPLETE SCHEDULE B FOR THE PERIOD ENDED 12/31/2017, IN ACCORDANCE WITH THE FORM 990 AND 990 SCHEDULE B INSTRUCTIONS, BECAUSE NO ONE CONTRIBUTOR DONATED, IN THE AGGREGATE, AN AMOUNT GREATER THAN 2% OF THE TOTAL CONTRIBUTIONS RECEIVED BY THE ORGANIZATION DURING THE YEAR.
# Related Organizations and Unrelated Partnerships

- Complete if the organization answered "Yes" on Form 990, Part IV, line 35, 34, 35a, 36, or 37.
- Attach to Form 990.

Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

**Name of the organization**: THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

**Employer identification number**: 13-1623829

## Part I: Identification of Disregarded Entities

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN (if applicable) of disregarded entity</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Total income</th>
<th>(e) End-of-year assets</th>
<th>(f) Direct controlling entity</th>
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## Part II: Identification of Related Tax-Exempt Organizations

- Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Exempt Code section</th>
<th>(e) Public charity status (if section 501(c)(3))</th>
<th>(f) Direct controlling entity</th>
<th>(g) Section 501(c)(3) controlled entity?</th>
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<td>PC - 47-3987761, 25 HERITAGE DR, ASHVILLE, NC 28806</td>
<td>VETERINARY SERVICES TO THE VCPCA IN NC</td>
<td>NORTH CAROLINA</td>
<td>501(c)(3)</td>
<td>LINE 7</td>
<td>ASPCA</td>
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For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2017
### Part III: Identification of Related Organizations Taxable as a Partnership

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Disproportionate allocations?</th>
<th>(i) Code V-UBl amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage ownership</th>
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<td>No</td>
<td>Yes</td>
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</tbody>
</table>

### Part IV: Identification of Related Organizations Taxable as a Corporation or Trust

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Type of entity (C corp, S corp, or trust)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Percentage ownership</th>
<th>(i) Section 512(b)(13) controlled entity?</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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<td></td>
<td>Yes</td>
<td>No</td>
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</tbody>
</table>

72152 09-15-17
Part V  Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity</td>
<td></td>
<td>X</td>
</tr>
<tr>
<td>b</td>
<td>Gift, grant, or capital contribution to related organization(s)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Gift, grant, or capital contribution from related organization(s)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Loans or loan guarantees to or for related organization(s)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Loans or loan guarantees by related organization(s)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Dividends from related organization(s)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>g</td>
<td>Sale of assets to related organization(s)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>h</td>
<td>Purchase of assets from related organization(s)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>i</td>
<td>Exchange of assets with related organization(s)</td>
<td></td>
<td></td>
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<tr>
<td>j</td>
<td>Lease of facilities, equipment, or other assets to related organization(s)</td>
<td></td>
<td></td>
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<tr>
<td>k</td>
<td>Lease of facilities, equipment, or other assets from related organization(s)</td>
<td></td>
<td></td>
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<tr>
<td>l</td>
<td>Performance of services or membership or fundraising solicitations for related organization(s)</td>
<td></td>
<td></td>
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<tr>
<td>m</td>
<td>Performance of services or membership or fundraising solicitations by related organization(s)</td>
<td>X</td>
<td></td>
</tr>
<tr>
<td>n</td>
<td>Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)</td>
<td></td>
<td></td>
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<tr>
<td>o</td>
<td>Sharing of paid employees with related organization(s)</td>
<td></td>
<td></td>
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<tr>
<td>p</td>
<td>Reimbursement paid to related organization(s) for expenses</td>
<td></td>
<td></td>
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<tr>
<td>q</td>
<td>Reimbursement paid by related organization(s) for expenses</td>
<td></td>
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<tr>
<td>r</td>
<td>Other transfer of cash or property to related organization(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>s</td>
<td>Other transfer of cash or property from related organization(s)</td>
<td></td>
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</tr>
</tbody>
</table>

2. If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

<table>
<thead>
<tr>
<th></th>
<th>(e) Name of related organization</th>
<th>(b) Transaction type (a-s)</th>
<th>(c) Amount Involved</th>
<th>(d) Method of determining amount involved</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>ASPCA VETERINARY SERVICES OF NORTH</td>
<td>L</td>
<td>221,492</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>CAROLINA, PC</td>
<td>O</td>
<td>328,767</td>
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<td>3.</td>
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<td>4.</td>
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<td>5.</td>
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<td>6.</td>
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</tbody>
</table>
Part VI  Unrelated Organizations Taxable as a Partnership.  Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of entity</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>(e) Am at 3rd-5th yrs</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Liquidating distribution</th>
<th>(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage Ownership</th>
</tr>
</thead>
<tbody>
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</table>
Supplemental Information.

Provide additional information for responses to questions on Schedule R. See instructions.
**Exempt Organization Business Income Tax Return**

**2017**

**Part I: Unrelated Trade or Business Income**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Income</th>
<th>(B) Expenses</th>
<th>(C) Net</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Gross receipts or sales</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>Less returns and allowances</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>1c</td>
<td>Balance</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>2</td>
<td>Cost of goods sold (Schedule A, line 7)</td>
<td>2</td>
<td></td>
<td></td>
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<tr>
<td>3</td>
<td>Gross profit. Subtract line 2 from line 1c</td>
<td>3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4a</td>
<td>Capital gain net income (attach Schedule D)</td>
<td>4a</td>
<td>773</td>
<td>773</td>
</tr>
<tr>
<td>4b</td>
<td>Net gain (less) (Form 4797, Part II, line 17) (attach Form 4797)</td>
<td>4b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4c</td>
<td>Capital loss deduction for trusts</td>
<td>4c</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Income (loss) from partnerships and S corporations (attach statement)</td>
<td>5</td>
<td>144,491</td>
<td>144,491</td>
</tr>
<tr>
<td>6</td>
<td>Rent income (Schedule C)</td>
<td>6</td>
<td></td>
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<tr>
<td>7</td>
<td>Unrelated debt-financed income (Schedule E)</td>
<td>7</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Interest, annuities, royalties, and rents from controlled organizations (Sch. F)</td>
<td>8</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Investment income of a section 501(c)(7), (9), or (17) organization (Schedule G)</td>
<td>9</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Exploited exempt activities income (Schedule I)</td>
<td>10</td>
<td></td>
<td></td>
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<tr>
<td>11</td>
<td>Advertising income (Schedule J)</td>
<td>11</td>
<td></td>
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<tr>
<td>12</td>
<td>Other income (See instructions; attach schedule)</td>
<td>12</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Total. Combine lines 12 through 13</td>
<td>13</td>
<td>145,264</td>
<td>145,264</td>
</tr>
</tbody>
</table>

**Part II: Deductions Not Taken Elsewhere**

(See instructions for limitations on deductions.)

(Except for contributions, deductions must be directly connected with the unrelated business income.)

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(A) Income</th>
<th>(B) Expenses</th>
<th>(C) Net</th>
</tr>
</thead>
<tbody>
<tr>
<td>14</td>
<td>Compensation of officers, directors, and trustees (Schedule K)</td>
<td>14</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Salaries and wages</td>
<td>15</td>
<td></td>
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<tr>
<td>16</td>
<td>Repairs and maintenance</td>
<td>16</td>
<td></td>
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</tr>
<tr>
<td>17</td>
<td>Bad debts</td>
<td>17</td>
<td></td>
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</tr>
<tr>
<td>18</td>
<td>Interest (attach schedule)</td>
<td>18</td>
<td></td>
<td></td>
</tr>
<tr>
<td>19</td>
<td>Taxes and licenses</td>
<td>19</td>
<td>250</td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>Charitable contributions (See instructions for limitation rules)</td>
<td>20</td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Depreciation (attach Form 4562)</td>
<td>21</td>
<td></td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Less depreciation claimed on Schedule A and elsewhere on return</td>
<td>22a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Depletion</td>
<td>23</td>
<td></td>
<td></td>
</tr>
<tr>
<td>24</td>
<td>Contributions to deferred compensation plans</td>
<td>24</td>
<td></td>
<td></td>
</tr>
<tr>
<td>25</td>
<td>Employee benefit programs</td>
<td>25</td>
<td></td>
<td></td>
</tr>
<tr>
<td>26</td>
<td>Excess exempt expenses (Schedule I)</td>
<td>26</td>
<td></td>
<td></td>
</tr>
<tr>
<td>27</td>
<td>Excess readership costs (Schedule J)</td>
<td>27</td>
<td></td>
<td></td>
</tr>
<tr>
<td>28</td>
<td>Other deductions (attach schedule)</td>
<td>28</td>
<td>SEE STATEMENT 1</td>
<td>SEE STATEMENT 1</td>
</tr>
<tr>
<td>29</td>
<td>Total deductions. Add lines 14 through 28</td>
<td>29</td>
<td>11,596</td>
<td>11,596</td>
</tr>
<tr>
<td>30</td>
<td>Unrelated business taxable income before net operating loss deduction. Subtract line 29 from line 13</td>
<td>30</td>
<td>133,418</td>
<td>133,418</td>
</tr>
<tr>
<td>31</td>
<td>Net operating loss deduction (limited to the amount on line 30)</td>
<td>31</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>32</td>
<td>Unrelated business taxable income before specific deduction. Subtract line 31 from line 30</td>
<td>32</td>
<td>1,000</td>
<td>1,000</td>
</tr>
<tr>
<td>33</td>
<td>Specific deduction (Generally $1,000, but see line 33 instructions for exceptions)</td>
<td>33</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>34</td>
<td>Unrelated business taxable income. Subtract line 33 from line 32. If line 33 is greater than line 32, enter the smaller of zero or line 32</td>
<td>34</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**For Paperwork Reduction Act Notice, see Instructions.**

**Form 990-T (2017)**
### Part III: Tax Computation

35. Organizations Taxable as Corporations. See instructions for tax computation.
   Controlled group members (sections 1561 and 1563) check here □ See instructions and:
   a. Enter your share of the $50,000, $25,000, and $9,925,000 taxable income brackets (in that order):
      (1) $ □
      (2) $ □
      (3) $ □
   b. Enter organization's share of: (1) Additional 5% tax (not more than $11,750) $ □
      (2) Additional 3% tax (not more than $100,000) $ □
   c. Income taxed the amount on line 34 □
      35c $0 □

36. Trusts Taxable at Trust Rates. See instructions for tax computation. Income tax on the amount on line 34 from:
   □ Tax rate schedule or □ Schedule D (Form 1041) □
   36 □

37. Proxy tax. See instructions □
   37 □

38. Alternative minimum tax □
   38 □

39. Tax on Non-Compliant Facility Income. See Instructions □
   39 □

40. Total. Add lines 37, 38 and 39 to line 35c or 36, whichever applies □
    40 □

### Part IV: Tax and Payments

41a. Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116) □

41b. Other credits (see instructions) □

41c. General business credit. Attach Form 8800 □

41d. Credit for prior year minimum tax (attach Form 8801 or 8827) □

41e. Total credits. Add lines 41a through 41d □
   41e □

42. Subtract lines 41e from line 40 □
   42 □

43. Other taxes. Check if from: □ Form 4255 □ Form 8551 □ Form 8557 □ Form 8866 □ Other (attach schedule) □
   43 □

44. Total tax. Add lines 42 and 43 □
   44 □

45a. Payments. A 2016 overpayment credited to 2017 □

45b. 2017 estimated tax payments □

45c. Tax deposited with Form 8868 □

45d. Foreign organizations: Tax paid or withheld at source (see instructions) □

45e. Backup withholding (see instructions) □

45f. Credit for small employer health insurance premiums (Attach Form 8941) □

45g. Other credits and payments: □ Form 2439 □ Form 4136 □ Total □
   45g □

46. Total payments. Add lines 45a through 45g □
   46 □

47. Estimated tax penalty (see instructions). Check if Form 2220 is attached □
   47 □

48. Tax due. If line 46 is less than the total of lines 44 and 47, enter amount owed □
   48 □

49. Overpayment. If line 46 is larger than the total of lines 44 and 47, enter amount overpaid □
   49 □

50. Enter the amount of line 48 you want. Credited to 2018 estimated tax □
    Refunded □
    50 □

### Part V: Statements Regarding Certain Activities and Other Information

51. At any time during the 2017 calendar year, did the organization have an interest in or a signature or other authority over a financial account (bank, securities, or other) in a foreign country? If YES, the organization may have to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If YES, enter the name of the foreign country here □
   Yes □ No □
   X □

52. During the tax year, did the organization receive a distribution from, or was it the grantee of, or transferee to, a foreign trust? □
   Yes □ No □
   X □

53. Enter the amount of tax-exempt interest received or accrued during the tax year □
   $ □

### Signatures

Sign Here □
   Signature of officer □
   Date □
   Title □

May this IRS discuss this return with the preparer shown below [use instructions] □
   Yes □ No □

### Paid Preparer Use Only

Print/Tape preparer’s name □
   JULIUS C. GREEN □
   CPA □
   Date □
   Check [X] if self-employed □
   PTIN □
   P0350393 □

Firm’s name □
   BAKER TILLY VERNON KRAUSE, LLP □
   Address □
   1650 MARKET STREET, SUITE 4500 □
   Phone no. □
   215.972.0701 □
### Schedule A - Cost of Goods Sold

<table>
<thead>
<tr>
<th>Description</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inventory at beginning of year</td>
<td></td>
</tr>
<tr>
<td>Purchases</td>
<td></td>
</tr>
<tr>
<td>Cost of labor</td>
<td></td>
</tr>
<tr>
<td>Additional section 263A costs (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>Other costs (attach schedule)</td>
<td></td>
</tr>
<tr>
<td>Total, Add lines 1 through 4</td>
<td></td>
</tr>
</tbody>
</table>

- Inventory at end of year: 6
- Cost of goods sold: Subtract line 6 from line 5, enter here and in Part I, line 2.
- Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization? [Yes, No]

### Schedule C - Rent Income (From Real Property and Personal Property Leased With Real Property)

(see instructions)

1. Description of property:
   - (1)
   - (2)
   - (3)
   - (4)

2. Rent received or accrued:
   - (a) From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%)
   - (b) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income)

<table>
<thead>
<tr>
<th>Description</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>0</td>
</tr>
</tbody>
</table>

(c) Total income. Add totals of columns 2(a) and 2(b). Enter here and on page 1, Part I, line 6, column (A) 0.

### Schedule E - Unrelated Debt-Financed Income

(see instructions)

1. Description of debt-financed property:
   - (1)
   - (2)
   - (3)
   - (4)

2. Gross income from or allocable to debt-financed property:
   - (a) Straight line depreciation (attach schedule)
   - (b) Other deductions (attach schedule)

3. Deductions directly connected with or allocable to debt-financed property:
   - (a) Straight line depreciation (attach schedule)
   - (b) Other deductions (attach schedule)

4. Amount of average acquisition debt on or allocable to debt-financed property (attach schedule)
5. Average adjusted basis of or allocable to debt-financed property (attach schedule)
6. Column 4 divided by column 5
7. Gross income reportable (column 6 x column 4)
8. Allowable deductions (columns 5 x total of columns 3(a) and 3(b))

<table>
<thead>
<tr>
<th>Description</th>
<th>Quantity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Totals</td>
<td></td>
</tr>
<tr>
<td>Total dividends-received deductions included in column 8</td>
<td>0</td>
</tr>
</tbody>
</table>
**THE AMERICAN SOCIETY FOR THE PREVENTION
OF CRUELTY TO ANIMALS**

**Form 990-T (2017)**

**Schedule F - Interest, Annuities, Royalties, and Rents From Controlled Organizations**

<table>
<thead>
<tr>
<th>1. Name of controlled organization</th>
<th>2. Employer identification number</th>
<th>3. Net unrelated income (loss) (see instructions)</th>
<th>4. Total of specified payments made</th>
<th>5. Part of column 4 that is included in the controlling organization's gross income</th>
<th>6. Deductions directly connected with income in column 5</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Nonexempt Controlled Organizations**

<table>
<thead>
<tr>
<th>7. Taxable Income</th>
<th>8. Net unrelated income (loss) (see instructions)</th>
<th>9. Total of specified payments made</th>
<th>10. Part of column 8 that is included in the controlling organization's gross income</th>
<th>11. Deductions directly connected with income in column 10</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Totals

<table>
<thead>
<tr>
<th>1. Description of income</th>
<th>2. Amount of Income</th>
<th>3. Deductions directly connected (attach schedule)</th>
<th>4. Set-aside (attach schedule)</th>
<th>5. Total deductions and set-aside (col. 3 plus col. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Schedule G - Investment Income of a Section 501(c)(7), (9), or (17) Organization**

(see instructions)

<table>
<thead>
<tr>
<th>1. Description of income</th>
<th>2. Amount of Income</th>
<th>3. Deductions directly connected (attach schedule)</th>
<th>4. Set-aside (attach schedule)</th>
<th>5. Total deductions and set-aside (col. 3 plus col. 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Totals

<table>
<thead>
<tr>
<th>1. Description of activity</th>
<th>2. Gross unrelated business income from trade or business</th>
<th>3. Expenses directly connected with production of unrelated business income</th>
<th>4. Net income (loss) from unrelated trade or business (column 2 minus column 3). If a gain, compute cols. 5 through 7.</th>
<th>5. Gross income from activity that is not unrelated business income</th>
<th>6. Expenses attributable to column 5</th>
<th>7. Excess exempt expenses (column 6 minus column 5, but not more than column 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Totals

<table>
<thead>
<tr>
<th>1. Name of periodical</th>
<th>2. Gross advertising income</th>
<th>3. Direct advertising costs</th>
<th>4. Advertising gain or (loss) (col. 2 minus col. 3). If a gain, compute cols. 5 through 7.</th>
<th>5. Circulation income</th>
<th>6. Readership costs</th>
<th>7. Excess readership costs (column 6 minus column 5, but not more than column 4)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Totals (carry to Part II, line (5))

|                           | 0                          | 0                           | 0                                                                                           |                      |                   | 0                                                             |

---

Form 990-T (2017)
### Part II: Income from Periodicals Reported on a Separate Basis

For each periodical listed in Part II, fill in columns 2 through 7 on a line-by-line basis.

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Totals from Part I</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.</td>
</tr>
</tbody>
</table>

Enter here and on page 1, Part II, line 11, Col. (A).

Enter here and on page 1, Part II, line 11, Col. (B).

Enter here and on page 1, Part II, line 27.

### Totals, Part II (lines 1-5)

<table>
<thead>
<tr>
<th>1. Name</th>
<th>2. Title</th>
<th>3. Percent of time devoted to business</th>
<th>4. Compensation attributable to unrelated business</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td>%</td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td>%</td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td>%</td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td>%</td>
<td></td>
</tr>
<tr>
<td>Total. Enter here and on page 1, Part II, line 14</td>
<td></td>
<td></td>
<td>0.</td>
</tr>
</tbody>
</table>

Form 990-T (2017)
## OTHER DEDUCTIONS

<table>
<thead>
<tr>
<th>DESCRIPTION</th>
<th>AMOUNT</th>
</tr>
</thead>
<tbody>
<tr>
<td>TAX RETURN PREPARATION FEES</td>
<td>9,000.</td>
</tr>
<tr>
<td>INVESTMENT MANAGEMENT FEES</td>
<td>2,596.</td>
</tr>
</tbody>
</table>

TOTAL TO FORM 990-T, PAGE 1, LINE 28: 11,596.

## NET OPERATING LOSS DEDUCTION

<table>
<thead>
<tr>
<th>TAX YEAR</th>
<th>LOSS SUSTAINED</th>
<th>LOSS PREVIOUSLY APPLIED</th>
<th>LOSS REMAINING</th>
<th>AVAILABLE THIS YEAR</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/31/98</td>
<td>65,904.</td>
<td>22,526.</td>
<td>43,378.</td>
<td>43,378.</td>
</tr>
<tr>
<td>12/31/99</td>
<td>190,746.</td>
<td>0.</td>
<td>190,746.</td>
<td>190,746.</td>
</tr>
<tr>
<td>12/31/00</td>
<td>111,615.</td>
<td>0.</td>
<td>111,615.</td>
<td>111,615.</td>
</tr>
<tr>
<td>12/31/01</td>
<td>3,412.</td>
<td>0.</td>
<td>3,412.</td>
<td>3,412.</td>
</tr>
<tr>
<td>12/31/02</td>
<td>33,793.</td>
<td>0.</td>
<td>33,793.</td>
<td>33,793.</td>
</tr>
<tr>
<td>12/31/03</td>
<td>33,894.</td>
<td>0.</td>
<td>33,894.</td>
<td>33,894.</td>
</tr>
<tr>
<td>12/31/04</td>
<td>24,123.</td>
<td>0.</td>
<td>24,123.</td>
<td>24,123.</td>
</tr>
<tr>
<td>12/31/05</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>12/31/06</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>12/31/07</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>12/31/08</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>12/31/09</td>
<td>3,080.</td>
<td>0.</td>
<td>3,080.</td>
<td>3,080.</td>
</tr>
<tr>
<td>12/31/10</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
<td>0.</td>
</tr>
<tr>
<td>12/31/11</td>
<td>9,597.</td>
<td>0.</td>
<td>9,597.</td>
<td>9,597.</td>
</tr>
<tr>
<td>12/31/12</td>
<td>18,648.</td>
<td>0.</td>
<td>18,648.</td>
<td>18,648.</td>
</tr>
<tr>
<td>12/31/13</td>
<td>5,860.</td>
<td>0.</td>
<td>5,860.</td>
<td>5,860.</td>
</tr>
<tr>
<td>12/31/14</td>
<td>42,510.</td>
<td>0.</td>
<td>42,510.</td>
<td>42,510.</td>
</tr>
</tbody>
</table>

NOL CARRYOVER AVAILABLE THIS YEAR: 664,813.
<table>
<thead>
<tr>
<th>PARTNERSHIP NAME</th>
<th>GROSS INCOME</th>
<th>DEDUCTIONS</th>
<th>NET INCOME OR (LOSS)</th>
</tr>
</thead>
<tbody>
<tr>
<td>DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND III (US)</td>
<td>-77.</td>
<td>2.</td>
<td>-79.</td>
</tr>
<tr>
<td>EIG ENERGY FUND XVI, LP</td>
<td>64,419.</td>
<td>67,117.</td>
<td>-2,698.</td>
</tr>
<tr>
<td>VENTURE INVESTMENT ASSOCIATES VI, LP</td>
<td>1,558.</td>
<td>3,603.</td>
<td>-2,035.</td>
</tr>
<tr>
<td>PARK SQUARE</td>
<td>149,303.</td>
<td>0.</td>
<td>149,303.</td>
</tr>
<tr>
<td>TOTAL TO FORM 990-T, PAGE 1, LINE 5</td>
<td>215,213.</td>
<td>70,722.</td>
<td>144,491.</td>
</tr>
</tbody>
</table>
**Capital Gains and Losses**

**Part I | Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(d)</td>
<td>Proceeds (sales price)</td>
<td>(e)</td>
<td>(f)</td>
</tr>
<tr>
<td>1a</td>
<td>Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>Totals for all transactions reported on Form(s) 8949 with Box A checked</td>
<td>3.</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Totals for all transactions reported on Form(s) 8949 with Box B checked</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Totals for all transactions reported on Form(s) 8949 with Box C checked</td>
<td>1,177.</td>
<td>407.</td>
</tr>
<tr>
<td>4</td>
<td>Short-term capital gain from installment sales from Form 6252, line 26 or 37</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Short-term capital gain or (loss) from like-kind exchanges from Form 8824</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Unused capital loss carryover (attach computation)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Net short-term capital gain or (loss). Combine lines 1a through 6 in column h</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Part II | Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(d)</td>
<td>Proceeds (sales price)</td>
<td>(e)</td>
<td>(f)</td>
</tr>
<tr>
<td>8a</td>
<td>Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8b</td>
<td>Totals for all transactions reported on Form(s) 8949 with Box D checked</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Totals for all transactions reported on Form(s) 8949 with Box E checked</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Totals for all transactions reported on Form(s) 8949 with Box F checked</td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Enter gain from Form 4797, line 7 or 9</td>
<td>11</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Long-term capital gain from installment sales from Form 6252, line 26 or 37</td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Long-term capital gain or (loss) from like-kind exchanges from Form 8824</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>Capital gain distributions</td>
<td></td>
<td>14</td>
</tr>
<tr>
<td>15</td>
<td>Net long-term capital gain or (loss). Combine lines 8a through 14 in column h</td>
<td>15</td>
<td></td>
</tr>
</tbody>
</table>

**Part III | Summary of Parts I and II**

16 | Enter excess of net short-term capital gain (line 7) over net long-term capital loss (line 15) | 16 |   |   | 773. |
| 17 | Net capital gain. Enter excess of net long-term capital gain (line 15) over net short-term capital loss (line 7) | 17 |   |   |   |
| 18 | Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the proper line on other returns. If the corporation has qualified timber gain, also complete Part IV | 18 |   |   | 773. |

Note: If losses exceed gains, see Capital losses in the instructions.

JWA For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Schedule D (Form 1120) 2017
### THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

**Schedule D (Form 1120) 2017**

**Part IV** Alternative Tax for Corporations with Qualified Timber Gain. Complete Part IV only if the corporation has

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>19</td>
<td>Enter qualified timber gain (as defined in section 1201(b)(2))</td>
</tr>
<tr>
<td>20</td>
<td>Enter taxable income from Form 1120, page 1, line 30, or the applicable line of your tax return</td>
</tr>
<tr>
<td>21</td>
<td>Enter the smallest of: (a) the amount on line 19; (b) the amount on line 20; or (c) the amount on Part III, line 17</td>
</tr>
<tr>
<td>22</td>
<td>Multiply line 21 by 23.8% (0.238)</td>
</tr>
<tr>
<td>23</td>
<td>Subtract line 17 from line 20. If zero or less, enter 0-</td>
</tr>
<tr>
<td>24</td>
<td>Enter the tax on line 23, figured using the Tax Rate Schedule (or applicable tax rate) appropriate for the return with which Schedule D (Form 1120) is being filed</td>
</tr>
<tr>
<td>25</td>
<td>Add lines 21 and 23</td>
</tr>
<tr>
<td>26</td>
<td>Subtract line 25 from line 20. If zero or less, enter 0-</td>
</tr>
<tr>
<td>27</td>
<td>Multiply line 26 by 35% (0.35)</td>
</tr>
<tr>
<td>28</td>
<td>Add lines 22, 24, and 27</td>
</tr>
<tr>
<td>29</td>
<td>Enter the tax on line 20, figured using the Tax Rate Schedule (or applicable tax rate) appropriate for the return with which Schedule D (Form 1120) is being filed</td>
</tr>
<tr>
<td>30</td>
<td>Enter the smaller of line 28 or line 29. Also enter this amount on Form 1120, Schedule J, line 2, or the applicable line of your tax return</td>
</tr>
</tbody>
</table>

---

Schedule D (Form 1120) 2017
### Sales and Other Dispositions of Capital Assets

**Form 8949**

**Department of the Treasury**

**Internal Revenue Service**

**Social security number or taxpayer identification no.** 13-1623829

**Part I Short-Term**

Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a, you aren't required to report these transactions on Form 8949 (see instructions)

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box.

- **X** (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- □ (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS
- □ (C) Short-term transactions not reported to you on Form 1099-B

<table>
<thead>
<tr>
<th></th>
<th>Description of property</th>
<th>Date acquired (Mo., day, yr.)</th>
<th>Date sold or disposed of (Mo., day, yr.)</th>
<th>Proceeds (sales price)</th>
<th>Cost or other basis. See the Note below and see Column (e) in the instructions</th>
<th>Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See instructions.</th>
<th>Gain or (loss). Subtract column (e) from column (d) &amp; combine the result with column (g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>LTCG FROM VIA VI, LP</td>
<td>3.</td>
<td></td>
<td></td>
<td>3.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

2 Totals. Add the amounts in columns (d), (e), (g) and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked).

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.
# Sales and Other Dispositions of Capital Assets

**Name(s) shown on return**

**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

Social security number or taxpayer identification no.

13-1623829

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**Part I Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your broker and may even tell you which box to check.

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box.

If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

A Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

(B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

X (C) Short-term transactions not reported to you on Form 1099-B

## Transactions

<table>
<thead>
<tr>
<th>(a) Description of property</th>
<th>(b) Date acquired (Mo., day, y.)</th>
<th>(c) Date sold or disposed of (Mo., day, y.)</th>
<th>(d) Proceeds (sales price)</th>
<th>(e) Cost or other basis. See the Note below and Column (e) in the instructions</th>
<th>(f) Code(s)</th>
<th>(g) Amount of adjustment</th>
<th>(h) Gain or (loss). Subtract column (e) from column (d) &amp; combine the result with column (g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>LTCL FROM DB FUND</td>
<td>I I I</td>
<td></td>
<td>366.</td>
<td>&lt;366.&gt;</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>STCL FROM EIG ENERGY FUND XVI, LP</td>
<td></td>
<td></td>
<td>41.</td>
<td>&lt;41.&gt;</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LT TCG FROM EIG ENERGY FUND XVI, LP</td>
<td></td>
<td></td>
<td>1,177.</td>
<td>1,177.</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

## Totals

2 Totals. Add the amounts in columns (d), (e), (g) and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked).

1,177. 407. 770.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (g) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.