Form **990**

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

2021

Department of the Treasury Internal Revenue Service ▶ Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

<u>A</u> I	or the	e 2021 calendar year, or tax year beginning and c	enaing	_				
В	Check if applicable	C Name of organization THE AMERICAN SOCIETY FOR THE PREVENTION	N	D Employer identifi	cation number			
	Addre	OF CRUELTY TO ANIMALS	_,					
	Name chang	Doing business as		13-16238	29			
	Initial return	,	Room/suite					
	Final return	424 EAST 92ND STREET		212-876-				
_	termir ated Amen	, , , , , , , , , , , , , , , , , , , ,		-	G Gross receipts \$ 403,842,871.			
누	return	NEW 10RK, NI 10128-0804		H(a) Is this a group re				
L	tion pendi	F Name and address of principal officer: MAII HEW BEASHADKEN	004	for subordinates				
_	F	424 EAST 92ND ST, NEW YORK, NY 10128-6		H(b) Are all subordinates in				
		empt status: X 501(c)(3) 501(c) () (insert no.) 4947(a)(1) cte: ► WWW.ASPCA.ORG	or 527	7	list. See instructions			
		organization: X Corporation Trust Association Other ►	I Voor	of formation: 1866	M State of legal domicile: NY			
	art I	Summary	L TEAT	or formation. 1000 r	VI State of legal doffliche. IN I			
_	1	Briefly describe the organization's mission or most significant activities: TO PF	ROVIDE	EFFECTIVE 1	MEANS FOR			
Activities & Governance		THE PREVENTION OF CRUELTY TO ANIMALS IN T						
rna	2	Check this box if the organization discontinued its operations or dispos	ed of more	than 25% of its net as:	sets.			
ove	3	Number of voting members of the governing body (Part VI, line 1a)		3	13			
Ğ	4	Number of independent voting members of the governing body (Part VI, line 1b)			12			
es 8	5	Total number of individuals employed in calendar year 2021 (Part V, line 2a)			1279			
ĬŢ	6	Total number of volunteers (estimate if necessary)			1309			
Act	7 a			7a	227,117.			
_	b	Net unrelated business taxable income from Form 990-T, Part I, line 11	·····		0.			
Revenue		Contributions and avanta (Dout VIII line 41b)	<u> </u>	Prior Year 287,167,570.	Current Year 351, 249, 754.			
	1	Contributions and grants (Part VIII, line 1h)		15,574,692.	18,851,202.			
	1	Program service revenue (Part VIII, line 2g)		19,142,994.	15,667,661.			
Be		Investment income (Part VIII, column (A), lines 3, 4, and 7d) Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		2,886,849.	4,166,268.			
	1	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		324,772,105.	389,934,885			
_		Grants and similar amounts paid (Part IX, column (A), lines 1-3)		12,653,002.	10,377,501.			
	1	Benefits paid to or for members (Part IX, column (A), line 4)		0.	0.			
"	45	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		03,770,439.				
Expenses	16a	Professional fundraising fees (Part IX, column (A), line 11e)		2,525,661.	7,045,347.			
per	. ь	Total fundraising expenses (Part IX, column (D), line 25) > 59,992,19	91.					
ŭ	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		48,807,189.	170,370,557.			
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	2	267,756,291.	302,445,596.			
	19	Revenue less expenses. Subtract line 18 from line 12		57,015,814.	87,489,289.			
Net Assets or				eginning of Current Year	End of Year			
ssets	20	Total assets (Part X, line 16)		170,124,444.	575,080,492.			
et As	21	Total liabilities (Part X, line 26)		62,836,904.	66,832,381.			
	22 art II	Net assets or fund balances. Subtract line 21 from line 20	4	107,287,540.	508,248,111.			
			and atatam	anta and to the heat of m	/ knowledge and heliof it is			
truo	corre	Ities of perjury declars: that I have examined this return, including accompanying schedules it, and complete, Declaration of preparer (other than officer) is based on all information of when the complete of the complete o	ich proparar	tiits, aiiu to tiit best oi iiiy hae any knowledge	/ Kilowieuge allu bellel, it is			
tiuc	, сопте	is and committee because on or preparer (other than officer) is based on an information of will be because of an information of will	icii pi epai ei	11/1/20	22			
Sig	n	SignARUTE OF OTTREEPC		Date				
Her		GORDON LAVALETTE, CFO						
1101	Ŭ	Type or print name and title						
Print/Type preparer's name Preparer's signature Date Date Print/Type preparer's name								
Paid	i	PATRICK YU, CPA		11/1/2022 if self-employ	P00675982			
Pre	parer	Firm's name ▶ BAKER TILLY US, LLP			39-0859910			
Use	Only	Firm's address ONE PENN PLAZA, SUITE 3000						
		NEW YORK, NY 10119		Phone no. 21	2.697.6900			
Ma	the II	RS discuss this return with the preparer shown above? See instructions			X Yes No			

DocuSign Envelope ID: 9EFF35E4-5873-47F3-9557-DFAF538D9024 THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS 13-1623829 Page 2 Part III Statement of Program Service Accomplishments X Check if Schedule O contains a response or note to any line in this Part III Briefly describe the organization's mission: SEE SCHEDULE O Did the organization undertake any significant program services during the year which were not listed on the Yes X No prior Form 990 or 990-EZ? If "Yes," describe these new services on Schedule O. Did the organization cease conducting, or make significant changes in how it conducts, any program services? _______ Yes X No If "Yes," describe these changes on Schedule O. Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.) (Expenses \$ 102,418,892. including grants of \$ 5,292,353.) (Revenue \$ 18,851,202. SEE SCHEDULE O 84,426,721. including grants of \$ _____) (Revenue \$ _ _____ 4b (Code: SEE SCHEDULE O 40,991,063. including grants of \$ 5,085,148.) (Revenue \$) (Expenses \$ SEE SCHEDULE O

4d	Other program services (Describe on Sc	hedule O.)	
	(Funance C	including grants of C	\ (Davierus f)

227,836,676. Total program service expenses ▶

Part IV | Checklist of Required Schedules

THE AMERICAN SOCIETY FOR THE PREVENTION

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Yes No 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? Х If "Yes," complete Schedule A 2 Х Is the organization required to complete Schedule B, Schedule of Contributors? See instructions Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for Х public office? If "Yes," complete Schedule C, Part I 3 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect Х during the tax year? If "Yes," complete Schedule C, Part II 4 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or X 5 similar amounts as defined in Rev. Proc. 98-19? If "Yes," complete Schedule C, Part III Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to Х provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes." complete Schedule D. Part I 6 Did the organization receive or hold a conservation easement, including easements to preserve open space, X the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Х 8 Schedule D, Part III Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? Х If "Yes," complete Schedule D, Part IV 9 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments Х 10 or in quasi endowments? If "Yes," complete Schedule D, Part V If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, IX, or X, a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, 11a Х Part VI Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total Х assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 11b Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes." complete Schedule D, Part VIII Х 11c d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Х Part X, line 16? If "Yes," complete Schedule D, Part IX 11d Х 11e e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses Х the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes." complete Schedule D. Part X 11f 12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes." complete Schedule D, Parts XI and XII Х 12a b Was the organization included in consolidated, independent audited financial statements for the tax year? Х 12b If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 13 14a Did the organization maintain an office, employees, or agents outside of the United States? Х 14a Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 Х or more? If "Yes," complete Schedule F, Parts I and IV 14b Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any 15 Х foreign organization? If "Yes," complete Schedule F, Parts II and IV 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV X Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, 17 Х column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I. See instructions 17 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines Х 18 1c and 8a? If "Yes," complete Schedule G, Part II Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes." 19 complete Schedule G, Part III 20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H 20a **b** If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? 20b Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes." complete Schedule I, Parts I and II

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Part IV | Checklist of Required Schedules (continued) Yes No Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes." complete Schedule I, Parts I and III Х 22 23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes." complete 23 Х 24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Х Schedule K. If "No," go to line 25a 24a **b** Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? 24b Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? 24c d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? 24d 25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I 25a Х b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Х 25b 26 Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% Х 26 controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III Х 27 28 Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV, instructions for applicable filing thresholds, conditions, and exceptions): A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes." complete Schedule L, Part IV 28a **b** A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV 28b A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If Х 28c "Yes," complete Schedule L, Part IV Х Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M 29 29 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation Х contributions? If "Yes," complete Schedule M 30 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I Х 31 31 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes." complete 32 Х Did the organization own 100% of an entity disregarded as separate from the organization under Regulations Х sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I 33 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Х X 35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? 35a b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 Х 35b Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? Х 36 If "Yes," complete Schedule R, Part V, line 2 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI Х 37 Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19? Х Note: All Form 990 filers are required to complete Schedule O 38 Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response or note to any line in this Part V Yes No 184 **1a** Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable 1a Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable 0 Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?

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Statements Regarding Other IRS Filings and Tax Compliance Part V Yes No 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, 1279 filed for the calendar year ending with or within the year covered by this return Х b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2b Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. See instructions. X 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? За Х b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O 3b 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a X financial account in a foreign country (such as a bank account, securities account, or other financial account)? 4a **b** If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). **5a** Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? 5a Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5b If "Yes" to line 5a or 5b, did the organization file Form 8886-T? Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit Х any contributions that were not tax deductible as charitable contributions? b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 6b 7 Organizations that may receive deductible contributions under section 170(c). Х Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 7a Х If "Yes," did the organization notify the donor of the value of the goods or services provided? 7b Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required X to file Form 8282? 7с **d** If "Yes," indicate the number of Forms 8282 filed during the year Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? 7g 7h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? 8 9 Sponsoring organizations maintaining donor advised funds. Did the sponsoring organization make any taxable distributions under section 4966? 9a Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? 9b 10 Section 501(c)(7) organizations. Enter: Initiation fees and capital contributions included on Part VIII, line 12 10a Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b 11 Section 501(c)(12) organizations. Enter: Gross income from members or shareholders Gross income from other sources. (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? 12a 12a If "Yes," enter the amount of tax-exempt interest received or accrued during the year 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? 13a Note: See the instructions for additional information the organization must report on Schedule O. Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans 13b Enter the amount of reserves on hand X Did the organization receive any payments for indoor tanning services during the tax year? 14a **b** If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O 14b Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or Х excess parachute payment(s) during the year? 15 If "Yes," see the instructions and file Form 4720, Schedule N. X Is the organization an educational institution subject to the section 4968 excise tax on net investment income? 16 If "Yes," complete Form 4720, Schedule O. Section 501(c)(21) organizations. Did the trust, any disqualified person, or mine operator engage in any activities that would result in the imposition of an excise tax under section 4951, 4952 or 4953? 17 If "Yes," complete Form 6069.

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Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

	Check if Schedule O contains a response or note to any line in this Part VI					X
Sec	tion A. Governing Body and Management					
					Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	13			
	If there are material differences in voting rights among members of the governing body, or if the governing					
	body delegated broad authority to an executive committee or similar committee, explain on Schedule O.					
b	Enter the number of voting members included on line 1a, above, who are independent	1b	12			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship	with any other				
	officer, director, trustee, or key employee?			2		Х
3	Did the organization delegate control over management duties customarily performed by or under the					
	of officers, directors, trustees, or key employees to a management company or other person?			3		Х
4	Did the organization make any significant changes to its governing documents since the prior Form 99	00 was filed?		4		Х
5	Did the organization become aware during the year of a significant diversion of the organization's asset	ets?		5		Х
6	Did the organization have members or stockholders?			6		Х
7a	Did the organization have members, stockholders, or other persons who had the power to elect or app	point one or				
	more members of the governing body?			7a		Х
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, sto	ockholders, or				
	persons other than the governing body?			7b		Х
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year	by the following:				
а	The governing body?			8a	Х	
b	Each committee with authority to act on behalf of the governing body?			8b	Х	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be read	hed at the				
	organization's mailing address? If "Yes." provide the names and addresses on Schedule O			9		X
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Rev	renue Code.)				
			,		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?			10a		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such characteristics.	apters, affiliates,				
	and branches to ensure their operations are consistent with the organization's exempt purposes?			10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body	before filing the	form?	11a	X	
b	Describe on Schedule O the process, if any, used by the organization to review this Form 990.					
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13			12a	X	
b	$Were \ of ficers, \ directors, \ or \ trustees, \ and \ key \ employees \ required \ to \ disclose \ annually \ interests \ that \ could \ give \ rise$	to conflicts?		12b	X	
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "You	es," describe				
	on Schedule O how this was done			12c	X	
13	Did the organization have a written whistleblower policy?			13	X	
14	Did the organization have a written document retention and destruction policy?			14	X	
15	Did the process for determining compensation of the following persons include a review and approval	•				
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?					
	The organization's CEO, Executive Director, or top management official			15a	X	
b	Other officers or key employees of the organization			15b	X	
	If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.					
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement	ent with a				
	taxable entity during the year?			16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate		۱			
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organi					
	exempt status with respect to such arrangements?			16b		
Sec	tion C. Disclosure			TT C	7777	
17	List the states with which a copy of this Form 990 is required to be filed AL, AR, CA, CO, C					
18	Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, an	d 990-T (section	501(c)(3)s	only)	availal	ble
	for public inspection. Indicate how you made these available. Check all that apply.					
	` ,	on Schedule O)				
19	Describe on Schedule O whether (and if so, how) the organization made its governing documents, cor	nflict of interest p	oolicy, and	financ	cial	
	statements available to the public during the tax year.		_			
20	State the name, address, and telephone number of the person who possesses the organization's boo	ks and records	▶			
	GORDON LAVALETTE, CFO - 212-876-7700 520 EIGHTH AVENUE, 7TH FLOOR, NEW YORK, NY 10018					
	JAO PIGHIR WARNOR' \IU LHOOK' NEM IOKV' NI TAATO					

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13-1623829 Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated **Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

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Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See the instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

Check this box if neither the organization ne	or any related	orga	niza	tion	con	npen	sate	ed any current officer, di	rector, or trustee.			
(A)	(B)	(C)					(D)	(E)	(F)			
Name and title	Average	(do	officer and a director			nne	Reportable	Reportable	Estimated			
	hours per	box			box, unless person is both an			s both	an	compensation	compensation	amount of
	week				recto	r/trus	tee)	from	from related	other		
	(list any	rector						the	organizations	compensation		
	hours for	or di	9.6			ated		organization	(W-2/1099-MISC/	from the		
	related organizations	ustee	trust		e e	Suadu		(W-2/1099-MISC/ 1099-NEC)	1099-NEC)	organization and related		
	below	lual tr	tional		nploy	st con	_	1099-14EC)		organizations		
	line)	Individual trustee or director	In stit utio nal tru stee	Officer	Key employee	Highest compensated employee	Former			organizations		
(1) MATT BERSHADKER	65.00	_	_		×	_ e	ш.					
PRESIDENT & CHIEF EXECUTIVE OFFICER	2.00	Х		Х				881,785.	0.	108,740.		
(2) TODD HENDRICKS	50.00									-		
SVP, DEVELOPMENT	0.00				Х			468,538.	0.	38,158.		
(3) BERT TROUGHTON	50.00											
SVP, SHELTER & VETERINARY SERVICES	2.00				Х			420,613.	0.	47,440.		
(4) CHERYL BUCCI	50.00											
SENIOR VICE PRESIDENT, OPS & PEOPLE	0.00				Х			385,415.	0.	64,977.		
(5) ELIZABETH ESTROFF	50.00								_			
SVP, COMMUNICATIONS	0.00				Х			384,793.	0.	57,361.		
(6) BEVERLY JONES	50.00											
SVP, CHIEF LEGAL OFFICER	2.00				Х			348,354.	0.	55,229.		
(7) J'MAI GAYLE	50.00											
DIRECTOR, SURGERY	0.00					X		325,802.	0.	63,901.		
(8) STACY WOLF	50.00											
SVP, POLICY, RESPONSE & ENGAGEMENT	0.00				Х			350,560.	0.	32,320.		
(9) CAMILLE DECLEMENTI	50.00											
VP, ASPCA ANIMAL HOSPITAL	0.00					Х		279,797.	0.	35,713.		
(10) NANCY PERRY	50.00											
SVP, GOVERNMENT RELATIONS	0.00					Х		261,416.	0.	46,312.		
(11) JENNIFER CHIN	50.00											
VICE PRESIDENT, LEGAL ADVOC/INVESTIG	0.00					Х		262,969.	0.	37,570.		
(12) AMY BERKOWITZ	50.00											
VP, INFORMATION TECHNOLOGY	0.00					Х		254,810.	0.	32,734.		
(13) GORDON LAVALETTE	50.00											
SVP, CFO AS OF 3/15/2021	5.00			Х				250,909.	0.	997.		
(14) SALLY SPOONER	3.00								•			
CHAIRPERSON		Х		Х				0.	0.	0.		
(15) SCOTT THIEL	1.00								•	_		
VICE CHAIRPERSON	0.00	Х		Х				0.	0.	0.		
(16) JANE W. PARVER	1.00			,,					•	_		
TREASURER		Х		Х				0.	0.	0.		
(17) LINDA LLOYD LAMBERT	1.00	٦,		ξ,					^			
SECRETARY	0.00	X		X				0.	0.	0 .		

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OF CRUELTY TO ANIMALS 13-1623829 Page 8

Part VII | Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued) (B) (C) (F) (A) (D) (E) Position Average Reportable Name and title Reportable Estimated (do not check more than one hours per compensation compensation amount of box, unless person is both an officer and a director/trustee) week from from related other (list any ndividual trustee or director organizations compensation the hours for (W-2/1099-MISC/ organization from the lighest compensated mployee related nstitutional trustee (W-2/1099-MISC/ 1099-NEC) organization organizations ey employee 1099-NEC) and related below organizations line) (18) ARRIANA BOARDMAN 1.00 DIRECTOR X 0. 0. 0.00 0. (19) MICHAEL D'ALTO 1.00 Х 0. 0. 0. DIRECTOR 0.00 (20) SIVAN HONG 1.00 0.00 Х 0. 0. 0. DIRECTOR AS OF 6/2021 (21) JEFFREY A. PFEIFLE 1.00 DIRECTOR 0.00 X 0. 0. 0. (22) MARTIN PURIS 1.00 DIRECTOR 0.00 Х 0. 0. 0. (23) FREDERICK TANNE 1.00 DIRECTOR 0.00 Х 0. 0. 0. (24) C. ALLEN PARKER 1.00 DIRECTOR UNTIL 1/6/2021 0.00 Х 0. 0. 0. (25) MARY JO WHITE 1.00 DIRECTOR 0.00 Х 0. 0. 0. (26) TIM F. WRAY 1.00 DIRECTOR 0.00 0. 0. 0. 4,875, 761. 0. 621,452. 1b Subtotal 0. 0. 0. c Total from continuation sheets to Part VII, Section A 4.875.761. 0. 621,452. Total (add lines 1b and 1c) Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable 259 compensation from the organization Yes No Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on Х line 1a? If "Yes," complete Schedule J for such individual 3 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual 4 Х Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services

rendered to the organization? If "Yes," complete Schedule J for such person **Section B. Independent Contractors**

Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
	Description of services	Compensation
EAGLE-COM, INC., 2300 YONGE ST., STE 1700,		
TORONTO, ONTARIO, CANADA M4P 1E4	DONOR ACQUISITION	30,076,521.
LAUGHLIN CONSTABLE, INC.		
	DONOR ACQUISITION	13,947,275.
ASCENTA GROUP INC., 315 WEST 36TH ST.,		
10TH FLOOR, NEW YORK, NY 10018	DONOR ENGAGEMENT	13,540,710.
EDGE DIRECT LLC		
3030 WATERVIEW AVENUE, BALTIMORE, MD 21230	DONOR ENGAGEMENT	12,075,407.
GOOGLE, INC. DEPT. 33654, 1600		
AMPHITHEATRE PKWY, MOUNTAIN VIEW, CA 94043	DONOR ACQUISITION	7,557,282.
2 Total number of independent contractors (including but not limited to those listed	d above) who received more than	
\$100,000 of compensation from the organization 121		
	·	- 000

Х

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Form 990 (2021) OF CRUEI
Part VIII Statement of Revenue

OF CRUELTY TO ANIMALS

13-1623829

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Ра			Check if Schedule O contains a response	or note to any line	e in this Part VIII			
			Chicon in Correction C Correction a 1 coponed		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514
Contributions, Gifts, Grants and Other Similar Amounts	1	b c d	Federated campaigns Membership dues Fundraising events Related organizations Government grants (contributions) All other contributions, gifts, grants, and similar amounts not included above Noncash contributions included in lines 1a-1f 1g \$	387,361. 191,127. 350,671,266. 4,909,376.				
Cor		_	Total. Add lines 1a-1f		351249754.			
				Business Code				
ce	2		ANIMAL POISON CONTROL CENTER FEES	900000	17,343,359.	17343359.		
ervi			ASPCA SPAY NEUTER ALLIANCE	900000	864,353.	864,353.		
n S		•	MOBILE CLINIC VET & CLINIC REV.	900000	378,032.	378,032.		
grar Re		d	ANIMAL HOSPITAL FEES ADOPTION CENTER FEES	900000	221,871.	221,871. 43,587.		
Program Service Revenue		e		900000	43,587.	43,567.		
_			All other program service revenue		18,851,202.			
	3		Investment income (including dividends, intere other similar amounts)	st, and	6,356,976.			6356976.
	4		Income from investment of tax-exempt bond p	roceeds 🕨				
	5		Royalties		3,675,877.			3675877.
	6	b	Gross rents 6a 6b Rental income or (loss) 6c	(ii) Personal				
			Net rental income or (loss)					
	7		Gross amount from sales of assets other than inventory (i) Securities 7a 23,106,497.	(ii) Other				
Revenue			Less: cost or other basis and sales expenses					
eve			Gain or (loss) 7c 9,310,685.		9 310 685			9310685.
Other R	8		Ret gain or (loss) Gross income from fundraising events (not including \$ 387,361. of	P	9,310,685.			9310663.
		h	contributions reported on line 1c). See Part IV, line 18 Less: direct expenses 8a 8b	6,062. 112,174.				
			Net income or (loss) from fundraising events		-106,112.			-106,112.
	9		Gross income from gaming activities. See		,			, ===
		_	Part IV, line 19					
			Less: direct expenses9b					
			Net income or (loss) from gaming activities	>				
	10		Gross sales of inventory, less returns and allowances 10a Less: cost of goods sold 10b					
			Net income or (loss) from sales of inventory					
_				Business Code				
Miscellaneous Revenue	11	а	LIST SALES	900099	369,386.			369,386.
ane			GAIN FROM K-1 ACTIVITY	900099	227,117.		227,117.	
eve		С						
Aisc B		d	All other revenue					
		е	Total. Add lines 11a-11d		596,503.			
	12		Total revenue. See instructions	>	389934885.	18851202.	227,117.	19606812.

Form 990 (2021) OF CRUELTY TO ANIMALS

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A). Check if Schedule O contains a response or note to any line in this Part IX (C) Management and general expenses (**D**)
Fundraising (B) (A) Do not include amounts reported on lines 6b. Program service expenses Total expenses expenses 7b, 8b, 9b, and 10b of Part VIII. Grants and other assistance to domestic organizations 10,377,501. 10,377,501. and domestic governments. See Part IV, line 21 Grants and other assistance to domestic individuals. See Part IV, line 22 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 Benefits paid to or for members Compensation of current officers, directors, 1,280,331. 1,897,075. 3,896,188. 718,782. trustees, and key employees Compensation not included above to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Other salaries and wages 84,084,793. 71,749,125. 5,268,123. 7,067,545. 7 Pension plan accruals and contributions (include 4,773,717. 4,087,037. 275,616. 411,064. section 401(k) and 403(b) employer contributions) 1,387,799. 15,496,670. 13,054,932. 1,053,939. Other employee benefits 9 6,400,823. 5,374,106. 450,213. 576,504. 10 Payroll taxes Fees for services (nonemployees): 11 566,388. 315,110. 97,799. 153,479. Management 1,183,958. 577,938. 426,780. 179,240. Legal 746,282. 746,282. Accounting 395,040. 395,040. Lobbying 7,045,347. 7,045,347. Professional fundraising services. See Part IV, line 17 817,986. 817,986. Investment management fees Other. (If line 11g amount exceeds 10% of line 25, 20,381,608. 393,282. 814,797. column (A), amount, list line 11g expenses on Sch O.) 21,589,687. 19,830,919. 56,578,629. 36,625,280. 122,430. Advertising and promotion 12 33,961,919. 22,003,059. 172,599. 11,786,261. Office expenses 13 19,412,702. 9,996,714. 731,972. 8,684,016. 14 Information technology Royalties 15 4,277,333. 6,894,381. 1,690,375. 926,673. Occupancy 16 5,262,608. 5,178,317. 41,491. 42,800. 17 Travel Payments of travel or entertainment expenses 18 for any federal, state, or local public officials 383,776. 179,397. 163,605. 40,774. Conferences, conventions, and meetings 19 20 Payments to affiliates 21 6,403,504. 6,089,548. 203,885. 110,071. Depreciation, depletion, and amortization 22 1,803,835. 1,469,277. 225,412. 109,146. 23 Other expenses. Itemize expenses not covered 24 above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A), amount, list line 24e expenses on Schedule O.) 8,182,571. 8,182,571. VETERINARY & MEDICAL SE 2,628,881. **OPERATING SUPPLIES** 2,706,893. 35,315. 42,697. 272,234. $1,981,\overline{919}$ 1,638,642. MISCELLANEOUS EXPENSES 71,043. 91,380. d REPAIRS AND MAINTENANCE 1,498,479. 1,358,185. 48,914. e All other expenses _ 302,445,596.227,836,676. 14,616,729. 59,992,191. Total functional expenses. Add lines 1 through 24e 25 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. 122,663,670. 74,979,530. 268,599. 47,415,541. Check here X if following SOP 98-2 (ASC 958-720)

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Form 990 (2021)

Part X | Balance OF CRUELTY TO ANIMALS 13-1623829 Page 11

Part X		Balance Sheet						
		Check if Schedule O contains a response or note to any line in this Part X						
			(A) Beginning of year		(B) End of year			
	1	Cash - non-interest-bearing	10,594,590.	1	11,948,498.			
	2	Savings and temporary cash investments	40,616,194.	2	93,754,904.			
	3	Pledges and grants receivable, net	13,654,044.	3	24,284,914.			
	4	Accounts receivable, net	5,301,656.	4	5,189,016.			
	5	Loans and other receivables from any current or former officer, director,						
		trustee, key employee, creator or founder, substantial contributor, or 35%						
		controlled entity or family member of any of these persons		5				
	6	Loans and other receivables from other disqualified persons (as defined						
		under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)		6				
ι	7	Notes and loans receivable, net		7				
Assets	8	Inventories for sale or use		8				
ğ	9	Prepaid expenses and deferred charges	5,488,607.	9	7,037,598.			
	10a	Land, buildings, and equipment: cost or other						
		basis. Complete Part VI of Schedule D 10a 122,505,580.						
	b	Less: accumulated depreciation 10b 58,046,299.	61,164,799.	10c	64,459,281.			
	11	Investments - publicly traded securities	219,911,584.	11	247,993,558.			
	12	Investments - other securities. See Part IV, line 11	59,439,736.	12	63,868,453.			
	13	Investments - program-related. See Part IV, line 11		13				
	14	Intangible assets	F2 0F2 024	14	56 544 050			
	15	Other assets. See Part IV, line 11	53,953,234.	15	56,544,270.			
	16	Total assets. Add lines 1 through 15 (must equal line 33)	470,124,444.	16	575,080,492.			
	17	Accounts payable and accrued expenses	19,045,160. 1,313,840.	17	21,011,449.			
	18	Grants payable	1,313,040.	18	2,300,240.			
	19	Deferred revenue		19				
	20 21	Tax-exempt bond liabilities Escrow or custodial account liability. Complete Part IV of Schedule D		20 21				
	22	Loans and other payables to any current or former officer, director,		21				
ties	~~	trustee, key employee, creator or founder, substantial contributor, or 35%						
Liabilities		controlled entity or family member of any of these persons		22				
Lia	23	Secured mortgages and notes payable to unrelated third parties		23				
	24	Unsecured notes and loans payable to unrelated third parties		24				
	25	Other liabilities (including federal income tax, payables to related third						
		parties, and other liabilities not included on lines 17-24). Complete Part X						
		of Schedule D	42,477,904.	25	43,234,686.			
	26	Total liabilities. Add lines 17 through 25	62,836,904.	26	66,832,381.			
		Organizations that follow FASB ASC 958, check here X						
ces		and complete lines 27, 28, 32, and 33.						
<u>a</u>	27	Net assets without donor restrictions	334,126,940.	27	424,064,683.			
Ва	28	Net assets with donor restrictions	73,160,600.	28	84,183,428.			
멑		Organizations that do not follow FASB ASC 958, check here						
Ę		and complete lines 29 through 33.						
Net Assets or Fund Balances	29	Capital stock or trust principal, or current funds		29				
sset	30	Paid-in or capital surplus, or land, building, or equipment fund		30				
ţ	31	Retained earnings, endowment, accumulated income, or other funds	400 000 540	31	F00 040 111			
Ş	32	Total net assets or fund balances	407,287,540.	32	508,248,111.			
	33	Total liabilities and net assets/fund balances	470,124,444.	33	575,080,492.			

Form **990** (2021)

Form 990 (2021) OF CRUELTY TO ANIMALS 13-1623829 Page 12

Pa	rt XI Reconciliation of Net Assets				
	Check if Schedule O contains a response or note to any line in this Part XI				X
1	Total revenue (must equal Part VIII, column (A), line 12)	1	389,93	4,8	<u>85.</u>
2	Total expenses (must equal Part IX, column (A), line 25)	2	302,44		
3	Revenue less expenses. Subtract line 2 from line 1	3	87,48	9,2	<u>89.</u>
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	407,28	7,5	40.
5	Net unrealized gains (losses) on investments	5	11,01	0,7	98.
6	Donated services and use of facilities	6			
7	Investment expenses	7			
8	Prior period adjustments	8			
9	Other changes in net assets or fund balances (explain on Schedule O)	9	2,46	0,4	84.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,				
	column (B))	10	508,24	8,1	11.
Pa	rt XII Financial Statements and Reporting				
	Check if Schedule O contains a response or note to any line in this Part XII				
				Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other		_		
	If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule	Ο.			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?		2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	on a			
	separate basis, consolidated basis, or both:				
	Separate basis Consolidated basis Both consolidated and separate basis				
b	Were the organization's financial statements audited by an independent accountant?		2b	X	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate	basis,			
	consolidated basis, or both:				
	Separate basis X Consolidated basis Both consolidated and separate basis				
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the	audit,			
	review, or compilation of its financial statements and selection of an independent accountant?		2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain on Sche	edule O.			
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sin	gle Audit			
	Act and OMB Circular A-133?		3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the require	ed audit			
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits		3b		

Form **990** (2021)

SCHEDULE A

(Form 990)

Total

Department of the Treasury Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.
THE AMERICAN SOCIETY FOR THE PREVENTION

OMB No. 1545-0047

2021

Open to Public Inspection

Employer identification number Name of the organization CRUELTY TO ANIMALS 13-1623829 Reason for Public Charity Status. (All organizations must complete this part.) See instructions. Part I The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). X An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or 10 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 11 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g. Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization. Enter the number of supported organizations Provide the following information about the supported organization(s). (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of other vour governing document? (described on lines 1-10 organization support (see instructions) support (see instructions) No above (see instructions))

Schedule A (Form 990) 2021 OF (

OF CRUELTY TO ANIMALS

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Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

	,			,			
Sec	tion A. Public Support						
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1	Gifts, grants, contributions, and						
	membership fees received. (Do not						
	include any "unusual grants.")	219969109	<u> 243128195</u>	254185353	287167570	351249754	1355699981.
2	Tax revenues levied for the organ-						
	ization's benefit and either paid to						
	or expended on its behalf						
3	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge	010060100	040100105	054105252	007167570	251240754	4255600004
	Total. Add lines 1 through 3	219969109	243128195	254185353	287167570	351249754	1355699981.
5	The portion of total contributions						
	by each person (other than a						
	governmental unit or publicly						
	supported organization) included on line 1 that exceeds 2% of the						
	amount shown on line 11,						
	anlumn (f)						
6	Public support. Subtract line 5 from line 4.						1355699981.
Sec	tion B. Total Support						1333033301.
	ndar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
	Amounts from line 4	219969109	243128195	254185353	287167570	351249754	1355699981.
	Gross income from interest,						
Ŭ	dividends, payments received on						
	securities loans, rents, royalties,						
	and income from similar sources	5149538.	6362100.	8276288.	7694169.	10032853.	37514948.
9	Net income from unrelated business						
	activities, whether or not the						
	business is regularly carried on	145,264.	131,748.			227,117.	504,129.
10	Other income. Do not include gain						
	or loss from the sale of capital						
	assets (Explain in Part VI.)	1595302.	1325513.	973,879.	248,805.	375,448.	4518947.
11	Total support. Add lines 7 through 10						1398238005.
12	Gross receipts from related activities,	etc. (see instruction	ons)			12 75	,495,886.
13	First 5 years. If the Form 990 is for the	ne organization's fir	rst, second, third,	fourth, or fifth tax y	year as a section 5	01(c)(3)	
	organization, check this box and stop						>
	tion C. Computation of Publi					Г	
	Public support percentage for 2021 (I					14	96.96 %
	Public support percentage from 2020					15	97.26 %
16a	33 1/3% support test - 2021. If the						
_	stop here. The organization qualifies						
b	33 1/3% support test - 2020. If the	-					
	and stop here. The organization qual						
17a	10% -facts-and-circumstances test	-					
	and if the organization meets the fact			·		VI how the organiz	ation
L	meets the facts-and-circumstances to	_	•	*	-	70 and line 15 is 1	
α	10% -facts-and-circumstances test						U% OF
	more, and if the organization meets the organization meets the facts-and-circle				-		ightharpoonup
12	Private foundation. If the organization				• • • • •		

Schedule A (Form 990) 2021

OF CRUELTY TO ANIMALS

13-1623829 Page 3

Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to

qualify under the tests listed b Section A. Public Support	elow, please comp	plete Part II.)				
Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 Gifts, grants, contributions, and			, ,		'	,,
membership fees received. (Do not						
include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services per- formed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that						
are not an unrelated trade or bus- iness under section 513						
4 Tax revenues levied for the organ- ization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to						
the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and						
3 received from disqualified persons b Amounts included on lines 2 and 3 received						
p Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.) Section B. Total Support						
Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
9 Amounts from line 6	(4) 2011	(2) 2010	(6) 2010	(4) 2020	(0) 2021	(1) 10141
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income						
(less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included on line 10b, whether or not the business is						
Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First 5 years. If the Form 990 is for the	ne organization's f	irst, second, third,	fourth, or fifth tax	year as a section	501(c)(3) organization	on,
check this box and stop here						>
Section C. Computation of Publi	c Support Per	rcentage				
15 Public support percentage for 2021 (I	ine 8, column (f), c	divided by line 13,	column (f))		15	%
16 Public support percentage from 2020					16	%
Section D. Computation of Inves	tment Income	e Percentage				
17 Investment income percentage for 20)21 (line 10c, colu	mn (f), divided by li	ine 13, column (f))		17	%
18 Investment income percentage from						%
19a 33 1/3% support tests - 2021. If the	organization did r	not check the box	on line 14, and line	e 15 is more than	33 1/3%, and line 1	7 is not
more than 33 1/3%, check this box as						
b 33 1/3% support tests - 2020. If the line 18 is not more than 33 1/3%, che						
20 Private foundation. If the organization						

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Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes." answer lines 3b and 3c below.
- b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- 4a Was any supported organization not organized in the United States ("foreign supported organization")? |f "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.
- b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes." answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).
- Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? If "Yes." complete Part I of Schedule L (Form 990).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes." provide detail in Part VI.
- c Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes." provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.
 - b Did the organization have any excess business holdings in the tax year? (Use Schedule C. Form 4720, to determine whether the organization had excess business holdings.)

	1	· ·	
		Yes	No
	1		
	2		
	За		
	3b		
	3c		
	4a		
	4b		
	4c		
	5a		
	5 1.		
-	5b 5c		
	<u> </u>		
	6		
-	7		
	8		
	J		
	9a		
	Qh		
-	9b		
	9с		
	10a		
	10b		
lule	A (Forn	n 990)	2021

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Pai	t IV	Supporting Organizations (continued)			
		· · · · · · · · · · · · · · · · · · ·		Yes	No
11	Has th	ne organization accepted a gift or contribution from any of the following persons?			
а	A pers	son who directly or indirectly controls, either alone or together with persons described on lines 11b and			
	11c be	elow, the governing body of a supported organization?	11a		
b	A fami	ily member of a person described on line 11a above?	11b		
С	A 35%	6 controlled entity of a person described on line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide			
	detail	_{in} Part VI.	11c		
Sec	tion E	B. Type I Supporting Organizations			
				Yes	No
1		e governing body, members of the governing body, officers acting in their official capacity, or membership of one or			
		supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers,			
		ors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) ively operated, supervised, or controlled the organization's activities. If the organization had more than one supported			
		ization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the			
		orted organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2		e organization operate for the benefit of any supported organization other than the supported			
	organi	ization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in			
	Part V	$^{\prime\prime}$ how providing such benefit carried out the purposes of the supported organization(s) that operated,			
<u> </u>		vised, or controlled the supporting organization.	2		
sec	tion C	C. Type II Supporting Organizations			
				Yes	No
1		a majority of the organization's directors or trustees during the tax year also a majority of the directors			
		stees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
		nagement of the supporting organization was vested in the same persons that controlled or managed			
Sac	the su	pported organization(s). D. All Type III Supporting Organizations	1		
		77 m Type in cupper any cryamications		Yes	No No
1	Did th	e organization provide to each of its supported organizations, by the last day of the fifth month of the		163	140
•		ization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	•	(ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
		ization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	-	any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
		ization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
		ganization maintained a close and continuous working relationship with the supported organization(s).	2		
3	•	ason of the relationship described on line 2, above, did the organization's supported organizations have a			
	signific	cant voice in the organization's investment policies and in directing the use of the organization's			
	incom	e or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
	suppo	rted organizations played in this regard.	3		
Sec	tion E	E. Type III Functionally Integrated Supporting Organizations			
1		the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).		
a		The organization satisfied the Activities Test. Complete line 2 below.			
b		The organization is the parent of each of its supported organizations. Complete line 3 below.			
c		The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity (see in ties Test. Answer lines 2a and 2b below.	struction	s). Yes	No
2				res	NO
а		ubstantially all of the organization's activities during the tax year directly further the exempt purposes of apported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
		supported organization(s) to which the organization was responsive: If yes, then in Part Violentity supported organizations and explain how these activities directly furthered their exempt purposes,			
		the organization was responsive to those supported organizations, and how the organization determined			
		nese activities constituted substantially all of its activities.	2a		
b		e activities described on line 2a, above, constitute activities that, but for the organization's involvement,			
		r more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in			
		the reasons for the organization's position that its supported organization(s) would have engaged in			
		activities but for the organization's involvement.	2b		
3		t of Supported Organizations. Answer lines 3a and 3b below.			
а	Did th	e organization have the power to regularly appoint or elect a majority of the officers, directors, or			
	truste	es of each of the supported organizations? If "Yes" or "No" provide details in Part VI.	3a		
b	Did th	e organization exercise a substantial degree of direction over the policies, programs, and activities of each			
	of its s	supported organizations? If "Yes." describe in Part VI the role played by the organization in this regard.	3b		
		A	. A /Fau		0004

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	t V Type III Non-Functionally Integrated 509(a)(3) Supporting	ng Orgar	nizations	
1	Check here if the organization satisfied the Integral Part Test as a qualifyi	ng trust on	Nov. 20, 1970 (explain in	Part VI). See instructions.
	All other Type III non-functionally integrated supporting organizations must		-	•
Sect	on A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3.	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or			
	collection of gross income or for management, conservation, or			
	maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Sect	on B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see			
	instructions for short tax year or assets held for part of year):			
а	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
С	Fair market value of other non-exempt-use assets	1c		
d	Total (add lines 1a, 1b, and 1c)	1d		
е	Discount claimed for blockage or other factors			
	(explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d.	3		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount,			
	see instructions).	4		
_5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by 0.035.	6		
7	Recoveries of prior-year distributions	7		
8	Minimum Asset Amount (add line 7 to line 6)	8		
Sect	on C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1		
2	Enter 0.85 of line 1.	2		
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3		
4	Enter greater of line 2 or line 3.	4		
5	Income tax imposed in prior year	5		
6	Distributable Amount. Subtract line 5 from line 4, unless subject to			
	emergency temporary reduction (see instructions).	6		
7	Check here if the current year is the organization's first as a non-functional	ally integrat	ed Type III supporting orga	inization (see
	instructions).			

Schedule A (Form 990) 2021

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Par	Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)							
Secti	on D - Distributions		•	ĺ	Current Year			
1	Amounts paid to supported organizations to accomplish exer		1					
2	Amounts paid to perform activity that directly furthers exemp							
	organizations, in excess of income from activity		2					
3	Administrative expenses paid to accomplish exempt purpose	3	3					
4	Amounts paid to acquire exempt-use assets			4				
5	Qualified set-aside amounts (prior IRS approval required - pro	ovide details in Part VI)		5				
6	Other distributions (describe in Part VI). See instructions.			6				
7	Total annual distributions. Add lines 1 through 6.			7				
8	Distributions to attentive supported organizations to which the	e organization is responsive						
	(provide details in Part VI). See instructions.			8				
9	Distributable amount for 2021 from Section C, line 6			9				
10	Line 8 amount divided by line 9 amount			10				
Secti	on E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributior Pre-2021	ns	(iii) Distributable Amount for 2021			
1	Distributable amount for 2021 from Section C, line 6							
2	Underdistributions, if any, for years prior to 2021 (reason-							
	able cause required - explain in Part VI). See instructions.							
3	Excess distributions carryover, if any, to 2021							
	From 2016							
b	From 2017							
	From 2018							
	From 2019							
е	From 2020							
	Total of lines 3a through 3e							
	Applied to underdistributions of prior years							
	Applied to 2021 distributable amount							
<u> i </u>	Carryover from 2016 not applied (see instructions)							
	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.							
4	Distributions for 2021 from Section D,							
	line 7: \$							
	Applied to underdistributions of prior years							
	Applied to 2021 distributable amount							
	Remainder. Subtract lines 4a and 4b from line 4.							
5	Remaining underdistributions for years prior to 2021, if any. Subtract lines 3g and 4a from line 2. For result greater							
	than zero, explain in Part VI. See instructions.							
6	Remaining underdistributions for 2021. Subtract lines 3h							
U	and 4b from line 1. For result greater than zero, explain in							
	Part VI. See instructions.							
7	Excess distributions carryover to 2022. Add lines 3j							
-	and 4c.							
8	Breakdown of line 7:							
	Excess from 2017							
b	Excess from 2018							
	Excess from 2019							
d	Excess from 2020							
е	Excess from 2021							

Schedule A (Form 990) 2021

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Part VI Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

(See instructions.)	
SCHEDULE A, PART	II, LINE 10, EXPLANATION FOR OTHER INCOME:
LIST RENTALS	
2017 AMOUNT: \$	311,653.
2018 AMOUNT: \$	341,899.
2019 AMOUNT: \$	254,737.
2020 AMOUNT: \$	243,805.
2021 AMOUNT: \$	369,386.
FUNDRAISING INCO	ME
2017 AMOUNT: \$	1,283,649.
2018 AMOUNT: \$	983,614.
2019 AMOUNT: \$	719,142.
2020 AMOUNT: \$	5,000.
2021 AMOUNT: \$	6,062.

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SCHEDULE C (Form 990)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

➤ Complete if the organization is described below.
➤ Attach to Form 990 or Form 990-EZ. ► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

•	Section 501(c)(4), (5), or (6) organizat	tions: Complete Part III.			
Nan	ne of organization THE AME	RICAN SOCIETY FOR	THE PREVEN	TION Empl	oyer identification number
	OF CRUE	LTY TO ANIMALS			13-1623829
Pa	art I-A Complete if the org	janization is exempt undei	r section 501(c) o	r is a section 527 org	ganization.
2 3	Provide a description of the organiz Political campaign activity expendit Volunteer hours for political campai	ures ign activities		▶ \$	
		janization is exempt under			
	Enter the amount of any excise tax				
	Enter the amount of any excise tax				
	If the organization incurred a section				
	Was a correction made?				Yes No
	o If "Yes," describe in Part IV. art I-C Complete if the org	janization is exempt under	r coation 501(a)	export spotion 501/o	1/2)
		•			
	Enter the amount directly expended				
2	Enter the amount of the filing organ		· ·		
_	exempt function activities			▶\$	
3	Total exempt function expenditures		,	> 4	
	line 17b				
	Did the filing organization file Form				
Э	Enter the names, addresses and emmade payments. For each organization				
	contributions received that were pro				
	political action committee (PAC). If	• •	· · · · · · · · · · · · · · · · · · ·		9:-9
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from	(e) Amount of political
				filing organization's	contributions received and
				funds. If none, enter -0	promptly and directly delivered to a separate
					political organization.
					If none, enter -0
			1	1	1

THE AMERICAN SOCIETY FOR THE PREVENTION 13-1623829 Page 2 Schedule C (Form 990) 2021 OF CRUELTY TO ANIMALS Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)). A Check ▶ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures). B Check ▶ if the filing organization checked box A and "limited control" provisions apply. (a) Filing (b) Affiliated group Limits on Lobbying Expenditures organization's totals (The term "expenditures" means amounts paid or incurred.) totals 1a Total lobbying expenditures to influence public opinion (grassroots lobbying) **b** Total lobbying expenditures to influence a legislative body (direct lobbying) c Total lobbying expenditures (add lines 1a and 1b) d Other exempt purpose expenditures e Total exempt purpose expenditures (add lines 1c and 1d) Lobbying nontaxable amount. Enter the amount from the following table in both columns. If the amount on line 1e, column (a) or (b) is: The lobbying nontaxable amount is: Not over \$500,000 20% of the amount on line 1e. Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000. \$175,000 plus 10% of the excess over \$1,000,000. Over \$1,000,000 but not over \$1,500,000 Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000. Over \$17,000,000 \$1,000,000. g Grassroots nontaxable amount (enter 25% of line 1f) h Subtract line 1g from line 1a. If zero or less, enter -0i Subtract line 1f from line 1c. If zero or less, enter -0i If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? Yes 4-Year Averaging Period Under Section 501(h) (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.) Lobbying Expenditures During 4-Year Averaging Period Calendar year (e) Total (a) 2018 (b) 2019 (c) 2020 (d) 2021 (or fiscal year beginning in) 2a Lobbying nontaxable amount **b** Lobbying ceiling amount (150% of line 2a, column(e))

c Total lobbying expenditures d Grassroots nontaxable amount e Grassroots ceiling amount (150% of line 2d, column (e)) f Grassroots lobbying expenditures

Schedule C (Form 990) 2021

Schedule C (Form 990) 2021

OF CRUELTY TO ANIMALS

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Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For e	or each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description (a))
	e lobbying activity.	Yes	No	Amo	ount
1	During the year, did the filing organization attempt to influence foreign, national, state, or				
	local legislation, including any attempt to influence public opinion on a legislative matter				
	or referendum, through the use of:				
а	Volunteers?	X			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	X			
	Media advertisements?	X			,902.
d	Mailings to members, legislators, or the public?	X			,249.
е	Publications, or published or broadcast statements?	X		21	,662.
f	Grants to other organizations for lobbying purposes?		X		
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	X			,935.
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	X			353.
i	Other activities?	X			,484.
j	Total. Add lines 1c through 1i		77	1,333	,585.
	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X		
	If "Yes," enter the amount of any tax incurred under section 4912				
	If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
Dor	If the filling organization incurred a section 4912 tax, did it file Form 4720 for this year? t III-A Complete if the organization is exempt under section 501(c)(4), section	 n	E/ or ooc	tion	
Pai	501(c)(6).	11 50 1 (0)(oj, or sec	lion	
	30 1(c)(0).			Yes	No
_	Manage had a Malle all (000) and a second and a dead that he are second as			163	INU
1	Were substantially all (90% or more) dues received nondeductible by members?				
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?				
Par	Did the organization agree to carry over lobbying and political campaign activity expenditures from the till-B Complete if the organization is exempt under section 501(c)(4), section			tion	
	501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered		•		3, is
	answered "Yes."		` <i>'</i>		
1	Dues, assessments and similar amounts from members		1		
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenditures)	cal			
	expenses for which the section 527(f) tax was paid).				
	Current year				
b	Carryover from last year		2b		
С	Total		2c		
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues		3		
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the exceeds the amount on line 3, what portion of the exceeds the amount on line 3.	ess			
	does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and per				
	expenditure next year?		4		
	Taxable amount of lobbying and political expenditures. See instructions		5		
Par	t IV Supplemental Information				
Provi	de the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group	list); Part II-	A, lines 1 a	nd 2 (See	
	actions); and Part II-B, line 1. Also, complete this part for any additional information.				
PAF	RT II-B, LINE 1, LOBBYING ACTIVITIES:				
				0.7	
THE	ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE	PREVI	ENTLON	OF	
CRI	JELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED TH	ROUGH	A		
COI	BINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLI	CY ANI)		
LEC	SISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAININ	G PROC	RAMS '	ГНАТ	
IME	ACT MILLIONS OF ANIMALS AND ORGANIZATIONS AROUND TH	E COU	TRY E	ACH	

Schedule C (Form 990) 2021 OF CRUELTY TO ANIMALS 13-1623829 Page 4 Part IV | Supplemental Information (continued)

YEAR. SOME OF THE PROGRAMS IN THIS LATTER CATEGORY ARE THE ASPCA'S NATIONAL RELOCATION PROGRAM TO SAVE LIVES OF AT-RISK HOMELESS ANIMALS; PARTNERSHIPS WITH COMMUNITIES TO INCENTIVIZE MORE LIVE RELEASE AND RESCUE FOR HOMELESS ANIMALS; PROFESSIONAL DEVELOPMENT FOR SHELTERS AND RESCUE ORGANIZATIONS; A BEHAVIORAL REHABILITATION CENTER AND LEARNING LAB TO REHABILITATE UNDER SOCIALIZED, FEARFUL DOGS FROM PUPPY MILLS, HOARDING AND OTHER CRUELTY CASES; A COLLABORATION WITH THE NEW YORK CITY POLICE DEPARTMENT; THE ASPCA'S COMMUNITY ENGAGEMENT PROGRAM TO ADDRESS THE ROOT CAUSES OF SUFFERING IN HOARDING CASES; THE ASPCA ANIMAL HOSPITAL; AND SPAY/NEUTER OPERATIONS AND ADOPTION CENTER IN NEW YORK CITY. ALL OF THESE PROGRAMS SERVE AS PROVING GROUNDS TO UNDERSTAND THE MYRIAD OF PROBLEMS ANIMALS FACE AND INFORM THE ASPCA'S WORK TO ADVANCE POLICIES THAT WILL PREVENT CRUELTY IN THE FUTURE. THE LESSONS THE ASPCA TAKES FROM THESE PROGRAMS ENABLE THEM TO BRING EXPERT VOICES AND INFORMED OPINIONS TO THEIR WORK TO DISSEMINATE THESE LEARNINGS TO OTHER ANIMAL WELFARE ORGANIZATIONS AROUND THE COUNTRY AS WELL AS TO

1A. VOLUNTEERS: THE ASPCA WORKS WITH VOLUNTEERS BY HOLDING CITIZEN TRAINING WORKSHOPS IN LOCAL COMMUNITIES, PROVIDING OPPORTUNITIES FOR THEM TO JOIN ASPCA STAFF AT THE STATE AND FEDERAL CAPITOLS TO PROMOTE OR OPPOSE LEGISLATION THROUGH MEETINGS WITH LEGISLATORS AND THEIR AIDES. THE ASPCA EMPLOYS TRAINING TOOLS SUCH AS WEBINARS AND CONFERENCES.

ADVOCATE FOR LAWS TO DETER CRUEL TREATMENT OF ANIMALS IN COMMUNITIES

1B. PAID STAFF OR MANAGEMENT: ASPCA MANAGEMENT AND STAFF STRATEGIZE AND COORDINATE THEIR PUBLIC POLICY EFFORTS AIMED AT ENHANCING THE ASPCA'S

ALL ACROSS THE COUNTRY.

Schedule C (Form 990) 2021

OF CRUELTY TO ANIMALS

Part IV | Supplemental Information (continued)

ABILITY TO PERFORM DIRECT CARE WORK AND TO HELP PREVENT CRUELTY. THEY CULTIVATE AND EXPAND CONTACTS WITHIN GOVERNMENT BODIES, INCLUDING LEGISLATURES AND REGULATORY AGENCIES, AND WORK WITH OTHER NATIONAL AND LOCAL ORGANIZATIONS TO PROMOTE HUMANE POLICIES.

1C. MEDIA ADVERTISEMENTS: PERIODICALLY, THE ASPCA BUYS ADVERTISEMENT SPACE IN PUBLIC POLICY-FOCUSED NEWSPAPERS (E.G., ROLL CALL, THE HILL) THAT LEGISLATORS AND THEIR STAFFS REGULARLY READ. THE ADVERTISEMENTS ARE STRATEGICALLY SCHEDULED (OFTEN AHEAD OF A CRUCIAL COMMITTEE VOTE OR FLOOR VOTE) TO MAXIMIZE EXPOSURE AND TIMELINESS TO CRITICAL ISSUES. THE ASPCA ALSO BUYS STRATEGICALLY TARGETED ADVERTISING SPACE ON SOCIAL MEDIA (E.G., FACEBOOK) FOR THE SAME PURPOSE.

1D. MAILINGS TO MEMBERS, LEGISLATORS, OR THE PUBLIC: THE ASPCA COMMUNICATES WITH ITS MEMBERS, UNPAID VOLUNTEERS, LEGISLATORS AND THE PUBLIC THROUGH MAILINGS, EMAIL, AND ELECTRONIC ALERTS TO UPDATE AND INFORM AS WELL AS TO ENCOURAGE THEIR PARTICIPATION IN POSITIVE OUTCOMES FOR ANIMALS. THE ASPCA EMPLOYS TRADITIONAL AND SOCIAL MEDIA TOOLS TO INFORM THE PUBLIC OF LEGISLATION, REGULATIONS, AND OTHER POLICIES THAT PROMOTE ANIMAL WELFARE OR THAT ARE HOSTILE TO IT AND TO PROVIDE THEM WITH SUPPORT AND TOOLS FOR POLICY CHANGE.

1E. PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS: ASPCA STAFF CONDUCTS INTERVIEWS WITH AND PROVIDES BACKGROUND TO MEDIA ORGANIZATIONS TO INFORM THE PUBLIC OF ITS LIFESAVING PROGRAMS AND POLICY DECISIONS CONCERNING ANIMAL WELFARE.

1F. NONE

13-1623829 Page 4

Schedule C (Form 990) 2021

OF CRUELTY TO ANIMALS

13-1623829 Page 4

Part IV | Supplemental Information (continued)

1G. DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY: THE ASPCA PROMOTES ANTI-CRUELTY LEGISLATION THROUGH DIRECT CONTACTS WITH FEDERAL AND STATE LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS AT ALL LEVELS, AND LOCAL LEGISLATURES. THE ASPCA'S STAFF, UNPAID VOLUNTEERS, AND CONSULTANTS WORK TO INFLUENCE LEGISLATION TO HELP ANIMALS THROUGH SUCH CONTACTS.

1H. RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES, OR ANY OTHER MEANS: THE ASPCA HOLDS LOBBY DAYS, LEADERSHIP TRAINING SUMMITS, CITIZEN LOBBYING WORKSHOPS, INCLUDING SPEECHES AND SEMINARS, AND GIVES PRESENTATIONS AND SPEECHES TO ENCOURAGE PUBLIC AWARENESS OF HUMANE LEGISLATION AND TO PROMOTE ACTION INFLUENCING POSITIVE OUTCOMES FOR ANIMAL WELFARE POLICY.

 OTHER ACTIVITIES: THE ASPCA WORKS CLOSELY WITH OTHER NATIONAL, STATE, AND LOCAL SHELTERS AND ANIMAL WELFARE ORGANIZATIONS AS WELL AS OTHER INDUSTRY OR NON-PROFIT ORGANIZATIONS WITH COMMON INTERESTS TO ALIGN PUBLIC POLICIES WITH BEST PRACTICES FOR ANIMAL WELFARE AND TO ENSURE THAT LAW ENFORCEMENT, FIELD WORK, DISASTER RELIEF, ANTI-CRUELTY EFFORTS, AND SHELTERING OPERATIONS ARE ABLE TO BEST PROTECT ANIMALS. THE ASPCA EMPLOYS PROFESSIONAL CONSULTANTS TO SUPPORT AND INFORM ITS LOBBYING EFFORTS AND TO CONDUCT COALITION WORK, INTERNAL COORDINATION AND GRASSROOTS NETWORKING AND CULTIVATION FOR HUMANE PUBLIC POLICY ADVANCEMENT.

SEE SCHEDULE O FOR MORE INFORMATION.

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

➤ Complete if the organization answered "Yes" on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
➤ Attach to Form 990.

►Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2021
Open to Public

Inspection

Name of the organization

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

Pai	t I Organizations Maintaining Donor Advised	d Funds or Other Similar Funds	or Accounts. Complete if the
	organization answered "Yes" on Form 990, Part IV, line	e 6.	·
		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		
5	Did the organization inform all donors and donor advisors in v	writing that the assets held in donor advis	sed funds
_	are the organization's property, subject to the organization's	_	
6	Did the organization inform all grantees, donors, and donor ad		
_	for charitable purposes and not for the benefit of the donor or		
	• •		
Pai			
1	Purpose(s) of conservation easements held by the organization		
	Preservation of land for public use (for example, recreat		f a historically important land area
	Protection of natural habitat	· —	f a certified historic structure
	Preservation of open space		
2	Complete lines 2a through 2d if the organization held a qualif	ied conservation contribution in the form	of a conservation easement on the last
	day of the tax year.		Held at the End of the Tax Year
а	Total number of conservation easements		2a
			4.
С	Number of conservation easements on a certified historic stru	ucture included in (a)	2c
	Number of conservation easements included in (c) acquired a		
	listed in the National Register		
3	Number of conservation easements modified, transferred, rele		
	year ▶		
4	Number of states where property subject to conservation eas	sement is located >	
5	Does the organization have a written policy regarding the peri	iodic monitoring, inspection, handling of	
	violations, and enforcement of the conservation easements it	holds?	Yes No
6	Staff and volunteer hours devoted to monitoring, inspecting, l		
	>		
7	Amount of expenses incurred in monitoring, inspecting, hand	ling of violations, and enforcing conserva	tion easements during the year
	> \$		
8	Does each conservation easement reported on line 2(d) above	e satisfy the requirements of section 170	(h)(4)(B)(i)
	and section 170(h)(4)(B)(ii)?		Yes No
9	In Part XIII, describe how the organization reports conservation		
	balance sheet, and include, if applicable, the text of the footn	ote to the organization's financial statem	ents that describes the
_	organization's accounting for conservation easements.		
Pai	t III Organizations Maintaining Collections of		ther Similar Assets.
	Complete if the organization answered "Yes" on Form	990, Part IV, line 8.	
1a	If the organization elected, as permitted under FASB ASC 958	•	
	of art, historical treasures, or other similar assets held for pub	olic exhibition, education, or research in fu	urtherance of public
	service, provide in Part XIII the text of the footnote to its finan	icial statements that describes these item	ns.
b	If the organization elected, as permitted under FASB ASC 956	8, to report in its revenue statement and	balance sheet works of
	art, historical treasures, or other similar assets held for public	exhibition, education, or research in furth	nerance of public service,
	provide the following amounts relating to these items:		
	(i) Revenue included on Form 990, Part VIII, line 1		
			<u> </u>
2	If the organization received or held works of art, historical treat	asures, or other similar assets for financia	ıl gain, provide
	the following amounts required to be reported under FASB A	G	
а	Revenue included on Form 990, Part VIII, line 1		> \$
h	Assets included in Form 900 Part V		L ¢

13-1623829 Page 2 OF CRUELTY TO ANIMALS Schedule D (Form 990) 2021 Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued) Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply): Public exhibition Loan or exchange program Scholarly research h Other Preservation for future generations Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII. During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? No Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or Part IV reported an amount on Form 990, Part X, line 21. 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No If "Yes," explain the arrangement in Part XIII and complete the following table: Amount 1c c Beginning balance 1d Additions during the year Distributions during the year 1e Ending balance 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII. Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10. (a) Current year (b) Prior year (c) Two years back (d) Three years back (e) Four years back 65,998,068 12,629,150 11,246,524, 10,238,118 57,060,223. **1a** Beginning of year balance 279,399. 15,663 601,555. Contributions 557,685. 1,103,227. 1,008,406, -3,129,099, 8,336,290. Net investment earnings, gains, and losses Grants or scholarships Other expenditures for facilities 52,646,514. and programs Administrative expenses 13,186,835. 12,629,150. 10,238,118. 65,998,068. End of year balance Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: .0000 Board designated or quasi-endowment Permanent endowment ► 59.0000 41.0000 % Term endowment The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization Yes No (i) Unrelated organizations 3a(i) (ii) Related organizations 3a(ii) **b** If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? Describe in Part XIII the intended uses of the organization's endowment funds. Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. (b) Cost or other Description of property (a) Cost or other (c) Accumulated (d) Book value basis (investment) basis (other) depreciation 8,105,334 8,105,334. 1a Land 65,633,347. 30,306,711. 35,326,636. **b** Buildings 11,573,196. Leasehold improvements 17,140,406. 5,567,210. 18,184,885. 15,693,702. 2,491,183. d Equipment $6,478,\overline{676}$ 6,962,932. 13,441,608. e Other

Total. Add lines 1a through 1e. (Column (d) must equal Form 990. Part X. column (B). line 10c.) ...

Schedule D (Form 990) 2021

64,459,281.

13-1623829 Pa	ge 3
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Schedule D (Form 990) 2021 OF CRUELTY	TO ANIMALS	13-	-1623829 Page 3
Part VII Investments - Other Securities.			9
Complete if the organization answered "Yes"	on Form 990, Part IV, line 1	I1b. See Form 990, Part X, line 12.	
(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end	of-year market value
(1) Financial derivatives			
(2) Closely held equity interests			
(3) Other			
(A) EQUITY LONG	28,985,532.	END-OF-YEAR MARKET	VALUE
(B) EMERGING MARKETS	15,939,337.	END-OF-YEAR MARKET	VALUE
(C) PRIVATE EQUITY	12,088,232.	END-OF-YEAR MARKET	VALUE
(D) PRIVATE EQUITY IN			
(E) LIQUIDATION	6,135,405.	END-OF-YEAR MARKET	VALUE
(F) FUND OF FUNDS - PRIVATE			
(G) EQUITY	719,947.	END-OF-YEAR MARKET	VALUE
(H)			
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	63,868,453.		
Part VIII Investments - Program Related.			
Complete if the organization answered "Yes"	on Form 990, Part IV, line 1	I1c. See Form 990, Part X, line 13.	
(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end	-of-year market value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)			
Part IX Other Assets.			
Complete if the organization answered "Yes"	on Form 990, Part IV, line 1	I1d. See Form 990, Part X, line 15.	
(a)	Description		(b) Book value
(1) DUE FROM ASPCA VETERINARY	SERVICES OF N	IC, PC	160,270.
(2) RIGHT-OF-USE ASSETS			30,045,618.
(3) PERPETUAL TRUSTS			25,604,874.
(4) CHARITABLE REMAINDER TRUS	rs		733,508.
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Column (b) must equal Form 990, Part X, col. (B) line	e 15.)		56,544,270.
Part X Other Liabilities.			
Complete if the organization answered "Yes"	on Form 990, Part IV, line 1	11e or 11f. See Form 990, Part X, line 25.	
1. (a) Description of liability			(b) Book value
(1) Federal income taxes			
(2) OPERATING LEASES LIABILITY	IES		34,612,609.
(3) ANNUITY OBLIGATIONS			7,626,897.
(4) OTHER LIABILITIES			995,180.
(5)			•
(6)			

(9) 43,234,686. Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the

organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII

(7) (8) DocuSign Envelope ID: 9EFF35E4-5873-47F3-9557-DFAF538D9024 THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS 13-1623829 Page 4 Schedule D (Form 990) 2021 Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return. Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. 1 403,354,114. Total revenue, gains, and other support per audited financial statements 1 Amounts included on line 1 but not on Form 990, Part VIII, line 12: 2 a Net unrealized gains (losses) on investments 11,010,798. 2a 926,203. Donated services and use of facilities 2b Recoveries of prior year grants 2c 482,228. Other (Describe in Part XIII.) 13,419,229. 2e Add lines 2a through 2d 389,934,885. Subtract line 2e from line 1 Amounts included on Form 990, Part VIII, line 12, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b Other (Describe in Part XIII.) c Add lines 4a and 4b 4c 389,934,885. Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12. 5 Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. 302,553,813. Total expenses and losses per audited financial statements 1 Amounts included on line 1 but not on Form 990, Part IX, line 25: 926,203. a Donated services and use of facilities 2a **b** Prior year adjustments 2b 2c Other (Describe in Part XIII.) 2d 926,203. 2e Add lines 2a through 2d 301,627,610. Subtract line 2e from line 1 Amounts included on Form 990, Part IX, line 25, but not on line 1: 817.986. a Investment expenses not included on Form 990, Part VIII, line 7b 4a Other (Describe in Part XIII.) 817,986. c Add lines 4a and 4b 4c 302,445,596. Total expenses. Add lines 3 and 4c. (This must equal Form 990. Part I. line 18.) Part XIII Supplemental Information. Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part X, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information. PART V, LINE 4: THE ASPCA MAINTAINS AN ENDOWMENT FOR THE PURPOSE OF GENERATING INCOME TO SUPPORT THE ORGANIZATION'S CHARITABLE MISSION. THE ORGANIZATION'S ENDOWMENT CONSISTS OF A PORTFOLIO OF ACTIVELY MANAGED FUNDS ESTABLISHED TO

PROVIDE BOTH A SOURCE OF OPERATING FUNDS AS WELL AS LONG-TERM FINANCIAL STABILITY. THE ENDOWMENT'S PRINCIPAL IS INTENDED TO BE LEFT UNTOUCHED, WHILE THE INCOME GENERATED IS INTENDED TO FUND ASPCA PROGRAMS. SOME OF THE ENDOWMENT FUNDS MAY HAVE PURPOSE RESTRICTIONS ON THE USE OF INCOME.

PART X, LINE 2:

THE ASPCA QUALIFIES AS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3)

THE IRC AND CORRESPONDING PROVISIONS OF NEW YORK STATE LAW AND IS NOT

13-1623829 Page 5 OF CRUELTY TO ANIMALS Schedule D (Form 990) 2021 Part XIII Supplemental Information (continued) SUBJECT TO FEDERAL OR STATE INCOME TAXES. ACCORDINGLY, DONORS ARE ENTITLED TO A CHARITABLE CONTRIBUTION DEDUCTION AS DEFINED IN THE IRC. CONTINUED QUALIFICATION OF TAX-EXEMPT STATUS IS CONTINGENT UPON COMPLIANCE WITH THE REQUIREMENTS OF THE IRC. THE ASPCA RECOGNIZES THE EFFECTS OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED. NO PROVISION FOR INCOME TAXES WAS REQUIRED FOR 2021. PART XI, LINE 2D - OTHER ADJUSTMENTS: INVESTMENT EXPENSES -817,986. UNREALIZED GAIN ON BENEFICIAL INTERESTS IN PERPETUAL TRUSTS HELD BY OTHERS 2,300,214. TOTAL TO SCHEDULE D, PART XI, LINE 2D 1,482,228. SCHEDULE D, PART VI, LINE 1E: "OTHER" IN LAND, BUILDINGS, AND **EQUIPMENT:** OTHER HAS A TOTAL OF \$13,441,608. THIS TOTAL CONSISTS OF \$8,691,705 FOR TRANSPORTATION EQUIPMENT AND \$4,749,903 OF CONSTRUCTION IN PROGRESS.

SCHEDULE F (Form 990)

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

Department of the Treasury Internal Revenue Service

➤ Attach to Form 990. ► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION **Employer identification number**

OF CRUELTY TO ANIMALS 13-1623829 Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on

	Form 990, Part I\	/, line 14b							
1	1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance,								
	the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No								
2	For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the								
	United States.								
3	Activities per Region. (Th	ne following Part	I, line 3 table ca	an be duplicated if additional space is n	needed.)				
	(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, pro- gram services, investments, grants to recipients located in the region)	is a program service,	(f) Total expenditures for and investments in the region			
	FRAL AMERICA AND CARIBBEAN	0	0	INVESTMENT		10,901,882.			
EURO	DPE	0	0	INVESTMENT		3,978.			
(CAI	FH AMERICA NADA)	0	0	PROGRAM SERVICES	LEGAL SERVICES AND HONORARIUM	3,229.			
EUROPE (INCLUDING ICELAND & GREENLAND) - ALBANIA, ANDORRA, AUSTRIA, BELGIUM		0	0	PROGRAM SERVICES	LEGAL SERVICES	6,725.			
	,								
3 a	Subtotal	0	0			10,915,814.			
	Total from continuation sheets to Part I	0	0			0.			
C	Totals (add lines 3a	I	I						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

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Schedule F (Form 990) 2021

10,915,814.

and 3b)

Schedule F (Form 990) 2021 OF CRUELTY TO ANIMALS

13-1623829

Page 2

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
			ecognized as charities by the f					<u> </u>
exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter								

Schedule F (Form 990) 2021 OF CRUELTY TO ANIMALS

13-1623829

Page 3

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed. (h) Method of valuation (book, FMV, appraisal, other) (c) Number of (d) Amount of (e) Manner of (f) Amount of (g) Description of (a) Type of grant or assistance (b) Region recipients cash grant cash disbursement noncash noncash assistance assistance

Schedule F (Form 990) 2021 OF CRUELTY TO ANIMALS 13-1623829 Page 4

Part IV Foreign Forms 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes" the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Yes X No Corporation (see Instructions for Form 926) 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes." the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a Yes X No U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990) 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes." the organization may be required to file Form 5471. Information Return of U.S. Persons With Respect to Yes X No Certain Foreign Corporations (see Instructions for Form 5471) 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Yes X No Fund (see Instructions for Form 8621) 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes." the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Yes X No Foreign Partnerships (see Instructions for Form 8865) 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see

Instructions for Form 5713; don't file with Form 990)

Schedule F (Form 990) 2021

Yes

X No

13-1623829 Schedule F (Form 990) 2021 OF CRUELTY TO ANIMALS Page 5 Part V | Supplemental Information Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

132075 12-20-21 Schedule F (Form 990) 2021

SCHEDULE G (Form 990)

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

➤ Attach to Form 990 or Form 990-EZ.

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

► Go to www.irs.gov/Form990 for instructions and the latest information. THE AMERICAN SOCIETY FOR THE PREVENTION

Employer identification number

OF CRUELTY TO ANIMALS 13-1623829 Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part. 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply. a X Mail solicitations e X Solicitation of non-government grants X Internet and email solicitations f X Solicitation of government grants X Phone solicitations g X Special fundraising events d X In-person solicitations 2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or X Yes key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? No b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) fundr have co or con contribu	ustody trol of	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
ASCENTA GROUP (APPCO) - 138		Yes	No			_
SOUTH FIRST STREET, SUITE	DIRECT MARKETING		Х	11,000,782.	13,046,775.	-2,045,993.
SD&A TELESERVICES, INC						
5757 WEST CENTURY BLVD, SUITE	TELEMARKETING		Х	7,252,182.	1,156,125.	6,096,057.
NEW CANVASSING EXPERIENCE,						
INC 78 SAN MARCOS STREET,	DIRECT MARKETING		Х	2,515,365.	2,560,704.	-45,339.
KNEWSALES GROUP INC 550						
QUEEN STREET EAST SUITE 145,	DIRECT MARKETING		Х	1,833,920.	2,129,770.	-295,850.
3SIXTY - 134 N. 4TH ST.,						
BROOKLYN, NY 11249	DIRECT MARKETING		Х	241,120.	363,302.	-122,182.
Total			•	22,843,369.	19,256,676.	3,586,693.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing. NO NO CO CO DO DE EL CA UT TNI TA WC WV TA ME MD MA MT MNI MC

ΑЦ	, AL	, A Z	<u>, Ar.</u>	, CA	, co	, C I	, DC	, DE	, г ப	, GA	,пт	<u>, דט</u>	, тп	, ти	<u>, la ,</u>	, ro	, n i	, ца	, M.C.	, שניי	, MA	, MIT /	, MIIA ,	IAIO
MO	, MT	, NE	, NV	, NH	, NJ	, NM	,NY	, NC	, ND	,OH	,OK	,OR	, PA	,RI	,SC	, SD	, TN	, TX	UT,	,VT	, VA	,WA	, WV ,	WI
WY																								

Schedule G (Form 990) 2021 OF CRUEL
Part II Fundraising Events. Complete if the

OF CRUELTY TO ANIMALS

13-1623829 Page 2

	11	of fundraising event contributions and gr	-			
			(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
			HUMANE AWARD	NY MARATHON	1	(add col. (a) through
a)			(event type)	(event type)	(total number)	col. (c))
Revenue	1	Gross receipts	325,270.	62,091.	6,062.	393,423.
ш	2	Less: Contributions	325,270.	62,091.		387,361.
	3	Gross income (line 1 minus line 2)			6,062.	6,062.
	4	Cash prizes				
S	5	Noncash prizes				
xpense	6	Rent/facility costs				
Direct Expenses	7	Food and beverages	35,000.			35,000.
Δ	8	Entertainment				
	9	Other direct expenses		40,079.		77,174.
	10	Direct expense summary. Add lines 4 through	n 9 in column (d)		>	112,174.
		Net income summary. Subtract line 10 from I				-106,112.
Pa	rt I		answered "Yes" on Form	990, Part IV, line 19, or r	eported more than	
		\$15,000 on Form 990-EZ, line 6a.	T			
ē			(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c)
Revenue				billigo/progressive billigo		coi. (a) tillough coi. (c)
Вè	1	Gross revenue				
		dross revenue				
ses	2	Cash prizes				
Direct Expenses	3	Noncash prizes				
Direct	4	Rent/facility costs				
	5	Other direct expenses				
	6	Volunteer labor	Yes % No	Yes % No	Yes % No	
	7	Direct expense summary. Add lines 2 through	n 5 in column (d)		>	
	8	Net gaming income summary. Subtract line 7	' from line 1, column (d)			
	_					
		ter the state(s) in which the organization condu	_	0		
		the organization licensed to conduct gaming a	ctivities in each of these s	states?		Yes No
D	"	No," explain:				
	_					
		ere any of the organization's gaming licenses re	evoked, suspended, or te	rminated during the tax y	ear?	Yes No
n	f "	Yes " explain:				
b	If "	Yes," explain:				

Sch	nedule G (Form 990) 2021 OF CRUELTY TO ANIMALS	13-1623829	Page 3
11	Does the organization conduct gaming activities with nonmembers?	Yes	☐ No
12	Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed		
	to administer charitable gaming?	Yes	No
13	Indicate the percentage of gaming activity conducted in:	1 1	
	a The organization's facility		<u>%</u>
	b An outside facility		<u>%</u>
14	Enter the name and address of the person who prepares the organization's gaming/special events books and record	ds:	
	Name		
	Address		
15	a Does the organization have a contract with a third party from whom the organization receives gaming revenue?	Yes	☐ No
ı	b If "Yes," enter the amount of gaming revenue received by the organization 🕨 \$ and the amount	ount	
	of gaming revenue retained by the third party > \$		
•	c If "Yes," enter name and address of the third party:		
	Name		
	Address ▶		
16	Gaming manager information:		
	Name ▶		
	Gaming manager compensation > \$		
	Description of services provided		
	☐ Director/officer ☐ Employee ☐ Independent contractor		
	Mandatory distributions:		
•	a Is the organization required under state law to make charitable distributions from the gaming proceeds to	Yes	□ No
,	retain the state gaming license? b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent		140
	organization's own exempt activities during the tax year > \$	TI tilo	
Pa	art IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v)	; and Part III, lines 9, 9	b, 10b,
	15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.		
<u>SC</u>	HEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRA	[SERS:	
) NAME OF FUNDRAISER: ASCENTA GROUP (APPCO)		
<u>(I</u>	.) NAME OF FUNDATISER: ASCENTA GROUP (AFFCO)		
<u>(I</u>) ADDRESS OF FUNDRAISER:		
12	8 SOUTH FIRST STREET, SUITE 110, LINDENHURST, NY 11757		
12	10 SOUTH FIRST STREET, SUTTE 110, BINDENHORST, NT 11757		
<u>(I</u>) NAME OF FUNDRAISER: SD&A TELESERVICES, INC.		
/ -	ADDDECC OF FINIDDATCED.		
$\frac{(1}{57}$) ADDRESS OF FUNDRAISER: 57 WEST CENTURY BLVD, SUITE 300, LOS ANGELES, CA 90045		

Schedule G (Form 990) OF CRUELTY TO ANIMALS	13-1623829	Page 4
Part IV Supplemental Information (continued)		
(I) NAME OF FUNDRAISER: NEW CANVASSING EXPERIENCE, INC.		
(I) ADDRESS OF FUNDRAISER: 78 SAN MARCOS STREET, AUSTIN, TX	78702	
/ T \ NAME OF FINDDATGED. WHENGALEG CROUD INC		
(I) NAME OF FUNDRAISER: KNEWSALES GROUP INC.		
(I) ADDRESS OF FUNDRAISER:		
(-)		
550 QUEEN STREET EAST SUITE 145, TORONTO, ONTARIO, CANADA M	5A 1V2	

SCHEDULE I (Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

THE AMERICAN SOCIETY FOR THE PREVENTION

OMB No. 1545-0047

Open to Public Inspection

Employer identification number

OF CRUELT	Y TO ANIM	ALS					13-1623829
Part I General Information on Grants a	nd Assistance						
1 Does the organization maintain records to	o substantiate the	amount of the grants	or assistance, the	grantees' eligibility	for the grants or assi	stance, and the selection	
criteria used to award the grants or assis							X Yes No
2 Describe in Part IV the organization's pro							
Part II Grants and Other Assistance to I					anization answered "\	es" on Form 990, Part	IV, line 21, for any
recipient that received more than \$	55,000. Part II can	be duplicated if additi	onal space is need	ed.	(C) Mathemaliae	T	T
(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
A GREENER WORLD							
PO BOX 115							
TERREBONNE, OR 97760	81-2116665	501(C)3	80,000.	0.			SEE PART IV
·							
AAEP FOUNDATION							
4033 IRON WORKS PARKWAY							
LEXINGTON, KY 40511	61-1259683	501(C)3	75,000.	0.			SEE PART IV
ACADIANA ANIMAL AID							
142 LE MEDICIN RD.							
CARENCRO, LA 70520	23-7414331	501(C)3	20,000.	0.			SEE PART IV
ADK SPAY AND NEUTER							
247 HULETT RD							
GRANVILLE, NY 12832	85-0761293	501(C)3	86,000.	0.			SEE PART IV
AFTER THE RACES							
3168 TELEGRAPH ROAD							
ELKTON, MD 21921	30-0729968	501(C)3	20,000.	0.			SEE PART IV
NAME OF THE PROPERTY OF							
ALASKA SOCIETY FOR PREVENTION OF							
CRUELTY TO ANIMALS - 3710 WOODLAND	00 005005	501/5/2					
DR ANCHORAGE, AK 99517	92-0068910		90,000.	0.			SEE PART IV
2 Enter total number of section 501(c)(3) ar	nd government org	ganizations listed in th	e line 1 table				▶ 182.

3 Enter total number of other organizations listed in the line 1 table

Schedule I (Form 990) OF CRUELTY TO ANIMALS 13-1623829

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant valuation non-cash assistance or assistance organization or government if applicable cash grant noncash (book, FMV, assistance appraisal, other) ALISON ANIMAL HOSPITAL 224 PROSPECT PARK WEST 46-3899122 FOR-PROFIT (ANIM 10,000 0. SEE PART IV BROOKLYN, NY 11215 ALLIANCE FOR CONTRACEPTION IN CATS AND DOGS - 2815 NE 66TH AVE. -PORTLAND, OR 97213 41-2185841 501(C)3 100,000 0. SEE PART IV AMERICAN HORSE COUNCIL FOUNDATION 1616 H STREET NORTHWEST WASHINGTON, DC 20006 52-1760034 501(C)3 73,000 0. SEE PART IV ANIMAL CARE CENTERS OF NYC 11 PARK PLACE, SUITE 805 NEW YORK, NY 10007 13-3788986 501(C)3 0. SEE PART IV 700,000 ANIMAL HAVEN 200 CENTRE ST. 11-6101487 501(C)3 0. NEW YORK, NY 10013 25,000 SEE PART IV ANIMAL PROTECTION NEW MEXICO INC PO BOX 11395 85-0283292 501(C)3 ALBUQUERQUE, NM 87192-0395 30,000 0. SEE PART IV ANIMAL PROTECTIVE FOUNDATION OF SCHENECTADY INC - 53 MAPLE AVENUE 14-0472728 501(C)3 - GLENVILLE, NY 12302 97,600 0. SEE PART IV ANIMAL RESCUE LEAGUE OF IOWA INCORPORATED - 5452 NE 22ND STREET - DES MOINES, IA 50313 42-0680427 501(C)3 149,800. 0. SEE PART IV ANIMAL WELFARE ASSOCIATION, INC. 509 CENTENNIAL BLVD VOORHEES, NJ 08043 22-1752792 501(C)3 27,500. 0. SEE PART IV

Schedule I (Form 990)

Schedule I (Form 990) OF CRUELTY TO ANIMALS 13-1623829

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant valuation non-cash assistance or assistance organization or government if applicable cash grant noncash (book, FMV, assistance appraisal, other) ANIMALKIND 721 WARREN STREET 14-1820248 501(C)3 79,000 0. SEE PART IV HUDSON, NY 12534 ARIZONA STATE UNIVERSITY FOUNDATION - P.O. BOX 2260 -TEMPE, AZ 85280 86-6051042 501(C)3 35,317 0. SEE PART IV ASSOCIATION OF SHELTER VETERINARIANS INC - 3225 ALPHAWOOD DRIVE - APEX, NC 27539 73-1627937 501(C)3 37,500 0. SEE PART IV ATHENS ANIMAL RESCUE SHELTER PO BOX 2219 MALAKOFF, TX 75148 84-4172818 501(C)3 0. SEE PART IV 10,000. AUBURN UNIVERSITY OFFICE OF SPONSORED PROGRAMS RESEARCH & INNOVATION CENTER -63-6000724 OTHER 0. AUBURN, AL 36832 29,378, SEE PART IV AUGUSTA REGIONAL SPCA, INC. 33 ARCHERY LANE 23-7089566 501(C)3 0. STAUNTON, VA 24401 10,000 SEE PART IV BIDEAWEE INC 410 EAST 38TH STREET 13-1655210 501(C)3 NEW YORK, NY 10016 50,000 0. SEE PART IV BLUE MOUNTAIN HUMANE SOCIETY 7 E. GEORGE STREET WALLA WALLA, WA 99362 91-0828499 501(C)3 62,650. 0. SEE PART IV BRANDYWINE VALLEY SPCA 1212 PHOENIXVILLE PIKE WEST CHESTER, PA 19380 23-1381030 501(C)3 0. SEE PART IV 6 000.

Schedule I (Form 990)

OF CRUELTY TO ANIMALS 13-1623829 Schedule I (Form 990)

Page 1 Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant or assistance organization or government if applicable cash grant noncash valuation non-cash assistance (book, FMV, assistance appraisal, other) BROOKLYN BRIDGE ANIMAL WELFARE COALITION, INC - PO BOX 22948 -BROOKLYN, NY 11202 26-1482964 501(C)3 0. SEE PART IV 50,000 BROOME COUNTY HUMANE SOCIETY AND RELIEF ASSOCIATION - 167 CONKLIN AVE - BINGHAMTON, NY 13903 15-0622327 501(C)3 0. SEE PART IV 60,000 BUTTE COUNTY PUBLIC HEALTH -ANIMAL CONTROL - 202 MIRA LOMA DRIVE - OROVILLE, CA 95965 94-6000506 GOVERNMENTAL (MU 25,000 0. SEE PART IV CALDWELL HUMANE SOCIETY, INC. PO BOX 1991 13-4260136 501(C)3 0. LENOIR, NC 28645 25,000 SEE PART IV CANISIUS COLLEGE 2001 MAIN ST 16-0743942 501(C)3 0. BUFFALO, NY 14208 12,282. SEE PART IV CARIBBEAN THOROUGHBRED AFTERCARE INC - PO BOX 43001 PMB 372 - RIO 66-0869307 501(C)3 GRANDE, PR 00745 15,000 0. SEE PART IV CENTER FOR CONTEMPORARY SCIENCES INC - 9841 WASHINGTONIAN BLVD, STE 84-3817744 501(C)3 10,000 200 - GAITHERSBURG, MD 20878 0. SEE PART IV CENTRAL NEW YORK SPAY NEUTER ASSISTANCE PROGRAM (CNY SNAP) - 17 SALISBURY ST. - CORTLAND, NY 13045 20-3322730 501(C)3 60,000. 0. SEE PART IV CHARLESTON ANIMAL SOCIETY 2455 REMOUNT RD NORTH CHARLESTON, SC 29406 57-6021863 501(C)3 0. 109,000. SEE PART IV

Schedule I (Form 990)

Schedule I (Form 990) OF CRUELTY TO ANIMALS 13-1623829

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant valuation non-cash assistance or assistance organization or government if applicable cash grant noncash (book, FMV, assistance appraisal, other) CHATTANEUTER 5950 BRAINERD RD CHATTANOOGA, TN 37421 81-1072918 501(C)3 18,000 0. SEE PART IV CITIZENS FOR HUMANE ACTION INC 3765 CORPORATE DRIVE COLUMBUS, OH 43231 51-0166864 501(C)3 0. SEE PART IV 15,000 CITY OF HAVRE ANIMAL SHELTER PO BOX 234, 520 4TH STREET HAVRE, MT 59501 81-6001274 GOVERNMENTAL (MU 8,000 0. SEE PART IV CODE 3 ASSOCIATES 1456 SKYWAY DR. 84-1461821 501(C)3 50,000 0. SEE PART IV LONGMONT, CO 80504 COLORADO HORSE RESCUE 10386 N. 65TH ST. 84-1095741 501(C)3 0. LONGMONT, CO 80503 30,000 SEE PART IV COLORADO STATE UNIVERSITY FOUNDATION - 1680 CAMPUS MAIL -FORT COLLINS, CO 80523 23-7098397 501(C)3 0. 49,000 SEE PART IV COLUMBIA-GREENE HUMANE SOCIETY. INC. - 111 HUMANE SOCIETY ROAD -14-1487056 501(C)3 HUDSON, NY 12534 50,000 0. SEE PART IV COLUMBUS HUMANE 3015 SCIOTO-DARBY EXECUTIVE COURT HILLIARD, OH 43026 31-4379492 501(C)3 20,000. 0. SEE PART IV COMMUNICATION ALLIANCE TO NETWORK THOROUGHBRED EX-RACEHORSES IN MICHIGAN - 8619 EDGEWOOD PARK DRIVE - COMMERCE TOWNSHIP, MI 90-0626283 501(C)3 0. SEE PART IV 15,000.

Schedule I (Form 990)

Schedule I (Form 990) OF CRUELTY TO ANIMALS 13-1623829

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant if applicable valuation non-cash assistance or assistance organization or government cash grant noncash (book, FMV, assistance appraisal, other) CRESTVIEW VETERINARY HOSPITAL INC. - 1341 NORTH MAIN STREET -MARION, NC 28752 56-2217220 FOR-PROFIT (ANIM 25,000 0. SEE PART IV DANE COUNTY HUMANE SOCIETY 5132 VOGES ROAD MADISON, WI 53718 39-0806335 501(C)3 10,000 0. SEE PART IV DAYTONA BEACH POLICE DEPARTMENT 129 VALOR BLVD DAYTONA BEACH, FL 32114 59-6000304 GOVERNMENTAL (MU 7,500 0. SEE PART IV DENVER DUMB FRIENDS LEAGUE 2080 S. QUEBEC ST. DENVER, CO 80231 84-0405254 501(C)3 750,000. 0. SEE PART IV DOGS PLAYING FOR LIFE 728 ROCKY MOUNTAIN PLACE 46-5559418 501(C)3 0. LONGMONT, CO 80504 260,000 SEE PART IV DUBUQUE REGIONAL HUMANE SOCIETY 4242 CHAVENELLE ROAD DUBUQUE, IA 52002 42-6039535 501(C)3 0. SEE PART IV 29,000 FARM SANCTUARY, INC. PO BOX 150 51-0292919 501(C)3 WATKINS GLEN, NY 14891-0150 23,000 0. SEE PART IV FIXNATION, INC. 7680 CLYBOURN AVENUE LOS ANGELES, CA 91352 83-0452460 501(C)3 200,000. 0. SEE PART IV FLATBUSH CATS 263 E 23RD ST BROOKLYN, NY 11226 82-4466710 501(C)3 0. SEE PART IV 20,000.

Schedule I (Form 990)

OF CRUELTY TO ANIMALS 13-1623829 Schedule I (Form 990)

Page 1 Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant valuation non-cash assistance or assistance organization or government if applicable cash grant noncash (book, FMV, assistance appraisal, other) FLORIDA THOROUGHBRED RETIREMENT AND ADOPTIVE CARE PROGRAM INC -901 S. FEDERAL HWY - HALLANDALE BEACH, FL 33009 27-3466408 501(C)3 40,000 0. SEE PART IV FOCUS ON FERALS INC PO BOX 274 BRAINARDSVILLE, NY 12915 45-1713063 501(C)3 6,000 0. SEE PART IV FOOD ANIMAL CONCERNS TRUST (FACT) 3525 W. PETERSON AVE CHICAGO, IL 60659 36-3172605 501(C)3 120,000 0. SEE PART IV FOOD BANK FOR NEW YORK CITY 39 BROADWAY 13-3179546 501(C)3 0. SEE PART IV NEW YORK, NY 10006 200,000 FOOTHILLS ANIMAL SHELTER 580 MCINTYRE STREET 84-1311450 GOVERNMENTAL (OT 21,000 0. GOLDEN, CO 80401 SEE PART IV FRIENDS OF FERDINAND INDIANA INC C/O SARA BUSBICE MOORESVILLE, IN 46158 27-0131224 501(C)3 0. 6,500 SEE PART IV FRIENDS OF THE SHELTER, INC 870 KOOTENAI CUT-OFF ROAD 94-3071245 501(C)3 PONDERAY ID 83852 40,000 0. SEE PART IV GIGI'S SHELTER FOR DOGS 2700 E DUBLIN GRANVILLE RD STE 300 COLUMBUS, OH 43231 81-4422755 501(C)3 20,000. 0. SEE PART IV GIMME SHELTER ANIMAL RESCUE INC PO BOX 578 45-4207332 501(C)3 0. SEE PART IV SAGAPONACK, NY 11962-0578 10,000.

Schedule I (Form 990)

OF CRUELTY TO ANIMALS 13-1623829 Schedule I (Form 990)

Page 1 Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant if applicable valuation non-cash assistance or assistance organization or government cash grant noncash (book, FMV, assistance appraisal, other) GLOBAL FEDERATION OF ANIMAL SANCTUARIES - PO BOX 73308 -PHOENIX, AZ 85050 26-1676217 501(C)3 60,000 0. SEE PART IV GLOUCESTER-MATHEWS HUMANE SOCIETY INC - 6620 JACKSON LANE -GLOUCESTER, VA 23061 51-0206238 501(C)3 15,000 0. SEE PART IV HAPPY TRAILS FARM ANIMAL SANCTUARY, INC. - 5623 NEW MILFORD RD - RAVENNA, OH 44266 34-1968434 501(C)3 22,700 0. SEE PART IV HEART OF PHOENIX EQUINE RESCUE INC 3368 PLYMALE BRANCH RD HUNTINGTON, WV 25704 45-4421742 501(C)3 30,000 0. SEE PART IV HEART OF THE VALLEY, INC 1549 E CAMERON BRIDGE RD 23-7375919 501(C)3 BOZEMAN, MT 59718 0. 14,000 SEE PART IV HORSE AND HOUND RESCUE FOUNDATION 2350 SOUTH MIDWEST BOULEVARD GUTHRIE, OK 73044 81-1465411 501(C)3 0. 20,000 SEE PART IV HOUSING EQUALITY AND ADVOCACY RESOURCE TEAM - 3612 11TH AVENUE -82-5280771 501(C)3 LOS ANGELES, CA 90018 75 000 0. SEE PART IV HUMANE ANIMAL WELFARE SOCIETY OF WAUKESHA COUNTY INC - 701 NORTHVIEW ROAD - WAUKESHA, WI 53188 39-6108644 501(C)3 34,000. 0. SEE PART IV HUMANE RESCUE ALLIANCE 71 OGLETHORPE ST NW WASHINGTON, DC 20011 53-0219724 501(C)3 0. SEE PART IV 12,000.

Schedule I (Form 990)

Schedule I (Form 990) OF CRUELTY TO ANIMALS 13-1623829

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant valuation non-cash assistance or assistance organization or government if applicable cash grant noncash (book, FMV, assistance appraisal, other) HUMANE SOCIETY OF BOULDER VALLEY 2323 55TH STREET 84-0152768 501(C)3 0. SEE PART IV BOULDER, CO 80301 54,000 HUMANE SOCIETY OF BURNETT COUNTY INC - 7410 COUNTY ROAD D -WEBSTER, WI 54893 31-1743404 501(C)3 0. SEE PART TV 11,500 HUMANE SOCIETY OF CHARLOTTE INC 2700 TOOMEY AVE. CHARLOTTE, NC 28203 58-1342479 501(C)3 20,000 0. SEE PART IV HUMANE SOCIETY OF ROCHESTER AND MONROE COUNTY PCA - 99 VICTOR RD -16-0743047 501(C)3 0 SEE PART IV FAIRPORT, NY 14450 20,000 HUMANE SOCIETY OF THE PIKES PEAK REGION - 610 ABBOT LANE - COLORADO 84-0410111 501(C)3 0. SPRINGS, CO 80905 20,000 SEE PART IV HUMANE SOCIETY OF WESTERN MONTANA 5930 HIGHWAY 93 SOUTH 81-0290933 501(C)3 MISSOULA, MT 59804 163,000 0. SEE PART IV HUMANE SOCIETY OF YATES COUNTY 1216 NEW YORK 14A 22-3495082 501(C)3 PENN YAN, NY 14527 30,000 0. SEE PART IV JEFFERSON COUNTY S.P.C.A. 25056 WATER STREET WATERTOWN, NY 13601 15-0552724 501(C)3 52,400. 0. SEE PART IV JEFFERSON PROTECTION & ANIMAL WELFARE SERVICES - 2701 LAPALCO BLVD - HARVEY, LA 70058 72-6013920 GOVERNMENTAL (MU 0. 30 000 SEE PART IV

Schedule I (Form 990)

OF CRUELTY TO ANIMALS 13-1623829 Schedule I (Form 990)

Page 1 Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant or assistance organization or government if applicable cash grant noncash valuation non-cash assistance (book, FMV, assistance appraisal, other) JUNEAU ANIMAL RESCUE 7705 GLACIER HWY JUNEAU, AK 99801 92-0057621 501(C)3 0. SEE PART IV 30,000 KENTUCKY EQUINE HUMANE CENTER INC P.O. BOX 910124 LEXINGTON, KY 40591-0124 20-5883736 501(C)3 0. SEE PART IV 57,000 KENTUCKY HUMANE SOCIETY 1000 LYNDON LANE LOUISVILLE, KY 40222 61-0463938 501(C)3 84,000 0. SEE PART IV LAFOURCHE PARISH ANIMAL SHELTER 934 HIGHWAY 3185 72-6000634 GOVERNMENTAL (MU 0. THIBODAUX, LA 70301 80,000 SEE PART IV LAKE AREA PARTNERSHIPS FOR ANIMAL WELFARE - 823 URBAN ST - SULPHUR 20-0541582 OTHER 0. LA 70663 10,000 SEE PART IV LEECH LAKE TRIBAL POLICE DEPARTMENT - PO BOX 187 - CASS 41-1242052 GOVERNMENTAL (OT LAKE, MN 56633 50,000 0. SEE PART IV LEWIS & CLARK HUMANE SOCIETY P.O. BOX 4455 81-6014910 501(C)3 55,000 HELENA, MT 59604 0. SEE PART IV LOS ANGELES ANIMAL SERVICES 221 N. FIGUEROA STREET, SUITE #600 LOS ANGELES, CA 90012 95-6000735 GOVERNMENTAL (MU 110,000. 0. SEE PART IV LOS ANGELES COUNTY ANIMAL CARE FOUNDATION - 5898 CHERRY AVENUE -LONG BEACH, CA 90805 95-3909782 501(C)3 0. 170,000. SEE PART IV

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Part II | Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant or assistance organization or government if applicable cash grant noncash valuation non-cash assistance (book, FMV, assistance appraisal, other) LOS ANGELES REGIONAL FOOD BANK 1734 EAST 41ST STREET LOS ANGELES, CA 90058 95-3135649 501(C)3 0. SEE PART IV 150,000 LOUISIANA DEPARTMENT OF AGRICULTURE AND FORESTRY - 5825 FLORIDA BOULEVARD - BATON ROUGE. LA 70806 72-6000722 GOVERNMENTAL (OT 0. SEE PART IV 85,000 LOUISIANA SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS -1700 MARDI GRAS BLVD. - NEW ORLEANS, LA 70114 72-0471368 501(C)3 100,000 0. SEE PART IV LOUISIANA STATE ANIMAL RESPONSE TEAM, PROJECT OF DR. WALTER J. ERNEST JR. VETERI - 8550 UNITED PLAZA BOULEVARD, SUITE 1001 -72-1507753 501(C)3 0. SEE PART IV 15,000 LOW COST SPAY NEUTER FOUNDATION 1707 E ANDY DEVINE AVE 46-0737538 501(C)3 0. KINGMAN, AZ 86401 20,000 SEE PART IV LYNCHBURG HUMANE SOCIETY INC 1211 OLD GRAVES MILL ROAD 54-0570901 501(C)3 LYNCHBURG, VA 24502 12,750 0. SEE PART IV MADISON CAT PROJECT 627 POST ROAD 51-0534813 501(C)3 20,000 MADISON, WI 53713 0. SEE PART IV MCDOWELL COUNTY ANIMAL SHELTER 3751 NORTH CAROLINA HIGHWAY 226 S MARION, NC 28752 56-6000318 GOVERNMENTAL (MU 25,000. 0. SEE PART IV MEDVET ASHEVILLE 677 BREVARD RD. ASHEVILLE, NC 28806 84-3955091 FOR-PROFIT (ANIM 0. 25,000. SEE PART IV

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Page 1 Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount of (f) Method of (g) Description of (h) Purpose of grant organization or government if applicable valuation non-cash assistance or assistance cash grant noncash (book, FMV, assistance appraisal, other) MERCY FOR ANIMALS, INC 8033 SUNSET BOULEVARD LOS ANGELES, CA 90046 54-2076145 501(C)3 160,000 0. SEE PART IV MIDATLANTIC HORSE RESCUE, INC PO BOX 407 CHESAPEAKE CITY, MD 21915 27-3543490 501(C)3 30,000 0. SEE PART IV MIDCOAST HUMANE 190 PLEASANT STREET BRUNSWICK, ME 04011 01-6021200 501(C)3 8,500 0. SEE PART IV MINNESOTA SPAY NEUTER ASSISTANCE PROGRAM PROFESSIONAL CORPORATION -2822 WASHINGTON AVE. N. -MINNEAPOLIS, MN 55411 90-0397515 501(C)3 10,000 0. SEE PART IV MISSION ANIMAL HOSPITAL 10100 VIKING DRIVE 47-2606680 501(C)3 EDEN PRAIRIE, MN 55344 0. 65,000. SEE PART IV MOHAWK AND HUDSON RIVER HUMANE SOCIETY - 3 OAKLAND AVENUE -MENANDS, NY 12204 14-1338459 501(C)3 0. SEE PART IV 160,918. MOUNTAIN HUMANE PO BOX 1496 82-0351171 501(C)3 HAILEY, ID 83333 20,000 0. SEE PART IV MT. PLEASANT ANIMAL SHELTER INC 194 NEW JERSEY 10 EAST HANOVER, NJ 07936 23-7189562 501(C)3 20,000. 0. SEE PART IV MUDDY PAWS RESCUE, INCORPORATED 821 NINTH AVE, FRNT 47-5496436 501(C)3 NEW YORK, NY 10019 0. SEE PART IV 20,000.

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(a) Name and address of	(b) EIN	(c) IRC section	(d) Amount of	(e) Amount of	(f) Method of	(g) Description of	(h) Purpose of grant
organization or government		if applicable	cash grant	noncash assistance	valuation (book, FMV, appraisal, other)	non-cash assistance	or assistance
PARK SLOPE VETERINARY CENTER PC							
639 4TH AVENUE							
BROOKLYN, NY 11232	27-3044477	FOR-PROFIT (ANIM	10,000.	0.			SEE PART IV
PAWS CROSSED ANIMAL RESCUE INC.							
100 WAREHOUSE LANE SOUTH							
ELMSFORD, NY 10523	47-4712475	501(C)3	10,000.	0.			SEE PART IV
PEACEFUL KINGDOM							
P.O. BOX 9394	60 1010100	501 (6) 2		0			
KNOXVILLE, TN 37940	62-1818180	501(C)3	20,000.	0.			SEE PART IV
PEOPLE PROMOTING ANIMAL WELFARE							
P.O. BOX 68							
GREENBACK, TN 37742	62-1471626	501 (C) 3	30,000.	0.			SEE PART IV
GREENBACK, IN 37742	02 1471020	501(0/5	30,000.	0.			DEE TAKT IV
PET ALLIANCE OF GREATER ORLANDO							
2727 CONROY ROAD							
ORLANDO, FL 32839	59-0637883	501 (C) 3	15,000.	0.			SEE PART IV
	33 0037003	501(0)5	13,000.	••			DEE TAKE IV
PET FRIENDLY SERVICES OF INDIANA							
1100 W. 42ND STREET, SUITE 205							
INDIANAPOLIS, IN 46208	31-0922223	501 (C) 3	9,000.	0.			SEE PART IV
INDIMUM GEID, IN 10200	31 0322223	301(0)3	3,000.				<u> </u>
PET PARTNERS NETWORK, INC.							
PO BOX 2746							
LENOIR, NC 28645	26-3328699	501 (C) 3	10,000.	0.			SEE PART IV
ELMOIN, No 20015	20 3320033	301(0)3	10,000.	•			
PETS ARE WONDERFUL SUPPORT, INC.							
134 WEST 29TH STREET							
NEW YORK, NY 10001	80-0233785	501 (C) 3	25,000.	0.			SEE PART IV
	33 3233733	551(5/5	25,000.				
PETS LIFELINE INC							
PO BOX 341							
SONOMA, CA 95476	94-2851279	501 (C) 3	20,000.	0.			SEE PART IV

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organization or government if approximate approximate organization or government if approximate approx	C section plicable (d) Amount of cash grant	(e) Amount of noncash valuation assistance (book, FM	n non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY BOARD OF TRUSTEES - 11200 SW 8TH ST - MIAMI, FL 33199 THE HUMANE SOCIETY FOR TACOMA AND PIERCE COUNTY - 2608 CENTER ST - TACOMA, WA 98409 THIS OLD HORSE 13926 60TH STREET SOUTH AFTON, MN 55001 TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION 65-0177616 OTHER 65-0177616 OTHER 65-0177616 OTHER 65-0177616 OTHER 45-4234611 SO1(C)3		appraisal, o		oi assistante
THE HUMANE SOCIETY FOR TACOMA AND PIERCE COUNTY - 2608 CENTER ST - TACOMA, WA 98409 THIS OLD HORSE 13926 60TH STREET SOUTH AFTON, MN 55001 TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION				
THE HUMANE SOCIETY FOR TACOMA AND PIERCE COUNTY - 2608 CENTER ST - TACOMA, WA 98409 THIS OLD HORSE 13926 60TH STREET SOUTH AFTON, MN 55001 TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION				
PIERCE COUNTY - 2608 CENTER ST - 91-0577128 501(C)3 THIS OLD HORSE 13926 60TH STREET SOUTH AFTON, MN 55001 45-4234611 501(C)3 TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 15-0624378 501(C)3	20,000.	0.		SEE PART IV
THIS OLD HORSE 13926 60TH STREET SOUTH AFTON, MN 55001 TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION				
THIS OLD HORSE 13926 60TH STREET SOUTH AFTON, MN 55001 TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION				
13926 60TH STREET SOUTH AFTON, MN 55001 TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION	24,000.	. 0.		SEE PART IV
13926 60TH STREET SOUTH AFTON, MN 55001 TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION				
TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 15-0624378 501(C)3 TRI-CITY ANIMAL SHELTER & ADOPTION				
1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION	31,700.	0.		SEE PART IV
TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850 TRI-CITY ANIMAL SHELTER & ADOPTION CENTER - 1150 E. PLEASANT RUN RD.				
ITHACA, NY 14850 15-0624378 501(C)3 TRI-CITY ANIMAL SHELTER & ADOPTION				
TRI-CITY ANIMAL SHELTER & ADOPTION				
	51,920.	. 0.		SEE PART IV
- CEDAR HILL, TX 75104 75-6000480 GOVERNME	ENTAL (MU 20,000.	. 0.		SEE PART IV
,				
TRI-COUNTY HUMANE SOCIETY				
735 8TH STREET NE				
ST. CLOUD, MN 56302 23-7449686 501(C)3	45,000.	. 0.		SEE PART IV
TRUE AND FAITHFUL PET RESCUE				
MISSION, INC 1505 SOUTH TAMIAMI	10.000			GEE DADE 117
TRAIL - VENICE, FL 34292 47-1681488 501(C)3	10,000.	. 0.		SEE PART IV
UNIVERSITY OF DENVER				
2148 S. HIGH ST				
DENVER, CO 80208 84-0404231 501(C)3	24,852.	. 0.		SEE PART IV
UNIVERSITY OF NEBRASKA AT OMAHA				
6001 DODGE ST				
OMAHA, NE 68182 47-0049123 501(C)3				

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Schedule	l (Forn	n 990) 2021	(OF	CRUELTY	Y TC) ANI	MALS			13-16	523829	Page 2
									 	222 D . 11 / 11 . 22			

Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed. (e) Method of valuation (a) Type of grant or assistance (b) Number of (c) Amount of (d) Amount of non-(f) Description of noncash assistance (book, FMV, appraisal, other) recipients cash grant cash assistance Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information. PART I, LINE 2: THE ASPCA PROVIDES GRANTS TO A VARIETY OF ORGANIZATIONS TO ADVANCE ITS MISSION OF STOPPING AND PREVENTING ANIMAL CRUELTY AND ASSISTING ANIMALS IN NEED. ELIGIBLE GRANT-SEEKERS GO THROUGH A ROBUST DUE DILIGENCE PROCESS TO ASSESS THEIR COMPLIANCE WITH REGULATORY REQUIREMENTS, IMPLEMENTATION OF GOVERNANCE BEST PRACTICES, BUSINESS CONTINUITY, AND CAPACITY TO CARRY OUT THE PROPOSED PROJECT. GRANT PROPOSALS ARE ALSO REVIEWED BY SUBJECT MATTER EXPERTS TO

Schedule I (Form 990) 2021

OF CRUELTY TO ANIMALS

13-1623829 Page 2

Schedule I (Form 990) OF CR
Part IV Supplemental Information

ASSESS THEIR FEASIBILITY, ALIGNMENT WITH ASPCA POLICY, POSITION, AND

STANDARD PRACTICES, AND ABILITY TO EFFECTIVELY FURTHER THE ASPCA'S MISSION

AND PROGRAMMATIC GOALS. TIERED REVIEW AND APPROVAL IS REQUIRED BASED ON THE

SIZE OF THE GRANT.

GRANTEES THAT RECEIVE FUNDING ARE REQUIRED TO SUBMIT FINAL REPORTS WHICH

ARE REVIEWED TO ENSURE THAT THE FUNDS WERE USED APPROPRIATELY AND TO ASSESS

THE PROJECT OUTCOMES, IF APPLICABLE. INTERIM REPORTS MAY ALSO BE REQUIRED

TO PROVIDE ADDITIONAL ACCOUNTABILITY ON LONGER-TERM GRANTS.

WHILE THE ASPCA IS A WORKING CHARITY, NOT SIMPLY A GRANTMAKING ENTITY, THE ASPCA IS ONE OF THE NATION'S LARGEST ANIMAL WELFARE GRANTMAKERS. THE ASPCA OFFERS ANIMAL WELFARE AND OTHER ORGANIZATIONS A RANGE OF FINANCIAL SUPPORT THAT MAKES A SUBSTANTIAL IMPACT ON THEIR ABILITY TO STOP AND PREVENT ANIMAL SUFFERING AND CRUELTY, AND TO ASSIST ANIMALS IN NEED. SINCE 2001, THE ASPCA HAS GIVEN MORE THAN \$190 MILLION IN GRANTS TO MANY THOUSANDS OF ANIMAL SHELTERS, MUNICIPAL AND GOVERNMENTAL AGENCIES, RESCUE GROUPS, SANCTUARIES, AND OTHER ANIMAL WELFARE ORGANIZATIONS NATIONWIDE. ORGANIZATIONS IN ALL 50 STATES AND U.S. TERRITORIES HAVE RECEIVED FUNDING AND ARE ELIGIBLE TO APPLY.

GRANTS ARE MADE TO SUPPORT A WIDE VARIETY OF ACTIVITIES THAT ADVANCE THE

ASPCA'S ANTI-CRUELTY MISSION, INCLUDING ANIMAL SHELTER & RESCUE

ORGANIZATION GRANTS, EQUINE WELFARE GRANTS, AND EMERGENCY & DISASTER

RESPONSE GRANTS. ALL OF THE ASPCA'S GRANTS ARE AIMED AT PREVENTING AND

COMBATTING ANIMAL CRUELTY. OUR GRANTS HELP BUILD AND SUSTAIN LOCAL AND

REGIONAL CAPACITY ACROSS THE COUNTRY TO ADVANCE ANIMAL WELFARE; SUPPORT

DIRECT RESPONSE TO DISASTERS, CRUELTY AND OTHER EMERGENCIES; PROVIDE

13-1623829 Page 2 OF CRUELTY TO ANIMALS Schedule I (Form 990) Part IV | Supplemental Information FUNDING FOR RESEARCHING NEW APPROACHES, DEVELOPING AND TESTING PILOT PROGRAMS, AND THE IMPLEMENTATION AND DISSEMINATION OF BEST PRACTICES TO IMPROVE IMPACT; AND SUPPORT CHANGING LAWS, POLICIES, PRACTICES, AND PERCEPTIONS TO INCREASE PROTECTIONS FOR ANIMALS AND IMPROVE THEIR WELFARE. IN 2021, THE ASPCA AWARDED GRANT FUNDING TOTALING MORE THAN \$10 MILLION TO 364 ORGANIZATIONS IN 47 STATES, PUERTO RICO, THE US VIRGIN ISLANDS, AND THE DISTRICT OF COLUMBIA. OUR GRANTS SUPPORTED DISASTER AND EMERGENCY ANIMAL RESCUE PROGRAMS, LIFESAVING ANIMAL SHELTER LIVE-RELEASE IMPROVEMENT PROGRAMS; SPAY/NEUTER EFFORTS TO COMBAT ANIMAL HOMELESSNESS; SURRENDER-PREVENTION PROGRAMS THAT HELP CHALLENGED PET OWNERS KEEP AND CARE FOR THEIR PETS; AND AT-RISK EQUINE PROTECTION PROGRAMS.

SCHEDULE J (Form 990)

Department of the Treasury

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

Attach to Form 990.

OMB No. 1545-0047

Open to Public Inspection

Internal Revenue Service Name of the organization

► Go to www.irs.gov/Form990 for instructions and the latest information.

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

Pá	art I Questions Regarding Compensation	<u>.</u>			
				Yes	No
1 a	Check the appropriate box(es) if the organization provided an	ny of the following to or for a person listed on Form 990,			
	Part VII, Section A, line 1a. Complete Part III to provide any r	relevant information regarding these items.			
	First-class or charter travel	Housing allowance or residence for personal use			
	Travel for companions	Payments for business use of personal residence			
	Tax indemnification and gross-up payments	Health or social club dues or initiation fees			
	Discretionary spending account	Personal services (such as maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organizati	on follow a written policy regarding payment or			
	reimbursement or provision of all of the expenses described	above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursi	ng or allowing expenses incurred by all directors,			
		regarding the items checked on line 1a?	. 2		
3	Indicate which, if any, of the following the organization used	to establish the compensation of the organization's			
	CEO/Executive Director. Check all that apply. Do not check a	· · · · · · · · · · · · · · · · · · ·			
	establish compensation of the CEO/Executive Director, but e				
	X Compensation committee	Written employment contract			
	X Independent compensation consultant	X Compensation survey or study			
	X Form 990 of other organizations	X Approval by the board or compensation committee			
	-				
4	During the year, did any person listed on Form 990, Part VII,	Section A, line 1a, with respect to the filing			
	organization or a related organization:				
а	Receive a severance payment or change-of-control payment'	?	4a		X
b	Participate in or receive payment from a supplemental nonqu	ualified retirement plan?	4b	Х	
С	Participate in or receive payment from an equity-based comp	pensation arrangement?	4c		Х
	If "Yes" to any of lines 4a-c, list the persons and provide the	applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizati	ions must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, o	did the organization pay or accrue any compensation			
	contingent on the revenues of:				
а	The organization?		. 5a		X
b	Any related organization?		. 5b		Х
	If "Yes" on line 5a or 5b, describe in Part III.				
6	For persons listed on Form 990, Part VII, Section A, line 1a, o	did the organization pay or accrue any compensation			
	contingent on the net earnings of:				
а	The organization?		. 6a		X
			. 6b		Х
	If "Yes" on line 6a or 6b, describe in Part III.				
7	For persons listed on Form 990, Part VII, Section A, line 1a, o				
			7	Х	
8	Were any amounts reported on Form 990, Part VII, paid or ac				
	initial contract exception described in Regulations section 53	3.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" on line 8, did the organization also follow the rebutta	able presumption procedure described in			
	Regulations section 53.4958-6(c)?		9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2021

Schedule J (Form 990) 2021

OF CRUELTY TO ANIMALS

13-1623829

Page 2

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

		(B) Breakdown of W	/-2 and/or 1099-MISC compensation	C and/or 1099-NEC	(C) Retirement and other deferred	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B)
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	compensation			reported as deferred on prior Form 990
(1) MATT BERSHADKER	(i)	752,293.	108,750.	20,742.	73,200.	35,540.	990,525.	0.
PRESIDENT & CHIEF EXECUTIVE OFFICER	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) TODD HENDRICKS	(i)	370,825.	76,971.	20,742.	23,200.	14,958.	506,696.	0.
SVP, DEVELOPMENT	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) BERT TROUGHTON	(i)	334,227.	53,028.	33,358.	23,200.	24,240.	468,053.	0.
SVP, SHELTER & VETERINARY SERVICES	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) CHERYL BUCCI	(i)	313,933.	51,172.	20,310.	23,200.	41,777.	450,392.	0.
SENIOR VICE PRESIDENT, OPS & PEOPLE	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) ELIZABETH ESTROFF	(i)	357,051.	7,000.	20,742.	23,200.	34,161.	442,154.	0.
SVP, COMMUNICATIONS	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) BEVERLY JONES	(i)	323,044.	5,000.	20,310.	23,200.	32,029.	403,583.	0.
SVP, CHIEF LEGAL OFFICER	(ii)	0.	0.	0.	0.	0.	0.	0.
(7) J'MAI GAYLE	(i)	303,810.	20,750.	1,242.	23,200.	40,701.	389,703.	0.
DIRECTOR, SURGERY	(ii)	0.	0.	0.	0.	0.	0.	0.
(8) STACY WOLF	(i)	324,476.	5,000.	21,084.	19,544.	12,776.	382,880.	0.
SVP, POLICY, RESPONSE & ENGAGEMENT	(ii)	0.	0.	0.	0.	0.	0.	0.
(9) CAMILLE DECLEMENTI	(i)	257,287.	22,000.	510.	22,584.	13,129.	315,510.	0.
VP, ASPCA ANIMAL HOSPITAL	(ii)	0.	0.	0.	0.	0.	0.	0.
(10) NANCY PERRY	(i)	250,155.	8,939.	2,322.	21,147.	25,165.	307,728.	0.
SVP, GOVERNMENT RELATIONS	(ii)	0.	0.	0.	0.	0.	0.	0.
(11) JENNIFER CHIN	(i)	262,221.	0.	748.	21,436.	16,134.	300,539.	0.
VICE PRESIDENT, LEGAL ADVOC/INVESTIG	(ii)	0.	0.	0.	0.	0.	0.	0.
(12) AMY BERKOWITZ	(i)	252,488.	0.	2,322.	16,799.	15,935.	287,544.	0.
VP, INFORMATION TECHNOLOGY	(ii)	0.	0.	0.	0.	0.	0.	0.
(13) GORDON LAVALETTE	(i)	250,234.	0.	675.	0.	997.	251,906.	0.
SVP, CFO AS OF 3/15/2021	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Schedule J (Form 990) 2021

OF CRUELTY TO ANIMALS

13-1623829

Page 3

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 4B:

LINE 4B: THE ASPCA HAS A 457(F) DEFERRED COMPENSATION PLAN (THE F PLAN) FOR

THE PRESIDENT AND CHIEF EXECUTIVE OFFICER. THE F PLAN ACCRUES AN ANNUAL

EMPLOYER FUNDED AMOUNT OF \$50,000 THAT REMAINS UNVESTED UNTIL THE END OF A

FIVE-YEAR EMPLOYMENT TERM, AT WHICH POINT THE F PLAN RENEWS IN FIVE YEAR

INCREMENTS CONTINGENT ON CONTINUOUS EMPLOYMENT. THIS AMOUNT IS NOT

INCREASED FOR INVESTMENT EARNINGS.

PART I, LINE 7:

THE FOLLOWING EMPLOYEES RECEIVED DISCRETIONARY, NON-FIXED PAYMENTS THAT ARE

REPORTED IN SCHEDULE J, PART II, COLUMN B(II). THE DISCRETIONARY NON-FIXED

PAYMENTS ARE DETERMINED BASED ON THE PERFORMANCE EVALUATION PROCESS:

MATTHEW BERSHADKER \$108,750

TODD HENDRICKS \$76,971

BERT TROUGHTON \$53,028

CHERYL BUCCI \$51,172

ELIZABETH ESTROFF \$7,000

BEVERLY JONES \$5,000

OF CRUELTY TO ANIMALS 13-1623829 Schedule J (Form 990) 2021 Page 3 Part III | Supplemental Information Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information. J'MAI GAYLE \$20,750 STACY WOLF \$5,000 CAMILLE DECLEMENTI \$22,000 NANCY PERRY \$8,939

SCHEDULE M (Form 990)

Noncash Contributions

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

► Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

THE AMERICAN SOCIETY FOR THE PREVENTION CRUELTY TO ANIMALS

Employer identification number 13-1623829

Pai	TI Types of Property							
		(a)	(b)	(c)	(d		_	
		Check if	Number of contributions or	Noncash contribution amounts reported on	Method of d		•	_
		applicable		Form 990, Part VIII, line 1g	noncash contrib	ulion ai	Hourits	5
1	Art - Works of art							
2	Art - Historical treasures							
3	Art - Fractional interests							
4	Books and publications							
5	Clothing and household goods							
6	Cars and other vehicles	X	1,297	995,602.	COMPARABLE	SALI	ES	
7	Boats and planes							
8	Intellectual property							
9	Securities - Publicly traded	X	133	3,687,299.	NYSE			
10	Securities - Closely held stock							
11	Securities - Partnership, LLC, or							
	trust interests							
12	Securities - Miscellaneous							
13	Qualified conservation contribution -							
	Historic structures							
14	Qualified conservation contribution - Other							
15	Real estate - Residential							
16	Real estate - Commercial							
17	Real estate - Other							
18	Collectibles							
19	Food inventory							
20	Drugs and medical supplies							
21	Taxidermy							
22	Historical artifacts							
23	Scientific specimens							
24	Archeological artifacts			205 455				
25	Other • (PET SUPPLIES)	X	6	226,475.	COST			
26	Other ()							
27	Other ()							
28	Other ()							
29	Number of Forms 8283 received by the organiz						0	
	for which the organization completed Form 828	3, Part V, D	onee Acknowledg	ement 29			9	
	5						Yes	No
30a	During the year, did the organization receive by							
	must hold for at least three years from the date					00-		v
	exempt purposes for the entire holding period?					30a		X
	If "Yes," describe the arrangement in Part II.	aliau that	auiroo the review :	of any panatandard contains	iono?		v	
31	Does the organization have a gift acceptance p					31	X	
32a	Does the organization hire or use third parties of			· ·		200	х	
L	contributions?					32a	Λ	
	If "Yes," describe in Part II.	dumn (a) fa:	a type of property	for which column (a) is about	skod			
33	If the organization didn't report an amount in co	numm (C) 101	a type of property	nor which column (a) is ched	reu,			
	describe in Part II.							

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule M (Form 990) 2021

DocuSign Envelope ID: 9EFF35E4-5873-47F3-9557-DFAF538D9024 THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS 13-1623829 Schedule M (Form 990) 2021 Page 2 Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization Part II is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information. SCHEDULE M, PART I, COLUMN (B): THE NUMBER ON PART I, COLUMN B REPRESENTS NUMBER OF DONORS. SCHEDULE M, LINE 32B: CHARITABLE ADULT RIDES & SERVICES, INC. ("CARS") IS A CONTRACTED SERVICE PROVIDER WHICH ACCEPTS VEHICLE DONATIONS FROM DONORS ON BEHALF OF THE ASPCA AS THE DONEE. CARS UTILIZES THIRD PARTIES TO SELL THE DONATED VEHICLES IN THE RESALE MARKET. IN RETURN, CARS KEEPS 20% OF THE NET AMOUNT OF DONATED PROCEEDS AS PAYMENT FOR THESE SERVICES, WITH THE REMAINDER GOING TO THE ASPCA.

Schedule M (Form 990) 2021

SCHEDULE 0 (Form 990)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for the latest information.

Open to Public

OMB No. 1545-0047

Inspection

Name of the organization

THE AMERICAN SOCIETY FOR THE PREVENTION CRUELTY TO ANIMALS

Employer identification number 13-1623829

PART III, LINE 1: DESCRIPTION OF ORGANIZATION MISSION THE ASPCA'S MISSION, AS STATED BY FOUNDER HENRY BERGH IN 1866, IS "TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS THROUGHOUT THE UNITED STATES." THE ASPCA WAS FOUNDED ON THE BELIEF THAT ANIMALS ARE ENTITLED TO KIND AND RESPECTFUL TREATMENT AT THE HANDS OF HUMANS AND MUST BE PROTECTED UNDER THE LAW.

ALL OF THE ASPCA'S SERVICES AND RESOURCES ARE FOCUSED ON INITIATIVES THAT CONTRIBUTE TO PREVENTING AND COMBATING ANIMAL CRUELTY ACROSS THE COUNTRY THROUGH THREE PRIMARY AREAS OF ACTION: SHELTER AND VETERINARY SERVICES; PUBLIC EDUCATION AND COMMUNICATIONS; AND POLICY, AND ENGAGEMENT.

WITHIN THESE AREAS OF ACTIVITY, THE ASPCA'S WORK SPANS A BROAD SPECTRUM IN SERVICE OF ITS MISSION TO PREVENT CRUELTY AND SUFFERING. THE CAUSES AND EFFECTS OF ANIMAL CRUELTY ARE COMPLEX, AND THE ASPCA'S WORK IS EXPANSIVE AND WIDE-RANGING ACROSS ALL OF THE ASPCA'S PROGRAMS TO MEET THESE MULTIFACETED CHALLENGES.

THE ASPCA'S PROGRAMS TACKLE ISSUES FACING ANIMAL WELFARE ON A NATIONAL SCALE WITH OPERATIONS THROUGHOUT THE COUNTRY UNDER A VISION THAT ANIMALS ARE VALUED BY SOCIETY, PROTECTED BY ITS LAWS, AND FREE FROM CRUELTY, PAIN, AND SUFFERING. THAT VISION IS ACHIEVED THROUGH INNOVATIVE PROGRAMS ADDRESSING CRITICAL ANIMAL WELFARE ISSUES INCLUDING ANIMAL HOMELESSNESS, ACCESS TO VETERINARY CARE, BEHAVIORAL

REHABILITATION, HUMANE LEGISLATION, RESCUE FROM ABUSIVE SITUATIONS, AND

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 RESPONSE TO NATURAL DISASTERS AND OTHER MAJOR CRISES. THE ASPCA MAINTAINS A PHYSICAL PRESENCE IN LOCATIONS ACROSS THE COUNTRY INCLUDING IN NYC; MIAMI, FL; GAINESVILLE, FL; CHAMPAIGN, IL; LOS ANGELES, CA; WEAVERVILLE, NC; ASHEVILLE, NC; COLUMBUS, OH; WASHINGTON, DC; OKLAHOMA CITY, OK; OVERLAND PARK, KS; AND UNION, MO. AS AN ESTABLISHED NATIONAL ORGANIZATION WITH A BROAD PERSPECTIVE ON ANIMAL WELFARE ISSUES, THE ASPCA INCREASES ITS IMPACT BY FILLING STRATEGIC AND GEOGRAPHIC VOIDS WHERE NECESSARY TO PREVENT ANIMAL SUFFERING. THE ORGANIZATION CAN QUICKLY MOBILIZE TEAMS AND RESOURCES IN SUPPORT OF REGIONAL EFFORTS IN A DISASTER LIKE A HURRICANE OR WILDFIRE. THE ASPCA COLLABORATES WITH LOCAL AND REGIONAL PEERS ON LARGE-SCALE RELOCATION OF HOMELESS ANIMALS, ASSISTS LAW ENFORCEMENT AGENCIES AROUND THE COUNTRY WITH THE COLLECTION AND ANALYSIS OF EVIDENCE IN ANIMAL CRUELTY CASES, AND TRAINS THOUSANDS OF ANIMAL WELFARE PROFESSIONALS AROUND THE COUNTRY ON HOW TO REHABILITATE SUFFERING ANIMALS TO GIVE THEM THE BEST CHANCE OF FINDING SAFE AND LOVING HOMES. THE ASPCA BENEFITS ANIMALS NATIONWIDE BY TACKLING SOME OF THE BIGGEST CHALLENGES FACING ANIMALS IN SOCIETY INCLUDING CHAMPIONING FEDERAL, STATE, AND LOCAL LEGISLATION AND POLICIES THAT INCREASE LEGAL PROTECTIONS FOR ANIMALS AND BY ADVANCING RESEARCH AND PROGRAMS TO HELP MAKE VETERINARY CARE MORE AFFORDABLE AND ACCESSIBLE TO ALL.

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 SERVICES INCLUDING THE ASPCA'S BEHAVIOR REHABILITATION CENTER, LEARNING LAB, NATIONAL RELOCATION PROGRAM, AND SPAY/NEUTER ALLIANCE DISSEMINATE LIFESAVING VETERINARY RESEARCH, INSIGHT, AND TRAINING THROUGHOUT THE ANIMAL WELFARE FIELD, IMPACTING ANIMALS IN NEARLY EVERY STATE IN THE COUNTRY. THE ORGANIZATION ALSO WORKS ALONGSIDE HUNDREDS OF OTHER LOCAL ANIMAL WELFARE ORGANIZATIONS, RESCUE GROUPS, ANIMAL CONTROL, AND LAW ENFORCEMENT AGENCIES, AND OTHER ORGANIZATIONS UNITED IN THE ASPCA'S ANTI-CRUELTY MISSION. FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS: SVS INCLUDES THE ASPCA ANIMAL HOSPITAL (NYC), ASPCA SPAY/NEUTER ALLIANCE (NC), NORTHERN TIER SHELTER INITIATIVE, ASPCA ADOPTION CENTER (NYC), ASPCA ANIMAL POISON CONTROL CENTER (IL), ANIMAL RECOVERY CENTER (NYC), CANINE ANNEX FOR RECOVERY & ENRICHMENT (NYC), KITTEN NURSERY (NYC), KITTEN FOSTER PROGRAM (LA), ANIMAL RELOCATION PROGRAM, AND COMMUNITY MEDICINE PROGRAMS INCLUDING SPAY/NEUTER CLINICS IN NEW YORK CITY AND LOS ANGELES; AND COMMUNITY VETERINARY CLINICS (CVCS) IN LIBERTY CITY, MIAMI, AND IN THE NEW YORK CITY BOROUGHS OF THE BRONX AND BROOKLYN. IN 2021, ASPCA SVS PROGRAMS HELPED HUNDREDS OF THOUSANDS OF ANIMALS THROUGH MEDICAL CARE, BEHAVIORAL TREATMENT, RELOCATION, EMERGENCY AND STANDARD SHELTERING, POISON EXPOSURE CONSULTATIONS, FOSTERING, AND ADOPTION.

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** 13-1623829 OF CRUELTY TO ANIMALS HELPING AT-RISK PETS AND THEIR OWNERS IN NY, LA, AND MIAMI ASPCA DIRECT ANIMAL CARE PROGRAMS IN NEW YORK, LOS ANGELES, AND MIAMI ARE SPECIALLY DESIGNED AND STAFFED TO PROVIDE EXPERT VETERINARY, BEHAVIORAL, AND PLACEMENT CARE TO ANIMALS AND COMMUNITIES WHO NEED IT MOST. ASPCA ANIMAL HOSPITAL, ANIMAL RECOVERY CENTER, AND CANINE ANNEX FOR RECOVERY AND ENRICHMENT IN 2021, THE ASPCA ANIMAL HOSPITAL TREATED MORE THAN 8,750 ANIMALS, AND THE ANIMAL RECOVERY CENTER (ARC) AND CANINE ANNEX FOR RECOVERY AND ENRICHMENT (CARE) PROVIDED CARE FOR MORE THAN 360 ANIMAL VICTIMS OF CRUELTY, AN OVERWHELMING MAJORITY OF WHOM WERE RESCUED THROUGH THE ASPCA-NYPD PARTNERSHIP. ASPCA ADOPTION CENTER & KITTEN NURSERY EACH YEAR, THE ASPCA ADOPTION CENTER AND KITTEN NURSERY IN NEW YORK CITY FOCUSES EXCLUSIVELY ON PROVIDING CARE, TREATMENT, AND ADOPTION SERVICES FOR HUNDREDS OF CATS AND DOGS WITH COMPLICATED MEDICAL AND/OR BEHAVIORAL NEEDS, INCLUDING FROM NYPD CRUELTY CASES, AS WELL AS ANIMALS RESCUED AND RELOCATED FROM DISASTER AND OTHER EMERGENCY SITUATIONS. IN 2021, 1,415 ADOPTION CENTER ANIMALS WERE ADOPTED FROM THE ADOPTION CENTER INTO SAFE AND LOVING HOMES, MOST OF THEM WITH SUPPORT FROM NEARLY 600 FOSTER HOMES.

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number
ASPCA LOS ANGELES KITTEN FOSTER PROGRAM	
IN 2021, THE ASPCA LOS ANGELES KITTEN FOSTER PROGRAM FOSTE	ERED NEARLY
1,400 CATS, PERFORMED MORE THAN 4,000 MEDICAL EXAMS, AND E	PROVIDED
POSITIVE OUTCOMES FOR MORE THAN 1,430 VULNERABLE CATS.	
ASPCA COMMUNITY MEDICINE	
ASPCA VETERINARY CLINICS IN LOS ANGELES, NEW YORK CITY, AN	ND MIAMI
ASSISTED MORE THAN 58,480 ANIMALS WITH BASIC AND PREVENTAT	TIVE CARE IN
2021, INCLUDING MORE THAN 43,740 SPAY/NEUTER SURGERIES.	
OPENING OF BROOKLYN COMMUNITY VETERINARY CLINIC	
IN APRIL, THE ASPCA OPENED THE BROOKLYN COMMUNITY VETERINA	ARY CLINIC
(CVC), SUPPORTED BY THE ALEX AND ELISABETH LEWYT CHARITABL	LE TRUST, TO
CREATE BETTER ACCESS TO AFFORDABLE VETERINARY SERVICES FOR	R UNDERSERVED
PET OWNERS AND IMPROVE THE HEALTH AND WELFARE OF DOGS AND	CATS IN
BROOKLYN.	
THE BROOKLYN CVC SERVES EAST NEW YORK, AN AREA WITH LIMITE	ED EXISTING
RESOURCES FOR VETERINARY CARE. BEFORE THE CONSTRUCTION OF	THE BROOKLYN
CVC WAS COMPLETE, THE ASPCA WAS OPERATING A MOBILE CLINIC	IN THE
PARKING LOT TO HELP PET OWNERS AFFECTED BY THE PANDEMIC.	
THE PERMANENT FACILITY OFFERS PARTIALLY AND FULLY SUBSIDIZ	ZED BASIC AND
PREVENTIVE VETERINARY CARE TO BROOKLYN RESIDENTS, AS WELL	AS
SPAY/NEUTER SURGERIES AND VACCINATIONS FOR HOMELESS DOGS A	AND CATS BEING

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CARED FOR BY ANIMAL SHELTERS AND RESCUE ORGANIZATIONS, INC	LUDING ANIMAL
CARE CENTERS OF NYC.	
OVERALL NUMBER OF ANIMALS DIRECTLY ASSISTED BY LOCATION:	
NYC	
* ASPCA BRONX CVC: NEARLY 12,400 ANIMALS	
* ASPCA BROOKLYN CVC: MORE THAN 11,400 ANIMALS	
* ASPCA NYC MOBILE CLINICS: MORE THAN 7,000 ANIMALS	
* ASPCA GLENDALE, NYC SPAY/NEUTER CLINIC: NEARLY 6,800 ANI	MALS
MIAMI, FL	
* ASPCA MIAMI CVC: MORE THAN 6,500 ANIMALS	
LOS ANGELES	
* LOS ANGELES CLINIC AND MOBILE VEHICLES: MORE THAN 22,000	ANIMALS
2. MOVING ANIMALS FROM PERIL TO OPPORTUNITY THROUGH ANIMAL	RELOCATION
ASPCA ANIMAL RELOCATION PROGRAMS COMPLETED MORE THAN 1,025	ANTMAT
·	
TRANSPORT TRIPS IN 2021, RELOCATING MORE THAN 34,550 ANIMA	
24,700 DOGS AND 9,760 CATS) FROM UNDER-RESOURCED SHELTERS	
WHERE THOSE ANIMALS HAVE A GREATER CHANCE OF BEING ADOPTED	•
THESE LIFESAVING JOURNEYS INCLUDED 895 GROUND TRANSPORTS A	ND 132 AIR
TRANSPORTS. THE ASPCA ANIMAL RELOCATION PROGRAM REDUCES OV	ERCROWDING AT
PARTNER SHELTERS AND HELPS CREATE THE CAPACITY FOR SHELTER	
AND STRENGTHEN PROGRAMS THAT WILL HAVE A LONG-TERM POSITIV	

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** 13-1623829 OF CRUELTY TO ANIMALS ANIMAL WELFARE IN THEIR SURROUNDING COMMUNITY. SINCE STARTING THE RELOCATION PROGRAM IN 2014, THE ASPCA HAS TRANSPORTED MORE THAN 200,000 COMPANION ANIMALS ON OVER 7,800 TRIPS THROUGHOUT THE U.S., MAKING THE ASPCA THE LARGEST NATIONAL TRANSPORTER OF SHELTER DOGS AND CATS FOR ADOPTION. 3. ADVANCING THE PRACTICE AND IMPACT OF SPAY/NEUTER SURGERY SPAY/NEUTER SURGERY CONTINUES TO BE AN IMPACTFUL WAY TO ADDRESS ANIMAL OVERPOPULATION AND REDUCE LENGTHS OF STAY IN ANIMAL SHELTERS SO SHELTERS CAN ASSIST MORE ANIMALS IN NEED. THE ASPCA PERFORMS, TRAINS, AND PROMOTES THE PRACTICE OF EFFECTIVE AND EFFICIENT SPAY/NEUTER PROCEDURES IN SEVERAL LOCATIONS. ASPCA SPAY NEUTER ALLIANCE IN 2021, THE ASPCA SPAY NEUTER ALLIANCE (ASNA) IN NORTH CAROLINA PERFORMED MORE THAN 20,000 SURGERIES, INCLUDING LOW-COST SPAY/NEUTER SURGERIES FOR COMMUNITY MEMBERS AND SPAY/NEUTER SERVICES FOR SHELTERS AND RESCUES IN THE REGION. ASNA ALSO ADMINISTERED MORE THAN 10,550 FREE RABIES SHOTS AND TRAINED 30 VETERINARIANS AND MORE THAN 80 VETERINARY STUDENTS IN HIGH-QUALITY, HIGH-VOLUME SPAY/NEUTER TECHNIQUES. INCLUDED IN THE TOTAL SURGERY COUNT, ASNA DELIVERED OVER 3,240 "COMMUNITY CAT" PACKAGES FOR FERAL CATS (SPAY/NEUTER SURGERY, RABIES AND OTHER VACCINATIONS, IVERMECTIN, AND EAR TIPS).

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BRINGING AWARENESS TO MORE THAN 3 MILLION SUPPORTERS AND THE PUBLIC ON

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** 13-1623829 OF CRUELTY TO ANIMALS EFFECTIVE ACTION THEY CAN TAKE ON BEHALF OF ANIMALS. SOCIAL MEDIA POSTINGS ARE A KEY PART OF THE ASPCA'S EDUCATION PROCESS, AND THEY KEEP THE PUBLIC UPDATED ON POLICY WINS AND DETAILS OF THE ASPCA'S ANTI-CRUELTY EFFORTS. THE ASPCA'S PROMOTIONS GENERATED MORE THAN 240 MILLION SOCIAL MEDIA IMPRESSIONS IN 2021. THE PUBLIC WAS UPDATED ON ACTIONS THEY CAN TAKE, INCLUDING LETTER WRITING, PHONE CALLS, SOCIAL MEDIA OUTREACH, AND PETITIONS TO LEGISLATORS TO ENSURE ANIMALS ARE GIVEN THE GREATEST POSSIBLE PROTECTION UNDER THE LAW. IN 2021, THE ASPCA SENT MORE THAN 11 MILLION ADVOCACY EMAILS TO ASPCA SUPPORTERS, URGING THEM TO TAKE ACTION ON A VARIETY OF ANIMAL WELFARE ISSUES. WITH THE HELP OF ADVOCATES, THE ASPCA SECURED NEW ANIMAL PROTECTION LAWS AND REGULATORY WINS FOR ANIMALS IN THE NATION'S CAPITAL AND ACROSS THE COUNTRY IN STATE LEGISLATURES. THE ASPCA DISTRIBUTED NEARLY 3.5 MILLION PRINT AND DIGITAL COPIES OF ASPCA ACTION, THE ASPCA'S MEMBER MAGAZINE. ASPCA ACTION INCLUDES INFORMATION ON ASPCA EVENTS AND PROGRAMS AND PET CARE INSIGHT AND ADVICE. LEGISLATIVE AND ANIMAL ADVOCACY NEWS KEEPS ASPCA MEMBERS UP TO DATE ON CURRENT AND FUTURE INITIATIVES AND HOW THEY CAN HELP ENSURE THAT ANIMALS RECEIVE NECESSARY PROTECTION. THIS MAGAZINE IS AVAILABLE ON THE ASPCA WEBSITE, ALONGSIDE MANY ADDITIONAL EDUCATIONAL RESOURCES FOR THE PUBLIC. THE ASPCA PROVIDED VITAL INSIGHT, HOPE, AND SUPPORT DURING THE PANDEMIC THROUGH MEDIA PLACEMENTS HIGHLIGHTING THE ORGANIZATION'S SUBSTANTIAL

PANDEMIC RESPONSE PROGRAMS AROUND THE COUNTRY. MANY OF THESE ARTICLES

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(COVERING FEDERAL, STATE, AND LOCAL LEVELS).	
2021 PRE HIGHLIGHTS	
1. DISASTER AND CRUELTY RESPONSE: RESCUING AND BRINGING CA	RE TO ANIMALS
IN CRISIS	
ASPCA NATURAL DISASTER AND CRUELTY RESPONSE ACTIVITY IN 20	21 BROUGHT
ASPCA RESCUE TEAMS TO ANIMAL ABUSE AND NEGLECT CASES IN OH	IO (INVOLVING
90 DOGS), FLORIDA (TWO CASES INVOLVING MORE THAN 90 ANIMAL	S), IOWA
(INVOLVING MORE THAN 500 DOGS), NORTH CAROLINA (INVOLVING	60 DOGS),
ALABAMA (INVOLVING 30 DOGS), NEW YORK (INVOLVING 80 DOGS),	AND MISSOURI
(INVOLVING 20 ANIMALS).	
ASPCA TEAMS ALSO RESPONDED IMMEDIATELY TO ASSIST ANIMALS A	FFECTED BY
HURRICANE IDA IN LOUISIANA (ASSISTING 1,500 ANIMALS) AND T	ORNADOES IN
KENTUCKY (ASSISTING MORE THAN 500 ANIMALS).	
MANY OF THE ANIMALS RESCUED FROM THESE CASES RECEIVED CARE	AT THE
ASPCA'S CRUELTY RECOVERY CENTER IN OHIO AND/OR THE BEHAVIO	RAL
REHABILITATION CENTER IN NORTH CAROLINA BEFORE BEING PLACE	D WITH
SHELTER PARTNERS ACROSS THE COUNTRY TO BE MADE AVAILABLE F	OR ADOPTION.
IN ADDITION TO OPERATING THE CRC FACILITY IN OHIO, THE ASP	CA ALSO
OPENED A TEMPORARY SHELTER IN TENNESSEE, WHICH ACCEPTED SH	ELTER DOGS
WHO WERE EVACUATED FROM LOUISIANA AFTER HURRICANE IDA HIT	THE AREA IN
LATE AUGUST.	
RESCUE WORK HIGHLIGHTS:	

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CENTER (CRC) IN OHIO.	
MISSOURI CRUELTY CASE (SEPTEMBER 2021)	
IN SEPTEMBER, AT THE REQUEST OF THE CARUTHERSVILLE, MISSOU	RI POLICE
DEPARTMENT, THE ASPCA ASSISTED WITH THE RESCUE OF 19 DOGS	AND ONE CAT
FROM FILTHY, UNSAFE CONDITIONS AT A PROPERTY IN SOUTHEAST	MISSOURI.
MANY OF THE DOGS INVOLVED IN THIS CASE WERE UNDERWEIGHT, A	ND SOME
SUFFERED FROM UNTREATED MEDICAL ISSUES. THE ASPCA ASSISTED	WITH
OPERATIONAL SUPPORT, ANIMAL REMOVAL, EVIDENCE COLLECTION,	LEGAL
ASSISTANCE, AND FORENSICS EXAMS.	
NATIONAL PREPAREDNESS MONTH	
DURING NATIONAL PREPAREDNESS MONTH IN SEPTEMBER, THE ASPCA	RELEASED NEW
DATA FROM A NATIONAL SURVEY CONFIRMING THAT MORE THAN ONE	IN FIVE PET
OWNERS HAD EVACUATED THEIR HOMES DUE TO A DISASTER OR EMER	GENCY AND
THAT NEARLY HALF LEFT AT LEAST ONE PET BEHIND WHEN THEY EV	ACUATED.
THESE RESULTS WILL ENABLE DISASTER RESPONSE EXPERTS TO BET	TER
UNDERSTAND THE NEEDS AND AVAILABLE RESOURCES FOR PET OWNER	S, ESPECIALLY
THE 83% OF PET OWNERS WHO REPORTED LIVING IN A COMMUNITY T	
STRUCK BY NATURAL DISASTERS.	
2. GOVERNMENT RELATIONS AND LEGAL ADVOCACY & INVESTIGATION	S: SUPPORTING
LOCAL AND NATIONAL POLICIES THAT HELP ANIMALS IN NEED	

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THROUGHOUT 2021, THE ASPCA GOVERNMENT RELATIONS AND LEGAL	ADVOCACY
TEAMS KEPT INTENSE PRESSURE ON FEDERAL, STATE, AND LOCAL O	GOVERNMENTS TO
ENSURE COMPASSIONATE ANIMAL WELFARE PROTECTIONS WERE ENACT	TED AND
EXPANDED.	
HIGHLIGHTS OF THAT WORK:	
STATE EFFORTS	
NEW YORK	
WITH ASPCA SUPPORT AND INVOLVEMENT, THE NEW YORK CITY COUN	NCIL ENACTED
TWO BILLS INTO LAW TO EXPAND CO-SHELTERING OPTIONS SO PEOP	PLE
EXPERIENCING HOMELESSNESS AND THEIR PETS CAN STAY SAFELY T	OGETHER.
INTRO. 1483 REQUIRES THE DEPARTMENT OF HOMELESS SERVICES ((DHS), IN
COLLABORATION WITH THE DEPARTMENT OF SOCIAL SERVICES, TO I	DEVELOP A PLAN
TO ACCOMMODATE PETS OF INDIVIDUALS AND FAMILIES WHO ARE HO	OMELESS BY
PROVIDING PET-FRIENDLY SHELTERS. INTRO. 1484 REQUIRES DHS	TO DELIVER A
MONTHLY REPORT ON THE PLACEMENT OR DISPOSITION OF PETS WHO	BELONG TO
PEOPLE WHO ENTER HOMELESS SHELTERS. THE COMPILATION OF TH	IS DATA WILL
FACILITATE EFFORTS TO INCREASE THE AVAILABILITY OF PET-FRI	IENDLY
SERVICES.	
TO SUPPORT THE ANIMAL SHELTERING FIELD IN NEW YORK, THE AS	SPCA ALSO
WORKED TO ENSURE THAT CAPITAL FUNDING FOR ANIMAL SHELTERS	AND RESCUE
ORGANIZATIONS THROUGHOUT NEW YORK WOULD BE REINSTATED IN T	THE 2022 NEW
YORK STATE BUDGET. THE TEAM ALSO HELPED DEFEAT A BUDGET PR	ROPOSAL THAT
WOULD HAVE REQUIRED EXCLUSIVE USE OF EPRESCRIPTIONS BY VET	TERTNARTANS.

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MANDATING EPRESCRIPTIONSWHICH MANY SHELTERS ARE NOT EQUIPP	ED TO
IMPLEMENTWOULD HAVE COMPLICATED THE DELIVERY OF VETERINARY	SERVICES.
FLORIDA	
IN FLORIDA, WITH STRONG ASPCA SUPPORT, THE BOARDS OF COMMI	SSIONERS OF
ORANGE AND MANATEE COUNTIES, FLORIDA, PASSED ORDINANCES TO	SHUT DOWN
THE PUPPY MILL PIPELINE INTO THOSE COMMUNITIES BY BANNING	THE SALE OF
CATS AND DOGS IN RETAIL STORES.	
MULTIPLE ASPCA TEAMS SUPPORTED THIS LEGISLATIVE SUCCESS WI	TH DEDICATED
WORK, INCLUDING AN OFFICIAL LETTER AND DIRECT TESTIMONY FR	OM ASPCA
LEGAL ADVOCACY COUNSEL TO MANATEE COUNTY COMMISSIONERS.	
KANSAS AND NORTH CAROLINA	
THE ASPCA LED A CAMPAIGN TO OVERTURN A 30-YEAR-OLD LAW IN	OVERLAND
PARK, KANSAS, BARRING RESIDENTS FROM OWNING PIT-BULL-TYPE	DOGS AND
JOINED A COALITION OF ORGANIZATIONS THAT SUCCESSFULLY STOP	PED AN
ANTI-PIT BULL ORDINANCE IN STONEVILLE, NORTH CAROLINA.	
MISSOURI	
IN MISSOURI, THE ASPCA SUCCESSFULLY LOBBIED FOR THE ENACTM	ENT OF A NEW
LAW ESTABLISHING PET PROTECTIVE ORDERS, ENSURING THAT PETS	
ABLE TO BE INCLUDED WHEN VICTIMS OF DOMESTIC VIOLENCE OR O	
REQUIRE SUCH ORDERS.	

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AND CARE OF COMMERCIALLY BRED DOGS.

APPROXIMATELY 2,000 COMMERCIAL DOG BREEDERS AND DEALERS ARE LICENSED BY

THE USDA AND HOUSE ROUGHLY 250,000 DOGS AND PUPPIES, MOST OF WHOM ARE

SOLD AT PET STORES OR OVER THE INTERNET. BECAUSE THESE BUSINESSES ARE

RARELY OPEN TO THE PUBLIC, CONGRESS PREVIOUSLY DIRECTED THE USDA TO

INSPECT THEIR FACILITIES TO ENSURE THEY PROVIDE MINIMUM STANDARDS OF

CARE.

DESPITE THIS CONGRESSIONAL MANDATE, THE USDA HAS ADOPTED POLICIES THAT

ALLOW VIOLATIONS TO GO UNREPORTED AND UNPUNISHED. DESPITE OVERWHELMING

EVIDENCE OF CRUELTY, THE USDA HAS NOT IMPOSED A SINGLE PENALTY AGAINST

A DOG DEALER SINCE 2017. AS A RESULT OF THESE POLICIES, THE NUMBER OF

REPORTED VIOLATIONS HAS DECLINED SIGNIFICANTLY, GIVING BOTH THE PUBLIC

AND LAWMAKERS THE FALSE IMPRESSION THAT DEALERS ARE IN COMPLIANCE WITH

THE LAW. LAI'S LAWSUIT SEEKS TO COMPEL THE USDA TO RECORD ALL OBSERVED

VIOLATIONS OF THE AWA AND END ITS POLICIES OF NON-ENFORCEMENT.

SUPPORTING THE FARM SYSTEM REFORM ACT

IN JULY 2021, THE ASPCA SUPPORTED THE REINTRODUCTION OF THE FARM SYSTEM REFORM ACT, FEDERAL LEGISLATION SPONSORED BY SEN. CORY BOOKER AND REP.

RO KHANNA THAT WILL HELP CREATE A MORE HUMANE FOOD SYSTEM BY MOVING AWAY FROM DESTRUCTIVE SEVERE CONFINEMENT PRACTICES AND SUPPORTING FARMER TRANSITIONS TOWARD HIGHER WELFARE CONDITIONS. THE ASPCA HELPED SECURE ADDITIONAL SPONSORS FOR THIS LEGISLATION AND RAISED ITS PROFILE WITH DOZENS OF LETTERS TO EDITORS AND MEETINGS BETWEEN VOLUNTEERS AND REPRESENTATIVES.

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 SUPPORTING THE SAFE ACT IN MAY 2021, THE ASPCA'S LONGSTANDING EFFORTS TO END HORSE SLAUGHTER ENABLED THE INTRODUCTION OF THE SAVE AMERICA'S FORGOTTEN EQUINES (SAFE) ACT IN THE U.S. HOUSE OF REPRESENTATIVES BY REP. JAN SCHAKOWSKY AND REP. VERN BUCHANAN. ASPCA STAFF ALSO WORKED CLOSELY WITH SENATORS ROB MENENDEZ AND SENATOR LINDSAY GRAHAM, WHO INTRODUCED A SENATE BAN ON HORSE SLAUGHTER. THE ASPCA HELPED BUILD STRONG COSPONSOR SUPPORT FOR THE LEGISLATION, WORKING WITH EQUINE INDUSTRY NETWORKS AND RESCUE ORGANIZATIONS AND PROVIDING CRITICAL NATIONAL DATA AND BACKGROUND RESEARCH TO DEMONSTRATE THE URGENCY AND JUSTIFICATION FOR PREVENTING HORSE SLAUGHTER. SUPPORTING GOLDIE'S ACT IN DECEMBER, FOLLOWING YEARS OF ASPCA LEADERSHIP AND BACKGROUND WORKING ON PUPPY MILL ENFORCEMENT EFFORTS, A BIPARTISAN GROUP OF FEDERAL LAWMAKERS INTRODUCED GOLDIE'S ACT, WHICH REQUIRES MEANINGFUL PENALTIES FOR ANIMAL WELFARE ACT VIOLATIONS UNCOVERED BY USDA INSPECTORS AND ENSURES THEY SHARE FINDINGS OF CRUELTY AND NEGLECT WITH LOCAL LAW **ENFORCEMENT.** TURNING LESSONS FROM COVID-19 INTO STRONGER PROTECTIONS FOR ANIMALS IN MAY, THE ASPCA RELEASED THE REPORT "LESSONS LEARNED FOR ANIMAL PROTECTION DURING THE COVID-19 PANDEMIC: THE ASPCA'S RECOMMENDATIONS FOR ADDRESSING IMPACTS ON ANIMALS THROUGH FEDERAL POLICY." THE REPORT

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 SHARES THE ASPCA'S PERSPECTIVE ON HOW THE COVID-19 PANDEMIC HAS IMPACTED ANIMALS AND RECOMMENDS ACTIONS CONGRESS AND THE BIDEN ADMINISTRATION CAN TAKE TO KEEP THESE VULNERABLE ANIMALS SAFE FROM SUFFERING AND ABUSE. SUCCESSFUL EFFORTS TO HAVE THE USDA REPOST CRITICAL INSPECTION REPORTS. IN 2020, AFTER LAWSUITS BY MULTIPLE ANIMAL WELFARE GROUPSINCLUDING TWO FILED BY THE ASPCAAND A LAW PASSED BY CONGRESS, THE USDA WAS FORCED TO REPOST THOUSANDS OF ANIMAL WELFARE INSPECTION REPORTS AND ENFORCEMENT RECORDS THAT THE AGENCY ABRUPTLY PURGED FROM ITS ONLINE DATABASE IN 2017. ON MARCH 25, 2022, IN RESPONSE TO AN ASPCA LAWSUIT, THE FEDERAL COURT IN THE SOUTHERN DISTRICT OF NEW YORK FOUND THAT THE USDA HAD UNLAWFULLY WITHHELD ANIMAL WELFARE RECORDS FROM THE ASPCA FOR SEVERAL YEARS. AS A RESULT OF THE COURT'S DECISION, THE ASPCA RECEIVED ACCESS TO THESE CRITICAL RECORDS ON JUNE 21. SUPPORTING REINTRODUCTION OF THE HEART ACT AND THE PREPARED ACT IN MARCH 2021, THE ASPCA SUPPORTED THE REINTRODUCTION OF THE HELP EXTRACT ANIMALS FROM RED TAPE (HEART) ACT, FEDERAL LEGISLATION TO SIGNIFICANTLY IMPROVE THE PROCESS OF CARING FOR ANIMAL VICTIMS SEIZED IN FEDERAL ANIMAL FIGHTING CASES. THE HEART ACT WILL PREVENT UNNECESSARY AND HARMFUL DELAYS IN THE REHABILITATION OF THESE ANIMALS. IT WILL ALSO REQUIRE DEFENDANTS TO REIMBURSE THE COSTS OF CARING FOR ANIMALS SEIZED IN FEDERAL ANIMAL FIGHTING CASES FOLLOWING A FORFEITURE PROCEEDING.

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** 13-1623829 OF CRUELTY TO ANIMALS THE ASPCA ALSO SUPPORTED THE REINTRODUCTION OF THE PREPARED ACT, WHICH WILL REQUIRE BUSINESSES THAT PROFIT OFF ANIMALSSUCH AS ANIMAL DEALERS, RESEARCH INSTITUTIONS, LARGE-SCALE COMMERCIAL DOG BREEDERS, AND ZOOSTO CREATE WELL-FORMED CONTINGENCY PLANS FOR EMERGENCIES TO BETTER PROTECT ANIMALS IN THEIR CARE DURING DISASTER SITUATIONS. SUBSEQUENTLY, THE USDA ANNOUNCED A FINALIZED RULE TO REQUIRE THESE PLANS OF ALL LICENSEES, ESSENTIALLY TAKING THE VERY ACTION DEMANDED BY THIS LEGISLATION. 3. GIVING VULNERABLE AND VICTIMIZED ANIMALS SECOND CHANCES THROUGH BEHAVIORAL REHABILITATION IN 2021, ASPCA ANIMAL BEHAVIORAL REHABILITATION WORK AND TRAINING FACILITIES, SUPPORTED BY THE ONLINE RESOURCES OF THE ASPCA WEBSITE FOR PROFESSIONALS, ASPCAPRO, HELPED ANIMALS IN NEED AND ENABLED THE NATIONWIDE EXPANSION OF EFFECTIVE ANIMAL REHABILITATION PRACTICES. BEHAVIORAL REHABILITATION CENTER AT THE BEHAVIORAL REHABILITATION CENTER (BRC) IN NORTH CAROLINA, SELECT DOGS ARE TREATED WITH INNOVATIVE AND PROVEN PROTOCOLS TO HELP THEM OVERCOME EXTREME FEAR THAT PREVENTS ADOPTABILITY OR DIMINISHES THEIR QUALITY OF LIFE. THE LEARNINGS AND RESEARCH DEVELOPED THROUGH THIS WORK IS THEN SHARED WITH SHELTERS AND RESCUE ORGANIZATIONS ACROSS THE COUNTRY THROUGH PUBLICATIONS, TRAININGS, STUDIES, AND OTHER RESOURCES OF THE ASPCA LEARNING LAB (SEE BELOW) AND ASPCAPRO, THE ASPCA

INFORMATIONAL AND TRAINING WEBSITE FOR ANIMAL WELFARE PROFESSIONALS.

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** 13-1623829 OF CRUELTY TO ANIMALS A MAJOR ASPCA SCIENTIFIC STUDY ON THE EFFICACY OF AN INTENSIVE TREATMENT PROGRAM FOR FEARFUL DOGS WAS CONCLUDED IN 2021. IT SHOWED THAT THE BRC'S BEHAVIOR MODIFICATION PROGRAM SUCCESSFULLY REHABILITATED 86% OF DOGS IN THE STUDY, 99% OF WHOM WERE ADOPTED AFTER PLACEMENT WITH SHELTER PARTNERS. THE STUDY RESULTS SUGGEST THAT INCREASING THE AVAILABILITY OF TREATMENT FOR MODERATELY TO EXTREMELY FEARFUL, UNDERSOCIALIZED DOGS MAY CONTRIBUTE TO REDUCING THE EUTHANASIA OF ANIMALS WITH BEHAVIOR PROBLEMS. AS MOST DOGS IN THE BRC STUDY CAME FROM HOARDING SITUATIONS AND PUPPY MILLS, THESE FINDINGS INSPIRE HOPE FOR UNADOPTABLE ANIMALS SUFFERING FROM A POOR QUALITY OF LIFE DUE TO CRUELTY AND NEGLECT. (THIS STUDY WAS PUBLISHED IN THE JOURNAL APPLIED ANIMAL BEHAVIOUR SCIENCE IN 2022). FROM THE BRC'S LAUNCH IN 2013 THROUGH DECEMBER 2021, THE PROGRAM TREATED AND GRADUATED MORE THAN 500 AT-RISK ANIMALS, GENERATING VALUABLE ANIMAL BEHAVIOR INSIGHT THAT WAS DISSEMINATED NATIONWIDE.

CRUELTY RECOVERY CENTER

IN 2021, THE ASPCA CRUELTY RECOVERY CENTER IN OHIO SHELTERED MORE THAN 350 ANIMALS RESCUED FROM SCENES OF CRUELTY, NEGLECT, AND NATURAL DISASTERS AROUND THE COUNTRY. IN ADDITION TO DAILY CARE AND ENRICHMENT, THESE ANIMALS RECEIVED MEDICAL AND BEHAVIORAL CARE.

THROUGHOUT THE YEAR, CRC MEDICAL, BEHAVIOR, AND SHELTERING STAFF ALSO DEPLOYED TO ASSIST AND PROVIDE CONSULTATION FOR SEVERAL OTHER AGENCIES IN 2021, PROVIDING CARE FOR AN ADDITIONAL 768 RESCUED ANIMALS.

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** 13-1623829 OF CRUELTY TO ANIMALS ASPCA LEARNING LAB THE ASPCA LEARNING LAB SHARED CRITICAL ANIMAL BEHAVIORAL CARE STRATEGIES AND TECHNIQUES WITH THE PROFESSIONAL ANIMAL WELFARE COMMUNITY. IN 2021, THESE INSIGHTS WERE EXPERIENCED THROUGH MORE THAN 10,000 INDIVIDUAL EDUCATIONAL INTERACTIONS, INCLUDING ONLINE ROUNDTABLES, WORKSHOPS, COURSES, AND CONFERENCES. 4. STOPPING ANIMAL CRUELTY THROUGH THE ASPCA-NYPD PARTNERSHIP THE ASPCA-NYPD PARTNERSHIP LAUNCHED CITYWIDE IN 2014, WITH THE NYPD TAKING THE LEAD ROLE IN RESPONDING TO ALL ANIMAL CRUELTY COMPLAINTS IN THE FIVE BOROUGHS, AND THE ASPCA PROVIDING DIRECT CARE FOR ANIMAL VICTIMS, AS WELL AS LAW ENFORCEMENT TRAINING AND VETERINARY FORENSIC AND LEGAL SUPPORT. THE ASPCA AND NYPD ALSO PARTICIPATE COLLABORATIVELY IN COMMUNITY EVENTS ACROSS THE CITY. FROM ITS CITYWIDE INCEPTION IN 2014 THROUGH THE END OF 2021, THE ASPCA-NYPD PARTNERSHIP HAS RESULTED IN THE RESCUE AND TREATMENT OF MORE THAN 3350 VULNERABLE ANIMALS. OVERALL IN 2021, THE ASPCA-NYPD PARTNERSHIP RESULTED IN NEARLY 400 DOGS AND CATS BEING SEIZED BY THE NYPD AND TREATED BY ASPCA VETERINARY AND BEHAVIOR PROFESSIONALS. IN ADDITION, THE NYPD MADE 101 ANIMAL CRUELTY ARRESTS, AND ASPCA VETERINARY FORENSIC SCIENCE TEAMS IN NYC AND GAINESVILLE TOOK ON MORE THAN 300 CASES TO SUPPORT ANIMAL CRUELTY PROSECUTIONS.

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FOR EXAMPLE, IN LATE SEPTEMBER, AT THE REQUEST OF THE NEW	YORK CITY
POLICE DEPARTMENT (NYPD), THE ASPCA ASSISTED WITH THE RESC	UE OF 21
DOGS, INCLUDING PUPPIES, FROM A CRUELTY SITUATION IN BROOK	LYN, NY.
SEVERAL DOGS WERE FOUND CRAMMED IN SMALL CAGES, COVERED IN	FECES AND
URINE IN AN APARTMENT WITH POOR VENTILATION AND A STRONG O	DOR OF
AMMONIA. ASPCA EXPERTS SUPPORTED THIS CASE WITH BOOTS-ON-T	HE-GROUND
ASSISTANCE TO REMOVE THE DOGS FROM THE PROPERTY AND TRANSP	ORT THEM TO
ASPCA CARE, WHERE VETERINARY AND BEHAVIOR EXPERTS CONDUCTE	D FORENSIC
EXAMS. ASPCA MEDICAL AND BEHAVIORAL EXPERTS PROVIDED THE D	OGS WITH
MEDICAL CARE, BEHAVIORAL TREATMENT, AND ENRICHMENT.	
5. EQUINE WELFARE: EXPLORING AND APPLYING THE BEST WAYS TO	HELP AT-RISK
HORSES	
THE ASPCA EQUINE WELFARE TEAM FINDS AND LEVERAGES INNOVATI	VE AND
COLLABORATIVE WAYS TO HELP EQUINES IN NEED SECURE NEW HOME	S AND
CAREERS.	
ASPCA RIGHT HORSE	
ACROSS 2021, ASPCA RIGHT HORSE PARTNERS PLACED MORE THAN 3	,577 HORSES
IN ADOPTIVE HOMES. THEY ALSO ADDED SIX NEW ASPCA RIGHT HOR	SE ADOPTION
PARTNERS AND 13 NEW INDUSTRY/TRAINING PARTNERS TO IDENTIFY	AND TAKE
ADVANTAGE OF NEW EQUINE ADOPTION AND CAREER OPPORTUNITIES.	
ASPCA HORSE ADOPTION EXPRESS	

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** 13-1623829 OF CRUELTY TO ANIMALS ADOPTION PARTNER FACILITIES, TRANSPORTED 188 HORSES TO ADOPTION PARTNERS AND TRAINING PARTNERS. WE'VE OBSERVED THAT THE BIGGEST BARRIER TO ADOPTION IS OFTEN THE DISTANCE BETWEEN THE ADOPTER AND THEIR RIGHT HORSE. THE HORSE ADOPTION EXPRESS REMOVES THAT BARRIER AND ENSURES MORE HORSES ARE HELPED THROUGH STRATEGIC RELOCATION. ASPCA REGIONAL SUPPORT CENTER THE PILOT ASPCA REGIONAL SUPPORT CENTER (RSC) PROVIDED FULLY SUBSIDIZED SERVICES TO HORSE OWNERS IN NEED IN THE OKLAHOMA CITY AREA. IN COLLABORATION WITH A LOCAL VETERINARY CLINIC AND SEVERAL REHOMING PARTNERS, THE OPEN-ADMISSION CENTER WAS A SAFE PLACE FOR HORSE OWNERS TO RELINQUISH HORSES FOR ADOPTION INTO NEW HOMES, OFFERING ACCESS TO BASIC VETERINARY SERVICES AND HUMANE EUTHANASIA FOR SUFFERING HORSES, MULES, AND DONKEYS. OVER 100 EQUINES WERE HELPED THROUGH THIS PROGRAM IN 2021. ASPCA EQUINE TRANSITION AND ADOPTION CENTER TOWARD THE END OF THE YEAR, THE ASPCA ENDED THE RSC PROGRAM AND LAUNCHED THE EQUINE TRANSITION AND ADOPTION CENTER, WHICH CONTINUES TO PROVIDE THE SERVICES THE RSC PROVIDED WHILE ALSO ADDRESSING SPECIFIC CHALLENGES IN EQUINE REHOMING, INCLUDING BEHAVIOR REHABILITATION AND THE MARKETING OF OLDER AND MEDICALLY COMPROMISED EQUINES. 6. FARM ANIMAL WELFARE: PROTECTING ANIMALS ABUSED IN CRUEL FARM SYSTEMS

IN 2021, THE ASPCA CONTINUED TO HELP THE PUBLIC, FOOD COMPANIES, AND

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 LAWMAKERS IMPROVE FARM ANIMALS' LIVES THROUGH THE CREATION AND PROMOTION OF CONSUMER RESOURCES AND CORPORATE ENGAGEMENT AND ADVOCACY EFFORTS AIMED AT ELIMINATING CRUEL FACTORY FARMING PRACTICES AND TRANSITIONING THEM TO HIGHER WELFARE FARMING METHODS LIKE THOSE REQUIRED BY MEANINGFUL WELFARE CERTIFICATIONS. NEW FOOD COMPANY COMMITMENTS THE FARM ANIMAL WELFARE DEPARTMENT SECURED 36 NEW FOOD INDUSTRY COMMITMENTS TO MEANINGFULLY IMPROVE FARM ANIMAL WELFARE. ONE OF THESE COMPANIES, THE HONEST KITCHEN, BECAME THE FIRST MAJOR PET FOOD COMPANY AVAILABLE IN NATIONAL RETAILER STORES TO ADOPT THE BETTER CHICKEN COMMITMENT AND PUBLICLY STATE THEIR INTENTION TO CERTIFY THE WELFARE OF ALL PRODUCTS FROM CHICKENS. IN ADDITION, 16 COMPANIES FROM WHOM THE ASPCA PREVIOUSLY SECURED COMMITMENTS REPORTED FULL IMPLEMENTATION OR SIGNIFICANT PROGRESS ON IMPLEMENTING THEIR FARM ANIMAL WELFARE IMPROVEMENTS. GRANTS SUPPORTING FARMERS' TRANSITION TO HIGH WELFARE FOR THE FOURTH YEAR, THE ASPCA GRANTED FUNDS TO THE FOOD ANIMAL CONCERNS TRUST (FACT) TO HELP FARMERS TRANSITION TO MEET THE STANDARDS OF MEANINGFUL ANIMAL WELFARE CERTIFICATION PROGRAMS AND EXPAND THEIR EXISTING WELFARE-CERTIFIED OPERATIONS TO MEET THE GROWING DEMAND FOR MORE HUMANELY FARMED ANIMAL PRODUCTS. NEARLY 32,000 ANIMALS HAVE BENEFITED FROM FACT GRANTS IN THE LAST FOUR YEARS.

Schedule O (Form 990) 2021 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 FACT GRANTS ARE A CRITICAL RESOURCE FOR HIGHER-WELFARE FARMS AND FARMERS OFTEN EXCLUDED FROM THE MAJORITY OF FEDERAL FUNDING AND RELIEF PROGRAMS. THIS YEAR, SEVEN OF THE 19 ASPCA-FUNDED GRANTS GIVEN TO FARMERS IN 15 STATES WENT TO FARMERS IDENTIFIED AS BLACK, INDIGENOUS, OR PERSON OF COLOR (BIPOC), COMMUNITIES THAT HAVE HISTORICALLY FACED ADDITIONAL BARRIERS TO ACCESSING FUNDS. TAKING ACTION DURING FARM ANIMAL AWARENESS WEEK IN SEPTEMBER, THE ASPCA CELEBRATED FARM ANIMAL AWARENESS WEEK TO SHOWCASE PRACTICAL STEPS PEOPLE CAN TAKE TO IMPROVE THE LIVES OF FARM ANIMALS. TO SUPPORT THE EVENT, THE ASPCA CREATED A SOCIAL MEDIA CAMPAIGN THAT URGED A RANGE OF ACTIONS, INCLUDING MAKING MORE HUMANE FOOD CHOICES AND SUPPORTING THE FARM SYSTEM REFORM ACT. THESE POSTS WERE SHARED BY HIGHER-WELFARE FOOD COMPANIES, CHEFS, CELEBRITIES, AND INFLUENCERS WITH A COMBINED FOLLOWING OF MORE THAN ONE MILLION PEOPLE. THIS CAMPAIGN ALSO HELPED US DEEPEN RELATIONSHIPS WITH THESE ALLIES AND REACH NEW AUDIENCES WITH ASPCA FARM ANIMAL WELFARE MESSAGING AND CAMPAIGNS. NEW ASPCA MEDIA FELLOWSHIP EDUCATES JOURNALISTS ON FARMED ANIMAL LAW IN APRIL 2021, THE ASPCA AND VERMONT LAW SCHOOL (VLS) LAUNCHED A NEW ANIMAL LAW MEDIA FELLOWSHIP THAT OFFERS JOURNALISTS THE OPPORTUNITY TO ATTEND A VLS SUMMER COURSE FOCUSING ON THE INTERSECTIONS OF FARM ANIMAL WELFARE, FACTORY FARMING, MEDIA, AND THE LAW.

WELFARE IN THE MEDIA.

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Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

AN ASPCA GRANT COVERED THE TOTAL COST OF THE FELLOWSHIP, WHICH IS THE FIRST MEDIA FELLOWSHIP FOCUSING SPECIFICALLY ON FARMED ANIMAL LAW. THIS

FELLOWSHIP IS ALSO AN EXCITING OPPORTUNITY TO FOSTER GREATER

TRANSPARENCY AND SPARK DIALOGUE AROUND THE DEPICTION OF FARM ANIMAL

FORM 990, PART VI, SECTION A, LINE 1A:

THE ASPCA SHALL HAVE TWO CLASSES OF MEMBERS: GOVERNING MEMBERS, WHO SHALL
HAVE FULL VOTING RIGHTS RESERVED TO "MEMBERS" UNDER THE NEW YORK

NOT-FOR-PROFIT CORPORATION LAW, AND AFFINITY MEMBERS, WHO SHALL NOT HAVE

VOTING RIGHTS. GOVERNING MEMBERS SHALL CONSIST AT ANY TIME OF THOSE PERSONS

WHO ARE SERVING AT THAT TIME AS MEMBERS OF THE BOARD OF DIRECTORS. ONLY

GOVERNING MEMBERS SHALL HAVE THE RIGHT TO ELECT THE MEMBERS OF THE BOARD OF

DIRECTORS AND TO VOTE ON ANY OTHER TRANSACTION OR MATTER THAT SHALL

PROPERLY COME BEFORE THE MEMBERS OF THE CORPORATION IN ACCORDANCE WITH THE

ASPCA'S CERTIFICATE OF INCORPORATION, ITS BY-LAWS, OR APPLICABLE LAW.

AFFINITY MEMBERS SHALL CONSIST OF ONE OR MORE CATEGORIES OF INDIVIDUALS AS

MAY BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. AFFINITY

MEMBERS SHALL MAKE SUCH ANNUAL CONTRIBUTIONS OR PAY SUCH ANNUAL DUES AS MAY

BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. THE ASPCA MAY

OFFER AFFINITY MEMBERS CERTAIN BENEFITS OF MEMBERSHIP, BUT AFFINITY MEMBERS

SHALL NOT BE CONSIDERED "MEMBERS" AS THAT TERM IS USED IN THE NEW YORK

NOT-FOR-PROFIT CORPORATION LAW OR IN ANY OTHER APPLICABLE LAW, RULE, OR

REGULATION. ACCORDINGLY, WITH THE EXCEPTION OF THOSE AFFINITY MEMBERS WHO

ARE ALSO GOVERNING MEMBERS, NO AFFINITY MEMBER SHALL HAVE THE RIGHT TO VOTE

ON THE ELECTION OF PERSONS TO THE BOARD OF DIRECTORS OR ON ANY OTHER

Schedule O (Form 990) 2021 Page **2**

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

TRANSACTION OR MATTER THAT SHALL PROPERLY COME BEFORE THE MEMBERS OF THE

CORPORATION IN ACCORDANCE WITH THE ASPCA'S CERTIFICATE OF INCORPORATION,

THESE BY-LAWS, OR APPLICABLE LAW.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 WAS PREPARED BY A NATIONALLY RENOWNED ACCOUNTING FIRM IN

CONJUNCTION WITH THE ORGANIZATION'S FINANCE DEPARTMENT. THE DRAFT OF THE

FORM 990 IS REVIEWED BY SENIOR MANAGEMENT, LEGAL COUNSEL, AS WELL AS THE

AUDIT COMMITTEE OF THE BOARD OF DIRECTORS. A COPY IS CIRCULATED TO THE FULL

BOARD OF DIRECTORS PRIOR TO THE RETURN'S FILING WITH THE INTERNAL REVENUE

SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

ALL DIRECTORS, OFFICERS AND KEY EMPLOYEES COMPLETE A WRITTEN CONFLICT OF

INTEREST QUESTIONNAIRE AND DECLARATION ANNUALLY. THE SECRETARY OF THE ASPCA

PROVIDES COPIES OF ALL COMPLETED DISCLOSURE STATEMENTS TO THE CHAIR OF THE

AUDIT COMMITTEE AND TO THE CHIEF LEGAL OFFICER. ANY POTENTIAL CONFLICTS ARE

ADDED TO RECORDS MAINTAINED BY THE ASPCA'S LEGAL DEPARTMENT.

FORM 990, PART VI, SECTION B, LINE 15:

THE AUDIT COMMITTEE OF THE ASPCA BOARD IS THE AUTHORIZED

COMPENSATION-SETTING BODY THAT REVIEWS AND APPROVES THE COMPENSATION OF THE

"DISQUALIFIED PERSONS" OF THE ASPCA. THE ASPCA ENGAGES AN INDEPENDENT

COMPENSATION EXPERT TO CONDUCT A COMPENSATION STUDY TO ASSESS THE

REASONABLENESS OF EACH "DISQUALIFIED PERSON'S" TOTAL COMPENSATION IN

ACCORDANCE WITH THE REBUTTABLE PRESUMPTION "SAFE HARBOR" PROVISIONS OF

SECTION 4958 OF THE INTERNAL REVENUE CODE. THE COMPENSATION EXPERT ASSESSES

THE REASONABLENESS OF EACH PERSON'S TOTAL COMPENSATION BASED ON

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Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION Employer identification number OF CRUELTY TO ANIMALS 13-1623829

COMPARABILITY DATA FOR THE POSITIONS UNDER REVIEW AND PROVIDES SUCH DATA

AND ANALYSIS TO THE AUDIT COMMITTEE FOR ITS REVIEW. THE COMPARABILITY DATA

IS DRAWN FROM INDUSTRY SURVEYS AND DATA SOURCES FOR COMPARABLE POSITIONS IN

ORGANIZATIONS OF SIMILAR SCOPE, OPERATING BUDGET, AND TYPE.

WITH RESPECT TO "DISQUALIFIED PERSONS" OTHER THAN THE PRESIDENT & CEO, THE

AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY

DATA AND THE PRESIDENT & CEO'S ANALYSIS OF EACH INDIVIDUAL'S PERFORMANCE,

DELIBERATES, AND VOTES ON WHETHER TO APPROVE THE TOTAL COMPENSATION

RECOMMENDATION PROPOSED BY THE PRESIDENT & CEO. THE PERSON WHOSE

COMPENSATION IS UNDER REVIEW IS NOT PRESENT AND DOES NOT PARTICIPATE IN THE

DELIBERATIONS, EXCEPT THAT SUCH PERSON MAY ANSWER QUESTIONS THAT WILL HELP

THE COMMITTEE IN ITS DELIBERATIONS.

WITH RESPECT TO THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE

COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE EXECUTIVE

COMMITTEE'S ANALYSIS OF THE PRESIDENT & CEO'S PERFORMANCE, DELIBERATES, AND

VOTES ON A RECOMMENDATION ON THE PRESIDENT & CEO'S TOTAL COMPENSATION

(INCLUDING PERFORMANCE BONUS), WHICH RECOMMENDATION IT PROVIDES TO THE FULL

BOARD OF DIRECTORS. THE FULL BOARD OF DIRECTORS ASSESSES THE AUDIT

COMMITTEE'S RECOMMENDATIONS AND VOTES WHETHER TO APPROVE THE TOTAL

COMPENSATION (INCLUDING PERFORMANCE BONUS) FOR THE PRESIDENT & CEO. THE

PRESIDENT & CEO IS NOT PRESENT DURING EITHER THE AUDIT COMMITTEE'S REVIEW

OF HIS COMPENSATION NOR THE FULL BOARD'S APPROVAL OF THE COMPENSATION AND

DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT HE MAY ANSWER

QUESTIONS THAT WILL HELP THE COMMITTEE OR THE FULL BOARD IN THEIR

DELIBERATIONS.

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Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION Employer identification number OF CRUELTY TO ANIMALS 13-1623829

FOR ALL "DISQUALIFIED PERSONS," THE AUDIT COMMITTEE DOCUMENTS THE BASIS FOR

ITS DETERMINATIONS CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY

DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATIONS WERE MADE. THE

MINUTES INCLUDE THE FOLLOWING INFORMATION: 1. THE TERMS OF THE APPROVED

COMPENSATION AND THE DATE APPROVED; 2. THE NAMES OF MEMBERS OF THE AUDIT

COMMITTEE WHO WERE PRESENT DURING DISCUSSION OF THE COMPENSATION AND THOSE

WHO VOTED ON IT; 3. THE COMPARABILITY DATA THAT WAS RELIED ON BY THE AUDIT

COMMITTEE AND HOW SUCH DATA WAS OBTAINED; AND 4. ANY ACTIONS (SUCH AS

RECUSAL) TAKEN BY A MEMBER OF THE AUDIT COMMITTEE HAVING A CONFLICT OF

INTEREST. THE AUDIT COMMITTEE THEN APPROVES THE MINUTES WITHIN A REASONABLE

PERIOD OF TIME AFTER ITS PREPARATION. SIMILARLY, THE BOARD DOCUMENTS THE

BASIS FOR ITS DETERMINATION OF THE PRESIDENT & CEO'S COMPENSATION

CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY DRAFTING MINUTES OF

THE MEETING AT WHICH THE DETERMINATION WAS MADE.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

AL, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY, LA, MD, MA, MI, MN, MS, NH, NJ, NM, NY, OK, OR, PA, RI

SC, TN, UT, VA, WV, WI, AK, AZ, DC, DE, IA, ID, IN, ME, MO, MT, NC, ND, NE, NV, OH, SD, TX, VT, WA,

WY

FORM 990, PART VI, SECTION C, LINE 19:

AUDITED FINANCIAL STATEMENTS, CONFLICT OF INTEREST POLICY, CERTIFICATE OF INCORPORATION AND BY-LAWS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST AND THROUGH CHARITABLE REGISTRATION REQUIREMENTS IN OVER 40 STATES. THE ASPCA MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE OF BUSINESS AND PLACING A COPY ON ITS WEBSITE. THE FORM 990 IS ALSO PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG.

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Name of the organizat	ion THE AMERICA OF CRUELTY		OR THE PREV	ENTION	Employer identification number 13-1623829
FORM 990, P	ART XI, LINE 9	, CHANGES I	N NET ASSETS	S:	
UNREALIZED (GAIN ON BENEFI	CIAL INTERE	STS IN PERP	ETUAL TRUST	S
HELD BY OTH	ERS				2,300,214.
DUE FROM AS	PCA VETERINARY	SERVICES O	F NC, PC		160,270.
TOTAL TO FO	RM 990, PART X	I, LINE 9			2,460,484.

(a)

Name, address, and EIN (if applicable)

SCHEDULE R (Form 990)

Part I

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Attach to Form 990.

Department of the Treasury Internal Revenue Service Name of the organization

► Go to www.irs.gov/Form990 for instructions and the latest information.

(c)

Legal domicile (state or

(d)

Total income

(e)

End-of-year assets

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

(b)

Primary activity

Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

OMB No. 1545-0047

Open to Public Inspection **Employer identification number** 13-1623829

(f)

Direct controlling

of disregarded entity		foreign country)			е	entity	
Part II Identification of Related Tax-Exempt Organizations during the tax year.	tions. Complete if the organization a	answered "Yes" on Form 990), Part IV, line 34, t	pecause it had one	or more related tax-exe	empt	
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	ent	rolled ity?
ASPCA VETERINARY SERVICES OF NORTH CAROLINA				301(0)(3))		Yes	No
PC - 47-3987701, 25 HERITAGE DR, ASHVILLE, NC 28806	VETERINARY SERVICES TO THE ASPCA IN NC	NORTH CAROLINA	501(C)(3)	LINE 7	ASPCA	х	

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Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

	organisation is according to the year.													
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(1	h)	(i)	(j)	(k)			
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign	Direct controlling entity	Predominant income (related, unrelated, excluded from tax under	Predominant income (related, unrelated, excluded from tax under	Predominant income (related, unrelated, excluded from tax under sections 512-514)	Predominant income (related, unrelated, excluded from tax under	Share of total income	Share of end-of-year assets		ortionate itions?	Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	General of managing partner?	Percentage ownership
		country)		sections 512-514)		833013	Yes	No	K-1 (Form 1065)	Yes N	<u></u> اد			
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Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	Sec	i) ction
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign country)	Direct controlling entity	Type of entity (C corp, S corp, or trust)	Share of total income	Share of end-of-year assets	Percentage ownership		tion b)(13) rolled tity?
		couritry)						Yes	No
-									

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Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Not	e: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.				_		Yes	No
1	During the tax year, did the organization engage in any of the following transactions	s with one or more re	elated organizations listed i	in Parts II-IV?				
а	a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity							
	b Gift, grant, or capital contribution to related organization(s)							
	Gift, grant, or capital contribution from related organization(s)					С		X
	Loans or loan guarantees to or for related organization(s)					d		Х
	e Loans or loan guarantees by related organization(s)							
f	Dividends from related organization(s)				1	f		X
g	Sale of assets to related organization(s)				1	g		X
	Purchase of assets from related organization(s)					h		X
i	Exchange of assets with related organization(s)					li 📗		X
j	Lease of facilities, equipment, or other assets to related organization(s)					j		X
k	Lease of facilities, equipment, or other assets from related organization(s)				<u>1</u>	k		Х
	Performance of services or membership or fundraising solicitations for related orga					<u> </u>	Х	
m	Performance of services or membership or fundraising solicitations by related organ					m		X
	Sharing of facilities, equipment, mailing lists, or other assets with related organizati					n	Х	
0	Sharing of paid employees with related organization(s)				1	0	Х	
р	Reimbursement paid to related organization(s) for expenses				1	p		X
	Reimbursement paid by related organization(s) for expenses					q		X
r	Other transfer of cash or property to related organization(s)				<u>1</u>	r		X
	Other transfer of cash or property from related organization(s)					s		X
2	If the answer to any of the above is "Yes," see the instructions for information on w	ho must complete th	is line, including covered r	relationships and transaction thresholds	S.			
	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining ar	mount involve	ed		
-	ASPCA VETERINARY SERVICES OF NORTH	71 ,						
	CAROLINA, PC	L	89 937	BOOK VALUE				
	ASPCA VETERINARY SERVICES OF NORTH	Н Н	05,551.	DOOK VALUE				
	CAROLINA, PC		315 305	BOOK VALUE				
<u>-) '</u>	OIII(OIIIII) I O		313,303.	POOT AVIOR				

Name of related organization

ASPCA VETERINARY SERVICES OF NORTH

(1) CAROLINA, PC

ASPCA VETERINARY SERVICES OF NORTH

(2) CAROLINA, PC

(3)

(4)

(6)

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Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue)

that was not a related organization. See instructions regarding exclusion for certain investment partnerships. (e) Are all (h) (i) (j) (k) (a) (b) (c) (d) (f) (g) Legal domicile Predominant income (related, unrelated, Dispropor-tionate Code V-UBI Name, address, and EIN Primary activity Share of Share of General or Percentage partners sec amount in box 20 managing partner?
(Form 1065)

General of managing partner?

Yes No 501(c)(3) orgs.? partner? ownership of entity (state or foreign total end-of-year allocations? excluded from tax under sections 512-514) country) income assets Yes No Yes No Yes No

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Part VII					
	Provide additional inform	nation for responses to qu	uestions on Schedule R. See instructions.		
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