STATE REGISTRATION NO. 00-52-39

Department of the Treasury

Return of Organization Exempt From Income Tax
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990 for instructions and the latest information

		nue service Go to www.iis.gov/Formaao for instructions and the		normation.	epseuen
<u>A F</u>	or th	e 2020 calendar year, or tax year beginning and endi	ing		
B c	heck if pplicab	THE AMERICAN SOCIETY FOR THE PREVENTION		D Employer identi	fication number
	_ chano ¬Name	e OF CRUELTY TO ANIMALS	12 1602	200	
	chang	Doing business as		13-16238	329
	Initial return	,	m/suite	E Telephone numb	
	∃Final return			212-876-	-7700
	termir ated	City or town, state or province, country, and ZIP or foreign postal code		G Gross receipts \$	366,271,519.
	Amen	ded NEW YORK NY 10129_6904		H(a) Is this a group	
	Appli			for subordinate	
	⊥tion pendi	424 EAST 92ND ST, NEW YORK, NY 10128-6804	л I		
			$\overline{}$	H(b) Are all subordinates	
		empt status: $X = 501(c)(3) = 501(c)(1)$ (insert no.) 4947(a)(1) or	527		a list. See instructions
		te: ► WWW.ASPCA.ORG		H(c) Group exempt	
			L Year of	formation: 1866	${f M}$ State of legal domicile; ${f NY}$
Pa	ırt I	Summary			
_	1	Briefly describe the organization's mission or most significant activities: TO PROV	VIDE	EFFECTIVE	MEANS FOR
Governance		THE PREVENTION OF CRUELTY TO ANIMALS IN THE	U.S	•	
ц	2	Check this box if the organization discontinued its operations or disposed of	of more th	han 25% of its net a	ssets.
ΛeΓ	3	Number of voting members of the governing body (Part VI, line 1a)		1 -	1 40
Ĝ	4	Number of independent voting members of the governing body (Part VI, line 1b)			
≪	-				+
<u>ie</u>	5	Total number of individuals employed in calendar year 2020 (Part V, line 2a)			1 - 4 - 4 -
₹	6	Total number of volunteers (estimate if necessary)			
Activities &	ı	Total unrelated business revenue from Part VIII, column (C), line 12			
	b	Net unrelated business taxable income from Form 990-T, Part I, line 11			
				Prior Year	Current Year
Ð	8	Contributions and grants (Part VIII, line 1h)		<u>54,185,353</u>	
Ĕ	9	Program service revenue (Part VIII, line 2g)	1	L 4 ,07 4 ,642.	
Revenue	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)		8,578,545	19,142,994.
Œ	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		2,210,434	2,886,849.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	~ -	79,048,974	
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	1	L4,439,720	
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0.	
	4-	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		99,270,657	
Expenses	160	Professional fundraising fees (Part IX, column (A), line 11e)	···	7,469,024	
ë	IUa			7,405,024	2,323,001
꼾	_B			00 440 025	148,807,189.
_	''	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)			
	l	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)			267,756,291.
		Revenue less expenses. Subtract line 18 from line 12		28,420,538	
Net Assets or Fund Balances				inning of Current Year	
sets	20	Total assets (Part X, line 16)		3,129,611	
AB	21	Total liabilities (Part X, line 26)		3,122,402	
ESE.	22	Net assets or fund balances. Subtract line 21 from line 20	34	10,007,209	407,287,540.
Pa	ırt II	Signature Block			
Und	er pena	alties of perjury, I declare that I have examined this return, including accompanying schedules and	statemen	ts, and to the best of n	ny knowledge and belief, it is
true,	corre	ct, and complete. Declara tionoខ្មែរផ្សេងខេត្ត: (other than officer) is based on all information of which pr	oreparer h	as any knowledge.	
		Custon Landalla		11/10,	/2021
Sign	า	Signature of officer was sample to the signature of the s		Date	
Her		GORDON LAVALETTE, CFO			
1101		Type or print name and title			
			Da	ate Check	X PTIN
Paid		PATRICK YU, CPA Print/Type preparer's name Preparer's signature		/4.4/2024	<u></u>
			· · ·	Jen-enip	39-0859910
Prep		Firm's name BAKER TILLY US, LLP		FIRM'S EIN	33-00333TO
use	Only	Firm's address ONE PENN PLAZA, SUITE 3000			10 607 6000
		NEW YORK, NY 10119		Phone no. 2	12.697.6900
May	the I	RS discuss this return with the preparer shown above? See instructions			X Yes No

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS 13-1623829 Page **2** Part III Statement of Program Service Accomplishments X Check if Schedule O contains a response or note to any line in this Part III Briefly describe the organization's mission: SEE SCHEDULE O Did the organization undertake any significant program services during the year which were not listed on the Yes X No prior Form 990 or 990-EZ? If "Yes," describe these new services on Schedule O. Did the organization cease conducting, or make significant changes in how it conducts, any program services? _______ Yes X No If "Yes," describe these changes on Schedule O. Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported. 89,834,216. including grants of \$ 4,499,519.) (Revenue \$) (Expenses \$ SEE SCHEDULE O) (Expenses \$ 69,951,766. including grants of \$ _____) (Revenue \$ _ 4b (Code: SEE SCHEDULE O 38, 291, 905. including grants of \$ 8, 153, 483.) (Revenue \$) (Expenses \$ SEE SCHEDULE O

4d Other program services (Describe on Schedule O.)

(Expenses \$\frac{\text{including grants of \$}}{\text{Total program service expenses}} \rightarrow \frac{198,077,887.}{\text{}}

Form 990 (2020) Part IV Checklist of Required Schedules

OF CRUELTY TO ANIMALS

13-1623829

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1_	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2		X
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			
	public office? If "Yes," complete Schedule C, Part I	3		X
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect			
	during the tax year? If "Yes," complete Schedule C, Part II	4	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
	similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to			
	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6		_X_
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			
	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete			
	Schedule D, Part III	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			37
	If "Yes," complete Schedule D, Part IV	9		X
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments		3,5	
	or in quasi endowments? If "Yes," complete Schedule D, Part V	10	Х	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,	l	. ,	
_	Part VI	11a	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total		х	
	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b		
С	Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total	444		Х
٦	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		- 21
a	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in	11d	х	
_	Part X, line 16? If "Yes," complete Schedule D, Part IX	11e	X	
f	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	116	-25	
'	the organization's separate of consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	х	
122	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete		-25	
124	Schedule D, Parts XI and XII	12a		Х
h	Was the organization included in consolidated, independent audited financial statements for the tax year?	120		
b		12b	x	
13	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		Х
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	Х	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,	1 74		
~	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000			
	or more? If "Yes," complete Schedule F, Parts I and IV	14b	х	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any			
	foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		Х
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to			
	or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		Х
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,			
	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	17	х	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines			
	1c and 8a? If "Yes," complete Schedule G, Part II	18	х	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes."			
	complete Schedule G, Part III	19		Х
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a		Х
	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or			
	domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	Х	
	0.19.92.90	Form	990	(วกวก

Form 990 (2020) OF CRUELTY TO ANIM
Part IV Checklist of Required Schedules (continued) OF CRUELTY TO ANIMALS

13-1623829

			Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on			
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			
	Schedule J	23	Х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			,,
	Schedule K. If "No," go to line 25a	24a		X
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease			
	any tax-exempt bonds?	24c		
	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit	05-		х
L	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		
D	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete	25h		х
26	Schedule L, Part I	25b		
26	Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current			
	or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%	26		х
27	controlled entity or family member of any of these persons? <i>If</i> "Yes," <i>complete Schedule L, Part II</i> Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee,	20		
21	creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity (including an employee thereof) or family member of any of these persons? <i>If</i> "Yes," <i>complete Schedule L, Part III</i>	27		x
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV			
	instructions, for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? <i>If</i>			
_	"Yes," complete Schedule L, Part IV	28a		х
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		х
	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If			
	"Yes," complete Schedule L, Part IV	28c		х
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Х	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation			
	contributions? If "Yes," complete Schedule M	30		Х
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		Х
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete			
	Schedule N, Part II	32		Х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		Х
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and			
	Part V, line 1	34	Х	
35 a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Х	
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity			
	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		X
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?			
	If "Yes," complete Schedule R, Part V, line 2	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?			
Pai	Note: All Form 990 filers are required to complete Schedule O 't V Statements Regarding Other IRS Filings and Tax Compliance	38	X	
ral				
	Check if Schedule O contains a response or note to any line in this Part V			<u> </u>
	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable		Yes	No
_				
b	Enter the factor of the Control of t			
С		4.		
	(gambling) winnings to prize winners?	1c	990	(0000

Form 990 (2020) OF CRUELTY TO ANIMALS

Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

Yes No 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, 1252 filed for the calendar year ending with or within the year covered by this return b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2b Х Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) Х 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? За Х b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O 3b 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? X 4a **b** If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? 5b If "Yes" to line 5a or 5b, did the organization file Form 8886-T? Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit X any contributions that were not tax deductible as charitable contributions? b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 6b 7 Organizations that may receive deductible contributions under section 170(c). Х Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? 7a Х If "Yes," did the organization notify the donor of the value of the goods or services provided? 7b Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required Х to file Form 8282? 7с **d** If "Yes," indicate the number of Forms 8282 filed during the year Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? 7f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? 7g 7h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? 8 9 Sponsoring organizations maintaining donor advised funds. Did the sponsoring organization make any taxable distributions under section 4966? 9a Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? 9b 10 Section 501(c)(7) organizations. Enter: Initiation fees and capital contributions included on Part VIII, line 12 10a Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b 11 Section 501(c)(12) organizations. Enter: Gross income from members or shareholders Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 11b Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? 12a 12a If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b 13 Section 501(c)(29) qualified nonprofit health insurance issuers. Is the organization licensed to issue qualified health plans in more than one state? 13a Note: See the instructions for additional information the organization must report on Schedule O. Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans 13b Enter the amount of reserves on hand Х Did the organization receive any payments for indoor tanning services during the tax year? 14a b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O 14b Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or Х excess parachute payment(s) during the year? 15 If "Yes," see instructions and file Form 4720, Schedule N. Х Is the organization an educational institution subject to the section 4968 excise tax on net investment income? 16 If "Yes," complete Form 4720, Schedule O.

13-1623829

Form 990 (2020)

OF CRUELTY TO ANIMALS

13-1623829

Page 6

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

						X			
Sec	tion A. Governing Body and Management								
				_	Yes	No			
1a	Enter the number of voting members of the governing body at the end of the tax year	1a	1	3]					
	If there are material differences in voting rights among members of the governing body, or if the governing								
	body delegated broad authority to an executive committee or similar committee, explain on Schedule O.								
b	b Enter the number of voting members included on line 1a, above, who are independent								
2									
	officer, director, trustee, or key employee?			2		X			
3									
	of officers, directors, trustees, or key employees to a management company or other person?								
4									
5	Did the organization become aware during the year of a significant diversion of the organization's asset	ets?		5		X			
6	Did the organization have members or stockholders?			6		X			
7a	Did the organization have members, stockholders, or other persons who had the power to elect or ap	point	one or						
	more members of the governing body?			7a		X			
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, st	ockho	lders, or						
	persons other than the governing body?			7b		X			
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year	r by th	e following:						
а	The governing body?			8a	Х				
b	Each committee with authority to act on behalf of the governing body?			8b	Х				
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be read	hed a	at the						
	organization's mailing address? If "Yes." provide the names and addresses on Schedule O			9		X			
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Re	venue	Code.)						
					Yes	No			
10a	Did the organization have local chapters, branches, or affiliates?			10a		X			
b	If "Yes," did the organization have written policies and procedures governing the activities of such characteristics.	apters	s, affiliates,						
	and branches to ensure their operations are consistent with the organization's exempt purposes?			10b					
11a	1a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?								
b									
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13			12a	Х				
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise	to con	flicts?	12b	Х				
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Y	es," a	lescribe						
	in Schedule O how this was done			12c	Х				
13	Did the organization have a written whistleblower policy?			13	Х				
14	Did the organization have a written document retention and destruction policy?			14	Х				
15	Did the process for determining compensation of the following persons include a review and approval	l by in	dependent						
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?								
	The organization's CEO, Executive Director, or top management official			15a	Х				
b	Other officers or key employees of the organization			15b	Х				
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).								
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement	nent w	rith a						
	taxable entity during the year?			16a		X			
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate		•						
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organ								
	exempt status with respect to such arrangements?			16b					
Sec	tion C. Disclosure								
17	List the states with which a copy of this Form 990 is required to be filed ▶AL, AR, CA, CO, C								
18	Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, an	ıd 990)-T (Section 501(c)(3)s only)	availa	ble			
	for public inspection. Indicate how you made these available. Check all that apply.								
	X Own website X Another's website X Upon request Other (explain								
19	Describe on Schedule O whether (and if so, how) the organization made its governing documents, co	nflict (of interest policy, ar	d finan	cial				
	statements available to the public during the tax year.								
20	State the name, address, and telephone number of the person who possesses the organization's boo	ks an	d records						
	GORDON LAVALETTE, CFO - 212-876-7700 520 EIGHTH AVENUE 7TH FLOOR NEW YORK NY 10018								
	nzu ellente Avenue. Tie eldok new York ny 10018								

orm 990 (2020) OF CRUELTY TO ANIMALS 13-1623829

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Page 7

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

• List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

Check this box if neither the organization ne					npen	sate				
(A)	(B)	(C)						(D)	(E)	(F)
Name and title	Average	Position (do not check more than one				than c	one	Reportable	Reportable	Estimated
	hours per		oox, unless person is both an officer and a director/trustee)					compensation	compensation	amount of
	week	-						from the	from related organizations	other compensation
	(list any hours for	director				_		organization	(W-2/1099-MISC)	from the
	related	96 Or	stee			ısateo		(W-2/1099-MISC)	(** 27 1000 111100)	organization
	organizations	Individual trustee or	Institutional trustee		yee	im pe		(** =* ** = = **,		and related
	below	idual	ution	er	Key employee	est co	ler			organizations
	line)	Indiv	Instit	Officer	Key e	Highest compensated employee	Former			
(1) MATT BERSHADKER	65.00									
PRESIDENT & CHIEF EXECUTIVE OFFICER	2.00	Х		Х				856,673.	0.	109,331.
(2) ELIZABETH ESTROFF	50.00								_	
SVP, COMMUNICATIONS	0.00				Х			388,971.	0.	59,091.
(3) TODD HENDRICKS	50.00									
SVP, DEVELOPMENT	0.00				Х			373,751.	0.	35,942.
(4) BEVERLY JONES	50.00								_	
SVP, CHIEF LEGAL OFFICER	2.00				Х			348,552.	0.	54,726.
(5) BERT TROUGHTON	50.00							254 246	•	46 650
SVP, SHELTER & VETERINARY SERVICES	0.00				Х			351,916.	0.	46,679.
(6) CHERYL BUCCI	50.00				77			200 210	0	64 057
SENIOR VICE PRESIDENT, OPS & PEOPLE	0.00				Х			322,318.	0.	64,257.
(7) BILL LEE	50.00			х				222 522	0	44 067
SVP & CFO TO 10/9/20 (8) STACY WOLF	5.00			Λ				323,522.	0.	44,067.
SVP, POLICY, RESPONSE & ENGAGEMENT	0.00				х			331,052.	0.	31,948.
(9) J'MAI GAYLE	50.00							331,032.	0.	31,340.
DIRECTOR, SURGERY	0.00					х		288,706.	0.	59,776.
(10) NANCY PERRY	50.00							200,700.	0.	33,110.
SVP, GOVERNMENT RELATIONS	0.00					х		263,076.	0.	45,962.
(11) JENNIFER CHIN	50.00							20370701		13/3020
VICE PRESIDENT, LEGAL ADVOC/INVESTIG	0.00					x		255,157.	0.	36,869.
(12) CAMILLE DECLEMENTI	50.00							,	-	,
VICE PRESIDENT, ASPCA ANIMAL HOSPITAL	0.00					х		248,998.	0.	34,872.
(13) SARAH LEVIN GOODSTINE	50.00									
SVP, OPERATIONS & STRATEGY TO 3/1/20	0.00					Х		245,838.	0.	14,731.
(14) FREDERICK TANNE	1.00									
CHAIRPERSON TO 6/30/20, THEN DIRECTOR	0.00	Х		Х				0.	0.	0.
(15) SALLY SPOONER	3.00									
VICE CHAIR TO 6/30/20 THEN CHAIRPERS	0.00	Х		Х				0.	0.	0.
(16) SCOTT THIEL	1.00	_						_		_
VICE CHAIRPERSON AS OF 6/30/20	0.00	Х		Х				0.	0.	0.
(17) JANE W. PARVER	1.00	_		_						_
TREASURER	0.00	Х		X				0.	0.	0.

032007 12-23-20 Form **990** (2020)

OF CRUELTY TO ANIMALS 13-1623829 Page 8

Part VII | Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued) (B) (C) (F) (A) (D) (E) Position Average Reportable Name and title Reportable Estimated (do not check more than one hours per compensation compensation amount of box, unless person is both an officer and a director/trustee) week from from related other (list any ndividual trustee or director the organizations compensation hours for organization (W-2/1099-MISC) from the lighest compensated mployee related nstitutional trustee (W-2/1099-MISC) organization organizations ey employee and related below organizations line) (18) LINDA LLOYD LAMBERT 1.00 SECRETARY X X 0. 0. 0.00 0. (19) ARRIANA BOARDMAN 1.00 Х 0. 0. 0. DIRECTOR 0.00 (20) MICHAEL D'ALTO 1.00 DIRECTOR AS OF 6/2020 0.00 Х 0. 0. 0. (21) C. ALLEN PARKER 1.00 DIRECTOR 0.00 X 0. 0. 0. (22) JEFFREY A. PFEIFLE 1.00 DIRECTOR 0.00 Х 0. 0. 0. (23) MARTIN PURIS 1.00 DIRECTOR 0.00 Х 0. 0. 0. (24) MARY JO WHITE 1.00 0.00 Х 0. 0. 0. DIRECTOR (25) TIM F. WRAY 1.00 0.00 Х 0. DIRECTOR 0. 0. 4,598,530. 0. 638,251. 1b Subtotal 0. 0. c Total from continuation sheets to Part VII, Section A 4.598.530. 0. 638,251. d Total (add lines 1b and 1c) Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable 222 compensation from the organization Yes No Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on Х line 1a? If "Yes," complete Schedule J for such individual 3 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual 4 Х Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services Х rendered to the organization? If "Yes," complete Schedule J for such person 5 **Section B. Independent Contractors** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from

the organization. Report compensation for the calendar year ending with or within the organization's tax year.

the organization: rieport compensation for the calcidal year chaing with or within	Titlo organization o tax year.	
(A) Name and business address	(B) Description of services	(C) Compensation
Name and business address	Description of services	Compensation
EAGLE-COM, INC., 2300 YONGE ST.,		
SUITE1700, TORONTO, ONTARIO, CANADA M4P	DONOR ACQUISITION	40,371,616.
LAUGHLIN CONSTABLE, INC.		
207 E. MICHIGAN ST, MILWAUKEE, WI 53202	DONOR ACQUISITION	8,723,204.
EDGE DIRECT LLC		
3030 WATERVIEW AVENUE, BALTIMORE, MD 21230	DONOR ENGAGEMENT	6,565,235.
GOOGLE, INC. DEPT. 33654, 1600		
AMPHITHEATRE PKWY, MOUNTAIN VIEW, CA 94043	DONOR ACQUISITION	5,734,695.
PATTON KIEHL		
17026 BULL CHURCH ROAD, WOODFORD, VA 22580	DONOR ENGAGEMENT	4,124,583.
2 Total number of independent contractors (including but not limited to those listed	d above) who received more than	
\$100,000 of compensation from the organization 116		
		= 000 (assa)

Form 990 (2020)

OF CRUELTY TO ANIMALS 13-1623829 Page 9 Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII (B) (C) (D) Related or exempt Unrelated Revenuè excluded Total revenue from tax under function revenue business revenue sections 512 - 514 Contributions, Gifts, Grants and Other Similar Amounts 1 a Federated campaigns 1a 1b **b** Membership dues 359,380. c Fundraising events 1c d Related organizations 1d e Government grants (contributions) 1e f All other contributions, gifts, grants, and 286,808,190. similar amounts not included above 1f 3,255,430 g Noncash contributions included in lines 1a-1f 287,167,570. h Total. Add lines 1a-1f **Business Code** 2 a ANIMAL POISON CONTROL CENTER FEES 900000 14,566,351. 14,566,351. Program Service Revenue b MOBILE CLINIC VET. & CLINIC REVEN 900000 810,030. 810,030. ANIMAL HOSPITAL FEES 900000 158,243. 158,243. ADOPTION CENTER FEES 900000 40,068. 40,068. f All other program service revenue 15,574,692 g Total. Add lines 2a-2f Investment income (including dividends, interest, and 4,904,698 4,904,698. other similar amounts) 4 Income from investment of tax-exempt bond proceeds 2,789,471. 2,789,471. 5 Royalties (i) Real (ii) Personal 6 a Gross rents 6b **b** Less: rental expenses ... c Rental income or (loss) 6c d Net rental income or (loss) (i) Securities (ii) Other 7 a Gross amount from sales of 55,610,485. assets other than inventory b Less: cost or other basis 41,372,189. Other Revenue and sales expenses 7b 7c | 14,238,296. c Gain or (loss) 14,238,296. 14,238,296. d Net gain or (loss) 8 a Gross income from fundraising events (not 359,380. of including \$ contributions reported on line 1c). See Part IV, line 18 5,000. 127,225, **b** Less: direct expenses -122,225 -122,225 c Net income or (loss) from fundraising events 9 a Gross income from gaming activities. See Part IV, line 19 9a 9b **b** Less: direct expenses c Net income or (loss) from gaming activities \triangleright 10 a Gross sales of inventory, less returns and allowances 10a **b** Less: cost of goods sold 10b c Net income or (loss) from sales of inventory **Business Code** Miscellaneous Revenue 11 a LIST SALES 900099 243,805 243,805. b LOSS FROM K-1 ACTIVITY 900099 -24,202 -24,202 d All other revenue 219,603, Total. Add lines 11a-11d 22,054,045 324,772,105. 15,574,692. -24,202. Total revenue. See instructions 12

OF CRUELTY TO ANIMALS Form 990 (2020)

Part IX | Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Secti	Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).									
	Check if Schedule O contains a response or note to any line in this Part IX									
	not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses					
1	Grants and other assistance to domestic organizations									
	and domestic governments. See Part IV, line 21	12,653,002.	12,653,002.							
2	Grants and other assistance to domestic									
	individuals. See Part IV, line 22									
3	Grants and other assistance to foreign									
	organizations, foreign governments, and foreign									
	individuals. See Part IV, lines 15 and 16									
4	Benefits paid to or for members									
5	Compensation of current officers, directors,									
	trustees, and key employees	3,742,796.	1,796,543.	1,272,549.	673,704.					
6	Compensation not included above to disqualified									
	persons (as defined under section 4958(f)(1)) and									
	persons described in section 4958(c)(3)(B)									
7	Other salaries and wages	75,541,904.	63,805,272.	5,421,916.	6,314,716.					
8	Pension plan accruals and contributions (include	4 000 665	2 502 245	000 -01	255 426					
	section 401(k) and 403(b) employer contributions)		3,622,841.	292,726.	357,102.					
9	Other employee benefits		12,084,467.	1,129,991.	1,258,808.					
10	Payroll taxes	5,739,804.	4,761,665.	470,852.	507,287.					
11	Fees for services (nonemployees):	400 540	167 200	255 260	66 070					
a	Management	489,540.	167,300. 728,150.	255,368.	66,872. 235,179.					
b	Legal	1,218,523.	/28,150.	255,194.	235,179.					
	Accounting	549,868. 301,577.	301,577.	549,868.						
	Lobbying	2,525,661.	301,377.		2,525,661.					
e	Professional fundraising services. See Part IV, line 17	856,992.		856,992.	2,323,001.					
1	Investment management fees	030,332.		030,332.						
y	column (A) amount, list line 11g expenses on Sch 0.)	10,043,255.	8,899,952.	390,918.	752,385.					
12	Advertising and promotion	63,677,450.		108,888.						
13	Office expenses		15,301,678.	150,210.	9,171,761.					
14	Information technology	14,962,860.		624,502.	7,350,156.					
15	Royalties	, ,	,	•						
16	Occupancy	6,155,947.	4,464,292.	1,131,569.	560,086.					
17	Travel	4,239,392.	4,133,729.	52,632.	53,031.					
18	Payments of travel or entertainment expenses									
	for any federal, state, or local public officials									
19	Conferences, conventions, and meetings	193,595.	119,534.	49,193.	24,868.					
20	Interest									
21	Payments to affiliates		4 500 0=0		200 201					
22	Depreciation, depletion, and amortization	5,680,908.		664,102.	322,934.					
23	Insurance	1,717,569.	1,397,995.	217,198.	102,376.					
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A)									
	amount, list line 24e expenses on Schedule 0.)	F (10 F00	E 610 E00		^					
а	VETERINARY & MEDICAL SE	5,619,728.		01 067	<u> </u>					
b	OPERATING SUPPLIES	5,578,936.		81,267.	65,014.					
C	MISCELLANEOUS EXPENSES REPAIRS AND MAINTENANCE	1,691,435.	1,368,704.	256,781. 389,657.	65,950. 192,866.					
d		1,405,905.	043,444.	303,03/•	134,000.					
	All other expenses Add lines 1 through 24e	267,756,291.	198 077 887	14,622,373.	55,056,031.					
<u>25</u> 26	Joint costs. Complete this line only if the organization	201,130,231.	±20,011,001•	11,044,JIJ•	33,030,031.					
20	reported in column (B) joint costs from a combined									
	educational campaign and fundraising solicitation.									
	1 0	103,829,387.	60,079,808.	179.557.	43,570,022.					
	P	, , . = . ,		,	000					

13-1623829

Form 990 (2020)
Part X Balance Sheet OF CRUELTY TO ANIMALS 13-1623829 Page 11

Par	<u>t X</u>	Balance Sheet	
		Check if Schedule O contains a response or note to any line in this Part X	
			(A) (B) Beginning of year End of year
	1	Cash - non-interest-bearing	21,449,443. 1 10,594,590.
	2	Savings and temporary cash investments	
	3	Pledges and grants receivable, net	
	4	Accounts receivable, net	
	5	Loans and other receivables from any current or former officer, director,	
		trustee, key employee, creator or founder, substantial contributor, or 35%	
		controlled entity or family member of any of these persons	5
	6	Loans and other receivables from other disqualified persons (as defined	
		under section 4958(f)(1)), and persons described in section 4958(c)(3)(B)	6
Ø	7	Notes and loans receivable, net	7
Assets	8	Inventories for sale or use	
As	9	Prepaid expenses and deferred charges	2 152 710 6 5 100 607
	10a	Land, buildings, and equipment: cost or other	
		basis. Complete Part VI of Schedule D 10a 113,760,	734.
	b	Less: accumulated depreciation 10b 52,595,	$935. \mid 57,658,526. \mid 10c \mid 61,164,799.$
	11	Investments - publicly traded securities	146,391,767. 11 219,911,584.
	12	Investments - other securities. See Part IV, line 11	
	13	Investments - program-related. See Part IV, line 11	13
	14	Intangible assets	14
	15	Other assets. See Part IV, line 11	46,458,940. 15 53,953,234.
	16	Total assets. Add lines 1 through 15 (must equal line 33)	393,129,611. ₁₆ 470,124,444.
	17	Accounts payable and accrued expenses	16,312,604. 17 19,045,160.
	18	Grants payable	1,535,699. 18 1,313,840.
	19	Deferred revenue	19
	20	Tax-exempt bond liabilities	20
	21	Escrow or custodial account liability. Complete Part IV of Schedule D	21
es	22	Loans and other payables to any current or former officer, director,	
Liabilities		trustee, key employee, creator or founder, substantial contributor, or 35%	
iab		controlled entity or family member of any of these persons	
	23		23
	24	Unsecured notes and loans payable to unrelated third parties	24
	25	Other liabilities (including federal income tax, payables to related third	
		parties, and other liabilities not included on lines 17-24). Complete Part X	
		of Schedule D	
	26	Total liabilities. Add lines 17 through 25	53,122,402. 26 62,836,904.
တ္		Organizations that follow FASB ASC 958, check here	
nce	07	and complete lines 27, 28, 32, and 33.	264,507,765. 27 334,126,940.
alaı	27	Net assets without donor restrictions	
d B	28	Net assets with donor restrictions	73,433,444. 28 73,100,000:
n-		Organizations that do not follow FASB ASC 958, check here	
ρ	00	and complete lines 29 through 33.	00
sts	29	Capital stock or trust principal, or current funds	
SSE	30	Paid-in or capital surplus, or land, building, or equipment fund	
Net Assets or Fund Balances	31	Retained earnings, endowment, accumulated income, or other funds	
ž	32	Total liabilities and not assets/fund balances	202 100 611 450 104 444
	33	Total liabilities and net assets/fund balances	373,147,011.

Form **990** (2020)

Form 990 (2020) OF CRUELTY TO ANIMALS 13-1623829 Page 12

Pa	rt XI Reconciliation of Net Assets					
	Check if Schedule O contains a response or note to any line in this Part XI					X
1	Total revenue (must equal Part VIII, column (A), line 12)	1	324			
2	Total expenses (must equal Part IX, column (A), line 25)	2	267			
3	Revenue less expenses. Subtract line 2 from line 1	3		,01		
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4	340			
5	Net unrealized gains (losses) on investments	5	8	<u>,40</u>	3,6	<u>23.</u>
6	Donated services and use of facilities	6				
7	Investment expenses	7				
8	Prior period adjustments	8				
9	Other changes in net assets or fund balances (explain on Schedule O)	9	1	,86	0,8	<u>94.</u>
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,					
	column (B))	10	407	<u>, 28'</u>	7,5	<u>40.</u>
Pa	rt XII Financial Statements and Reporting					
	Check if Schedule O contains a response or note to any line in this Part XII		<u> </u>			<u>Ш</u>
			ſ		Yes	No
1	Accounting method used to prepare the Form 990: Cash X Accrual Other					
	If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule	Э.				
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?			2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	on a				
	separate basis, consolidated basis, or both:					
	Separate basis Consolidated basis Both consolidated and separate basis					
b	Were the organization's financial statements audited by an independent accountant?			2b	X	
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate	basis,				
	consolidated basis, or both:					
	Separate basis X Consolidated basis Both consolidated and separate basis					
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the	audit,				
	review, or compilation of its financial statements and selection of an independent accountant?			2c	X	
	If the organization changed either its oversight process or selection process during the tax year, explain on Scho	edule O.				
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sin	gle Audit	t			
	Act and OMB Circular A-133?			3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required	ed audit				
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits			3b	000	

Form **990** (2020)

SCHEDULE A

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Total

Name of the organization

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.
THE AMERICAN SOCIETY FOR THE PREVENTION

OMB No. 1545-0047

Employer identification number

Open to Public Inspection

CRUELTY TO ANIMALS 13-1623829 Reason for Public Charity Status. (All organizations must complete this part.) See instructions. Part I The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). X An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or 10 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 11 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g. Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization. Enter the number of supported organizations Provide the following information about the supported organization(s). (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of other your governing document? (described on lines 1-10 organization support (see instructions) support (see instructions) No above (see instructions))

Schedule A (Form 990 or 990-EZ) 2020 OF CRUELTY TO ANIMALS

13-1623829 Page 2

1201514534

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.) Section A. Public Support Calendar year (or fiscal year beginning in) (a) 2016 **(b)** 2017 (c) 2018 (d) 2019 (e) 2020 (f) Total 1 Gifts, grants, contributions, and membership fees received. (Do not 197064307219969109243128195254185353287167570 include any "unusual grants.") 2 Tax revenues levied for the organ-

6 Public support. Subtract line 5 from line 4 Section B. Total Support

column (f)

ization's benefit and either paid to or expended on its behalf

The value of services or facilities

-	otion B. Total Support							
Calendar year (or fiscal year beginning in) ▶		(a) 2016			(d) 2019	(e) 2020	(f) Total	
7	Amounts from line 4	197064307	219969109	243128195	254185353	287167570	1201514534.	
8	Gross income from interest,							
	dividends, payments received on							
	securities loans, rents, royalties,							
	and income from similar sources	4588637.	5149538.	6362100.	8276288.	7694169.	32070732.	
9	Net income from unrelated business							
	activities, whether or not the							
	business is regularly carried on	37,048.	145,264.	131,748.			314,060.	
10	Other income. Do not include gain							
	or loss from the sale of capital							
	assets (Explain in Part VI.)	253,763.	311,653.	341,899.	254,737.	243,805.	1405857.	
11	Total support. Add lines 7 through 10						1235305183.	
12	Gross receipts from related activities,	etc. (see instruction	ons)			12 70	<u>,284,122.</u>	
13	First 5 years. If the Form 990 is for the	ne organization's fi	rst, second, third,	fourth, or fifth tax	ear as a section 5	01(c)(3)		

13 First 5 years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

,,,	stion 6: Computation of Fabric Capport Forcentage		
14	Public support percentage for 2020 (line 6, column (f), divided by line 11, column (f))	14	97.26 %
15	Public support percentage from 2019 Schedule A, Part II, line 14	15	97.21 %
16a	33 1/3% support test - 2020. If the organization did not check the box on line 13, and line 14 is 33 1/3% or m	ore, c	check this box and

stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support test - 2019. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization

17a 10% -facts-and-circumstances test - 2020. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization

b 10% -facts-and-circumstances test - 2019. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the facts-and-circumstances test, check this box and stop here. Explain in Part VI how the organization meets the facts-and-circumstances test. The organization qualifies as a publicly supported organization

Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions

Schedule A (Form 990 or 990-EZ) 2020

Schedule A (Form 990 or 990-EZ) 2020 OF CRUELTY TO ANIMALS

13-1623829 Page 3

Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below please complete Part II.)

qualify under the tests listed be Section A. Public Support	elow, please comp	plete Part II.)				
Calendar year (or fiscal year beginning in)	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
1 Gifts, grants, contributions, and			, ,		, ,	,
membership fees received. (Do not						
include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that						
are not an unrelated trade or bus- iness under section 513						
Tax revenues levied for the organ- ization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to						
the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and						
3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						
Section B. Total Support		_				
Calendar year (or fiscal year beginning in) 🕨	(a) 2016	(b) 2017	(c) 2018	(d) 2019	(e) 2020	(f) Total
9 Amounts from line 6 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First 5 years. If the Form 990 is for th	e organization's f	irst, second, third,	fourth, or fifth tax	year as a section	501(c)(3) organizatio	on,
check this box and stop here						
Section C. Computation of Public	c Support Pe	rcentage				
15 Public support percentage for 2020 (li	ne 8, column (f), c	divided by line 13,	column (f))		15	%
16 Public support percentage from 2019					16	%
Section D. Computation of Inves	tment Income	e Percentage				
17 Investment income percentage for 20	20 (line 10c, colu	mn (f), divided by li	ine 13, column (f))		17	%
18 Investment income percentage from 2						%
19a 33 1/3% support tests - 2020. If the	organization did r	not check the box	on line 14, and line	e 15 is more than	33 1/3%, and line 17	7 is not
more than 33 1/3%, check this box an b 33 1/3% support tests - 2019. If the						
line 18 is not more than 33 1/3%, chec						
20 Private foundation. If the organization						

Part IV | Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- **b** Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes." provide detail in **Part VI.**
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- **10a** Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If* "Yes," *answer line 10b below*.
 - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

		Yes	No
	1		
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	3b		
	3с		
	4a		
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	4b		
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Schedule A (Form 990 or 990-F7) 2020 OF CRUELTY TO ANIMALS

13-1623829 Page 5

		Supporting Organizations (continued)			.go o
		The state of the s		Yes	No
11	Has th	ne organization accepted a gift or contribution from any of the following persons?			
а		son who directly or indirectly controls, either alone or together with persons described in lines 11b and			
	11c be	elow, the governing body of a supported organization?	11a		
b	A fami	ily member of a person described in line 11a above?	11b		
С	A 35%	controlled entity of a person described in line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide			
	detail	in Part VI.	11c		
Sec	tion E	B. Type I Supporting Organizations			
				Yes	No
1		e governing body, members of the governing body, officers acting in their official capacity, or membership of one or			
		supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers,			
		ors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) ively operated, supervised, or controlled the organization's activities. If the organization had more than one supported			
		zation, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the			
		rted organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2		e organization operate for the benefit of any supported organization other than the supported			
	_	ization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in			
		how providing such benefit carried out the purposes of the supported organization(s) that operated,			
Sec	super	vised, or controlled the supporting organization. C. Type II Supporting Organizations	2		
000	LIOIT	7. Type it oupporting organizations		V	
	Moro	a majority of the arganization's directors or trustees during the tay year also a majority of the directors		Yes	No
1		a majority of the organization's directors or trustees during the tax year also a majority of the directors stees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
		nagement of the supporting organization was vested in the same persons that controlled or managed			
		poorted organization(s).	1		
Sec	tion C	D. All Type III Supporting Organizations	•		
		, , , , , , , , , , , , , , , , , , ,		Yes	No
1	Did th	e organization provide to each of its supported organizations, by the last day of the fifth month of the			
		ization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	-	ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
	organi	ization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were	any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	organi	ization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the or	ganization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By rea	son of the relationship described in line 2, above, did the organization's supported organizations have a			
	•	cant voice in the organization's investment policies and in directing the use of the organization's			
	incom	e or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
800	suppo	rted organizations played in this regard. Type III Functionally Integrated Supporting Organizations	3		
1		the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).			
a		The organization satisfied the Activities Test. Complete line 2 below.			
b c		The organization is the parent of each of its supported organizations. <i>Complete line 3 below.</i> The organization supported a governmental entity. <i>Describe in Part VI how you supported a governmental entity (see ins</i>		-1	
2		the organization supported a governmental entity. Describe in Fart VI now you supported a governmental entity (see instines Test. Answer lines 2a and 2b below.	struction	yes	No
a		ibstantially all of the organization's activities during the tax year directly further the exempt purposes of		103	140
u		pported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
		supported organizations and explain how these activities directly furthered their exempt purposes,			
		the organization was responsive to those supported organizations, and how the organization determined			
		nese activities constituted substantially all of its activities.	2a		
b		e activities described in line 2a, above, constitute activities that, but for the organization's involvement,			
		more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in			
		Ithe reasons for the organization's position that its supported organization(s) would have engaged in			
		activities but for the organization's involvement.	2b		
3	Paren	t of Supported Organizations. Answer lines 3a and 3b below.			
а	Did th	e organization have the power to regularly appoint or elect a majority of the officers, directors, or			
		es of each of the supported organizations? If "Yes" or "No" provide details in Part VI.	3a		
b		e organization exercise a substantial degree of direction over the policies, programs, and activities of each			
	of its	supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard.	3b		

Schedule A (Form 990 or 990-EZ) 2020 OF CRUELTY TO ANIMALS 13-1623829 Page 6

	t V Type III Non-Functionally Integrated 509(a)(3) Supporting	Orga	nizations	ugo o
1	Check here if the organization satisfied the Integral Part Test as a qualifying	trust or	n Nov. 20, 1970 (explain in l	Part VI). See instructions.
	All other Type III non-functionally integrated supporting organizations must of			,
Sect	ion A - Adjusted Net Income		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1		
2	Recoveries of prior-year distributions	2		
3	Other gross income (see instructions)	3		
4	Add lines 1 through 3.	4		
5	Depreciation and depletion	5		
6	Portion of operating expenses paid or incurred for production or			
	collection of gross income or for management, conservation, or			
	maintenance of property held for production of income (see instructions)	6		
7	Other expenses (see instructions)	7		
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8		
Sect	ion B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see			
	instructions for short tax year or assets held for part of year):			
a	Average monthly value of securities	1a		
b	Average monthly cash balances	1b		
с	Fair market value of other non-exempt-use assets	1c		
d	Total (add lines 1a, 1b, and 1c)	1d		
е	Discount claimed for blockage or other factors			
	(explain in detail in Part VI):			
2	Acquisition indebtedness applicable to non-exempt-use assets	2		
3	Subtract line 2 from line 1d.	3		
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount,			
	see instructions).	4		
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5		
6	Multiply line 5 by 0.035.	6		
7	Recoveries of prior-year distributions	7		
8	Minimum Asset Amount (add line 7 to line 6)	8		
Sect	ion C - Distributable Amount			Current Year
1	Adjusted net income for prior year (from Section A, line 8, column A)	1		
2	Enter 0.85 of line 1.	2		
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3		
4	Enter greater of line 2 or line 3.	4		
5	Income tax imposed in prior year	5		
6	Distributable Amount. Subtract line 5 from line 4, unless subject to			
	emergency temporary reduction (see instructions).	6		
7	Check here if the current year is the organization's first as a non-functionally	integra	ted Type III supporting orga	nization (see
	instructions).			

Schedule A (Form 990 or 990-EZ) 2020

Schedule A (Form 990 or 990-EZ) 2020 OF CRUELTY TO ANIMALS 13-1623829 Page 7

Pai	t V Type III Non-Functionally Integrated 509		nizations (continu		3-1023029 Page 7
	on D - Distributions	(a)(c) = apperg = . ga	(CONTINE	Jeu)	Current Year
1	Amounts paid to supported organizations to accomplish exe	mpt purposes		1	
2	Amounts paid to perform activity that directly furthers exemp	<u> </u>			
	organizations, in excess of income from activity			2	
3	Administrative expenses paid to accomplish exempt purpose	3	3		
4	Amounts paid to acquire exempt-use assets	,,		4	
5	Qualified set-aside amounts (prior IRS approval required - pro	ovide details in Part VI)		5	
6	Other distributions (describe in Part VI). See instructions.	, , , , , , , , , , , , , , , , , , ,		6	
7	Total annual distributions. Add lines 1 through 6.			7	
8	Distributions to attentive supported organizations to which the	ne organization is responsive			
	(provide details in Part VI). See instructions.			8	
9	Distributable amount for 2020 from Section C, line 6			9	
10	Line 8 amount divided by line 9 amount			10	
	•	(i)	(ii)		(iii)
Sect	on E - Distribution Allocations (see instructions)	Excess Distributions	Underdistribution Pre-2020	ns	Distributable Amount for 2020
1	Distributable amount for 2020 from Section C, line 6				
2	Underdistributions, if any, for years prior to 2020 (reason-				
	able cause required - explain in Part VI). See instructions.				
3	Excess distributions carryover, if any, to 2020				
a	From 2015				
b	From 2016				
c	From 2017				
d	From 2018				
е	From 2019				
f	Total of lines 3a through 3e				
g	Applied to underdistributions of prior years				
h	Applied to 2020 distributable amount				
<u>i</u>	Carryover from 2015 not applied (see instructions)				
i_	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.				
4	Distributions for 2020 from Section D,				
	line 7: \$				
a	Applied to underdistributions of prior years				
b	Applied to 2020 distributable amount				
c	Remainder. Subtract lines 4a and 4b from line 4.				
5	Remaining underdistributions for years prior to 2020, if			I	
	any. Subtract lines 3g and 4a from line 2. For result greater				
	than zero, explain in Part VI. See instructions.				
6	Remaining underdistributions for 2020. Subtract lines 3h				
	and 4b from line 1. For result greater than zero, explain in				
	Part VI. See instructions.				
7	Excess distributions carryover to 2021. Add lines 3j				
	and 4c.				
8	Breakdown of line 7:				
<u>a</u>	Excess from 2016				
<u>b</u>	Excess from 2017				
<u>c</u>	Excess from 2018				
<u>d</u>	Excess from 2019				
е	Excess from 2020				

Schedule A (Form 990 or 990-EZ) 2020

Part VI

THE AMERICAN SOCIETY FOR THE PREVENTION

Schedule A (Form 990 or 990-EZ) 2020 OF CRUELTY TO ANIMALS

13-1623829 Page 8

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.) SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME: LIST RENTALS 2016 AMOUNT: \$ 253,763. 2017 AMOUNT: \$ 311,653. 2018 AMOUNT: \$ 341,899. 254,737. 2019 AMOUNT: \$ 2020 AMOUNT: \$ 243,805.

SCHEDULE C

(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below.

Attach to Form 990 or Form 990-EZ.

2020

► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

• Section 501(c)(4), (5), or (6) organizations: Complete Part III.

	30011011001(0)(+), (0), 01 (0) 01guniza	dono. Complete i art iii.			
Nam	ie of organization THE AME	RICAN SOCIETY FO	R THE PREVEN	ITION Emp	loyer identification number
		LTY TO ANIMALS			13-1623829
Pa	rt I-A Complete if the org	janization is exempt und	er section 501(c)	or is a section 527 or	ganization.
2	Provide a description of the organize Political campaign activity expendite Volunteer hours for political campa	ures ign activities		>	
Pa	rt I-B Complete if the org	janization is exempt und		·	
	Enter the amount of any excise tax	, ,			
	Enter the amount of any excise tax				
	If the organization incurred a section				
	Was a correction made?				Yes No
	If "Yes," describe in Part IV. rt I-C Complete if the organisms	janization is exempt und	er section 501(c)	except section 501/c	·)(3)
3	Enter the amount directly expended Enter the amount of the filing organ exempt function activities Total exempt function expenditures line 17b Did the filing organization file Form Enter the names, addresses and en made payments. For each organization	ization's funds contributed to ot s. Add lines 1 and 2. Enter here a 1120-POL for this year? nployer identification number (El tion listed, enter the amount pai	ther organizations for se and on Form 1120-POL, N) of all section 527 pol d from the filing organiz	ection 527 Similar Si	Yes No h the filing organization e amount of political
	contributions received that were pr political action committee (PAC). If			•	te segregated fund or a
	(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0

13-1623829 Page 2 Schedule C (Form 990 or 990-EZ) 2020 OF CRUELTY TO ANIMALS Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)). A Check ► if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures). B Check ▶ if the filing organization checked box A and "limited control" provisions apply. (a) Filing (b) Affiliated group Limits on Lobbying Expenditures organization's totals (The term "expenditures" means amounts paid or incurred.) totals **1a** Total lobbying expenditures to influence public opinion (grassroots lobbying) **b** Total lobbying expenditures to influence a legislative body (direct lobbying) c Total lobbying expenditures (add lines 1a and 1b) d Other exempt purpose expenditures e Total exempt purpose expenditures (add lines 1c and 1d) Lobbying nontaxable amount. Enter the amount from the following table in both columns. If the amount on line 1e, column (a) or (b) is: The lobbying nontaxable amount is: Not over \$500,000 20% of the amount on line 1e. Over \$500,000 but not over \$1,000,000 \$100,000 plus 15% of the excess over \$500,000. \$175,000 plus 10% of the excess over \$1,000,000. Over \$1,000,000 but not over \$1,500,000 Over \$1,500,000 but not over \$17,000,000 \$225,000 plus 5% of the excess over \$1,500,000. Over \$17,000,000 \$1,000,000. g Grassroots nontaxable amount (enter 25% of line 1f) h Subtract line 1g from line 1a. If zero or less, enter -0i Subtract line 1f from line 1c. If zero or less, enter -0i If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? Yes 4-Year Averaging Period Under Section 501(h) (Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.) Lobbying Expenditures During 4-Year Averaging Period Calendar year (a) 2017 (b) 2018 (c) 2019 (d) 2020 (e) Total (or fiscal year beginning in) 2a Lobbying nontaxable amount **b** Lobbying ceiling amount (150% of line 2a, column(e)) c Total lobbying expenditures d Grassroots nontaxable amount e Grassroots ceiling amount (150% of line 2d, column (e)) f Grassroots lobbying expenditures

Schedule C (Form 990 or 990-EZ) 2020

Schedule C (Form 990 or 990-EZ) 2020 **OF CRUELTY TO ANIMALS**

13-1623829 Page 3

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

1 During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: a Volunteers? b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? X	 For ϵ	ach "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description	(a)		(b)	
local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of: a Voluntiesra? b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? C Mailings to members, legislations, or the public? A Mailings to members, legislations for looblying purposes? A Mailings to members, legislations, the staffs, operment officials, or a legislative body? X Mailings to members, legislations, the staffs, operment officials, or a legislative body? X Mailings, demonstrations, seminars, conventions, speeches, lectures, or any similar means? X Mailings, demonstrations, seminars, conventions, speeches, lectures, or any similar means? X Mailings, demonstrations, seminars, conventions, speeches, lectures, or any similar means? X Mailings, demonstrations, seminars, conventions, speeches, lectures, or any similar means? X Mailings, demonstrations, seminars, conventions, speeches, lectures, or any similar means? X Mailings, demonstrations, seminars, conventions, speeches, lectures, or any similar means? X Mailings, demonstrations, seminars, conventions, speeches, lectures, or any similar means? X Mailings, demonstrations, seminars, conventions, speeches, lectures, or any similar means? X Mailings, demonstration for the cause the organization to be not described in section 501(p(3)? X Mailings, demonstrations, seminars, conventions, and section 501(p(3)? X Mailings, demonstration for the coupling a section 4912 and 11 the filing organization means are section 4912. A Mailings of the organization management organization section 501(p(3), section 501(p(3), or section 501(p(3), organization man			Yes	No	Amou	nt
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b Paid staff or management (include compensation in expanses reported on lines 1c through 1)? k	_		v			
kedia advertisements? Media advertisements?		Volunteers?				
d Mailings to members, legislators, or the public? Publications, or published or broadcast statements? Grants to other organizations for lobbying purposes? Joint the following published or broadcast statements? Joint the following published or broadcast statements? Joint activities? Joint activities? Joint activities? Joint activities? Joint activities? Joint activities in line 1 cause the organization to be not described in section 501(c)(3)? Joint activities in line 1 cause the organization to be not described in section 501(c)(3)? Joint activities in line 1 cause the organization to be not described in section 501(c)(3)? Joint activities in line 1 cause the organization to be not described in section 501(c)(3)? Joint activities in line 1 cause the organization of any tax incurred under section 4912 Joint the filing organization incurred a section 4912 tax, did if line form 4720 for this year? Joint the filing organization incurred a section 4912 tax, did if line form 4720 for this year? Were substantially all (80% or more) dues received nondeductible by members? Joint the organization make only in-house lobbying expenditures of \$2,000 or less? Joint the organization anger to carry over lobbying and political campaign activity expenditures from the prior year? Domplete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes." Dues, assessments and similar amounts from members Dues, assessments and similar amounts from members Section 15(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes." Dues, assessments and similar amounts from members Cottal Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible lobbying and political expenditures (see instructions) and political expenditures (see instructions) and political expenditure next year? Part III-B, L					27	117
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does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? 5 Taxable amount of lobbying and political expenditures (See instructions) Part IV Supplemental Information Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (See instructions); and Part II-B, LINE 1. Also, complete this part for any additional information. PART II-B, LINE 1, LOBBYING ACTIVITIES: THE ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT	_					
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Taxable amount of lobbying and political expenditures (See instructions) Part IV Supplemental Information Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (See Instructions); and Part II-B, line 1. Also, complete this part for any additional information. PART II-B, LINE 1, LOBBYING ACTIVITIES: THE ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT				4		
Part IV Supplemental Information Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (See Instructions); and Part II-B, line 1. Also, complete this part for any additional information. PART II-B, LINE 1, LOBBYING ACTIVITIES: THE ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT	5			. —		
nstructions); and Part II-B, line 1. Also, complete this part for any additional information. PART II-B, LINE 1, LOBBYING ACTIVITIES: THE ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT				-		
nstructions); and Part II-B, line 1. Also, complete this part for any additional information. PART II-B, LINE 1, LOBBYING ACTIVITIES: THE ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT	Prov	de the descriptions required for Part I-A, line 1: Part I-B, line 4: Part I-C, line 5: Part II-A (affiliated group	list): Part II-A	. lines 1 aı	nd 2 (See	
PART II-B, LINE 1, LOBBYING ACTIVITIES: THE ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT			,,	,	,	
CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT						
CRUELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED THROUGH A COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT						
COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT	THI	E ASPCA'S MISSION TO PROVIDE EFFECTIVE MEANS FOR THE	PREVE	NTION	OF	
COMBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLICY AND LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT						
LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT	CRI	JELTY TO ANIMALS IN THE UNITED STATES IS ADVANCED T	HROUGH	Α		
LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT						
LEGISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAINING PROGRAMS THAT	COI	MBINATION OF: SIGNIFICANT DIRECT CARE PROGRAMS; POLI	CY AND			
		·				
	<u>L</u> E(SISLATIVE REFORM; AND LEARNING, RESEARCH AND TRAININ	G PROG	RAMS '	ГНАТ	
IMPACT MILLIONS OF ANIMALS AND ORGANIZATIONS AROUND THE COUNTRY EACH						
	IMI	PACT MILLIONS OF ANIMALS AND ORGANIZATIONS AROUND TH	IE COUN'	TRY E	ACH	

Part IV | Supplemental Information (continued)

YEAR. SOME OF THE PROGRAMS ARE THE ASPCA'S NATIONAL RELOCATION PROGRAM TO SAVE LIVES OF AT-RISK HOMELESS ANIMALS; PARTNERSHIPS WITH COMMUNITIES TO INCENTIVIZE MORE LIVE RELEASE AND RESCUE FOR HOMELESS ANIMALS; PROFESSIONAL DEVELOPMENT FOR SHELTERS AND RESCUE ORGANIZATIONS; A BEHAVIORAL REHABILITATION CENTER AND LEARNING LAB TO REHABILITATE UNDER SOCIALIZED, FEARFUL DOGS FROM PUPPY MILLS, HOARDING AND OTHER CRUELTY CASES; A COLLABORATION WITH THE NEW YORK CITY POLICE DEPARTMENT; THE ASPCA'S COMMUNITY ENGAGEMENT PROGRAM TO ADDRESS THE ROOT CAUSES OF SUFFERING IN HOARDING CASES; THE ASPCA ANIMAL HOSPITAL; AND SPAY/NEUTER OPERATIONS AND ADOPTION CENTER IN NEW YORK CITY. ALL OF THESE PROGRAMS SERVE AS PROVING GROUNDS TO UNDERSTAND THE MYRIAD PROBLEMS ANIMALS FACE AND INFORM THE ASPCA'S WORK TO ADVANCE POLICIES THAT WILL PREVENT CRUELTY IN THE FUTURE. THE LESSONS THE ASPCA TAKES FROM THESE PROGRAMS ENABLE THEM TO BRING EXPERT VOICES AND INFORMED OPINIONS TO THEIR WORK TO DISSEMINATE THESE LEARNINGS TO OTHER ANIMAL WELFARE ORGANIZATIONS AROUND THE COUNTRY AS WELL AS TO ADVOCATE FOR LAWS TO DETER CRUEL TREATMENT OF ANIMALS IN COMMUNITIES ALL ACROSS THE COUNTRY.

1A. VOLUNTEERS: THE ASPCA WORKS WITH VOLUNTEERS BY HOLDING CITIZEN

TRAINING WORKSHOPS IN LOCAL COMMUNITIES, PROVIDING OPPORTUNITIES FOR

THEM TO JOIN ASPCA STAFF AT THE STATE AND FEDERAL CAPITOLS TO PROMOTE

OR OPPOSE LEGISLATION THROUGH MEETINGS WITH LEGISLATORS AND THEIR

AIDES. THE ASPCA EMPLOYS TRAINING TOOLS SUCH AS WEBINARS AND

CONFERENCES.

1B. PAID STAFF OR MANAGEMENT: ASPCA MANAGEMENT AND STAFF STRATEGIZE AND

COORDINATE THEIR PUBLIC POLICY EFFORTS AIMED AT ENHANCING THE ASPCA'S

13-1623829 Page 4

Part IV | Supplemental Information (continued)

ABILITY TO PERFORM DIRECT CARE WORK AND TO HELP PREVENT CRUELTY. THEY

CULTIVATE AND EXPAND CONTACTS WITHIN GOVERNMENT BODIES, INCLUDING

LEGISLATURES AND REGULATORY AGENCIES, AND WORK WITH OTHER NATIONAL AND

LOCAL ORGANIZATIONS TO PROMOTE HUMANE POLICIES.

1C. MEDIA ADVERTISEMENTS: PERIODICALLY, THE ASPCA BUYS ADVERTISEMENT

SPACE IN PUBLIC POLICY-FOCUSED NEWSPAPERS (E.G., ROLL CALL, THE HILL)

THAT MEMBERS OF CONGRESS AND THEIR STAFFS REGULARLY READ. THE

ADVERTISEMENTS ARE STRATEGICALLY SCHEDULED (OFTEN AHEAD OF A CRUCIAL

COMMITTEE VOTE OR FLOOR VOTE) TO MAXIMIZE EXPOSURE AND TIMELINESS TO

CRITICAL ISSUES. THE ASPCA ALSO BUYS STRATEGICALLY TARGETED ADVERTISING

SPACE ON SOCIAL MEDIA (E.G., FACEBOOK) FOR THE SAME PURPOSE.

1D. MAILINGS TO MEMBERS, LEGISLATORS, OR THE PUBLIC: THE ASPCA

COMMUNICATES WITH ITS MEMBERS, UNPAID VOLUNTEERS, LEGISLATORS AND THE

PUBLIC THROUGH MAILINGS, EMAIL, AND ELECTRONIC ALERTS TO UPDATE AND

INFORM AS WELL AS TO ENCOURAGE THEIR PARTICIPATION IN POSITIVE OUTCOMES

FOR ANIMALS. THE ASPCA EMPLOYS TRADITIONAL AND SOCIAL MEDIA TOOLS TO

INFORM THE PUBLIC OF LEGISLATION, REGULATIONS, AND OTHER POLICIES THAT

PROMOTE ANIMAL WELFARE OR THAT ARE HOSTILE TO IT AND TO PROVIDE THEM

WITH SUPPORT AND TOOLS FOR POLICY CHANGE.

1E. PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS: ASPCA STAFF

CONDUCTS INTERVIEWS WITH AND PROVIDES BACKGROUND TO MEDIA ORGANIZATIONS

TO INFORM THE PUBLIC OF ITS LIFESAVING PROGRAMS AND LEGISLATION,

REGULATIONS, OR POLICY DECISIONS CONCERNING ANIMAL WELFARE.

1F. NONE

Part IV | Supplemental Information (continued)

1G. DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY: THE ASPCA PROMOTES ANTI-CRUELTY LEGISLATION THROUGH DIRECT CONTACTS WITH FEDERAL AND STATE LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS AT ALL LEVELS, AND LOCAL LEGISLATURES. THE ASPCA'S STAFF, UNPAID VOLUNTEERS, AND CONSULTANTS WORK TO INFLUENCE LEGISLATION TO HELP ANIMALS THROUGH SUCH CONTACTS.

1H. RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES, OR ANY OTHER MEANS: THE ASPCA HOLDS "VOICES FOR ANIMALS" DAYS, LOBBY DAYS, LEADERSHIP TRAINING SUMMITS, CITIZEN LOBBYING WORKSHOPS, INCLUDING SPEECHES AND SEMINARS, AND GIVES PRESENTATIONS AND SPEECHES TO ENCOURAGE PUBLIC AWARENESS OF HUMANE LEGISLATION AND TO PROMOTE ACTION INFLUENCING POSITIVE OUTCOMES FOR ANIMAL WELFARE POLICY.

 OTHER ACTIVITIES: THE ASPCA WORKS CLOSELY WITH OTHER NATIONAL, STATE, AND LOCAL SHELTERS AND ANIMAL WELFARE ORGANIZATIONS AS WELL AS OTHER INDUSTRY OR NON-PROFIT ORGANIZATIONS WITH COMMON INTERESTS TO ALIGN PUBLIC POLICIES WITH BEST PRACTICES FOR ANIMAL WELFARE AND TO ENSURE THAT LAW ENFORCEMENT, FIELD WORK, DISASTER RELIEF, ANTI-CRUELTY EFFORTS, AND SHELTERING OPERATIONS ARE ABLE TO BEST PROTECT ANIMALS. THE ASPCA EMPLOYS PROFESSIONAL CONSULTANTS TO SUPPORT AND INFORM ITS LOBBYING EFFORTS AND TO CONDUCT COALITION WORK, INTERNAL COORDINATION AND GRASSROOTS NETWORKING AND CULTIVATION FOR HUMANE PUBLIC POLICY ADVANCEMENT.

SEE SCHEDULE O FOR MORE INFORMATION.

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public Inspection

Name of the organization

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

Pa	rt I Org	anizations Maintaining Donor Advised	d Funds or Other Similar Funds	or Accou	nts. Complete if the
	 orgar	nization answered "Yes" on Form 990, Part IV, line	e 6.		•
			(a) Donor advised funds	(b) Fu	nds and other accounts
1	Total numbe	er at end of year			
2		alue of contributions to (during year)			
3		alue of grants from (during year)			
4		alue at end of year			
5		nization inform all donors and donor advisors in v	vriting that the assets held in donor advise	ed funds	
	-	nization's property, subject to the organization's	_		Yes No
6		nization inform all grantees, donors, and donor a			
	_	e purposes and not for the benefit of the donor or		•	
		• •		•	
Pa		servation Easements. Complete if the org			
1	Purpose(s) c	of conservation easements held by the organization	on (check all that apply).		
	Preser	vation of land for public use (for example, recreat	tion or education) Preservation of	a historically	y important land area
	Protec	ction of natural habitat	Preservation of	a certified h	istoric structure
	Preser	vation of open space			
2	Complete lin	es 2a through 2d if the organization held a qualifi	ied conservation contribution in the form	of a conserva	ation easement on the last
	day of the ta	x year.			Held at the End of the Tax Year
а	Total number	er of conservation easements		2a	
b					
С	Number of c	conservation easements on a certified historic stru	ucture included in (a)	2c	
d	Number of c	onservation easements included in (c) acquired a	fter 7/25/06, and not on a historic structu	re	
	listed in the	National Register		2d	
3		conservation easements modified, transferred, rele			n during the tax
	year ▶				
4	Number of s	tates where property subject to conservation eas	ement is located >		
5	Does the org	ganization have a written policy regarding the peri	iodic monitoring, inspection, handling of		
	violations, a	nd enforcement of the conservation easements it	holds?		Yes No
6	Staff and vo	lunteer hours devoted to monitoring, inspecting, l	handling of violations, and enforcing cons	ervation eas	ements during the year
					
7	Amount of e	xpenses incurred in monitoring, inspecting, hand	ling of violations, and enforcing conservat	ion easemer	nts during the year
	> \$				
8		conservation easement reported on line 2(d) above	e satisfy the requirements of section 170(h	n)(4)(B)(i)	
	and section	170(h)(4)(B)(ii)?			Yes No
9	•	describe how the organization reports conservation	•		
	balance she	et, and include, if applicable, the text of the footn	ote to the organization's financial stateme	ents that des	scribes the
ъ.		's accounting for conservation easements.	Addition for Transport	0:	A L-
Pa		anizations Maintaining Collections of		ner Simila	ar Assets.
		olete if the organization answered "Yes" on Form			
1a	-	zation elected, as permitted under FASB ASC 95			
	•	ical treasures, or other similar assets held for pub	, ,		public
	, .	vide in Part XIII the text of the footnote to its finan			
b	_	zation elected, as permitted under FASB ASC 95			
	,	Il treasures, or other similar assets held for public	exhibition, education, or research in furth	erance of pu	ublic service,
	•	following amounts relating to these items:			
		e included on Form 990, Part VIII, line 1			\$
	` '				\$
2	-	zation received or held works of art, historical trea		gain, provid	le
	-	g amounts required to be reported under FASB A	•		
а		luded on Form 990, Part VIII, line 1			\$
h	Accote inclu	ded in Form 990 Part Y		-	C C

THE AMERICAN SOCIETY FOR THE PREVENTION 13-1623829 Page 2 OF CRUELTY TO ANIMALS Schedule D (Form 990) 2020 Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued) Using the organization's acquisition, accession, and other records, check any of the following that make significant use of its collection items (check all that apply): Public exhibition Loan or exchange program Scholarly research Other h Preservation for future generations Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII. During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? No Escrow and Custodial Arrangements. Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or Part IV reported an amount on Form 990, Part X, line 21. 1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No If "Yes," explain the arrangement in Part XIII and complete the following table: Amount 1c c Beginning balance 1d Additions during the year Distributions during the year 1e Ending balance 2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability? Yes No If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII Endowment Funds. Complete if the organization answered "Yes" on Form 990, Part IV, line 10. (d) Three years back (a) Current year (b) Prior year (c) Two years back (e) Four years back 57,060,223 11,246,524. 10,238,118, 65,998,068, 52,413,558. **1a** Beginning of year balance 279,399 601,555 15,663, Contributions 1,103,227. 1,008,406. -3,129,099. 8,336,290. 4,646,665. Net investment earnings, gains, and losses Grants or scholarships Other expenditures for facilities 52,646,514 and programs Administrative expenses 12,629,150. 11,246,524. 10,238,118. 65,998,068, 57,060,223. End of year balance Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as: .0000 Board designated or quasi-endowment Permanent endowment ► 61.0000 39.0000 % Term endowment The percentages on lines 2a, 2b, and 2c should equal 100%. 3a Are there endowment funds not in the possession of the organization that are held and administered for the organization Yes Nο (i) Unrelated organizations 3a(i) 3a(ii) (ii) Related organizations **b** If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? Describe in Part XIII the intended uses of the organization's endowment funds. Land, Buildings, and Equipment. Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10. (b) Cost or other Description of property (a) Cost or other (c) Accumulated (d) Book value basis (investment) basis (other) depreciation 5,321,057 5,321,057. 1a Land 62,645,284. 27,686,113. 34,959,171 **b** Buildings

11,201,419.

17,655,578.

 $16,9\overline{37,396}$

Schedule D (Form 990) 2020

6,880,215.

3,026,025.

10,978,331.

61,164,799.

4,321,204.

5,959,065.

14,629,553.

e Other

Leasehold improvements

Total. Add lines 1a through 1e. (Column (d) must equal Form 990. Part X. column (B). line 10c.) ...

d Equipment

edule D	(Form 990) 2020	OF	CRUELTY	то	ANIMALS	13-162382	9 P	age 3

Schedule D (Form 990) 2020 OF CRUELTY	TO ANIMALS	13-	-1623829 _{Page} 3
Part VII Investments - Other Securities.			
Complete if the organization answered "Yes"	on Form 990, Part IV, line	11b. See Form 990, Part X, line 12.	
(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end	-of-year market value
(1) Financial derivatives			
(2) Closely held equity interests			
(3) Other			
(A) EQUITY LONG	28,778,512.	END-OF-YEAR MARKET	VALUE
(B) EMERGING MARKETS	11,427,099.	END-OF-YEAR MARKET	VALUE
(C) GLOBAL ASSET ALLOCATION	10,693,232.	END-OF-YEAR MARKET	VALUE
(D) PRIVATE EQUITY	7,810,578.	END-OF-YEAR MARKET	VALUE
(E) FUND OF FUNDS - PRIVATE			
(F) EQUITY	730,315.	END-OF-YEAR MARKET	VALUE
(G)	·		
(H)			
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	59,439,736.		
Part VIII Investments - Program Related.	, ,		
Complete if the organization answered "Yes"	on Form 990. Part IV. line	11c. See Form 990. Part X. line 13.	
(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end	of-year market value
(1)	, ,		•
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)			
Part IX Other Assets.			
Complete if the organization answered "Yes"	on Form 990. Part IV. line	11d. See Form 990. Part X. line 15.	
	Description		(b) Book value
(1) RIGHT-OF-USE ASSETS	·		29,915,066.
(2) PERPETUAL TRUSTS			23,328,226.
(3) REMAINDER TRUSTS			709,942.
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Column (b) must equal Form 990, Part X. col. (B) line	15)	.	53,953,234.
Part X Other Liabilities.	; 10.)		33/333/2327
Complete if the organization answered "Yes"	on Form 990 Part IV line	11e or 11f See Form 990 Part X line 25	
1. (a) Description of liability	on on one of artiv, inc	110 01 111. Occ 1 0111 000, 1 art X, iiiic 20.	(b) Book value
(1) Federal income taxes			(D) Doon value
(2) OPERATING LEASES LIABILITY	TES		34,333,275.
(3) ANNUITY OBLIGATIONS			7,344,131.
(4) OTHER LIABILITIES			800,498.
			000, 400
(5)			
(6)			
(7)			
(8)			

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ... 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII

42,477,904.

		THE	E AMERICA	2N	SOCIETY	FOR	THE	PREVENTION		
Schedule D	(Form 990) 2020	OF	CRUELTY	то	ANIMALS	S			13-1623829	Page
Part XI	Reconciliation of	Rev	enue per Au	dited	d Financial	State	ments	With Revenue per	Return.	
	Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.									

Pa	T XI Reconciliation of Revenue per Audited Financial State	ments witi	n Revenue per Re	eturn.	•	
	Complete if the organization answered "Yes" on Form 990, Part IV, line	12a.			_	
1	Total revenue, gains, and other support per audited financial statements			1	334,698	3,670.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:					
а	Net unrealized gains (losses) on investments	2a	8,403,623.	·		
b	Donated services and use of facilities	2b	519,040.	·		
	Recoveries of prior year grants					
d	Other (Describe in Part XIII.)	2d	1,003,902.			
е	Add lines 2a through 2d			2e	9,926	<u>,565.</u>
3	Subtract line 2e from line 1			3	324,772	<u>2,105.</u>
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:					
а	Investment expenses not included on Form 990, Part VIII, line 7b	4a				
b	Other (Describe in Part XIII.)	4b				
С	Add lines 4a and 4b			4c		0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) rt XII Reconciliation of Expenses per Audited Financial State			5	324,772	2,105.
Pa	rt XII Reconciliation of Expenses per Audited Financial State	ements Wi	th Expenses per	Retur	m.	
	Complete if the organization answered "Yes" on Form 990, Part IV, line	12a.				
1	Total expenses and losses per audited financial statements					
2	Total expenses and losses per addited infancial statements			_1_	267,418	3,339 .
а	Amounts included on line 1 but not on Form 990, Part IX, line 25:				267,418	3,339.
		1 1	519,040.		267,418	3,339.
b	Amounts included on line 1 but not on Form 990, Part IX, line 25:	2a			267,418	3,339.
b c	Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities	2a 2b			267,418	3,339.
С	Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities Prior year adjustments	2a 2b 2c				
c d	Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities Prior year adjustments Other losses Other (Describe in Part XIII.)	2a 2b 2c 2d	519,040.	2e	519	0,040.
c d	Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities Prior year adjustments Other losses Other (Describe in Part XIII.) Add lines 2a through 2d	2a 2b 2c 2d	519,040.	2e		0,040.
c d e	Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities Prior year adjustments Other losses Other (Describe in Part XIII.)	2a 2b 2c 2d	519,040.	2e 3	519	0,040.
c d e 3	Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities Prior year adjustments Other losses Other (Describe in Part XIII.) Add lines 2a through 2d Subtract line 2e from line 1 Amounts included on Form 990, Part IX, line 25, but not on line 1:	2a 2b 2c 2d	519,040.	2e 3	519	0,040.
c d e 3 4 a	Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities Prior year adjustments Other losses Other (Describe in Part XIII.) Add lines 2a through 2d Subtract line 2e from line 1 Amounts included on Form 990, Part IX, line 25, but not on line 1:	2a 2b 2c 2d 4a	519,040.	2e 3	51 <u>9</u> 266,89 <u>9</u>	9,040. 9,299.
c d e 3 4 a b	Amounts included on line 1 but not on Form 990, Part IX, line 25: Donated services and use of facilities Prior year adjustments Other losses Other (Describe in Part XIII.) Add lines 2a through 2d Subtract line 2e from line 1 Amounts included on Form 990, Part IX, line 25, but not on line 1: Investment expenses not included on Form 990, Part VIII, line 7b	2a 2b 2c 2d 4a 4b	519,040. 856,992.	2e 3	51 <u>9</u> 266,89 <u>9</u>	9,040. 9,299.

Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I. line 18.) Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART V, LINE 4:

THE ASPCA MAINTAINS AN ENDOWMENT FOR THE PURPOSE OF GENERATING INCOME TO SUPPORT THE ORGANIZATION'S CHARITABLE MISSION. THE ORGANIZATION'S ENDOWMENT CONSISTS OF A PORTFOLIO OF ACTIVELY MANAGED FUNDS ESTABLISHED TO PROVIDE BOTH A SOURCE OF OPERATING FUNDS AS WELL AS LONG-TERM FINANCIAL STABILITY. THE ENDOWMENT'S PRINCIPAL IS INTENDED TO BE LEFT UNTOUCHED, WHILE THE INCOME GENERATED IS INTENDED TO FUND ASPCA PROGRAMS. SOME OF THE ENDOWMENT FUNDS MAY HAVE PURPOSE RESTRICTIONS ON THE USE OF INCOME.

PART X, LINE 2:

THE ASPCA QUALIFIES AS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3)

OF THE IRC AND CORRESPONDING PROVISIONS OF NEW YORK STATE LAW AND IS NOT

13-1623829 Page 5 OF CRUELTY TO ANIMALS Schedule D (Form 990) 2020 Part XIII Supplemental Information (continued) SUBJECT TO FEDERAL OR STATE INCOME TAXES. ACCORDINGLY, DONORS ARE ENTITLED TO A CHARITABLE CONTRIBUTION DEDUCTION AS DEFINED IN THE IRC. CONTINUED QUALIFICATION OF TAX-EXEMPT STATUS IS CONTINGENT UPON COMPLIANCE WITH THE REQUIREMENTS OF THE IRC. THE ASPCA RECOGNIZES THE EFFECTS OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED. NO PROVISION FOR INCOME TAXES WAS REQUIRED FOR 2020 OR 2019. PART XI, LINE 2D - OTHER ADJUSTMENTS: INVESTMENT EXPENSES -856,992. BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS 1,860,894. TOTAL TO SCHEDULE D, PART XI, LINE 2D 1,003,902. SCHEDULE D, PART VI, LINE 1E: "OTHER" IN LAND, BUILDINGS, AND **EQUIPMENT:** OTHER HAS A TOTAL OF \$16,937,396. THIS TOTAL CONSISTS OF \$8,944,366 FOR VEHICLES AND \$7,993,030 OF CONSTRUCTION IN PROGRESS.

SCHEDULE F (Form 990)

Department of the Treasury Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

➤ Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Inspection

Name of the organization

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

Employer identification number

13-1623829

General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b. 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance,

the grantees'	eligibility for the grants or assistance,	and the selection criteria used to award the grants or assistance?	[Yes	N

the grantees' eligibility for	or the grants or a	ssistance, and t	the selection criteria used to award the	grants or assistance?	Yes No	
2 For grantmakers. Desc United States.	ribe in Part V the	e organization's _l	procedures for monitoring the use of its	s grants and other assistance out	side the	
	he following Part	L line 3 table ca	an be duplicated if additional space is n	eeded)		
(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service,	(f) Total expenditures for and investments in the region	
CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENT		9,967,167.	
EUROPE	0	0	INVESTMENT		2,192,274.	
NORTH AMERICA	0	0	PROGRAM SERVICES	LEGAL SERVICES	494.	
SOUTH ASIA	0	0	PROGRAM SERVICES	LEGAL SERVICES	1,122.	
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICES	LEGAL SERVICES	2,640.	
TOELLAND & GREENLAND	0	U	FROGRAM SERVICES	DEGAL SERVICES	2,040.	
3 a Subtotal b Total from continuation sheets to Part I	0	0			12,163,697.	
c Totals (add lines 3a and 3b)	0	0			12,163,697.	

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2020

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Schedule F (Form 990) 2020

OF CRUELTY TO ANIMALS

I 3 – 1623829

Faritimation and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

13-1623829

(i) Method of valuation (book, FMV, appraisal, other)					
(h) Description of noncash assistance					
(g) Amount of noncash assistance					A
(f) Manner of cash disbursement					ecognized as a tax ivalency letter
(e) Amount of cash grant					oreign country, r ion 501(c)(3) equ
(d) Purpose of grant					Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as a tax exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter
(c) Region					is listed above that are re ir for which the grantee o
(b) IRS code section and EIN (if applicable)					recipient organization nization by the IRS, o
1 (a) Name of organization					 Enter total number of recipient organizations listed a exempt 501(c)(3) organization by the IRS, or for white 3 Enter total number of other organizations or entities

OF CRUELTY TO ANIMALS

Schedule F (Form 990) 2020 OF CRUELTY TO ANIMALS

Schedule F (Form 990) 2020 OF CRUELTY TO ANIMALS

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16.

Page 3

13-1623829

Part III can be duplicated if additional space is needed.

(h) Method of valuation (book, FMV, appraisal other)	(Supplement)					Schedule F (Form 990) 2020
(g) Description of noncash assistance						Schedu
(f) Amount of noncash assistance						
(e) Manner of cash disbursement						
(d) Amount of cash grant						-
(c) Number of recipients						
ditional space is needed (b) Region						
(a) Type of grant or assistance (b) Region						

Schedule F (Form 990) 2020 OF CRUELTY TO ANIMALS 13-1623829 Page 4

Part IV Foreign Forms 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes" the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Yes X No Corporation (see Instructions for Form 926) 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes." the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a Yes X No U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990) 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes." the organization may be required to file Form 5471. Information Return of U.S. Persons With Respect to Yes X No Certain Foreign Corporations (see Instructions for Form 5471) 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Yes X No Fund (see Instructions for Form 8621) 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes." the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Yes X No Foreign Partnerships (see Instructions for Form 8865) 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Yes X No Instructions for Form 5713; don't file with Form 990)

Schedule F (Form 990) 2020

13-1623829 Schedule F (Form 990) 2020 OF CRUELTY TO ANIMALS Page 5 Part V | Supplemental Information Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

032075 12-03-20 Schedule F (Form 990) 2020

SCHEDULE G

Department of the Treasury

(Form 990 or 990-EZ)

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Inspection

QUZU
Open to Public

Internal Revenue Service

Name of the organization

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number

13-1623829 Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part. 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply. X Mail solicitations e X Solicitation of non-government grants X Internet and email solicitations Solicitation of government grants X Phone solicitations g X Special fundraising events X In-person solicitations 2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or X Yes key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? No b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. (iii) Did fundraiser have custody or control of contributions? (v) Amount paid (vi) Amount paid (i) Name and address of individual (iv) Gross receipts to (or retained by) (ii) Activity to (or retained by) fundraiser or entity (fundraiser) from activity organization listed in col. (i) SD&A TELESERVICES, INC. -Yes No 5757 WEST CENTURY BLVD, SUITE Х DIRECT MARKETING 1,639,914 1,263,194 376,719. ASCENTA GROUP (APPCO) - 315 WEST 36TH STREET, 10TH FLOOR DIRECT MARKETING Х 1,284,810 3,056,148 -1,771,338. NEW CANVASSING EXPERIENCE INC. - 78 SAN MARCOS STREET DIRECT MARKETING Х 439,768 722,390 -282,622. DONOR SERVICES GROUP, LLC -1200 WILSHIRE BLVD #650, LOS DIRECT MARKETING Х 252,912. 160,564 92,348. KNEWSALES GROUP INC. - 550 QUEEN STREET EAST SUITE 145 DIRECT MARKETING Х 73,560 158,223 -84,663. 3,690,964. 5 360 519. -1,669,556. Total 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration AL, AK, AZ, AR, CA, CO, CT, DC, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI

Schedule G (Form 990 or 990-EZ) 2020 OF CRUELTY TO ANIMALS

13-1623829 Page 2

Pa	rt I	Fundraising Events. Complete if th	e organization answered	"Yes" on Form 990, Par	t IV, line 18, or reported	more than \$15,000
		of fundraising event contributions and gro	oss income on Form 990		<u> </u>	s greater than \$5,000.
			(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
				ASPCA MIAMI	NONE	(add col. (a) through
			HUMANE AWARD			col. (c))
Ф			(event type)	(event type)	(total number)	(-)/
Revenue				000		264 222
3ev	1	Gross receipts	340,830.	23,550.		364,380.
_			225 020	02 550		250 200
	2	Less: Contributions	335,830.	23,550.		359,380.
	_	Out to the second (the set of the second the second	5 000			5 000
_	3	Gross income (line 1 minus line 2)	5,000.			5,000.
	1	Cash prizes				
	4	Casif prizes				
	5	Noncash prizes				
Se						
ens	6	Rent/facility costs				
Direct Expenses						
oct F	7	Food and beverages				
Dire						
	8	Entertainment				
	9	Other direct expenses	9,907.			9,907.
	10	Direct expense summary. Add lines 4 through				9,907.
Pa		Net income summary. Subtract line 10 from li				-4,907.
Га	111	III Gaming. Complete if the organization a \$15,000 on Form 990-EZ, line 6a.	answered "Yes" on Form	1990, Part IV, line 19, or i	reported more than	
		\$13,000 on Form 990-E2, line oa.		(b) Pull tabs/instant		(d) Total gaming (add
ine			(a) Bingo	bingo/progressive bingo	(c) Other gaming	col. (a) through col. (c)
Revenue						() ()
Re	1	Gross revenue				
S	2	Cash prizes				
Direct Expenses						
xpe	3	Noncash prizes				
ot E						
Jire	4	Rent/facility costs				
	_	011				
_	5	Other direct expenses				
	6	Volunteer labor		Yes %	Yes % No	
	U	Volunteer labor	I NO	NO	NO	
	7	Direct expense summary. Add lines 2 through	5 in column (d)		•	
	-	Breet expense cummary: Aug mies 2 uneugn				
	8	Net gaming income summary. Subtract line 7	from line 1, column (d)			
			· ·		•	
9	Ent	ter the state(s) in which the organization condu	cts gaming activities: _			
а	ls t	he organization licensed to conduct gaming ac	ctivities in each of these s	states?		Yes No
b	If "I	No," explain:				
	_					
	_					
		ere any of the organization's gaming licenses re			/ear?	Yes No
b	It "`	Yes," explain:				
	_					

Schedule G (Form 990 or 990-EZ) 2020 OF CRUELTY TO ANIMALS 13	-1623829 _{Page}	e 3
11 Does the organization conduct gaming activities with nonmembers?	Yes N	No
12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed		
to administer charitable gaming?	. Yes N	No
13 Indicate the percentage of gaming activity conducted in:		
a The organization's facility	13a	%
b An outside facility		%
14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
Name ▶		
Address		—
15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?	Yes N	No
b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the amount		
of gaming revenue retained by the third party > \$		
c If "Yes," enter name and address of the third party:		
Name ▶		
Address ►		
40. Ourier and information		
16 Gaming manager information:		
Name		
Gaming manager compensation \$		
Description of services provided		
Director/officer Employee Independent contractor		
17 Mandatory distributions:		
a Is the organization required under state law to make charitable distributions from the gaming proceeds to		
	Yes I	No
retain the state gaming license? b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the	1031	•0
organization's own exempt activities during the tax year \$		
Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and	Part III, lines 9, 9b, 10b),
15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.		—
SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISE	RS:	
(I) NAME OF FUNDRAISER: SD&A TELESERVICES, INC.		
(I) ADDRESS OF FUNDRAISER:		
5757 WEST CENTURY BLVD, SUITE 300, LOS ANGELES, CA 90045		
5757 WEST CENTERT BEVE, BOTTE 300, HOS IMCHEED, CIT 90045		
(I) NAME OF FUNDRAISER: ASCENTA GROUP (APPCO)		
(I) ADDRESS OF FUNDRAISER:		
315 WEST 36TH STREET, 10TH FLOOR, NEW YORK, NY 10018		

Schedule G (Form 990 or 990-EZ) OF CRUELTY TO ANIMALS Part IV Supplemental Information (continued)	13-1623829 Page 4
Part IV Supplemental Information (continued)	
(I) NAME OF FUNDRAISER: NEW CANVASSING EXPERIENCE, INC.	
, , , , , , , , , , , , , , , , , , , ,	
(I) ADDRESS OF FUNDRAISER: 78 SAN MARCOS STREET, AUSTIN, TX	78702
(I) NAME OF FUNDRAISER: DONOR SERVICES GROUP, LLC	
11) Intil Of Total Diff. Botton Buttering Stoot Lie	
(I) ADDRESS OF FUNDRAISER: 1200 WILSHIRE BLVD #650, LOS ANG	ELES, CA 90017
(I) NAME OF FUNDRAISER: KNEWSALES GROUP INC.	
(1) Intil Of Total Intil Management of the Control	
(I) ADDRESS OF FUNDRAISER:	
FEO OVERTY GENERAL TAGE GUITER 145 HODOVED OVERTRE GAVERS	1770
550 QUEEN STREET EAST SUITE 145, TORONTO, ONTARIO, CANADA I	M5A 1V2

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SCHEDULE (Form 990)

Department of the Treasury

Internal Revenue Service

Governments, and Individuals in the United States Grants and Other Assistance to Organizations,

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22. ▶ Attach to Form 990.

▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

Open to Public Inspection

≗ Employer identification number 13-1623829 (h) Purpose of grant or assistance X Yes Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any SEE PART IV Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection (g) Description of noncash assistance (f) Method of valuation (book, FMV, appraisal, other) 0 。 0 。 ं (e) Amount of assistance Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States recipient that received more than \$5,000. Part II can be duplicated if additional space is needed. THE AMERICAN SOCIETY FOR THE PREVENTION (d) Amount of 500. ,000 95,000, 30,000, 80,000 cash grant ο, 15, (c) IRC section (if applicable) 501(C)3 45-4779560 501(C)3 501(C)3 501(C)3 35-6042135 501(C)3 CRUELTY TO ANIMALS 23-7414331 30-0729968 59-1908492 General Information on Grants and Assistance (b) EIN criteria used to award the grants or assistance? 1 (a) Name and address of organization ALACHUA COUNTY HUMANE SOCIETY INC ALASKA RURAL VETERINARY OUTREACH INC - 1120 HUFFMAN RD, #24-783 or government 4914 SOUTH HANNA STREET GAINESVILLE, FL 32609 FORT WAYNE, IN 46806 Name of the organization 3168 TELEGRAPH ROAD ANCHORAGE, AK 99515 ACADIANA ANIMAL AID CARENCRO, LA 70520 4205 NW 6TH STREET 142 LE MEDICIN RD. ALLEN COUNTY SPCA ELKTON, MD 21921 AFTER THE RACES Part I Part II

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Enter total number of other organizations listed in the line 1 table

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

61-1343210 501(C)3

3044 BARDSTOWN RD., #204

ALLEY CAT ADVOCATES

LOUISVILLE, KY 40205

N

Schedule I (Form 990) 2020

207.

SEE PART IV

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55,000,

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule I (Form 990) OF CRUELTY TO ANIMALS

(h) Purpose of grant or assistance SEE PART IV (g) Description of non-cash assistance Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (f) Method of valuation (book, FMV, appraisal, other) 0 0 0 0. . 。 Ö Ö Ö (e) Amount of non-cash assistance (d) Amount of cash grant 20,000. 1,280,000 25,000. 55,000. 50,000 10,000. 84,000. 48,500, 85,000, (c) IRC section if applicable 52-1760034 501(C)3 85-0207652 501(C)3 27-2348995 501(C)3 13-3788986 501(C)3 501(C)3 62-1067900 501(C)3 56-2643835 501(C)3 501(C)3 23-1417505 501(C)3 11-6101487 41-0693842 (p) EIN MEXICO, INC. - 615 VIRGINIA ST. SE AMERICAN HORSE COUNCIL FOUNDATION SPAY MEMPHIS - 3787 SUMMER AVENUE ANIMAL PROTECTION ASSOCIATION DBA ANIMAL HUMANE ASSOCIATION OF NEW ANIMAL RESCUE LEAGUE OF BERKS COUNTY INC - 58 KENNEL RD. -(a) Name and address of organization or government ANIMAL CARE CENTERS OF NYC ANIMAL SHELTER FOUNDATION 11 PARK PLACE, SUITE 805 - ALBUQUERQUE, NM 87108 1616 H STREET NORTHWEST GOLDEN VALLEY, MN 55422 845 MEADOW LANE NORTH 1834 S GRAMERCY PLACE LOS ANGELES, CA 90019 ANIMAL HUMANE SOCIETY TALLAHASSEE, FL 32317 WASHINGTON, DC 20006 ANGEL CITY PIT BULLS - MEMPHIS, TN 38122 BIRDSBORO, PA 19508 NEW YORK, NY 10007 NEW YORK, NY 10013 P.O. BOX 13858 200 CENTRE ST. ANIMAL HAVEN

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Schedule I (Form 990) OF CRUELTY TO ANIMALS	13-1623829 Page 1
Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule	e I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ANIMALKIND 721 WARREN STREET HUDSON, NY 12534	14-1820248	501(C)3	77,400.	0.			SEE PART IV
ARIZONA DEPARTMENT OF AGRICULTURE 1688 W. ADAMS ST. PHOENIX, AZ 85007	86-6004791	501(C)3	.000,09	.0			SEE PART IV
ARIZONA HUMANE SOCIETY 1521 WEST DOBBINS ROAD PHOENIX, AZ 85041	86-0135567	501(C)3	25,000.	0.			SEE PART IV
ASHEVILLE HUMANE SOCIETY 14 FOREVER FRIEND LN ASHEVILLE, NC 28806	56-1444098	501(C)3	30,000.	0.			SEE PART IV
ATHENS ANIMAL RESCUE SHELTER PO BOX 2219 MALAKOFF, TX 75148	84-4172818	501(C)3	10,000.	0.			SEE PART IV
AUSTIN HUMANE SOCIETY 124 W ANDERSON LN AUSTIN, TX 78752-1104	74-6013665	501(C)3	.000,08	0.			SEE PART IV
BALDWIN COUNTY HUMANE SOCIETY PO BOX 206 FAIRHOPE, AL 36533	63-0777477	501(C)3	50,000.	0.			SEE PART IV
BALTIMORE ANIMAL RESCUE AND CARE SHELTER INC - 301 STOCKHOLM STREET - BALTIMORE, MD 21230	86-1130456	501(C)3	.000,08	0.			SEE PART IV
BLUE EARTH NICOLLET COUNTY HUMANE SOCIETY INC - 1250 N. RIVER DRIVE - MANKATO, MN 56001	41-1236942 501(C)3	501(C)3	.000,25	0.			SEE PART IV

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

OF CRUELTY TO ANIMALS

Schedule I (Form 990)

Schedule I (Form 990) (h) Purpose of grant or assistance SEE PART IV (g) Description of non-cash assistance Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (f) Method of valuation (book, FMV, appraisal, other) 0 0 0 0. . 。 Ö Ö Ö (e) Amount of non-cash assistance (d) Amount of cash grant 32,000. 25,000. 20,000 85,000. 60,000 000'09 70,000 30,000 35,000, (c) IRC section if applicable 20-8787719 501(C)3 84-1673775 501(C)3 23-1381030 501(C)3 26-1482964 501(C)3 501(C)3 51-0187825 501(C)3 58-2284855 501(C)3 501(C)3 94-2581703 501(C)3 15-0622327 27-0207473 (p) EIN BLUEBONNET EQUINE HUMANE SOCIETY RELIEF ASSOCIATION - 167 CONKLIN BROOME COUNTY HUMANE SOCIETY AND COALITION, INC - PO BOX 22948 -BROOKLYN BRIDGE ANIMAL WELFARE CARROLL COUNTY HUMANE SOCIETY (a) Name and address of organization or government CA 93160-0524 INC - PO BOX 632 - COLLEGE AVE - BINGHAMTON, NY 13903 535 EAST MONTGOMERY STREET BROTHER WOLF ANIMAL RESCUE CALAVERAS HUMANE SOCIETY 1212 PHOENIXVILLE PIKE WEST CHESTER, PA 19380 BRANDYWINE VALLEY SPCA 300 SOUTH MAIN STREET ANGELS CAMP, CA 95221 VILLA RICA, GA 30180 CACHE HUMANE SOCIETY 2370 WEST 200 NORTH ASHEVILLE, NC 28814 BROOKLYN, NY 11202 C.A.R.E.4PAWS INC. TX 77841 LOGAN, UT 84321 SANTA BARBARA, PO BOX 60524 PO BOX 8195 STATION,

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule (Form 990) OF CRUELTY TO ANIMALS

Schedule I (Form 990) (h) Purpose of grant or assistance SEE PART IV (g) Description of non-cash assistance Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (f) Method of valuation (book, FMV, appraisal, other) 0 0 0 0. . 。 Ö Ö Ö (e) Amount of non-cash assistance (d) Amount of cash grant .000,09 15,000, 80,000 20,000. 80,000 65,000. 70,130. 50,000 18,100, 52-1333483 GOVERNMENTAL (MU 81-0368698 GOVERNMENTAL (MU (c) IRC section if applicable 20-0773189 501(C)3 06-1688749 501(C)3 27-3838132 501(C)3 46-1316286 501(C)3 501(C)3 501(C)3 16-0743999 501(C)3 14-1827972 57-6021863 (p) EIN & CONTROL DIVISION - 8315 BYRUM DR CHARLOTTE-MECKLENBURG ANIMAL CARE CHEMUNG COUNTY HUMANE SOCIETY AND CHELSEA BAILEY BUTTE - SILVER BOW SPCA, INC - 2435 STATE ROUTE 352 ANIMAL SHELTER - 699 CENTENNIAL CATSKILL ANIMAL SANCTUARY, INC. CENTRAL NEW YORK CAT COALITION INCORPORATED - PO BOX 6182 -(a) Name and address of organization or government SC 29406 CHARLESTON ANIMAL SOCIETY MARINA DEL REY, CA 90292 14175 SW GALBREATH DR. - CHARLOTTE, NC 28217 AVE - BUTTE, MT 59701 SAUGERTIES, NY 12477 SYRACUSE, NY 13217 OR 97140 4712 ADMIRALTY WAY CAT ADOPTION TEAM OAKLAND, CA 94619 NORTH CHARLESTON, 316 OLD STAGE RD ELMIRA, NY 14903 2455 REMOUNT RD P.O. BOX 18675 SHERWOOD, CATMANDOO CAT TOWN

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule (Form 990) OF CRUELTY TO ANIMALS

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Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

OF CRUELTY TO ANIMALS

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Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Schedule I (Form 990) OF CRUELTY	Y TO ANIMALS					Н	13-1623829 Page 1
Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)	Assistance to Dor	mestic Organizations	and Domestic Go	vernments (Sche	dule I (Form 990), Part	: II:)	
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FIXNATION, INC. 7680 CLYBOURN AVENUE LOS ANGELES, CA 91352	83-0452460	501(C)3	200,000.	.0			SEE PART IV
FOCUS ON FERALS INC PO BOX 274 BRAINARDSVILLE, NY 12915	45-1713063	501(C)3	5,200.	0			SEE PART IV
FOOD BANK FOR NEW YORK CITY 39 BROADWAY NEW YORK, NY 10006	13-3179546	501(C)3	300,000.	°			SEE PART IV
FOOTHILLS HUMANE SOCIETY INC 989 LITTLE MOUNTAIN ROAD COLUMBUS, NC 28722	58-1413121	501(C)3	30,000.	.0			SEE PART IV
FORT BEND COUNTY 401 JACKSON STREET RICHMOND, TX 77469	74-6001969	GOVERNMENTAL (MU	30,000.	.0			SEE PART IV
FRIENDS OF THE SHELTER, INC 870 KOOTENAI CUT-OFF ROAD PONDERAY, ID 83852	94-3071245	501(C)3	40,000.	.0			SEE PART IV
GATEWAY PET GUARDIANS 725 NORTH 15TH STREET EAST ST. LOUIS, IL 62205	26-0096240 501(C)3	501(C)3	50,000.	0.			SEE PART IV

Schedule I (Form 990)

SEE PART IV

0

45,000.

20-2234609 501(C)3

SEE PART IV

0

24,000.

23-7358431 501(C)3

RESCUE VILLAGE - 14837 CHILLICOTHE GEAUGA COUNTY HUMANE SOCIETY -

ROAD - NOVELTY, OH 44072

GLOBAL ANIMAL PARTNERSHIP

7421 BURNET ROAD AUSTIN, TX 78757

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

OF CRUELTY TO ANIMALS Schedule I (Form 990)

Schedule I (Form 990) (h) Purpose of grant or assistance SEE PART IV (g) Description of non-cash assistance Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (f) Method of valuation (book, FMV, appraisal, other) 0 0 0 。 . 。 Ö Ö Ö (e) Amount of non-cash assistance 7,500. (d) Amount of cash grant 7,300. 15,000, 35,000. 50,000 20,000. 50,000 25,000, 10,000, (c) IRC section if applicable 26-1676217 501(C)3 41-1551248 501(C)3 34-1968434 501(C)3 01-6011843 501(C)3 501(C)3 32-0086330 501(C)3 45-4538139 501(C)3 501(C)3 82-0606243 501(C)3 93-0467412 45-4421742 (p) EIN SANCTUARY, INC. - 5623 NEW MILFORD HEART OF PHOENIX EQUINE RESCUE INC HOOFBEATS AND PAWPRINTS RESCUE HOMEWARD TRAILS ANIMAL RESCUE (a) Name and address of organization or government - 55 STRAWBERRY AVE GLOBAL FEDERATION OF ANIMAL GREATER ANDROSCOGGIN HUMANE SANCTUARIES - PO BOX 73308 GREENHILL HUMANE SOCIETY HAPPY TRAILS FARM ANIMAL LEWISTON, ME 04240-5962 RD - RAVENNA, OH 44266 3368 PLYMALE BRANCH RD 1612 CARR LAKE ROAD SE HUNTINGTON, WV 25704 88530 GREEN HILL RD. ARLINGTON, VA 22210 HOPE FOR HORSES INC GREAT RIVER RESCUE PHOENIX, AZ 85050 BEMIDJI, MN 56601 NATCHEZ, MS 39120 EUGENE, OR 97402 17341 RIVER RD GALT, CA 95632 10576 ARNO RD PO BOX 100968 SOCIETY

Page 1

13-1623829

Schedule I (Form 990) OF CRUELTY TO ANIMALS

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)

Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.)	ssistance to Don	nestic Organizations	and Domestic Go	vernments (Sche	dule I (Form 990), Pari	(i)	
(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HORSE AND HOUND RESCUE FOUNDATION 2350 SOUTH MIDWEST BOULEVARD GUTHRIE, OK 73044	81-1465411	501(C)3	15,000.	.0			SEE PART IV
HORSES' HAVEN PO BOX 166 HOWELL, MI 48844	38-3259872	501(C)3	30,000.	.0		v	SEE PART IV
HOUSING EQUALITY AND ADVOCACY RESOURCE TEAM - 3612 11TH AVENUE - LOS ANGELES, CA 90018	82-5280771	501(C)3	.000,09	.0		v	SEE PART IV
HUMANE RESCUE ALLIANCE 71 OGLETHORPE ST NW WASHINGTON, DC 20011	53-0219724	501(C)3	140,000.	.0		v	SEE PART IV
HUMANE SOCIETY FOR SEATTLE-KING COUNTY - 13212 SE EASTGATE WAY - BELLEVUE, WA 98005	91-0282060	501(C)3	.000,	.0		v	SEE PART IV
HUMANE SOCIETY OF BOULDER VALLEY 2323 55TH STREET BOULDER, CO 80301	84-0152768	501(C)3	.000,	0.		ū.	SEE PART IV
HUMANE SOCIETY OF CEDAR CREEK LAKE INC - 10200 COUNTY ROAD 2403 - TOOL, TX 75143	75-1889148	501(C)3	10,000.	0.		ū.	SEE PART IV
HUMANE SOCIETY OF CHARLOTTE INC 2700 TOOMEY AVE. CHARLOTTE, NC 28203	58-1342479	501(C)3	31,000.	0.		9	SEE PART IV
HUMANE SOCIETY OF GRAND FORKS DBA CIRCLE OF FRIENDS HUMANE SOCIETY - 4375 N. WASHINGTON - GRAND FORKS, ND 58203	23-7367340 501(C)3	501(C)3	20,000.	0.		,	SEE PART IV
							Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule (Form 990) OF CRUELTY TO ANIMALS

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

OF CRUELTY TO ANIMALS Schedule I (Form 990)

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

OF CRUELTY TO ANIMALS

Schedule I (Form 990)

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

OF CRUELTY TO ANIMALS Schedule I (Form 990)

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule (Form 990) OF CRUELTY TO ANIMALS

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule (Form 990) OF CRUELTY TO ANIMALS

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THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

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(a) Name and address of (b) EIN (c) IRC section (d) Amount of (e) Amount	Assistance to Dor (b) EIN	(c) IRC section	(d) Amount of		t of (f) Method of (6)	(g) Description of	(h) Purpose of grant
organization or government		ii appiicable	casii grafii	non-casn assistance	valuation (book, FMV, appraisal, other)	non-casii assistance	or assistance
OKANOGAN REGIONAL HUMANE 398 OMAK RIVER ROAD OMAK, WA 98841	01-0555614	501(C)3	10,000.	•0			SEE PART IV
OKTIBBEHA COUNTY HUMANE SOCIETY INC - PO BOX 297 - STARKVILLE, MS 39760	64-0618170	501(C)3	20,000.	0,			SEE PART IV
OLYMPIC PENINSULA HUMANE SOCIETY P.O. BOX 3124 PORT ANGELES, WA 98362	91-6001724	501(C)3	20,000.	0,			SEE PART IV
OPERATION CATNIP OF GAINESVILLE P.O. BOX 141023 GAINESVILLE, FL 32614	59-3522372	501(C)3	25,000.	0.			SEE PART IV
ORANGE COUNTY ANIMAL SERVICES 1601 EUBANKS ROAD CHAPEL HILL, NC 27516	56-6000327	GOVERNMENTAL (MU	25,000.	0.			SEE PART IV
OREGON HUMANE SOCIETY 1067 NE COLUMBIA BLVD PORTLAND, OR 97211	93-0386880	501(C)3	.000,000	.0			SEE PART IV
PARTNERS! CANINES COUNSELING AND SHELTER SERVICES, INC 222 W BARNES ST - CLAYTON, NC 28684	26-2324565	501(C)3	10,000.	.0			SEE PART IV
PASADO'S SAFE HAVEN 102 4TH STREET SULTAN, WA 98294	91-1843707	501(C)3	.000,	0.			SEE PART IV
PAWS CROSSED ANIMAL RESCUE INC. 100 WAREHOUSE LANE SOUTH ELMSFORD, NY 10523	47-4712475	501(C)3	.000,03	•0			SEE PART IV
							Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule (Form 990) OF CRUELTY TO ANIMALS

Schedule I (Form 990) (h) Purpose of grant or assistance SEE PART IV (g) Description of non-cash assistance Part II Continuation of Grants and Other Assistance to Domestic Organizations and Domestic Governments (Schedule I (Form 990), Part II.) (f) Method of valuation (book, FMV, appraisal, other) 0 0 0 0. . 。 Ö Ö Ö (e) Amount of non-cash assistance (d) Amount of cash grant 31,000. 25,000. 15,000, 10,000. 20,000. 30,000. 25,000. 20,000 50,000 91-6001327 GOVERNMENTAL (MU (c) IRC section if applicable 45-5576740 501(C)3 94-6036494 501(C)3 62-1818180 501(C)3 91-1846733 501(C)3 80-0233785 501(C)3 501(C)3 61-1336739 501(C)3 OTHER 23-7047066 45-0829960 (p) EIN PINTO HORSE ASSOCIATION OF AMERICA TEAM - 15 ALLEGHENY COUNTY AIRPORT PITTSBURGH ANIMAL AVIATION RESCUE COUNTY - 21615 64TH AVE S - KENT REGIONAL ANIMAL SERVICES OF KING PETS ARE WONDERFUL SUPPORT, INC. CALIFORNIA - 202 COUSTEAU PLACE PET OVER POPULATION PREVENTION REGENTS OF THE UNIVERSITY OF (a) Name and address of organization or government 7330 NORTHWEST 23RD STREET - WEST MIFFLIN, PA 15122 EAST GREENBUSH, NY 12061 134 WEST 29TH STREET CA 90803 KNOXVILLE, TN 37940 1506 NORTH ROAD 40 NEW YORK, NY 10001 BETHANY, OK 73008 236B WATERS ROAD PEACEFUL KINGDOM DAVIS, CA 95618 PASCO, WA 99301 PRETTY GOOD CAT P.O. BOX 9394 LONG BEACH, RERUN, INC. WA 98032 BOX 432

Schedule I (Form 990)

THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

OF CRUELTY TO ANIMALS

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

THE AMERICAN SOCIETY FOR THE FREVE OF CRUELTY TO ANIMALS

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule (Form 990) OF CRUELTY TO ANIMALS

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

OF CRUELTY TO ANIMALS Schedule I (Form 990)

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

CRUELTY TO ANIMALS ОF Schedule I (Form 990)

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THE AMERICAN SOCIETY FOR THE PREVENTION

Page 1

13-1623829

Schedule I (Form 990) OF CRUELTY TO ANIMALS

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Page 2

13-1623829

Schedule I (Form 990) 2020

OF CRUELTY TO ANIMALS

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

cash grant	cash assistance	(book, FMV, appraisal, other)	
2; Part III, column	L (b); and any other add	ditional information.	
RGANIZATIO		NCE ITS	
RUELTY AND		ANIMALS IN	
A ROBUST DUE DILIGE	NCE PROCESS	S TO ASSESS	
	IO NOTTATNE		
	חוא דניו דרוו רו		
PACITY TO	CARRY OUT	THE PROPOSED	
	mation required in Part I, line 2; Part III, column I A VARIETY OF ORGANIZATIO A VARIETY OF ORGANIZATIO ENTING ANIMAL CRUELTY AND COUGH A ROBUST DUE DILIGE CORY REQUIREMENTS, IMPLEM CINUITY, AND CAPACITY TO ALSO REVIEWED BY SUBJECT	ental Information. Provide the information required in Parti, line 2; Part III, column (b); and any other add E 2: ROVIDES GRANTS TO A VARIETY OF ORGANIZATIONS TO ADVAN STOPPING AND PREVENTING ANIMAL CRUELTY AND ASSISTING ANT-SEEKERS GO THROUGH A ROBUST DUE DILIGENCE PROCESS IANCE WITH REGULATORY REQUIREMENTS, IMPLEMENTATION OF CES, BUSINESS CONTINUITY, AND CAPACITY TO CARRY OUT 1 ANT PROPOSALS ARE ALSO REVIEWED BY SUBJECT MATTER EXI	J; and any other additional informatic S; and any other additional informatic SYSTING ANIMALS ASSISTING ANIMALS ASSISTING ANIMALS ANIM

Part IV | Supplemental Information

ASSESS THEIR FEASIBILITY, ALIGNMENT WITH ASPCA POLICY, POSITION, AND

STANDARD PRACTICES, AND ABILITY TO EFFECTIVELY FURTHER THE ASPCA'S MISSION

AND PROGRAMMATIC GOALS. TIERED REVIEW AND APPROVAL IS REQUIRED BASED ON THE

SIZE OF THE GRANT.

GRANTEES THAT RECEIVE FUNDING ARE REQUIRED TO SUBMIT FINAL REPORTS WHICH

ARE REVIEWED TO ENSURE THAT THE FUNDS WERE USED APPROPRIATELY AND TO ASSESS

THE PROJECT OUTCOMES, IF APPLICABLE. INTERIM REPORTS MAY ALSO BE REQUIRED

TO PROVIDE ADDITIONAL ACCOUNTABILITY ON LONGER-TERM GRANTS.

WHILE THE ASPCA IS A WORKING CHARITY, NOT SIMPLY A GRANTMAKING ENTITY, THE

ASPCA IS ONE OF THE NATION'S LARGEST ANIMAL WELFARE GRANTMAKERS. THE ASPCA

OFFERS ANIMAL WELFARE AND OTHER ORGANIZATIONS A RANGE OF FINANCIAL SUPPORT

THAT MAKES AN IMMEASURABLE DIFFERENCE IN THEIR ABILITY TO STOP AND PREVENT

ANIMAL SUFFERING AND CRUELTY, AND TO ASSIST ANIMALS IN NEED. SINCE 2001,

THE ASPCA HAS GIVEN MORE THAN \$180 MILLION IN GRANTS TO MANY THOUSANDS OF

ANIMAL SHELTERS, MUNICIPAL AND GOVERNMENTAL AGENCIES, RESCUE GROUPS,

SANCTUARIES, AND OTHER ANIMAL WELFARE ORGANIZATIONS NATIONWIDE.

ORGANIZATIONS IN ALL 50 STATES AND U.S. TERRITORIES HAVE RECEIVED FUNDING

AND ARE ELIGIBLE TO APPLY.

GRANTS ARE MADE TO SUPPORT A WIDE VARIETY OF ACTIVITIES THAT ADVANCE THE

ASPCA'S ANTI-CRUELTY MISSION, INCLUDING ANIMAL SHELTER & RESCUE

ORGANIZATION GRANTS, EQUINE WELFARE GRANTS, AND EMERGENCY & DISASTER

RESPONSE GRANTS. ALL OF THE ASPCA'S GRANTS ARE AIMED AT PREVENTING AND

COMBATTING ANIMAL CRUELTY. THESE GRANTS MAY BE USED FOR RESOURCES INCLUDING

PET SUPPLIES AND FOOD FOR PET OWNERS, SHELTER/RESCUE SUPPLIES AND

EQUIPMENT, SHELTERING OR TEMPORARY BOARDING AND FOSTERING OF RESCUED OR

13-1623829 Page 2 OF CRUELTY TO ANIMALS Schedule I (Form 990) Part IV | Supplemental Information AT-RISK ANIMALS, RELOCATION OF ANIMALS AFFECTED BY DISASTER/EMERGENCY, VETERINARY EXPENSES AND MEDICAL CARE, AND INFRASTRUCTURE REPAIRS AND REBUILDING. IN 2020, THE ASPCA AWARDED GRANT FUNDING TOTALING MORE THAN \$12 MILLION TO 345 ORGANIZATIONS IN 48 STATES, PUERTO RICO, AND THE DISTRICT OF COLUMBIA. THIS INCLUDED EMERGENCY GRANT FUNDING AS PART OF THE ASPCA'S NATIONAL COVID-19 RELIEF & RECOVERY INITIATIVE, HELPING MORE THAN 50 ANIMAL WELFARE ORGANIZATIONS WHOSE PROGRAMS, OPERATIONS, OR FUNDRAISING CAPABILITIES WERE SEVERELY IMPACTED BY THE COVID-19 CRISIS. IN 2020, GRANTS SUPPORTED DISASTER AND EMERGENCY ANIMAL RESCUE PROGRAMS, LIFESAVING ANIMAL SHELTER LIVE-RELEASE RATE IMPROVEMENT PROGRAMS; SPAY/NEUTER EFFORTS TO COMBAT ANIMAL HOMELESSNESS; SURRENDER-PREVENTION PROGRAMS THAT HELP CHALLENGED PET OWNERS KEEP AND CARE FOR THEIR PETS; AND AT-RISK EQUINE PROTECTION PROGRAMS.

Part I Questions Regarding Compensation

SCHEDULE J (Form 990)

Department of the Treasury

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

2020

OMB No. 1545-0047

Open to Public Inspection

Internal Revenue Service Name of the organization

► Go to www.irs.gov/Form990 for instructions and the latest information.

THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

			Yes	No
1 a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990,			
	Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	First-class or charter travel			
	Travel for companions Payments for business use of personal residence			
	Tax indemnification and gross-up payments Health or social club dues or initiation fees			
	Discretionary spending account Personal services (such as maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or			
	reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,			
	trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	2		
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's			
	CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to			
	establish compensation of the CEO/Executive Director, but explain in Part III.			
	X Compensation committee Written employment contract			
	X Independent compensation consultant X Compensation survey or study			
	X Form 990 of other organizations X Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing			
	organization or a related organization:			
а	Receive a severance payment or change-of-control payment?	4a	X	
b	Participate in or receive payment from a supplemental nonqualified retirement plan?	4b	Х	
С	Participate in or receive payment from an equity-based compensation arrangement?	4c		_X_
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the revenues of:			
а	The organization?	5a		<u>X</u>
b	Any related organization?	5b		X
	If "Yes" on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the net earnings of:			
	The organization?	6a		<u>X</u>
b	Any related organization?	6b		Х
	If "Yes" on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments			
	not described on lines 5 and 6? If "Yes," describe in Part III	7	Х	
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the			
	initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in			
	Regulations section 53.4958-6(c)?	9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2020

OF CRUELTY TO ANIMALS

Schedule J (Form 990) 2020 OF CRUELTY TO ANIMALS

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

13-1623829

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

		(B) Breakdown of V	(B) Breakdown of W-2 and/or 1099-MISC compensation	3C compensation	(C) Retirement and	(D) Nontaxable	(E) Total of columns	(F) Compensation
(A) Name and Title		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	other deferred compensation	benefits	(C)-(i)(B)	in column (B) reported as deferred on prior Form 990
(1) MATT BERSHADKER	€	685,931.	150,000.	20,742.	72,800.	36,531.	966,004.	0
PRESIDENT & CHIEF EXECUTIVE OFFICER	€	0	0	0	0	0	0	0
TH EST	ε	338,229.	30,000.	20,742.	22,800.	36,291.	448,062.	0
SVP, COMMUNICATIONS	<u> </u>	0	0	0	0	0	0	0
(3) TODD HENDRICKS	Ξ	353,009.	0	20,742.	22,800.	13,142.	409,693.	0
SVP, DEVELOPMENT	=	0	0	0	0	0	0	0
(4) BEVERLY JONES	ε	308,512.	20,000.	20,040.	22,800.	31,926.	403,278.	0
SVP, CHIEF LEGAL OFFICER	≘	• 0	0	• 0	• 0	0	0 • 0	• 0
(5) BERT TROUGHTON	Ξ	319,835.	0.	32,081.	22,800.	23,879.	398,595.	• 0
SVP, SHELTER & VETERINARY SERVICES	≘	0	0.	0	0	0.	0.	0
(6) CHERYL BUCCI	Ξ	297,343.	0.	24,975.	22,800.	41,457.	386,575.	• 0
SENIOR VICE PRESIDENT, OPS & PEOPLE	=	• 0	0	• 0		0	0 • 0	• 0
(7) BILL LEE	Ξ	302,146.	0.	21,376.	17,68	26,379.	367,589.	• 0
SVP & CFO TO 10/9/20	<u> </u>	• 0	0.	• 0		0.	0.	0
(8) STACY WOLF	(i)	309,968.	0.	21,084.	19,465.	12,483.	363,000.	0
SVP, POLICY, RESPONSE & ENGAGEMENT	=	0.	0.	0.	0.	0.	0.	0.
(9) J'MAI GAYLE	Ξ	287,464.	0.	1,242.	19,595.	40,181.	348,482.	• 0
DIRECTOR, SURGERY	<u>ii</u>		0.	• 0		0.	0.	0
(10) NANCY PERRY	Ξ	250,179.	10,575.	2,322.	21,265.	24,697.	309,038.	• 0
SVP, GOVERNMENT RELATIONS	≘		0.	0.				0
(11) JENNIFER CHIN	Ξ	254,393.	0.	764.	20,820.	16,049.	292,026.	0
VICE PRESIDENT, LEGAL ADVOC/INVESTIG		0.	0.	0.	0.	0.	0.	0.
(12) CAMILLE DECLEMENTI	Ξ	248,450.	0.	548.	20,252.	14,620.	283,870.	0
VICE PRESIDENT, ASPCA ANIMAL HOSPITAL		0.	0.	0	0.	0.	0.	0.
(13) SARAH LEVIN GOODSTINE	(i)	63,212.	0.	182,626.	5,213.	9,518.	260,569.	0.
SVP, OPERATIONS & STRATEGY TO 3/1/20		• 0	0	• 0	• 0	0	0 • 0	• 0
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OF CRUELTY TO ANIMALS

Part III Supplemental Information

Schedule J (Form 990) 2020

Page 3

13-1623829

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINES 4A-B:

LINE 4A: SARAH LEVIN GOODSTINE - \$182,522

LINE 4B: DURING 2020, THE ASPCA ADOPTED A 457(F) DEFERRED COMPENSATION PLAN

(THE F PLAN) FOR THE PRESIDENT AND CHIEF EXECUTIVE OFFICER. THE F PLAN

ACCRUES AN ANNUAL EMPLOYER-FUNDED AMOUNT OF \$50,000 THAT REMAINS UNVESTED

UNTIL THE END OF A FIVE-YEAR EMPLOYMENT TERM, AT WHICH POINT THE F PLAN

RENEWS IN FIVE YEAR INCREMENTS CONTINGENT ON CONTINUOUS EMPLOYMENT, THIS

AMOUNT IS NOT INCREASED FOR INVESTMENT EARNINGS.

.. PART I, LINE THE FOLLOWING EMPLOYEES RECEIVED DISCRETIONARY, NON-FIXED PAYMENTS THAT ARE

REPORTED IN SCHEDULE J, PART II, COLUMN B(II). THE DISCRETIONARY NON-FIXED

PAYMENTS ARE DETERMINED BASED ON THE PERFORMANCE EVALUATION PROCESS:

MATTHEW BERSHADKER \$150,000

ELIZABETH ESTROFF \$30,000

BEVERLY JONES \$20,000

NANCY PERRY \$10,575

SCHEDULE M (Form 990)

Noncash Contributions

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Name of the organization

► Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

THE AMERICAN SOCIETY FOR THE PREVENTION Employer identification number OF CRUELTY TO ANIMALS 13-1623829

rai	LI	Types	of Property								
				(a) Check if applicable	(b) Number of contributions or items contributed	Noncash contribution Method of		(d) Method of d noncash contrib	•		
1	Δrt - '	Works of :	art			·					
		Art - Historical treasures									
		Art - Fractional interests									
4		Books and publications									
5	Clothing and household goods										
	Cars and other vehicles			X	1,254	680	655	COMPARABLE	CAT.I		
6					1,234	000	,055.	COMI AKABIB	וחעט	טב	
7	Boats and planes										
8		ntellectual property			143	031	,095.	MVCE			
9		Securities - Publicly traded Securities - Closely held stock			143	934	,095.	NISE			
10											
11			rtnership, LLC, or								
			scellaneous								
13	Qualified conservation contribution -										
		oric structu									
			ervation contribution - Other								
15			esidential								
16	Real estate - Commercial										
17	Real estate - Other										
18	Collectibles										
19	Food inventory										
20	Drugs and medical supplies										
21	Taxidermy										
22	Historical artifacts										
	Scientific specimens										
24	Arch		artifacts		1.0	1 510					
25	Othe	r > (PET SUPPLIES	X	10	1,640	<u>,680.</u>	COST			
26	Othe	r > ()								
27	Othe	r > ()								
28		r 🕨 ()								
29			ms 8283 received by the organi	-						^	
	for w	hich the c	rganization completed Form 82	83, Part V, D	onee Acknowledg	ement	29			0	
										Yes	No
30a		During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it									
	must	must hold for at least three years from the date of the initial contribution, and which isn't required to be used for									
			ses for the entire holding period	?					30a		X
b	If "Yes," describe the arrangement in Part II.										
31	Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?								31	Х	<u> </u>
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash									<u>-</u>	
	contr	ributions?							32a	Х	
b	If "Yes," describe in Part II.										
33	If the	f the organization didn't report an amount in column (c) for a type of property for which column (a) is checked,									
	desc	describe in Part II.									

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) 2020

DocuSign Envelope ID: 69B0668D-DE59-48C8-9E0C-F146DAA75400 THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS 13-1623829 Schedule M (Form 990) 2020 Page 2 Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization Part II is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information. SCHEDULE M, PART I, COLUMN (B): THE NUMBER ON PART I, COLUMN B REPRESENTS NUMBER OF DONORS. SCHEDULE M, LINE 32B: CHARITABLE ADULT RIDES & SERVICES, INC. ("CARS") IS A CONTRACTED SERVICE PROVIDER WHICH ACCEPTS VEHICLE DONATIONS FROM DONORS ON BEHALF OF THE ASPCA AS THE DONEE. CARS UTILIZES THIRD PARTIES TO SELL THE DONATED VEHICLE IN THE RESALE MARKET. IN RETURN, CARS KEEPS 20% OF THE NET AMOUNT OF DONATED PROCEEDS AS PAYMENT FOR THESE SERVICES, WITH THE REMAINDER GOING TO THE ASPCA.

SCHEDULE 0

(Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.
 Go to www.irs.gov/Form990 for the latest information.

Open to Public Inspection

OMB No. 1545-0047

Name of the organization

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

PART III, LINE 1: DESCRIPTION OF ORGANIZATION MISSION

THE ASPCA'S MISSION, AS STATED BY FOUNDER HENRY BERGH IN 1866, IS "TO

PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS

THROUGHOUT THE UNITED STATES." THE ASPCA WAS FOUNDED ON THE BELIEF THAT

ANIMALS ARE ENTITLED TO KIND AND RESPECTFUL TREATMENT AT THE HANDS OF

HUMANS AND MUST BE PROTECTED UNDER THE LAW.

ALL OF THE ASPCA'S SERVICES AND RESOURCES ARE FOCUSED ON INITIATIVES

THAT CONTRIBUTE TO PREVENTING AND COMBATING ANIMAL CRUELTY ACROSS THE

COUNTRY THROUGH THREE PRIMARY AREAS OF ACTION: SHELTER AND VETERINARY

SERVICES; PUBLIC EDUCATION AND COMMUNICATIONS; AND POLICY, RESPONSE AND

ENGAGEMENT.

WITHIN THESE AREAS OF ACTIVITY, THE ASPCA'S WORK SPANS A BROAD SPECTRUM

IN SERVICE OF ITS MISSION TO PREVENT CRUELTY AND SUFFERING. THE CAUSES

AND EFFECTS OF ANIMAL CRUELTY ARE COMPLEX, AND THE ASPCA'S WORK IS

EXPANSIVE AND WIDE RANGING ACROSS ALL OF THE ASPCA'S PROGRAMS TO MEET

THESE MULTIFACETED CHALLENGES.

THE ASPCA'S PROGRAMS TACKLE ISSUES FACING ANIMAL WELFARE ON A NATIONAL

SCALE WITH OPERATIONS THROUGHOUT THE COUNTRY. THE ORGANIZATION'S VISION

THAT ANIMALS ARE VALUED BY SOCIETY, PROTECTED BY ITS LAWS, AND FREE

FROM CRUELTY, PAIN AND SUFFERING IS ACHIEVED THROUGH INNOVATIVE

PROGRAMS ADDRESSING: HOMELESSNESS, ACCESS TO VETERINARY CARE, BEHAVIOR,

PROTECTIVE LEGISLATION, RESCUE FROM ABUSIVE SITUATIONS AND NATURAL

AMONG OTHERS.

DISASTERS,

Schedule O (Form 990 or 990-EZ) 2020 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 THE ASPCA MAINTAINS A PHYSICAL PRESENCE IN LOCATIONS ACROSS THE COUNTRY INCLUDING IN NYC; MIAMI, FL; GAINESVILLE, FL; URBANA, IL; LOS ANGELES, CA; WEAVERVILLE, NC; ASHEVILLE, NC; COLUMBUS, OH; WASHINGTON, DC; OKLAHOMA CITY, OK; OVERLAND PARK, KS; AND UNION, MO. AS AN ESTABLISHED NATIONAL ORGANIZATION WITH A BROAD PERSPECTIVE ON ANIMAL WELFARE ISSUES, THE ASPCA EXPONENTIALLY INCREASES ITS IMPACT BY FILLING STRATEGIC AND GEOGRAPHIC VOIDS WHERE NECESSARY TO PREVENT ANIMAL SUFFERING. THE ORGANIZATION CAN QUICKLY MOBILIZE TEAMS AND RESOURCES IN SUPPORT OF REGIONAL EFFORTS IN A DISASTER LIKE A HURRICANE OR WILDFIRE. THE ASPCA COLLABORATES WITH LOCAL AND REGIONAL PEERS ON LARGE-SCALE RELOCATION OF HOMELESS ANIMALS, ASSISTS LAW ENFORCEMENT AGENCIES AROUND THE COUNTRY WITH COLLECTION AND ANALYSIS OF EVIDENCE IN ANIMAL CRUELTY CASES, AND TRAINS THOUSANDS OF ANIMAL WELFARE PROFESSIONALS AROUND THE COUNTRY HOW TO REHABILITATE SUFFERING ANIMALS TO GIVE THEM THE BEST CHANCE OF FINDING A LOVING HOME. THE ASPCA BENEFITS ANIMALS NATIONWIDE BY TACKLING SOME OF THE BIGGEST CHALLENGES FACING ANIMALS IN SOCIETY INCLUDING CHAMPIONING FEDERAL, STATE, AND LOCAL LEGISLATION AND POLICIES THAT INCREASE LEGAL

PROTECTIONS FOR ANIMALS AND BY ADVANCING RESEARCH AND PROGRAMS TO HELP

MAKE VETERINARY CARE MORE AFFORDABLE AND ACCESSIBLE TO ALL.

SERVICES SUCH AS THE ASPCA BEHAVIOR REHABILITATION CENTER'S LEARNING

THE COVID-19 PANDEMIC PUT UNPRECEDENTED STRESS ON ANIMAL SHELTERS AND PET OWNERS ACROSS THE COUNTRY. TO ADDRESS THE MOST URGENT CHALLENGES TO

THE ASPCA ALSO PROVIDED FREE ACCESS TO URGENT VETERINARY CARE FOR STRUGGLING PET OWNERS AT ITS PERMANENT ASPCA COMMUNITY VETERINARY CENTERS IN MIAMI AND THE BRONX AND AT ITS TEMPORARY VETERINARY CENTERS IN LOS ANGELES, BROOKLYN, AND ASHEVILLE.

Schedule O (Form 990 or 990-EZ) 2020 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 PETS WHOSE OWNERS WERE HOSPITALIZED WITH COVID-19 OR NEEDED TEMPORARY PET CARE AND PARTNERED WITH NEW YORK CITY EMERGENCY MANAGEMENT TO ESTABLISH AND OPERATE THE CITY'S COVID-19 PET HOTLINE. THIS PHONE SERVICE PROVIDED INFORMATION, RESOURCES, AND VETERINARY CARE COORDINATION THAT ASSISTED MORE THAN 19,000 CITY ANIMALS. EMERGENCY FINANCIAL RELIEF FOR ANIMAL WELFARE ORGANIZATIONS IN 2020, THE ASPCA COVID RELIEF & RECOVERY INITIATIVE PROVIDED \$7.5 MILLION TO HELP PET OWNERS AND ANIMAL WELFARE GROUPS IMPACTED BY COVID-19 THROUGH PET FOOD DISTRIBUTION, URGENT VETERINARY SERVICES, AND GRANT FUNDING TO MORE THAN 80 ORGANIZATIONS TO EASE FINANCIAL STRAIN AND SUPPORT ONGOING PROGRAMS, OPERATIONS, AND FUNDRAISING NEEDS. THE ASPCA ALSO GAVE A TOTAL OF \$500,000 IN GRANT FUNDING TO FOOD BANK PARTNERS IN NEW YORK CITY, LOS ANGELES, AND MIAMI TO PROVIDE APPROXIMATELY 2 MILLION PET MEALS FOR PET OWNERS IMPACTED BY COVID-19. COMMUNITY MEDICINE: IMPROVING ACCESS TO AFFORDABLE VETERINARY SERVICES IN AUGUST 2020, THE ASPCA RELEASED DATA SHOWING THAT MORE THAN 3 MILLION HOUSEHOLDS IN THE U.S. ARE LIKELY TO ENTER POVERTY DUE TO THE COVID-19 CRISIS, POSSIBLY INCREASING THE NUMBER OF PETS LIVING IN POVERTY TO MORE THAN 24.4 MILLION ANIMALS. RESPONDING TO THESE INSIGHTS AND THE LIFE-THREATENING CHALLENGES THEY INDICATED, THE ASPCA CONTINUED PRIORITIZING PROGRAMS AND PARTNERSHIPS TO MAKE VETERINARY CARE MORE ACCESSIBLE AND AFFORDABLE TO PET OWNERS EXPERIENCING FINANCIAL HARDSHIP.

IN ADDITION TO PROVIDING SERVICE THROUGH COMMUNITY VETERINARY CENTERS, THE ASPCA OPERATES MOBILE VETERINARY CLINICS IN BROOKLYN AND THE SOUTH BRONX AND SUPPORTS THE ANIMAL RESCUE COMMUNITY AT ITS SPAY/NEUTER CLINIC IN GLENDALE, QUEENS. THE ASPCA ALSO OPERATES A FLEET OF MOBILE SPAY/NEUTER CLINICS THAT PROVIDES FREE AND LOW-COST, HIGH-QUALITY

Schedule O (Form 990 or 990-EZ) 2020	Page 2
Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number 13-1623829
SPAY/NEUTER SURGERY FOR PET OWNERS IN ALL FIVE BOROUGHS	OF NEW YORK
CITY.	
THROUGHOUT A CHALLENGING YEAR, THE ASPCA SERVED NEARLY 2	9,000 NEW YORK
CATS AND DOGS THROUGH THESE COMMUNITY MEDICINE PROGRAMS.	
A VITAL COMMUNITY RESOURCE IN MIAMI	
IN OCTOBER, THE ASPCA CELEBRATED THE ONE-YEAR ANNIVERSAR	Y OF THE
COMMUNITY VETERINARY CENTER IN LIBERTY CITY, MIAMI. BUIL	T IN
PARTNERSHIP WITH MIAMI-DADE ANIMAL SERVICES AND MIAMI-DA	DE COUNTY, THE
CENTER BRINGS AFFORDABLE AND ACCESSIBLE VETERINARY SERVI	CES TO PETS AND
PET OWNERS IN MIAMI-DADE COUNTY, INCLUDING THE LIBERTY C	ITY AREA - A
CRITICALLY UNDERSERVED COMMUNITY WHERE VETERINARY SERVIC	ES ARE LARGELY
INACCESSIBLE.	
THE CENTER OFFERS PARTIALLY AND FULLY SUBSIDIZED BASIC A	ND PREVENTIVE
CARE FOR MIAMI CATS AND DOGS. DURING THE COVID-19 PANDEM	IC, THE CENTER
PIVOTED ITS SERVICES TO ASSIST MIAMI-DADE COUNTY PETS WH	O WERE SICK, IN
DISTRESS, AND IN IMMEDIATE NEED OF VETERINARY CARE, WAIV	ING FEES FROM
MARCH 2020 THROUGH MARCH 2021 TO SUPPORT PET OWNERS FINA	NCIALLY
IMPACTED BY COVID-19.	
IN 2020, THE MIAMI COMMUNITY VETERINARY CENTER SAW OVER	4,000
APPOINTMENTS. THE CENTER ALSO SERVED AS A RESOURCE CENTE	R, DISTRIBUTING
FREE PET FOOD TO MIAMI PET OWNERS IN NEED.	

ASSISTING LOS ANGELES ANIMALS IN NEED

Schedule O (Form 990 or 990-EZ) 2020

THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 SINCE 2014, THE ASPCA HAS BEEN WORKING TO ASSIST ANIMALS IN UNDERSERVED COMMUNITIES IN THE LOS ANGELES AREA, BEGINNING WITH THE OPENING OF A STATIONARY SPAY/NEUTER CLINIC IN SOUTH LOS ANGELES. OVER THE LAST SIX YEARS, THE ASPCA HAS EXPANDED SERVICES TO INCLUDE MOBILE SPAY/NEUTER CLINICS AND MOBILE VETERINARY CLINICS DEDICATED TO PRIMARY PET CARE IN SOUTH L.A. AND L.A. COUNTY. DURING THE PANDEMIC, THE CLINIC IN SOUTH L.A. EXPANDED SERVICES TO TREAT SICK AND INJURED PETS FOR PEOPLE IMPACTED BY THE CRISIS. IN 2020, THE ASPCA ASSISTED 14,131 LOS ANGELES COMMUNITY ANIMALS THROUGH ITS STATIONARY AND MOBILE SPAY/NEUTER AND PRIMARY PET CARE CLINICS, INCLUDING 9,581 SPAY AND NEUTER SURGERIES AND 5,542 VISITS TO ASPCA PRIMARY PET CARE CLINICS. 3. ANIMAL RELOCATION AMID THE COVID-19 CRISIS IN 2020, AS TRAVEL WAS SCALED BACK OR CANCELED AROUND THE WORLD, THE ASPCA WAS FORCED TO TEMPORARILY PAUSE ITS ANIMAL RELOCATION PROGRAM TO PRIORITIZE THE SAFETY OF ASPCA STAFF AND THE ANIMALS IN THE ASCPA'S CARE. IN JUNE, THE ASPCA INTRODUCED THE COVID-19 ANIMAL RELOCATION PREPAREDNESS GUIDE TO RESTART EFFORTS AND HELP SHELTERS AND RESCUES BEGIN RELOCATING ANIMALS SAFELY. USING BOTH GROUND AND AIR TRANSPORTATION, THE ANIMAL RELOCATION PROGRAM TRANSPORTED NEARLY 28,000 ANIMALS IN 2020 FROM "SOURCE SHELTERS" IN AREAS WITH HIGH HOMELESS PET POPULATIONS TO "DESTINATION SHELTERS" IN

FOSTER PROGRAM EXPERIENCED A 162% INCREASE IN FOSTER APPLICATIONS DURING THE FIRST 12 MONTHS OF THE PANDEMIC, COMPARED TO THE PREVIOUS YEAR. INTEREST IN ADOPTION AT THE ASPCA ADOPTION CENTER IN NEW YORK

Schedule O (Form 990 or 990-EZ) 2020

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION Employer identification number OF CRUELTY TO ANIMALS 13-1623829

CITY INCREASED BY MORE THAN 250% DURING THIS SAME PERIOD.

MANY ANIMAL SHELTERS AND RESCUES, INCLUDING THE ASPCA'S ADOPTION CENTER

IN NEW YORK CITY AND LOS ANGELES KITTEN FOSTER PROGRAM, TRANSITIONED TO

SOCIALLY DISTANCED OR REMOTE ADOPTION AND FOSTER PROCESSES,

INCORPORATING VIRTUAL FEATURES SUCH AS ONLINE MEET-AND-GREETS, REMOTE

TRAINING SESSIONS, AND VIDEO CONFERENCING TO ENGAGE ADOPTERS AND FOSTER

CAREGIVERS.

IN EARLY JUNE, THE ASPCA LAUNCHED ASPCA NATIONAL ADOPTION WEEKEND IN

RESPONSE TO THE IMPACTS OF THE COVID-19 CRISIS ON SHELTER AND RESCUE

ORGANIZATIONS. MANY OF THOSE ORGANIZATIONS HAD SUSPENDED OR REDUCED

THEIR OPERATIONS, AND SOME RELIED ON THE SUPPORT OF FOSTER CAREGIVERS

TO TEMPORARILY HOUSE AND CARE FOR VULNERABLE ANIMALS IN THEIR

COMMUNITIES. NEARLY 600 ANIMAL SHELTERS AND RESCUE ORGANIZATIONS

PARTICIPATED IN THE CAMPAIGN TO SAFELY PLACE HOMELESS DOGS, CATS, AND

HORSES INTO ADOPTIVE HOMES, RESULTING IN THE ADOPTION OF MORE THAN

7,000 ANIMALS DURING A ONE-WEEK PERIOD.

LATER IN OCTOBER, THE ASPCA STARTED THE ASPCA #ADOPTAFOSTERDOG CAMPAIGN

TO HELP FOSTER CAREGIVERS MORE EFFECTIVELY UTILIZE SOCIAL MEDIA AND

EMPOWER THEM TO PLACE THEIR FOSTER DOGS WITH ADOPTIVE FAMILIES THROUGH

FOSTER-FACILITATED ADOPTIONS. MORE THAN 580 SHELTERS AND RESCUE

ORGANIZATIONS ACROSS 48 STATES PARTICIPATED IN THE CAMPAIGN, WITH MOST

PARTICIPATING GROUPS REPORTING INCREASES IN FOSTER DOGS GOING DIRECTLY

FROM FOSTER HOMES TO ADOPTIVE HOMES.

Schedule O (Form 990 or 990-EZ) 2020

BEHALF OF ANIMALS.

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION DF CRUELTY TO ANIMALS 13-1623829

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

PUBLIC EDUCATION AND COMMUNICATIONS: ESSENTIAL TO THE ASPCA'S MISSION

IS BRINGING AWARENESS TO ITS PROGRAMS AND EDUCATING THE PUBLIC,

ILLUSTRATING HOW PEOPLE AND ORGANIZATIONS CAN ENGAGE AND GET INVOLVED

IN HELPING TO PROTECT ANIMALS FROM CRUELTY. THE ASPCA HAD MORE THAN 51

MILLION PAGE VIEWS ON ASPCA.ORG IN 2020, BRINGING AWARENESS TO MORE

THAN 3 MILLION SUPPORTERS AND THE PUBLIC ON ACTION THEY CAN TAKE ON

SOCIAL MEDIA POSTINGS ARE A KEY PART OF THE ASPCA'S EDUCATION PROCESS,

AND THEY UPDATED THE PUBLIC ON REGULATORY WINS AND PROVIDED DETAILS OF

THE ASPCA'S ANTI-CRUELTY EFFORTS. THE ASPCA'S PROMOTIONS GENERATED

NEARLY 27 MILLION SOCIAL MEDIA IMPRESSIONS IN 2020. THE PUBLIC WAS

UPDATED ON ACTION THAT CAN BE TAKEN - LETTER WRITING, PHONE CALLS,

SOCIAL MEDIA OUTREACH AND PETITIONS TO LEGISLATORS - TO ENSURE THAT

ANIMALS ARE GIVEN THE GREATEST POSSIBLE PROTECTION UNDER THE LAW AND

MADE AWARE OF HOW EACH PERSON CAN HELP THIS EFFORT. IN 2020, MORE THAN

100 ADVOCACY E-MAILS WERE SENT TO ASPCA SUPPORTERS URGING THEM TO TAKE

ACTION ON A VARIETY OF ANIMAL WELFARE ISSUES. WITH THE HELP OF

ADVOCATES, THE ASPCA SECURED NEW ANIMAL PROTECTION LAWS AND REGULATORY

WINS FOR ANIMALS AT THE NATION'S CAPITAL AND ACROSS THE COUNTRY IN

STATE LEGISLATURES.

IN 2020, THE ASPCA DISTRIBUTED NEARLY 2 MILLION COPIES OF ASPCA ACTION,

ITS MEMBER MAGAZINE, AND 15,000 COPIES - COMBINED PRINT AND DIGITAL
OF ITS ANNUAL REPORT. ASPCA ACTION INCLUDES INFORMATION ON ASPCA EVENTS

AND PROGRAMS AS WELL AS PET CARE BEHAVIOR AND ADVICE. LEGISLATIVE AND

ANIMAL ADVOCACY NEWS KEEPS MEMBERS UP-TO-DATE ON CURRENT AND FUTURE

Schedule O (Form 990 or 990-EZ) 2020 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 INITIATIVES AND HOW THEY CAN HELP ENSURE THAT ANIMALS RECEIVE NECESSARY PROTECTION UNDER THE LAW. THIS MAGAZINE IS AVAILABLE ON THE ASPCA WEBSITE, WHICH HAS MANY ADDITIONAL EDUCATIONAL RESOURCES FOR THE PUBLIC.

THE ASPCA PROVIDED VITAL INSIGHT, HOPE, AND SUPPORT DURING THE PANDEMIC THROUGH MEDIA PLACEMENTS THAT HIGHLIGHTED ITS SUBSTANTIAL PANDEMIC-RESPONSE PROGRAMS AROUND THE COUNTRY. MANY OF THESE ARTICLES COVERED ONE OF THE MOST ENCOURAGING RESPONSES TO THE PANDEMIC: A DRAMATIC INCREASE IN ANIMAL FOSTERING ENTHUSIASM, WHICH HELPED SHELTER ANIMALS DIRECTLY AND ALLEVIATED PRESSURE ON STRAINED ANIMAL SHELTERS. IN 2020, THE ASPCA APPEARED FAVORABLY IN 15,323 ARTICLES AND BROADCASTS ACROSS TRADITIONAL MEDIA OUTLETS AND BLOGS, INCLUDING PLACEMENTS IN HIGH-EXPOSURE OUTLETS SUCH AS THE NEW YORK TIMES, THE ASSOCIATED PRESS, THE NEW YORK POST, FORBES, CNN, NBC NEWS, THE HILL, THE WASHINGTON POST, USA TODAY, AND GOOD MORNING AMERICA. AMONG THE FEATURED TOPICS WERE ASPCA EFFORTS RELATED TO ITS COVID-19 RESPONSE PROGRAMS, ADOPTION AND FOSTERING TRENDS AND CAMPAIGNS, LEGISLATIVE EFFORTS TO SUPPORT IMPACTFUL ANIMAL WELFARE POLICIES LOCALLY AND NATIONALLY, AND INITIATIVES TO STOP ANIMAL CRUELTY. THE ASPCA ALSO ENGAGED MANY CELEBRITY INFLUENCERS TO SUPPORT ITS LIFESAVING EFFORTS IN 2020, INCLUDING RICKY GERVAIS, BROOKE SHIELDS, MELISSA ETHERIDGE, ADRIEN BRODY, ANDY COHEN, AND EDIE FALCO, WHO CONTRIBUTED THEIR VOICES TO INCREASE AWARENESS OF THE ASPCA'S PROGRAMS FOR DOGS, CATS, HORSES, AND FARM ANIMALS IN NEED.

THE ASPCA'S NATIONAL COMMUNICATIONS AND EDUCATION EFFORTS HAVE HELPED TO SIGNIFICANTLY ELEVATE THE STATUS OF ANIMALS SO THAT THEY ARE MORE

Schedule O (Form 990 or 990-EZ) 2020 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 COLLABORATED WITH TWO TEXAS-BASED ANIMAL WELFARE ORGANIZATIONS TO ASSIST WITH THE CARE AND PLACEMENT OF 40 DOGS FOUND IN SUBSTANDARD CONDITIONS AND REMOVED FROM THE PROPERTY OF OVERWHELMED PET OWNERS NEAR SAN ANGELO, TEXAS. THE ASPCA REMOVED THE ANIMALS, INCLUDING PUPPIES, AND TRANSPORTED 20 OF THEM TO THE FORT WORTH AREA FOR IMMEDIATE CARE. THE REMAINING ANIMALS WERE TRANSPORTED TO THE ASPCA BEHAVIORAL REHABILITATION CENTER IN WEAVERVILLE, N.C., WHERE MULTIPLE ASPCA TEAMS PROVIDED CARE, INCLUDING MEDICAL AND SPECIALIZED BEHAVIORAL TREATMENT, TO PREPARE THE DOGS FOR ADOPTION. HELPING ANIMALS THREATENED BY NATURAL DISASTERS IN SEPTEMBER, THE ASPCA WAS ASKED FOR ASSISTANCE BY LOCAL ORGANIZATIONS AND DEPLOYED A TEAM OF RESPONDERS TO LAKE CHARLES, LOUISIANA, TO HELP PROVIDE EMERGENCY SHELTERING, DAILY CARE, ENRICHMENT, MEDICAL AND BEHAVIORAL CARE, AND REUNIFICATION SERVICES FOR ANIMALS DISPLACED BY HURRICANE LAURA, A CATEGORY 4 HURRICANE THAT ULTIMATELY KILLED 42 PEOPLE IN LOUISIANA, TEXAS, AND ARKANSAS. THE ASPCA ALSO HELPED TRANSPORT MORE THAN 100 ANIMALS WHO HAD BEEN HOMELESS OUT OF THE HURRICANE-IMPACTED COMMUNITY, FREEING UP MUCH-NEEDED SHELTER SPACE AND RESOURCES FOR LOCAL LOST PETS UNTIL THEY COULD BE REUNITED WITH THEIR OWNERS.

THE ASPCA ALSO COLLABORATED WITH WINGS OF RESCUE TO EVACUATE HUNDREDS

OF OTHER HOMELESS SHELTER ANIMALS THREATENED BY A STRING OF HURRICANES

Schedule O (Form 990 or 990-EZ) 2020

3	RICAN SOCIETY FO LTY TO ANIMALS	R THE PREVENTION	Employer identification number 13-1623829
AND TROPICAL STORMS T	HAT IMPACTED THE	GULF COAST DURING TH	E YEAR,
INCLUDING HURRICANES	DELTA AND SALLY,	AND TROPICAL STORM B	ETA. THE
UNOWNED ANIMALS WERE	EVACUATED AT THE	REQUEST OF MULTIPLE	SHELTERS IN
ALABAMA, TEXAS, AND L	OUISIANA AND REL	OCATED TO SHELTERS AC	ROSS THE
COUNTRY, WHERE THEY W	ERE MADE AVAILAB	LE FOR ADOPTION.	
IN ADDITION TO PROVID	ING BOOTS-ON-THE	-GROUND SUPPORT IN TH	E AFTERMATH
OF DISASTERS, THE ASP	CA AWARDED \$1 MI	LLION IN GRANT FUNDIN	G TO 22
AUTHORIZED DISASTER R	ESPONSE AGENCIES	IN 12 DIFFERENT STAT	ES THAT
SUPPORT COMPANION ANI	MALS, EQUINES, A	ND THEIR OWNERS IN CO	MMUNITIES
IMPACTED BY NATURAL D	ISASTERS.		
2. GOVERNMENT RELATIO	NS: SUPPORTING L	OCAL AND NATIONAL POL	ICIES THAT
HELP ANIMALS IN NEED			
WHEN THE PANDEMIC BEG.	AN, MANY LOCAL A	ND STATE GOVERNMENTS	RIGHTFULLY
RECOGNIZED THE ESSENT	IAL ROLE ANIMAL	SHELTERS PLAY IN THEI	R COMMUNITIES
BY INCLUDING THEM IN	SAFETY ORDERS TO	ALLOW THEM TO CONTIN	UE OPERATING.
SUPPORTING THESE SAFE	TY ORDERS WERE A	MONG SEVERAL LEGISLAT	IVE ADVOCACY
MEASURES THE ASPCA WO	RKED ON TO ENSUR	E ANIMALS IN COMMUNIT	IES WERE
CARED FOR AND NOT FOR	GOTTEN. DESPITE	THE UNPRECEDENTED CHA	LLENGES THAT
AROSE DURING THE PAND	EMIC, THE ASPCA	GOVERNMENT RELATIONS	TEAM
CONTINUED TO MAKE SIG	NIFICANT PROGRES	S IN PASSING BETTER L	AWS AND
REGULATIONS FOR THE B	ENEFIT OF ANIMAL	S.	
STATE LEGISLATION			

Schedule O (Form 990 or 990-EZ) 2020 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 SURVIVORS AND THEIR PETS, SECURING THE PASSAGE OF A NEW LAW THAT ENSURES FAMILY PETS CAN BE INCLUDED IN ORDERS OF PROTECTION FROM DOMESTIC VIOLENCE. WITH THE PASSAGE OF THIS LIFESAVING NEW LAW, FLORIDA JOINED MORE THAN 30 OTHER STATES ENACTING MEANINGFUL PUBLIC POLICIES TO PROTECT HUMANS AND PETS FROM VIOLENCE IN THE HOME. AS STATES LOCKED DOWN IN EARLY 2020, SEVERELY HAMPERING ACCESS TO VETERINARY CARE, TELEMEDICINE BECAME A VALUABLE CLINICAL TOOL. IN CALIFORNIA, THE ASPCA SUCCESSFULLY SECURED THE ADOPTION OF EMERGENCY REGULATIONS PERMITTING THE USE OF TELEMEDICINE BY VETERINARIANS AND WORKED TO ENSURE THE ALLOWANCE OF TELEMEDICINE WITHIN STAY-AT-HOME ORDERS ENACTED ACROSS THE COUNTRY. THE ASPCA ALSO SUCCESSFULLY LOBBIED FOR A NEW LAW REQUIRING EVERY COUNTY IN FLORIDA THAT MAINTAINS DESIGNATED EMERGENCY SHELTERS TO HAVE THOSE SHELTERS ACCOMMODATE PEOPLE WITH PETS. IN MISSOURI, THE ASPCA CONTINUES TO COLLABORATE WITH LOCAL ANIMAL WELFARE ORGANIZATIONS TO OVERTURN LAWS THAT TARGET SPECIFIC BREEDS AND PREVENT FUTURE ONES FROM BEING ENACTED. THE ASPCA'S EFFORT TO CHAMPION A STATEWIDE LAW PROHIBITING BREED BANS IN 2020 RESULTED IN THE BILL PASSING OUT OF THE FULL STATE HOUSE OF REPRESENTATIVES. FEDERAL LEGISLATION IN DECEMBER, CONGRESS PASSED THE FY 2021 APPROPRIATIONS BILL, RETAINING CRITICAL PROTECTIONS FOR ANIMALS AND ADDING NEW PROVISIONS THAT HELP

BOTH PEOPLE AND ANIMALS.

Employer identification number 13-1623829

IN 2020, THE ASPCA AND ITS PARTNERS MADE SIGNIFICANT PROGRESS IN

ADVANCING THE SAFEGUARD AMERICAN FOOD EXPORTS (SAFE) ACT, FEDERAL

LEGISLATION THAT WOULD PERMANENTLY BAN HORSE SLAUGHTER IN THE UNITED

STATES AND END THE EXPORT OF AMERICAN HORSES FOR SLAUGHTER. THIS BILL

HAS BROAD BIPARTISAN SUPPORT IN CONGRESS, INCLUDING THE SUPPORT OF MORE

THAN HALF OF THE U.S. HOUSE OF REPRESENTATIVES.

IN FEBRUARY, AFTER MULTIPLE LAWSUITS BY ANIMAL WELFARE GROUPS,

INCLUDING THE ASPCA, THE USDA WAS FORCED TO REPOST ANIMAL WELFARE

RECORDS IT HAD ABRUPTLY PURGED FROM ITS ONLINE DATABASE IN 2017. THE

ASPCA CONTINUES TO BUILD AWARENESS OF THE USDA'S POOR ENFORCEMENT OF

THE ANIMAL WELFARE ACT AND COMMIT TO ACTION AND ADVOCACY THAT ENSURES

THE USDA PROTECTS ANIMALS UNDER ITS CARE.

THE ALARMING SPREAD OF COVID-19 AMONG SLAUGHTERHOUSE EMPLOYEES EXPOSED

MASSIVE VULNERABILITIES IN THE FOOD SYSTEM, ESPECIALLY REGARDING THE

SPEED OF PRODUCTION AT THESE FACILITIES. RATHER THAN SUSPEND LINE SPEED

INCREASES TO ACCOMMODATE SOCIAL DISTANCING, THE USDA ALLOWED MORE

SLAUGHTERHOUSES TO OPERATE AT EXTREME SPEEDS AT THE HEIGHT OF THE

PANDEMIC.

TO ADDRESS THESE DISTURBING ISSUES, FEDERAL LAWMAKERS INTRODUCED THE

SAFE LINE SPEEDS DURING COVID-19 ACT, WHICH WOULD REVOKE ANY LINE SPEED

INCREASE APPROVALS ISSUED TO SLAUGHTER PLANTS AND SUSPEND LINE SPEED

INCREASES DURING THE COVID-19 CRISIS.

Schedule O (Form 990 or 990-EZ) 2020 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 HORSES HOMELESS HORSES DON'T TYPICALLY GET AS MUCH EXPOSURE AS HOMELESS DOGS AND CATS, BUT THEY ARE JUST AS VULNERABLE TO A WIDE RANGE OF UNIQUE, LIFE-THREATENING CHALLENGES. THE ASPCA IS DEVELOPING INNOVATIVE PROGRAMS AND GROUNDBREAKING PARTNERSHIPS THAT HELP MORE HORSES AND OTHER EQUINES FIND NEW HOMES AND CAREERS, KEEP THEM SAFE AND HEALTHY, AND PROTECT EQUINES WHO FALL VICTIM TO CRUELTY OR OTHER CRISES. THE RIGHT HORSE INITIATIVE, WHICH BECAME AN OFFICIAL ASPCA PROGRAM IN NOVEMBER 2019, BUILDS AND REINFORCES PARTNERSHIPS AMONG EQUINE RESCUES, SHELTERS, AND THE EQUINE INDUSTRY TO INNOVATE AND PROMOTE EQUINE ADOPTION. IN ITS FIRST YEAR AS AN OFFICIAL PROGRAM OF THE ASPCA, THE RIGHT HORSE INITIATIVE HELPED MORE THAN 3,500 EQUINES FIND SAFE AND LOVING HOMES. THROUGH THE ASPCA'S ONLINE ADOPTION PLATFORM, MY RIGHT HORSE, THE ASPCA HAS HELPED ITS ADOPTION PARTNERS CONNECT WITH COMPASSIONATE PEOPLE ACROSS THE COUNTRY, PROVIDING CARING HOMES FOR HORSES IN TRANSITION. IN 2020, EVEN AS THE PANDEMIC SEVERELY HINDERED SHELTERS, RESCUE GROUPS, AND HORSE OWNERS, THE RIGHT HORSE ADOPTION PARTNERS INCREASED THEIR ADOPTIONS BY OVER 20%. TO ADVANCE THESE DYNAMIC COLLABORATIONS, THE RIGHT HORSE HOSTED A VIRTUAL SUMMIT IN SEPTEMBER 2020 THAT INCLUDED NEARLY 200 HORSE INDUSTRY REPRESENTATIVES, EQUINE WELFARE ADVOCATES, AND RESCUE

ORGANIZATION LEADERS. THE CONFERENCE WAS AN OPPORTUNITY TO CELEBRATE

THE COLLECTIVE WORK BEING DONE TO SHATTER STIGMAS ABOUT HORSES IN

Schedule O (Form 990 or 990-EZ) 2020 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** 13-1623829 OF CRUELTY TO ANIMALS TRANSITION AND DRAMATICALLY INCREASE EQUINE ADOPTIONS. MEANWHILE, THE ASPCA REGIONAL SUPPORT CENTER IN OKLAHOMA CITY, WHICH PROVIDES FULLY SUBSIDIZED VETERINARY SERVICES AND RESOURCES TO FINANCIALLY CHALLENGED HORSE OWNERS, HAS HELPED MORE THAN 220 VULNERABLE HORSES SINCE OPENING ITS DOORS IN 2019. THIS WORK BECAME CRUCIAL AS THE PANDEMIC CREATED ECONOMIC HARDSHIPS FOR MANY PEOPLE, INCLUDING HORSE OWNERS. IN COLLABORATION WITH A LOCAL VETERINARY CLINIC AND SEVERAL RE-HOMING PARTNERS, THE OPEN-ADMISSION CENTER ALSO PROVIDES A SAFE PLACE FOR HORSE OWNERS WHO NEED TO RELINQUISH THEIR HORSES AND OFFERS HUMANE EUTHANASIA SERVICES FOR SUFFERING HORSES, MULES, AND DONKEYS. THE CENTER DOCUMENTS AND SHARES ITS LEARNINGS TO HELP OTHER EQUINE WELFARE GROUPS IDENTIFY AND CREATE OPPORTUNITIES FOR THEIR COMMUNITY'S HORSES. 4. FARM ANIMAL WELFARE: PROTECTING ANIMALS ABUSED IN CRUEL FARM SYSTEMS INDUSTRIAL ANIMAL AGRICULTURE'S FAILURE TO PROTECT ANIMALS AND WORKERS DURING THE COVID-19 CRISIS INSPIRED BROAD PUBLIC CONCERN, CHANGES IN CONSUMPTION HABITS, AND WIDESPREAD SUPPORT FOR FACTORY FARMING REFORM DURING 2020. AS PART OF THIS MOVEMENT AND ACTING INFLUENTIALLY WITHIN IT, THE ASPCA FARM ANIMAL WELFARE TEAM ADVOCATED FOR IMPORTANT FARM LEGISLATION AND SECURED NEW HUMANE COMMITMENTS FROM FOOD COMPANIES, SCHOOLS, AND MUNICIPALITIES.

Schedule O (Form 990 or 990-EZ) 2020 Page 2 THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization **Employer identification number** OF CRUELTY TO ANIMALS 13-1623829 SIX MONTHS INTO THE COVID-19 CRISIS, THE ASPCA COMMISSIONED A PUBLIC OPINION SURVEY CONFIRMING BROAD PUBLIC CONCERN ABOUT INDUSTRIAL ANIMAL AGRICULTURE, CHANGES IN FOOD CONSUMPTION HABITS, AND A SWELL OF SUPPORT FOR FACTORY FARMING REFORM. LEVERAGING THIS SUPPORT, THE ASPCA BECAME THE LEAD ANIMAL WELFARE ORGANIZATION ENDORSING AND ADVOCATING FOR THE FARM SYSTEM REFORM ACT, FEDERAL LEGISLATION THAT WOULD PHASE OUT THE LARGEST FACTORY FARMS BY 2040 AND PROVIDE \$10 BILLION IN FUNDS TO HELP FARMERS TRANSITION TO MORE HUMANE METHODS. IN 2020, THE ASPCA CONTINUED TO HELP FOOD COMPANIES COMMIT TO IMPROVING FARM ANIMALS' LIVES THROUGH MEANINGFUL WELFARE CERTIFICATION AND ALIGNMENT WITH THE BETTER CHICKEN COMMITMENT, WHICH ENTAILS IMPROVING THE GENETIC APPROACHES, LIVING CONDITIONS, AND SLAUGHTER PROCESSES FOR MEAT-PRODUCING CHICKENS. WITH SUPPORT FROM THE ASPCA, SAN DIEGO'S BOARD OF EDUCATION VOTED UNANIMOUSLY TO ADOPT THE GOOD FOOD PURCHASING PROGRAM (GFPP), MAKING IT THE 12TH INSTITUTION TO SHIFT ITS SIZABLE PURCHASES AWAY FROM FACTORY-FARMED ANIMAL PRODUCTS AND TOWARD WELFARE-CERTIFIED OR PLANT-BASED ALTERNATIVES. THE ASPCA IS ALSO WORKING TO SECURE NEW GFPP COMMITMENTS IN NEW YORK CITY, SANTA CLARA, AND SAN FRANCISCO. THE ASPCA ALSO ADDED 44 NEW NATIONALLY AVAILABLE PRODUCTS TO THE SHOP WITH YOUR HEART BRAND LIST, WHICH PROMOTES ANIMAL WELFARE-CERTIFIED AND PLANT-BASED PRODUCTS TO HELP CONSUMERS PLAY ACTIVE ROLES IN

TRANSITIONING THE FOOD SYSTEM AWAY FROM FACTORY FARMING METHODS. IN

ADDITION TO HELPING CONSUMERS MAKE COMPASSIONATE FOOD CHOICES, THE SHOP

WITH YOUR HEART PROGRAM DEMONSTRATES THE MARKET VALUE OF PRIORITIZING

MEDICINE CONFERENCE IN JULY AND THE ASPCA'S THE RIGHT HORSE SUMMIT IN SEPTEMBER. BOTH EVENTS PROVIDED A WIDE RANGE OF ANIMAL WELFARE EXPERTS AND VETERINARY PROFESSIONALS THE OPPORTUNITY TO CONTINUE THEIR VITAL

LEARNING.

THE ASPCA ALSO INITIATED RECRUITMENT FOR THE FIRST RESIDENT IN THE

JULIE MORRIS SHELTER MEDICINE RESIDENCY PROGRAM. NAMED AFTER A LATE

LONGTIME ASPCA LEADER AND LEGENDARY FIGURE IN ANIMAL SHELTERING, THE

RESIDENCY IS A THREE-YEAR TRAINING PROGRAM FOR LICENSED VETERINARIANS

INTERESTED IN DEVELOPING DEEP EXPERTISE IN THE PRACTICE OF SHELTER

MEDICINE TO MEET THE HEALTH AND BEHAVIOR NEEDS OF HOMELESS AND AT-RISK

ANIMALS.

FORM 990, PART VI, SECTION A, LINE 1:

THE ASPCA SHALL HAVE TWO CLASSES OF MEMBERS: GOVERNING MEMBERS, WHO SHALL HAVE FULL VOTING RIGHTS RESERVED TO "MEMBERS" UNDER THE NEW YORK

NOT-FOR-PROFIT CORPORATION LAW, AND AFFINITY MEMBERS, WHO SHALL NOT HAVE

VOTING RIGHTS. GOVERNING MEMBERS SHALL CONSIST AT ANY TIME OF THOSE PERSONS

WHO ARE SERVING AT THAT TIME AS MEMBERS OF THE BOARD OF DIRECTORS. ONLY

GOVERNING MEMBERS SHALL HAVE THE RIGHT TO ELECT THE MEMBERS OF THE BOARD OF

DIRECTORS AND TO VOTE ON ANY OTHER TRANSACTION OR MATTER THAT SHALL

PROPERLY COME BEFORE THE MEMBERS OF THE CORPORATION IN ACCORDANCE WITH THE

ASPCA'S CERTIFICATE OF INCORPORATION, ITS BY-LAWS, OR APPLICABLE LAW.

AFFINITY MEMBERS SHALL CONSIST OF ONE OR MORE CATEGORIES OF INDIVIDUALS AS

MAY BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. AFFINITY

MEMBERS SHALL MAKE SUCH ANNUAL CONTRIBUTIONS OR PAY SUCH ANNUAL DUES AS MAY

BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. THE ASPCA MAY

OFFER AFFINITY MEMBERS CERTAIN BENEFITS OF MEMBERSHIP, BUT AFFINITY MEMBERS

SHALL NOT BE CONSIDERED "MEMBERS" AS THAT TERM IS USED IN THE NEW YORK

Schedule O (Form 990 or 990-EZ) 2020

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION DF CRUELTY TO ANIMALS 13-1623829

NOT-FOR-PROFIT CORPORATION LAW OR IN ANY OTHER APPLICABLE LAW, RULE, OR REGULATION. ACCORDINGLY, WITH THE EXCEPTION OF THOSE AFFINITY MEMBERS WHO ARE ALSO GOVERNING MEMBERS, NO AFFINITY MEMBER SHALL HAVE THE RIGHT TO VOTE ON THE ELECTION OF PERSONS TO THE BOARD OF DIRECTORS OR ON ANY OTHER TRANSACTION OR MATTER THAT SHALL PROPERLY COME BEFORE THE MEMBERS OF THE

CORPORATION IN ACCORDANCE WITH THE ASPCA'S CERTIFICATE OF INCORPORATION,

FORM 990, PART VI, SECTION A, LINE 4:

THESE BY-LAWS, OR APPLICABLE LAW.

IN APRIL 2020 THE ASPCA BOARD AMENDED AND RESTATED THE ORGANIZATION'S

BYLAWS. THE PURPOSE OF THESE CHANGES WAS THREEFOLD: A) TO REMAIN

CONSISTENT WITH THE REQUIREMENTS OF THE NEW YORK NOT-FOR-PROFIT

CORPORATIONS LAW, B) TO UPDATE AND STREAMLINE ORGANIZATIONAL GOVERNANCE TO

REFLECT THE ORGANIZATION'S CURRENT NEEDS, AND C) TO ELIMINATE OBSOLETE

PROVISIONS.

FORM 990, PART VI, SECTION B, LINE 11B:

THE FORM 990 WAS PREPARED BY A NATIONALLY RENOWNED ACCOUNTING FIRM IN

CONJUNCTION WITH THE ORGANIZATION'S FINANCE DEPARTMENT. THE DRAFT OF THE

FORM 990 IS REVIEWED BY SENIOR MANAGEMENT, LEGAL COUNSEL, AS WELL AS THE

AUDIT COMMITTEE OF THE BOARD OF DIRECTORS. A COPY IS CIRCULATED TO THE FULL

BOARD OF DIRECTORS PRIOR TO ITS FILING WITH THE INTERNAL REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

ALL DIRECTORS, OFFICERS AND KEY EMPLOYEES COMPLETE A WRITTEN CONFLICT OF

INTEREST QUESTIONNAIRE AND DECLARATION ANNUALLY. THE SECRETARY OF THE ASPCA

PROVIDES COPIES OF ALL COMPLETED DISCLOSURE STATEMENTS TO THE CHAIR OF THE

AUDIT COMMITTEE AND TO THE CHIEF LEGAL OFFICER. ANY POTENTIAL CONFLICTS ARE

Schedule O (Form 990 or 990-EZ) 2020

Name of the organization

OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

ADDED TO RECORDS MAINTAINED BY THE ASPCA'S LEGAL DEPARTMENT.

FORM 990, PART VI, SECTION B, LINE 15:

THE AUDIT COMMITTEE OF THE ASPCA BOARD IS THE AUTHORIZED

COMPENSATION-SETTING BODY THAT REVIEWS AND APPROVES THE COMPENSATION OF THE

"DISQUALIFIED PERSONS" OF THE ASPCA. THE ASPCA ENGAGES AN INDEPENDENT

COMPENSATION EXPERT TO CONDUCT A COMPENSATION STUDY TO ASSESS THE

REASONABLENESS OF EACH "DISQUALIFIED PERSON'S" TOTAL COMPENSATION IN

ACCORDANCE WITH THE REBUTTABLE PRESUMPTION "SAFE HARBOR" PROVISIONS OF

SECTION 4958 OF THE INTERNAL REVENUE CODE. THE COMPENSATION EXPERT ASSESSES

THE REASONABLENESS OF EACH PERSON'S TOTAL COMPENSATION BASED ON

COMPARABILITY DATA FOR THE POSITIONS UNDER REVIEW AND PROVIDES SUCH DATA

AND ANALYSIS TO THE AUDIT COMMITTEE FOR ITS REVIEW. THE COMPARABILITY DATA

IS DRAWN FROM INDUSTRY SURVEYS AND DATA SOURCES FOR COMPARABLE POSITIONS IN

ORGANIZATIONS OF SIMILAR SCOPE, OPERATING BUDGET, AND TYPE.

WITH RESPECT TO "DISQUALIFIED PERSONS" OTHER THAN THE PRESIDENT & CEO, THE

AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY

DATA AND THE PRESIDENT & CEO'S ANALYSIS OF EACH INDIVIDUAL'S PERFORMANCE,

DELIBERATES, AND VOTES ON WHETHER TO APPROVE THE TOTAL COMPENSATION

RECOMMENDATION PROPOSED BY THE PRESIDENT & CEO. THE PERSON WHOSE

COMPENSATION IS UNDER REVIEW IS NOT PRESENT AND DOES NOT PARTICIPATE IN THE

DELIBERATIONS, EXCEPT THAT SUCH PERSON MAY ANSWER QUESTIONS THAT WILL HELP

THE COMMITTEE IN ITS DELIBERATIONS.

WITH RESPECT TO THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE

COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE EXECUTIVE

COMMITTEE'S ANALYSIS OF THE PRESIDENT & CEO'S PERFORMANCE, DELIBERATES, AND

Schedule O (Form 990 or 990-EZ) 2020

Name of the organization OF CRUELTY TO ANIMALS

Schedule O (Form 990 or 990-EZ) 2020

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Employer identification number 13-1623829

VOTES ON A RECOMMENDATION ON THE PRESIDENT & CEO'S TOTAL COMPENSATION

(INCLUDING PERFORMANCE BONUS), WHICH RECOMMENDATION IT PROVIDES TO THE FULL

BOARD OF DIRECTORS. THE FULL BOARD OF DIRECTORS ASSESSES THE AUDIT

COMMITTEE'S RECOMMENDATIONS AND VOTES WHETHER TO APPROVE THE TOTAL

COMPENSATION (INCLUDING PERFORMANCE BONUS) FOR THE PRESIDENT & CEO. THE

PRESIDENT & CEO IS NOT PRESENT DURING EITHER THE AUDIT COMMITTEE'S REVIEW

OF HIS COMPENSATION NOR THE FULL BOARD'S APPROVAL OF THE COMPENSATION AND

DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT HE MAY ANSWER

QUESTIONS THAT WILL HELP THE COMMITTEE OR THE FULL BOARD OF DIRECTORS IN

THEIR DELIBERATIONS.

FOR ALL "DISQUALIFIED PERSONS," THE AUDIT COMMITTEE DOCUMENTS THE BASIS FOR

ITS DETERMINATIONS CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY

DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATIONS WERE MADE. THE

MINUTES INCLUDE THE FOLLOWING INFORMATION: 1. THE TERMS OF THE APPROVED

COMPENSATION AND THE DATE APPROVED; 2. THE NAMES OF MEMBERS OF THE AUDIT

COMMITTEE WHO WERE PRESENT DURING DISCUSSION OF THE COMPENSATION AND THOSE

WHO VOTED ON IT; 3. THE COMPARABILITY DATA THAT WAS RELIED ON BY THE AUDIT

COMMITTEE AND HOW SUCH DATA WAS OBTAINED; AND 4. ANY ACTIONS (SUCH AS

RECUSAL) TAKEN BY A MEMBER OF THE AUDIT COMMITTEE HAVING A CONFLICT OF

INTEREST. THE AUDIT COMMITTEE THEN APPROVES THE MINUTES WITHIN A REASONABLE

PERIOD OF TIME AFTER ITS PREPARATION. SIMILARLY, THE BOARD DOCUMENTS THE

BASIS FOR ITS DETERMINATION OF THE PRESIDENT & CEO'S COMPENSATION

CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY DRAFTING MINUTES OF

THE MEETING AT WHICH THE DETERMINATION WAS MADE.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:

AL, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY, LA, MD, MA, MI, MN, MS, NH, NJ, NM, NY, OK, OR, PA, RI

Schedule O (Form 990 or 990-EZ) 2020

Name of the organization THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number 13-1623829
SC,TN,UT,VA,WV,WI	
FORM 990, PART VI, SECTION C, LINE 19:	
AUDITED FINANCIAL STATEMENTS, CONFLICT OF INTEREST POLICY,	CERTIFICATE OF
INCORPORATION AND BY-LAWS ARE MADE AVAILABLE TO THE PUBLIC	
THROUGH CHARITABLE REGISTRATION REQUIREMENTS IN OVER 40 ST	ATES. THE ASPCA
MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A	COPY AT ITS PLACE
OF BUSINESS AND PLACING A COPY ON ITS WEBSITE. THE FORM 99	0 IS ALSO
PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG.	
FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:	
BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	1,860,894.

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Employer identification number 13-1623829Open to Public Inspection OMB No. 1545-0047 2020 ► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37. Related Organizations and Unrelated Partnerships ▶ Go to www.irs.gov/Form990 for instructions and the latest information.
THE AMERICAN SOCIETY FOR THE PREVENTION ► Attach to Form 990. OF CRUELTY TO ANIMALS Name of the organization Department of the Treasury Internal Revenue Service SCHEDULE R (Form 990) Partl

e ਰ Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

Direct controlling Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year. End-of-year assets Total income Legal domicile (state or foreign country) Primary activity Name, address, and EIN (if applicable) of disregarded entity PartII

organizations duming the tax year.							
(a)	(q)	(၁)	(Q	(e)	(J)	(b)	27.70
Name, address, and EIN	Primary activity	Legal domicile (state or	Exempt Code	Public charity	Direct controlling	section 3 (2(b)(13)	Z(D)(13)
of related organization		foreign country)	section	status (if section	entity	entity?	.5
				501(c)(3))		Yes	9 N
ASPCA VETERINARY SERVICES OF NORTH CAROLINA							
PC - 47-3987701, 25 HERITAGE DR, ASHVILLE,	VETERINARY SERVICES TO THE						
4	ASPCA IN NC	NORTH CAROLINA	501(C)(3)	LINE 7	ASPCA		×

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2020

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THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

Page 2

13-1623829

Schedule R (Form 990) 2020 Part III

Percentage ownership Schedule R (Form 990) 2020 ŝ Section 512(b)(13) controlled entity? Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year. 乏 Yes Code V-UBI General or Pranaging con Schedule K-1 (Form 1065) Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year. Percentage ownership Ξ Share of end-of-year assets Ξ <u>6</u> Disproportionate Yes No allocations? Ξ Share of total income Share of end-of-year assets <u>6</u> Type of entity (C corp, S corp, or trust) **e** Share of total income Direct controlling entity Predominant income (related, unrelated, excluded from tax under sections 512-514) ਉ <u>e</u> Legal domicile (state or foreign country) Direct controlling entity ਉ Primary activity Legal domicile (state or foreign country) Primary activity <u>@</u> Name, address, and EIN of related organization Name, address, and EIN of related organization <u>a</u> 032162 10-28-20 Part IV

Schedule R (Form 990) 2020

pe ID: 08500001-DE38-4000-9E00-T 140DAA73400

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Page 3

13-1623829

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Schedule R (Form 990) 2020 ŝ ×× × × × × × × × × × × × × × × Yes × × × 르 무 9 우 1 우 <u>9</u> 19 4 **#** ÷ Method of determining amount involved Ŧ = Reimbursement paid to related organization(s) for expenses 2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds. 59,624.BOOK VALUE 220,508.BOOK VALUE During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? a Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity (c) Amount involved (b)
Transaction type (a-s) 0 Н Performance of services or membership or fundraising solicitations for related organization(s) Performance of services or membership or fundraising solicitations by related organization(s) Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) k Lease of facilities, equipment, or other assets from related organization(s) Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule. Lease of facilities, equipment, or other assets to related organization(s) ASPCA VETERINARY SERVICES OF NORTH ASPCA VETERINARY SERVICES OF NORTH c Gift, grant, or capital contribution from related organization(s) s Other transfer of cash or property from related organization(s) Peimbursement paid to related organization(s) for expenses
 Reimbursement paid by related organization(s) for expenses r Other transfer of cash or property to related organization(s) **b** Gift, grant, or capital contribution to related organization(s) d Loans or loan guarantees to or for related organization(s) Sharing of paid employees with related organization(s) (a) Name of related organization e Loans or loan guarantees by related organization(s) Purchase of assets from related organization(s) Exchange of assets with related organization(s) Sale of assets to related organization(s) Dividends from related organization(s) (1) CAROLINA, PC PC (2) CAROLINA, 032163 10-28-20 Ε _ b ପ୍ର 4 9 9

THE AMERICAN SOCIETY FOR THE PREVENTION

Schedule R (Form 990) 2020 OF CRUELTY TO ANIMALS

13-1623829 Page 4

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

THE AMERICAN SOCIETY FOR THE PREVENTION

Schedule F	(Form 990) 2020 OF CRUELTY TO ANIMALS	13-1623829	Page 5
Part VII	(Form 990) 2020 OF CRUELTY TO ANIMALS Supplemental Information		
	Provide additional information for responses to questions on Schedule R. See instructions.		

990-T Exempt Organization Business Income Tax Return	OMB No. 1545-0047
(and proxy tax under section 6033(e))	2020
For calendar year 2020 or other tax year beginning , and ending	2020
Department of the Treasury Internal Revenue Service Copyrights on the SSN numbers on this form as it may be made public if your organization is a 501(c)(3).	Open to Public Inspection for 501(c)(3) Organizations Only
A Glieck box ii Name of organization (Glieck box ii name changed and see instructions.)	nployer identification number
	12 1602000
	13-1623829 oup exemption number
	ee instructions)
408A 530(a) City or town, state or province, country, and ZIP or foreign postal code	
529(a) 529S NEW YORK, NY 10128-6804	Check box if
C Book value of all assets at end of year	an amended return.
	cable reinsurance entity
H Check if filing only to ► Claim credit from Form 8941 Claim a refund shown on Form 2439	•
Check if a 501(c)(3) organization filing a consolidated return with a 501(c)(2) titleholding corporation	>
J Enter the number of attached Schedules A (Form 990-T)	1
K During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group?	Yes X No
If "Yes," enter the name and identifying number of the parent corporation.	
L The books are in care of ► GORDON LAVALETTE, CFO Telephone number ► 212	-876-7700
Part I Total Unrelated Business Taxable Income	
1 Total of unrelated business taxable income computed from all unrelated trades or businesses (see	
instructions)	-86,952.
2 Reserved	
3 Add lines 1 and 2	<u> </u>
4 Charitable contributions (see instructions for limitation rules) STMT 1 4	
5 Total unrelated business taxable income before net operating losses. Subtract line 4 from line 3	
6 Deduction for net operating loss. See instructions 6	0.
7 Total of unrelated business taxable income before specific deduction and section 199A deduction.	0.5.050
Subtract line 6 from line 5	
8 Specific deduction (generally \$1,000, but see instructions for exceptions)	<u> </u>
9 Trusts. Section 199A deduction. See instructions 9	
10 Total deductions. Add lines 8 and 9 10	1,000.
11 Unrelated business taxable income. Subtract line 10 from line 7. If line 10 is greater than line 7,	
enter zero 11 Part II Tax Computation	0.
	0.
1 Organizations taxable as corporations. Multiply Part I, line 11 by 21% (0.21)	0.
2 Trusts taxable at trust rates. See instructions for tax computation. Income tax on the amount on	
Part I, line 11 from: Tax rate schedule or Schedule D (Form 1041) 2	
3 Proxy tax. See instructions 4 Other tax amounts. See instructions 4	
- 40 0 1 1 4 4 1 1	
7 Total. Add lines 3 through 6 to line 1 or 2, whichever applies 7	_
1 Total / Ted in ted of the egit of the first of Z, withortever applied	1

Form 990-T (2020) Page 2 **Tax and Payments** Part III Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116) 1a 1a Other credits (see instructions) 1b b General business credit. Attach Form 3800 (see instructions) 1c Credit for prior year minimum tax (attach Form 8801 or 8827) 1d Total credits. Add lines 1a through 1d 0. Subtract line 1e from Part II, line 7 2 2 Other taxes. Check if from: Form 4255 Form 8611 | Form 8697 3 Other (attach statement) 3 Total tax. Add lines 2 and 3 (see instructions). Check if includes tax previously deferred under section 1294. Enter tax amount here 4 0. 5 2020 net 965 tax liability paid from Form 965-A or Form 965-B, Part II, column (k), line 4 5 Payments: A 2019 overpayment credited to 2020 6a 2020 estimated tax payments. Check if section 643(g) election applies _____ > __ b 6b Tax deposited with Form 8868 Foreign organizations: Tax paid or withheld at source (see instructions) 6d Backup withholding (see instructions) Credit for small employer health insurance premiums (attach Form 8941) Other credits, adjustments, and payments: Form 2439 5,534. Form 4136 X Other Total > Total payments. Add lines 6a through 6g SEE STATEMENT 5,534. 7 Estimated tax penalty (see instructions). Check if Form 2220 is attached 8 8 Tax due. If line 7 is smaller than the total of lines 4, 5, and 8, enter amount owed 9 9 Overpayment. If line 7 is larger than the total of lines 4, 5, and 8, enter amount overpaid 5.534 10 10 5,534 Enter the amount of line 10 you want: Credited to 2021 estimated tax Part IV | Statements Regarding Certain Activities and Other Information (see instructions) At any time during the 2020 calendar year, did the organization have an interest in or a signature or other authority No Yes over a financial account (bank, securities, or other) in a foreign country? If "Yes," the organization may have to file FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If "Yes," enter the name of the foreign country Х During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a Х If "Yes," see instructions for other forms the organization may have to file. Enter the amount of tax-exempt interest received or accrued during the tax year _____ > \$ 3 Х Did the organization change its method of accounting? (see instructions) If 4a is "Yes," has the organization described the change on Form 990, 990-EZ, 990-PF, or Form 1128? If "No," explain in Part V Part V Supplemental Information Provide the explanation required by Part IV, line 4b. Also, provide any other additional information. See instructions. Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and county leteration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Sign 11/10/2021 May the IRS discuss this return with Here Gordon Lavalette CFO the preparer shown below (see Signatas ez ed notticanoc. Date instructions)? X Yes Check X if Print/Type preparer's name Preparer's signature Date PTIN self- employed Paid 11/11/2021 PATRICK YU, CPA P00675982 **Preparer** Firm's name ▶ BAKER TILLY US, LLP 39-0859910 Firm's EIN **Use Only** PENN PLAZA, SUITE 3000 ONE Phone no. 212.697.6900 NEW YORK, NY 10119 Firm's address

Form 990-T (2020)

5,534.

THE AMERICAN SOCIETY FOR THE PREVENTION

TOTAL INCLUDED ON FORM 990-T, PAGE 2, PART III, LINE 7

FORM 990-T	CONTR	IBUTION	LIMITATIO	NS 	STATEMENT 1
	TRIBUTIONS			IED DISASTER CONTRIBUTIONS	TOTAL CONTRIBUTIONS
TOTAL CONTRIBUTIONS 10% TAXABLE INCOME		67.		3.	70.
CURRENT YEAR AMOUNT		0. 0.		-430,689.	0.
FORM 990-T	OTHER (CREDITS	AND PAYME	NTS	STATEMENT 2
DESCRIPTION					AMOUNT

E Describe the unrelated trade or business **▶INVESTMENTS**

Part I Unrelated Trade or Business Income

SCHEDULE A (Form 990-T)

Unrelated Business Taxable Income From an Unrelated Trade or Business

1

ENTITY

(B) Expenses

OMB No. 1545-0047

501(c)(3) Organizations Only

(C) Net

Department of the Treasury Internal Revenue Service

1 a Gross receipts or sales **b** Less returns and allowances ► Go to www.irs.gov/Form990T for instructions and the latest information.

Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

c Balance

THE AMERICAN SOCIETY FOR THE PREVENTION Name of the organization B Employer identification number OF CRUELTY TO ANIMALS 13-1623829 525990 C Unrelated business activity code (see instructions) D Sequence:

(A) Income

2	Cost of goods sold (Part III, line 8)	2							
3	Gross profit. Subtract line 2 from line 1c	3							_
4 a	Capital gain net income (attach Sch D (Form 1041 or Form								
	1120)) (see instructions)	4a		0.					
b	Net gain (loss) (Form 4797) (attach Form 4797) (see instructions)	4b	-13,	155.				-13,155	•
С	Capital loss deduction for trusts	4c							
5	Income (loss) from a partnership or an S corporation (attach								
	statement) STATEMENT 3	5	-11,	047.				-11,047	•
6	Rent income (Part IV)	6							
7	Unrelated debt-financed income (Part V)	7							
8	Interest, annuities, royalties, and rents from a controlled								
	organization (Part VI)	8							
9	Investment income of section 501(c)(7), (9), or (17)								
	organizations (Part VII)	9							
10	Exploited exempt activity income (Part VIII)	10							
11	Advertising income (Part IX)	11							_
12	Other income (see instructions; attach statement)	12							_
	Total Cambina lines Otherwork 10	13	-24	202.				-24,202	
13 Pa	Total. Combine lines 3 through 12	ions 1	or limitation		ductions) Dedu	ction	s must be	•
Pai	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in	ions f	or limitations	s on de				s must be	_
	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X)	ions 1	for limitations	s on de			ction	s must be	<u>•</u>
Pai	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages	tions f	or limitations	s on de			1	s must be	<u>-</u>
1 2	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X)	ions 1	or limitations	on de			1 2	s must be	<u>-</u>
1 2 3	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts	ions f	or limitations	s on de			1 2 3	s must be	<u>-</u> - - -
1 2 3 4	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions)	cions 1	or limitations	s on de			1 2 3 4	s must be	
1 2 3 4 5	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses	cions 1	or limitations	s on de			1 2 3 4 5		
1 2 3 4 5 6	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions)	tions 1	or limitations	s on de			1 2 3 4 5		
1 2 3 4 5 6 7	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses Depreciation (attach Form 4562) (see instructions) Less depreciation claimed in Part III and elsewhere on return	tions 1	or limitations	s on de			1 2 3 4 5 6		
1 2 3 4 5 6 7 8	Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses Depreciation (attach Form 4562) (see instructions)	tions 1	or limitations	s on de			1 2 3 4 5 6		
1 2 3 4 5 6 7 8	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses Depreciation (attach Form 4562) (see instructions) Less depreciation claimed in Part III and elsewhere on return Depletion	tions 1	or limitations	s on de			1 2 3 4 5 6		
1 2 3 4 5 6 7 8 9	Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses Depreciation (attach Form 4562) (see instructions) Less depreciation claimed in Part III and elsewhere on return Depletion Contributions to deferred compensation plans	tions 1	or limitations	s on de			1 2 3 4 5 6 8b 9		
1 2 3 4 5 6 7 8 9 10 11	Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses Depreciation (attach Form 4562) (see instructions) Less depreciation claimed in Part III and elsewhere on return Depletion Contributions to deferred compensation plans Employee benefit programs Excess exempt expenses (Part VIII) Excess readership costs (Part IX)	tions 1	or limitations	s on de			1 2 3 4 5 6 8b 9 10 11 12 13	250	
1 2 3 4 5 6 6 7 8 9 10 11 12	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses Depreciation (attach Form 4562) (see instructions) Less depreciation claimed in Part III and elsewhere on return Depletion Contributions to deferred compensation plans Employee benefit programs Excess exempt expenses (Part VIII) Excess readership costs (Part IX) Other deductions (attach statement)	tions 1	or limitations	s on de			1 2 3 4 5 6 8b 9 10 11 12 13 14	250	
1 2 3 4 5 6 7 8 9 10 11 12 13	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses Depreciation (attach Form 4562) (see instructions) Less depreciation claimed in Part III and elsewhere on return Depletion Contributions to deferred compensation plans Employee benefit programs Excess exempt expenses (Part VIII) Excess readership costs (Part IX) Other deductions, Add lines 1 through 14	tions 1	or limitations	s on de	PEMENT	4	1 2 3 4 5 6 8b 9 10 11 12 13	250	
1 2 3 4 5 6 7 8 9 10 11 12 13 14	Deductions Not Taken Elsewhere (See instruct directly connected with the unrelated business in Compensation of officers, directors, and trustees (Part X) Salaries and wages Repairs and maintenance Bad debts Interest (attach statement) (see instructions) Taxes and licenses Depreciation (attach Form 4562) (see instructions) Less depreciation claimed in Part III and elsewhere on return Depletion Contributions to deferred compensation plans Employee benefit programs Excess exempt expenses (Part VIII) Excess readership costs (Part IX) Other deductions (attach statement)	ubtract	SEE	s on de	PEMENT	4	1 2 3 4 5 6 8b 9 10 11 12 13 14	250	

Deduction for net operating loss (see instructions)

Unrelated business taxable income. Subtract line 17 from line 16

18

17

DocuSign Envelope ID: 69B0668D-DE59-48C8-9E0C-F146DAA75400 ENTTTY Schedule A (Form 990-T) 2020 Part III **Cost of Goods Sold** Enter method of inventory valuation 1 Inventory at beginning of year 2 2 3 3 Cost of labor Additional section 263A costs (attach statement) 4 4 5 Other costs (attach statement) 5 6 **Total.** Add lines 1 through 5 6 7 Inventory at end of year 7 Cost of goods sold. Subtract line 7 from line 6. Enter here and in Part I, line 2 8 8 Yes Do the rules of section 263A (with respect to property produced or acquired for resale) apply to the organization? No Rent Income (From Real Property and Personal Property Leased with Real Property) Description of property (property street address, city, state, ZIP code). Check if a dual-use (see instructions) В С D D Rent received or accrued From personal property (if the percentage of rent for personal property is more than 10% but not more than 50%) From real and personal property (if the percentage of rent for personal property exceeds 50% or if the rent is based on profit or income) Total rents received or accrued by property. Add lines 2a and 2b, columns A through D 3 Total rents received or accrued. Add line 2c columns A through D. Enter here and on Part I, line 6, column (A) Deductions directly connected with the income in lines 2(a) and 2(b) (attach statement) Total deductions. Add line 4 columns A through D. Enter here and on Part I, line 6, column (B) Unrelated Debt-Financed Income (see instructions) Description of debt-financed property (street address, city, state, ZIP code). Check if a dual-use (see instructions) Α В С D В D Gross income from or allocable to debt-financed property 3 Deductions directly connected with or allocable to debt-financed property

а	Straight line depreciation (attach statement)				
b	Other deductions (attach statement)				
С	Total deductions (add lines 3a and 3b,				
	columns A through D)				
4	Amount of average acquisition debt on or allocable				
	to debt-financed property (attach statement)				
5	Average adjusted basis of or allocable to debt-				
	financed property (attach statement)				
6	Divide line 4 by line 5	%	%	%	%
7	Gross income reportable. Multiply line 2 by line 6				
8	Total gross income (add line 7, columns A through D)	. Enter here and on Pa	t I, line 7, column (A)	>	0.
9	Allocable deductions. Multiply line 3c by line 6				
0	Total allocable deductions. Add line 9, columns A thr	ough D. Enter here and	on Part I, line 7, colu	mn (B)	0.

Total dividends-received deductions included in line 10

Schedule A (Form 990-T) 2020 Part VI Interest, Annuities, Royalties, and Rents from Controlled Organizations (see instructions) **Exempt Controlled Organizations** 5. Part of column 4 1. Name of controlled 2. Employer 3. Net unrelated 4. Total of specified 6. Deductions directly that is included in the organization identification income (loss) payments made connected with controlling organizanumber (see instructions) income in column 5 tion's gross income (1) (2)(3)(4)Nonexempt Controlled Organizations 7. Taxable Income 8. Net unrelated 9. Total of specified 10. Part of column 9 11. Deductions directly that is included in the income (loss) payments made connected with controlling organization's (see instructions) income in column 10 gross income (1) (3)Add columns 6 and 11. Add columns 5 and 10. Enter here and on Part I, Enter here and on Part I, line 8, column (B) line 8, column (A) 0 Investment Income of a Section 501(c)(7), (9), or (17) Organization Part VII (see instructions) 1. Description of income 5. Total deductions 2. Amount of 3. Deductions 4. Set-asides and set-asides income directly connected (attach statement) (add cols 3 and 4) (attach statement) (1) (2)(3)(4)Add amounts in Add amounts in column 2. Enter column 5. Enter here and on Part I, here and on Part I, line 9, column (A) line 9, column (B) 0. Totals Part VIII Exploited Exempt Activity Income, Other Than Advertising Income (see instructions) Description of exploited activity: 2 Gross unrelated business income from trade or business. Enter here and on Part I, line 10, column (A) 2 3 Expenses directly connected with production of unrelated business income. Enter here and on Part I, 4 Net income (loss) from unrelated trade or business. Subtract line 3 from line 2. If a gain, complete

Gross income from activity that is not unrelated business income

Expenses attributable to income entered on line 5

Excess exempt expenses. Subtract line 5 from line 6, but do not enter more than the amount on line

4. Enter here and on Part II, line 12

4

5

6

Schedule A (Form 990-T) 2020

lines 5 through 7

5

6

Schedule A (Form 990-T) 2020 Page 4 Part IX **Advertising Income** Name(s) of periodical(s). Check box if reporting two or more periodicals on a consolidated basis. Α В С D Enter amounts for each periodical listed above in the corresponding column. В С D 2 Gross advertising income Add columns A through D. Enter here and on Part I, line 11, column (A) а Direct advertising costs by periodical 3 Add columns A through D. Enter here and on Part I, line 11, column (B) Advertising gain (loss). Subtract line 3 from line 2. For any column in line 4 showing a gain, complete lines 5 through 8. For any column in line 4 showing a loss or zero, do not complete lines 5 through 7, and enter zero on line 8 5 Readership costs 6 Circulation income Excess readership costs. If line 6 is less than line 5, subtract line 6 from line 5. If line 5 is less than line 6, enter zero Excess readership costs allowed as a deduction. For each column showing a gain on line 4, enter the lesser of line 4 or line 7 Add line 8, columns A through D. Enter the greater of the line 8a, columns total or zero here and on Part II, line 13 Compensation of Officers, Directors, and Trustees (see instructions) Part X 3. Percentage 4. Compensation 1. Name 2. Title attributable to of time devoted to business unrelated business (1) % % (2)% (3)(4) % 0. Total. Enter here and on Part II, line 1 Supplemental Information (see instructions)

FORM 990-T (A) INCOME (LOSS) FROM PARTNERSHIPS	STATEMENT 3
DESCRIPTION	NET INCOME OR (LOSS)
VENTURE INVESTMENT ASSOCIATES VI, LP - ORDINARY BUSINESS INCOME (LOSS) VENTURE INVESTMENT ASSOCIATES VI, LP - INTEREST INCOME VENTURE INVESTMENT ASSOCIATES VI, LP - DIVIDEND INCOME VENTURE INVESTMENT ASSOCIATES VI, LP - ROYALTIES VENTURE INVESTMENT ASSOCIATES VI, LP - OTHER INCOME (LOSS) PARK SQUARE - ORDINARY BUSINESS INCOME (LOSS) ARCLIGHT - ORDINARY BUSINESS INCOME (LOSS) ARCLIGHT - OTHER NET RENTAL INCOME (LOSS) ARCLIGHT - INTEREST INCOME PRIVATE EQUITY GLOBAL SELECT FUND III (U.S.) - ORDINARY BUSINESS INCOME (LOSS) PRIVATE EQUITY GLOBAL SELECT FUND III (U.S.) - INTEREST INCOME PRIVATE EQUITY GLOBAL SELECT FUND III (U.S.) - GUARANTEED PAYMENTS EIG ENERGY FUND XVI, LP - ORDINARY BUSINESS INCOME (LOSS) EIG ENERGY FUND XVI, LP - ROYALTIES EIG ENERGY FUND XVI, LP - OTHER PORTFOLIO INCOME (LOSS) EIG ENERGY FUND XVI, LP - OTHER PORTFOLIO INCOME (LOSS) EIG ENERGY FUND XVI, LP - OTHER INCOME (LOSS)	2,632. 17. 5. 103. -142. 39,392. -90,072. 1,219. 19. 7. 5. 42. 11,418. 190. 188. 411. 23,519.
FORM 990-T (A) OTHER DEDUCTIONS	STATEMENT 4
DESCRIPTION	AMOUNT
TAX RETURN PREPARATION FEES INVESTMENT MANAGEMENT FEES	2,500. 60,000.
TOTAL TO SCHEDULE A, PART II, LINE 14	62,500.

SCHEDULE D (Form 1120)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-RIC, 1120-SF, or certain Forms 990-T.
■ Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

Name

Employer identification number

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

13-1623829

Did the corporation dispose of any investme	ent(s) in a qualified opportun	ity fund during the tax ye	ear?		Yes X No
If "Yes," attach Form 8949 and see its instru					
Part I Short-Term Capital Ga	ins and Losses - Ass	ets Held One Year	or Less		
See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you	(d) Proceeds	(e) Cost	(g) Adjustments to ga or loss from Form(s) 89 Part I, line 2, column	49,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the
round off cents to whole dollars.	(sales price)	(or other basis)	Part I, line 2, column	(9)	result with column (g)
Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b					
1b Totals for all transactions reported on					
Form(s) 8949 with Box A checked					
2 Totals for all transactions reported on					
Form(s) 8949 with Box B checked					
3 Totals for all transactions reported on					
Form(s) 8949 with Box C checked					-3.
4 Short-term capital gain from installment sale:	s from Form 6252, line 26 or 37	,		4	
5 Short-term capital gain or (loss) from like-kir				5	
6 Unused capital loss carryover (attach comput				6	()
	7	-3.			
7 Net short-term capital gain or (loss). Combin Part II Long-Term Capital Ga	ins and Losses - Asse	ets Held More Thai	n One Year		
See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to ga or loss from Form(s) 89 Part II, line 2, column	49,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b					
8b Totals for all transactions reported on					
Form(s) 8949 with Box D checked					
9 Totals for all transactions reported on					
Form(s) 8949 with Box E checked					
10 Totals for all transactions reported on					
Form(s) 8949 with Box F checked					-98.
11 Enter gain from Form 4797, line 7 or 9				11	
12 Long-term capital gain from installment sale:	s from Form 6252, line 26 or 37			12	
13 Long-term capital gain or (loss) from like-kir	d exchanges from Form 8824			13	
14 Capital gain distributions				14	
15 Net long-term capital gain or (loss). Combin	e lines 8a through 14 in columr	ıh		15	-98.
Part III Summary of Parts I an	d II				
16 Enter excess of net short-term capital gain (li	ne 7) over net long-term capita	l loss (line 15)		16	
17 Net capital gain. Enter excess of net long-term				17	
18 Add lines 16 and 17. Enter here and on Form				18	0.
Note: If losses exceed gains, see Capital Lo					

LHA

Form

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to www.irs.gov/Form8949 for instructions and the latest information. File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Social security number or taxpayer identification no.

13-1623829

Before you check Box A, B, or C belo tatement will have the same informa	ation as Form 109	you received any 99-B. Either will s	v Form(s) 1099-B o show whether you	or substitute statem r basis (usually you	ent(s) fron r cost) was	n your broker. A su s reported to the IF	ibstitute RS by your
proker and may even tell you which be Part I Short-Term. Transacti	oox to check.		4			-\ FI	
Part I Short-Term. Transactions, see page 2.	ons involving capit	al assets you held	1 year or less are ge	nerally short-term (see	instruction	s). For long-term	
Note: You may aggregate all codes are required. Enter the	totals directly on S	Schedule D, line 1a	ı; you aren't required	to report these trans	actions on F	orm 8949 (see instru	ctions).
You must check Box A, B, or C below. (you have more short-term transactions than will							each applicable box.
(A) Short-term transactions rep							
(B) Short-term transactions rep		-	-	·		,	
X (C) Short-term transactions no	•	•	-				
(a)	(b)	(c)	(d)	(e)		nt, if any, to gain or	(h)
Description of property	Date acquired	Date sold or	Proceeds	Cost or other		ou enter an amount (g), enter a code in	Gain or (loss).
(Example: 100 sh. XYZ Co.)	(Mo., day, yr.)	disposed of	(sales price)	basis. See the	column (f). See instructions.	Subtract column (e)
		(Mo., day, yr.)		Note below and see Column (e) in	(f)	(g)	from column (d) & combine the result
				the instructions	Code(s)	Amount of adjustment	with column (g)
VENTURE INVESTMENT						aujustinent	(0)
ASSOCIATES VI, LP							<3.
ADDOCIATED VI, EL							\ <u>``</u>
2 Totals. Add the amounts in colur							
negative amounts). Enter each to		•					
Schedule D, line 1b (if Box A abo	• •	•					
above is checked), or line 3 (if B	ox C above is ch	necked)					<3.:

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Attachment Sequence No. 12A Form 8949 (2020)

Name(s) shown on return. Name and SSN or taxpayer identification no. not required if shown on page 1

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Social security number or taxpayer identification no. 13-1623829

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute

statement will have the same informa proker and may even tell you which b		99-B. Either will s	show whether you	r basis (usually you	r cost) was	reported to the IF	RS by your
Part II Long-Term. Transaction		al assets you held n	nore than 1 year are	generally long-term (s	ee instructi	ons). For short-term t	ransactions,
see page 1. Note: You may aggregate all codes are required. Enter the	long-term transact	tions reported on F	orm(s) 1099-B show	ing basis was reported	d to the IRS	and for which no ad	ustments or
You must check Box D, E, or F below. Of you have more long-term transactions than will	check only one bo	x. If more than one b	ox applies for your long	term transactions, compl	ete a separate	Form 8949, page 2, for	
(D) Long-term transactions rep					•		
(E) Long-term transactions rep	•	•	-	•		,	
X (F) Long-term transactions not							
(a)	(b)	(c)	(d)	(e)		nt, if any, to gain or	(h)
Description of property	Date acquired	Date sold or	Proceeds	Cost or other		ou enter an amount (g), enter a code in	Gain or (loss).
(Example: 100 sh. XYZ Co.)	(Mo., day, yr.)	disposed of	(sales price)	basis. See the Note below and	column (f). See instructions.	Subtract column (e) from column (d) &
		(Mo., day, yr.)		see Column (e) in	(f)	(g)	combine the result
				the instructions	Code(s)	Amount of adjustment	with column (g)
VENTURE INVESTMENT							
ASSOCIATES VI, LP							<98.
2 Totals. Add the amounts in colun	nns (d) (e) (d) a	nd (h) (subtract					
negative amounts). Enter each tot							
Schedule D, line 8b (if Box D abo							
above is checked), or line 10 (if B							<98.
10 .0 00000/, or into 10 (ii b			1				

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

SCHEDULE D (Form 1120)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-RIC, 1120-SF, or certain Forms 990-T.
■ Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

Yes X No

Name

Employer identification number

THE	AMERICA	NA	SOCIETY	FOR	THE	PREVENTION
OF	CRITELTY	TΩ	ANTMALS	3		

Did the corporation dispose of any investment(s) in a qualified opportunity fund during the tax year?

13-1623829

If "Yes," attach Form 8949 and see its instru			•			
Part I Short-Term Capital Ga	ins and Losses - Ass	ets Held One Year	or Less			
See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	Proceeds Cost or loss from Form(s) 894				
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b						
1b Totals for all transactions reported on						
Form(s) 8949 with Box A checked						
2 Totals for all transactions reported on						
Form(s) 8949 with Box B checked						
3 Totals for all transactions reported on						
Form(s) 8949 with Box C checked					-3.	
4 Short-term capital gain from installment sales				4		
5 Short-term capital gain or (loss) from like-kin	d exchanges from Form 8824			5		
6 Unused capital loss carryover (attach comput	6	(3,016.)				
7 Net short-term capital gain or (loss). Combin				7	-3,019.	
Part II Long-Term Capital Gai	ins and Losses - Ass	ets Heid More Thar	one Year		T	
See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to ga or loss from Form(s) 89 Part II, line 2, column	149,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (q)	
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b					(9)	
8b Totals for all transactions reported on						
Form(s) 8949 with Box D checked						
9 Totals for all transactions reported on						
Form(s) 8949 with Box E checked						
10 Totals for all transactions reported on						
Form(s) 8949 with Box F checked					-98.	
				11		
12 Long-term capital gain from installment sales				12		
13 Long-term capital gain or (loss) from like-kin	d exchanges from Form 8824			13 14		
	14 Capital gain distributions					
15 Net long-term capital gain or (loss). Combin	e lines 8a through 14 in colum	n h		15	-98.	

For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Note: If losses exceed gains, see Capital Losses in the instructions.

16 Enter excess of net short-term capital gain (line 7) over net long-term capital loss (line 15)

17 Net capital gain. Enter excess of net long-term capital gain (line 15) over net short-term capital loss (line 7)

18 Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the applicable line on other returns

Schedule D (Form 1120) 2020

0.

16

17

18

LHA

Form

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

► Go to www.irs.gov/Form8949 for instructions and the latest information. File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Social security number or taxpayer identification no.

13-1623829

Before you check Box A, B, or C belo tatement will have the same informa	ation as Form 109	you received any 99-B. Either will s	v Form(s) 1099-B o show whether you	or substitute statem r basis (usually you	ent(s) fron r cost) was	n your broker. A su s reported to the IF	ibstitute RS by your
proker and may even tell you which be Part I Short-Term. Transacti	oox to check.		4			-\ FI	
Part I Short-Term. Transactions, see page 2.	ons involving capit	al assets you held	1 year or less are ge	nerally short-term (see	instruction	s). For long-term	
Note: You may aggregate all codes are required. Enter the	totals directly on S	Schedule D, line 1a	ı; you aren't required	to report these trans	actions on F	orm 8949 (see instru	ctions).
You must check Box A, B, or C below. (you have more short-term transactions than will							each applicable box.
(A) Short-term transactions rep							
(B) Short-term transactions rep		-	-	·		,	
X (C) Short-term transactions no	•	•	-				
(a)	(b)	(c)	(d)	(e)		nt, if any, to gain or	(h)
Description of property	Date acquired	Date sold or	Proceeds	Cost or other		ou enter an amount (g), enter a code in	Gain or (loss).
(Example: 100 sh. XYZ Co.)	(Mo., day, yr.)	disposed of	(sales price)	basis. See the	column (f). See instructions.	Subtract column (e)
		(Mo., day, yr.)		Note below and see Column (e) in	(f)	(g)	from column (d) & combine the result
				the instructions	Code(s)	Amount of adjustment	with column (g)
VENTURE INVESTMENT						aujustinent	(0)
ASSOCIATES VI, LP							<3.
ADDOCIATED VI, EL							\ <u>``</u>
2 Totals. Add the amounts in colur							
negative amounts). Enter each to		•					
Schedule D, line 1b (if Box A abo	• •	•					
above is checked), or line 3 (if B	ox C above is ch	necked)					<3.:

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Attachment Sequence No. 12A Form 8949 (2020)

Name(s) shown on return. Name and SSN or taxpayer identification no. not required if shown on page 1

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS

Social security number or taxpayer identification no. 13-1623829

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute

statement will have the same informa proker and may even tell you which b		99-B. Either will s	show whether you	r basis (usually you	r cost) was	reported to the IF	RS by your
Part II Long-Term. Transaction		al assets you held n	nore than 1 year are	generally long-term (s	ee instructi	ons). For short-term t	ransactions,
see page 1. Note: You may aggregate all codes are required. Enter the	long-term transact	tions reported on F	orm(s) 1099-B show	ing basis was reported	d to the IRS	and for which no ad	ustments or
You must check Box D, E, or F below. Of you have more long-term transactions than will	check only one bo	x. If more than one b	ox applies for your long	term transactions, compl	ete a separate	Form 8949, page 2, for	
(D) Long-term transactions rep					•		
(E) Long-term transactions rep	•	•	-	•		,	
X (F) Long-term transactions not							
(a)	(b)	(c)	(d)	(e)		nt, if any, to gain or	(h)
Description of property	Date acquired	Date sold or	Proceeds	Cost or other		ou enter an amount (g), enter a code in	Gain or (loss).
(Example: 100 sh. XYZ Co.)	(Mo., day, yr.)	disposed of	(sales price)	basis. See the Note below and	column (f). See instructions.	Subtract column (e) from column (d) &
		(Mo., day, yr.)		see Column (e) in	(f)	(g)	combine the result
				the instructions	Code(s)	Amount of adjustment	with column (g)
VENTURE INVESTMENT							
ASSOCIATES VI, LP							<98.
2 Totals. Add the amounts in colun	nns (d) (e) (d) a	nd (h) (subtract					
negative amounts). Enter each tot							
Schedule D, line 8b (if Box D abo							
above is checked), or line 10 (if B							<98.
10 .0 0000d/, or into 10 (ii b			1				

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Department of the Treasury

Sales of Business Property

(Also Involuntary Conversions and Recapture Amounts Under Sections 179 and 280F(b)(2)) ► Attach to your tax return.

OMB No. 1545-0184

► Go to www.irs.gov/Form4797 for instructions and the latest information. Name(s) shown on return THE AMERICAN SOCIETY FOR THE PREVENTION

OF CRUELTY TO ANIMALS

13-1623829

1 Enter the gross proceeds from sales or exchanges reported to you for 2020 on Form(s) 1099-B or 1099-S (or substitute statement) that you are including on line 2, 10, or 20

Pa	art I Sales or Exchanges of					-	s From Other
	Than Casualty or Theft	-Most Prope	rty Held Mo	re Than 1 Year	(see	instructions)	
2	(a) Description of property	(b) Date acquired (mo., day, yr.)	(C) Date sold (mo., day, yr.)	(d) Gross sales price	(e) Depreciation allowed or allowable since acquisition	(f) Cost or other basis, plus improvements and expense of sale	(g) Gain or (loss) Subtract (f) from the sum of (d) and (e)
SI	EE STATEMENT 6						-13,155.
3	Gain, if any, from Form 4684, line 39)		•	•	3	
4	Section 1231 gain from installment s	sales from Form (6252, line 26 or	37		4	
5	Section 1231 gain or (loss) from like-						
6	Gain, if any, from line 32, from other						
7	Combine lines 2 through 6. Enter the						-13,155.
	Partnerships and S corporations.						_
	line 10, or Form 1120-S, Schedule K				,	,	
	Individuals, partners, S corporatio	n shareholders,	and all others.	If line 7 is zero or	a loss, enter the a	mount	
	from line 7 on line 11 below and skip						
	1231 losses, or they were recapture	,	,		ong-term capital ga	in on	
	the Schedule D filed with your return	n and skip lines 8	, 9, 11, and 12 l	pelow.			
8	Nonrecaptured net section 1231 los	ses from prior ye	ars. See instruc	tions		8	
9	Subtract line 8 from line 7. If zero or	less, enter -0 If	line 9 is zero, er				
	line 9 is more than zero, enter the ar	*	•	•			
	capital gain on the Schedule D filed	with your return.	See instructions	s		9	
D	art II Ordinary Gains and I	00000 (000 in	-t			•	
ГС	Ordinary dams and i	LUSSES (see in	structions)				
10	Ordinary gains and losses not include	led on lines 11 th	rough 16 (includ	de property held 1	year or less):		
11	Loss, if any, from line 7					11	(13,155.)
12	Gain, if any, from line 7 or amount fr	om line 8, if appl	icable			12	
13	Gain, if any, from line 31						
14	Net gain or (loss) from Form 4684, lin	nes 31 and 38a				14	
15	Ordinary gain from installment sales						
16	Ordinary gain or (loss) from like-kind						
17	Orandalia a Barra 40 Harranda 40					1.49	-13,155.
18	For all except individual returns, enter						
	a and b below. For individual returns				,		
а	If the loss on line 11 includes a loss fi	· ·		(b)(ii), enter that pa	rt of the loss here.	Enter the	
_	loss from income-producing property		·				
	as an employee.) Identify as from "Fo						,
k	Redetermine the gain or (loss) on line						
	(Form 1040), Part I, line 4					I	, [

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 4797 (2020)

Form 4797 (2020) OF CRUELTY TO ANIMALS

13-1623829

Page 2

9	(a) Description of section 1245, 1250, 1252, 1254, or 1255 property:						(b) Date acquired (c) (mo., day, yr.) (mo	
A								
В								
C								
D								
	These columns relate to the properties on lines 19A through 19D.	•	Property A	Prop	erty B	Property	С	Property D
)	Gross sales price (Note: See line 1 before completing.)	20						
	Cost or other basis plus expense of sale	21						
2	Depreciation (or depletion) allowed or allowable	22						
}	Adjusted basis. Subtract line 22 from line 21	23						
	Total gain. Subtract line 23 from line 20	24						
	If section 1245 property:							
	Depreciation allowed or allowable from line 22	25a						
	Enter the smaller of line 24 or 25a	25b				-		
	If section 1250 property: If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291.							
а	Additional depreciation after 1975. See instructions	26a						
	Applicable percentage multiplied by the smaller of line 24 or line 26a. See instructions	26b						
	Subtract line 26a from line 24. If residential rental property or line 24 isn't more than line 26a, skip lines 26d and 26e	26c						
	Additional depreciation after 1969 and before 1976	26d						
е	Enter the smaller of line 26c or 26d	26e						
f	Section 291 amount (corporations only)	26f						
	Add lines 26b, 26e, and 26f	26g						
	If section 1252 property: Skip this section if you didn't dispose of farmland or if this form is being completed for a partnership.							
	Soil, water, and land clearing expenses	27a						
	Line 27a multiplied by applicable percentage	27b						
	Enter the smaller of line 24 or 27b	27c						
а	If section 1254 property: Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion. See instructions	28a						
	Enter the smaller of line 24 or 28a	28b						
a	If section 1255 property: Applicable percentage of payments excluded from income under section 126. See instructions	29a						
	Enter the smaller of line 24 or 29a. See instructions	29b						
ın	nmary of Part III Gains. Complete property c	olumne	A through D through	line 20h he	foro going	r to line 30		
	Complete property c	Olullilis	- tillough b tillough	11116 230 06	iore going			
	Total gains for all properties. Add property columns	A throu	gh D, line 24				30	
	Add property columns A through D, lines 25b, 26g,	27c, 28k	o, and 29b. Enter he	e and on lin	e 13		31	
	Subtract line 31 from line 30. Enter the portion from	•	or theft on Form 46	884, line 33.	Enter the	portion		
	from other than casualty or theft on Form 4797, line	6	1 0005(1-)(0)	\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\			32	
aı	rt IV Recapture Amounts Under Section	ns 179	and 280F(b)(2)	When Bu	ısıness	Use Drops to	50% (or Less
	(see instructions)					T	<u> </u>	
						(a) Section	n	(b) Section
						179		280F(b)(2)
	Section 179 expense deduction or depreciation allo	wable in	prior years			1		
	Recomputed depreciation. See instructions				34	I	1	

SCHEDULE D	C2	STATEMENT 5		
	LOSS YEAR	ORIGINAL LOSS SUSTAINED	LOSS PREVIOUSLY APPLIED	LOSS REMAINING
	2015 2016 2017 2018			
	2019	3,016.		3,016.
CAPITAL LOSS	CARRYOVER TO	CURRENT TAXABLE YEA	R	3,016.

FORM 4797 PROPERTY HE		PERTY HELD	LD MORE THAN ONE YEAR		STATEMENT 6	
DESCRIPTION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPR.	COST OR BASIS	GAIN OR LOSS
VENTURE INVESTMENT ASSOCIATES VI, LP ARCLIGHT EIG ENERGY FUND						28. -2,882.
XVI, LP						-10,301.
TOTAL TO 4797, PA	RT I, LINE	2				-13,155.

Form **4797**

Department of the Treasury Internal Revenue Service

Sales of Business Property

(Also Involuntary Conversions and Recapture Amounts Under Sections 179 and 280F(b)(2))

► Attach to your tax return.

► Go to www.irs.gov/Form4797 for instructions and the latest information.

OMB No. 1545-0184 **2020**

Attachment Sequence No. 27

THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS 13-1623829 1 Enter the gross proceeds from sales or exchanges reported to you for 2020 on Form(s) 1099-B or 1099-S (or substitute statement) that you are including on line 2, 10, or 20 Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty or Theft-Most Property Held More Than 1 Year (see instructions) (e) Depreciation (f) Cost or other (g) Gain or (loss) (a) Description (b) Date acquired (c) Date sold (d) Gross sales 2 allowed or basis, plus Subtract (f) from the of property (mo., day, yr.) (mo., day, yr.) allowable since improvements and sum of (d) and (e) acquisition expense of sale Gain, if any, from Form 4684, line 39 3 3 Section 1231 gain from installment sales from Form 6252, line 26 or 37 4 4 Section 1231 gain or (loss) from like-kind exchanges from Form 8824 5 5 Gain, if any, from line 32, from other than casualty or theft 6 6 Combine lines 2 through 6. Enter the gain or (loss) here and on the appropriate line as follows -13,155.7 Partnerships and S corporations. Report the gain or (loss) following the instructions for Form 1065, Schedule K, line 10, or Form 1120-S, Schedule K, line 9. Skip lines 8, 9, 11, and 12 below. Individuals, partners, S corporation shareholders, and all others. If line 7 is zero or a loss, enter the amount from line 7 on line 11 below and skip lines 8 and 9. If line 7 is a gain and you didn't have any prior year section 1231 losses, or they were recaptured in an earlier year, enter the gain from line 7 as a long-term capital gain on the Schedule D filed with your return and skip lines 8, 9, 11, and 12 below. Nonrecaptured net section 1231 losses from prior years. See instructions SEE STATEMENT 7 8 8 Subtract line 8 from line 7. If zero or less, enter -0-. If line 9 is zero, enter the gain from line 7 on line 12 below. If line 9 is more than zero, enter the amount from line 8 on line 12 below and enter the gain from line 9 as a long-term capital gain on the Schedule D filed with your return. See instructions Part II Ordinary Gains and Losses (see instructions) Ordinary gains and losses not included on lines 11 through 16 (include property held 1 year or less): 13,155.) Loss, if any, from line 7 11 11 Gain, if any, from line 7 or amount from line 8, if applicable 12 12 13 Gain, if any, from line 31 13 Net gain or (loss) from Form 4684, lines 31 and 38a 14 14 Ordinary gain from installment sales from Form 6252, line 25 or 36 15 15 Ordinary gain or (loss) from like-kind exchanges from Form 8824 16 16 -13,155. Combine lines 10 through 16 17 17 For all except individual returns, enter the amount from line 17 on the appropriate line of your return and skip lines 18 a and b below. For individual returns, complete lines a and b below. a If the loss on line 11 includes a loss from Form 4684, line 35, column (b)(ii), enter that part of the loss here. Enter the loss from income-producing property on Schedule A (Form 1040), line 16. (Do not include any loss on property used

LHA For Paperwork Reduction Act Notice, see separate instructions.

as an employee.) Identify as from "Form 4797, line 18a." See instructions

b Redetermine the gain or (loss) on line 17 excluding the loss, if any, on line 18a. Enter here and on Schedule 1

Form **4797** (2020)

18a

18b

(Form 1040), Part I, line 4

Form 4797 (2020) OF CRUELTY TO ANIMALS

13-1623829

Page 2

9 (a) Description of section 1245, 1250, 1252, 1254, or 1255 property:						(b) Date acquired (mo., day, yr.)		(c) Date sold (mo., day, yr.)
A								
B								
C								
D								
	These columns relate to the properties on lines 19A through 19D.	•	Property A	Prop	erty B	Property	С	Property D
)	Gross sales price (Note: See line 1 before completing.)	20						
	Cost or other basis plus expense of sale	21						
2	Depreciation (or depletion) allowed or allowable \dots	22						
}	Adjusted basis. Subtract line 22 from line 21	23					\longrightarrow	
	Total gain. Subtract line 23 from line 20	24					\longrightarrow	
	If section 1245 property:							
	Depreciation allowed or allowable from line 22	25a						
	Enter the smaller of line 24 or 25a	25b						
i	If section 1250 property: If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291.							
а	Additional depreciation after 1975. See instructions	26a						
b	Applicable percentage multiplied by the smaller of line 24 or line 26a. See instructions	26b						
С	Subtract line 26a from line 24. If residential rental property or line 24 isn't more than line 26a, skip lines 26d and 26e	26c						
d	Additional depreciation after 1969 and before 1976	26d						
е	Enter the smaller of line 26c or 26d	26e						
f	Section 291 amount (corporations only)	26f						
	Add lines 26b, 26e, and 26f	26g						
	If section 1252 property: Skip this section if you didn't dispose of farmland or if this form is being completed for a partnership.							
	Soil, water, and land clearing expenses	27a						
	Line 27a multiplied by applicable percentage	27b						
	Enter the smaller of line 24 or 27b	27c						
	If section 1254 property: Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion. See instructions	28a						
	Enter the smaller of line 24 or 28a	28b						
а	If section 1255 property: Applicable percentage of payments excluded from income under section 126. See instructions	29a						
	Enter the smaller of line 24 or 29a. See instructions	29b						
ır	nmary of Part III Gains. Complete property c	olumne	A through D through	line 20h ha	fore going	a to line 30		
	Complete property c	Olullilis		11116 230 06	iore goni	g to line 50.		
	Total gains for all properties. Add property columns	A throug	gh D, line 24				30	
	Add property columns A through D, lines 25b, 26g,	27c, 28k	o, and 29b. Enter her	e and on lin	e 13		31	
	Subtract line 31 from line 30. Enter the portion from		y or theft on Form 46	84, line 33.	Enter the	portion		
	from other than casualty or theft on Form 4797, line	6	1.000=(1.1/0)				32	
a	rt IV Recapture Amounts Under Sectio	ns 179	and 280F(b)(2)	When Bu	ısiness	Use Drops to	• 50% c	or Less
	(see instructions)					T		
						(a) Section	1	(b) Section
	<u></u>							280F(b)(2)
	Section 179 expense deduction or depreciation allo	wable in	prior years					
	Recomputed depreciation. See instructions				34			

FORM 4797 NONRE	CAPTURED NET SECTI FROM PRIOR Y	STATEMENT 7	
TAX YEAR	SECTION 1231 LOSSES	SECTION 1231 LOSSES RECAPTURED	NONRECAPTURED SECTION 1231 LOSSES
2015 2016 2017 2018	0. 0. 0.	0. 0. 0.	05.506
2019 TOTAL TO FORM 4797, LINE 8	25,736. 25,736.		25,736. 25,736.