

Form **990**Department of the Treasury  
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**Open to Public  
Inspection**A** For the 2016 calendar year, or tax year beginning and ending**B** Check if applicable:

- ☐ Address change  
☐ Name change  
☐ Initial return  
☐ Final return/terminated  
☐ Amended return  
☐ Application pending

**C** Name of organization  
**THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

Doing business as

Number and street (or P.O. box if mail is not delivered to street address)

**424 EAST 92ND STREET**

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

**NEW YORK, NY 10128-6804****F** Name and address of principal officer: **MATTHEW BERSHADKER**  
**SAME AS C ABOVE****D** Employer identification number**13-1623829****E** Telephone number**212-876-7700****G** Gross receipts \$ **235,898,045.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

**H(c)** Group exemption number ▶**I** Tax-exempt status: ☒ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: **WWW.ASPCA.ORG****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other ▶**L** Year of formation: **1866** **M** State of legal domicile: **NY****Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: <b>TO ENSURE SAFETY &amp; PROTECTION OF ANIMALS THROUGH AN ARRAY OF SERVICES INCLUDING EDUCATION.</b>		
	2	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3	Number of voting members of the governing body (Part VI, line 1a)	3	16
	4	Number of independent voting members of the governing body (Part VI, line 1b)	4	15
	5	Total number of individuals employed in calendar year 2016 (Part V, line 2a)	5	1177
	6	Total number of volunteers (estimate if necessary)	6	1202
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	37,048.
7b	Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	182,705,546.	197,064,307.
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	15,914,207.	13,639,438.
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	4,950,299.	4,009,401.
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	3,356,257.	2,685,067.
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	206,926,309.	217,398,213.
	14	Benefits paid to or for members (Part IX, column (A), line 4)	12,422,325.	14,144,477.
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	0.	0.
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	74,621,168.	79,441,600.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	997,179.	1,745,019.
Expenses	b	Total fundraising expenses (Part IX, column (D), line 25) ▶	40,257,034.	
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	102,042,275.	113,103,532.
	19	Revenue less expenses. Subtract line 18 from line 12	190,082,947.	208,434,628.
	20	Total assets (Part X, line 16)	16,843,362.	8,963,585.
Net Assets or Fund Balances	21	Total liabilities (Part X, line 26)	Beginning of Current Year	End of Year
	22	Net assets or fund balances. Subtract line 21 from line 20	247,028,147.	262,438,013.
			32,973,212.	31,083,287.
			214,054,935.	231,354,726.

**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer	Date
	<b>PETER ACCINNO, INTERIM CFO</b>	<b>10/16/17</b>
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature
	<b>JULIUS C. GREEN, CPA</b>	<b>10/31/17</b>
	Firm's name ▶ <b>BAKER TILLY VIRCHOW KRAUSE, LLP</b>	Check if self-employed <input checked="" type="checkbox"/> PTIN <b>P00350393</b>
	Firm's address ▶ <b>1650 MARKET STREET, SUITE 4500</b>	Firm's EIN ▶ <b>39-0859910</b>
	<b>PHILADELPHIA, PA 19103-7341</b>	Phone no. <b>215.972.0701</b>

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

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**Part III** Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III ☒ **X**

- 1 Briefly describe the organization's mission:

SEE SCHEDULE O

- 2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

- 3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

- 4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code: ) (Expenses \$ 45,521,288. including grants of \$ ) (Revenue \$ 13,639,438. )  
SEE SCHEDULE O

4b (Code: ) (Expenses \$ 41,871,379. including grants of \$ ) (Revenue \$ )  
SEE SCHEDULE O

4c (Code: ) (Expenses \$ 28,136,347. including grants of \$ ) (Revenue \$ )  
SEE SCHEDULE O

- 4d Other program services (Describe in Schedule O.)

(Expenses \$ 41,915,637. including grants of \$ 14,144,477. ) (Revenue \$ )

4e Total program service expenses 157,444,651.

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**Part IV Checklist of Required Schedules**

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	<b>X</b>	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ?		<b>X</b>
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		<b>X</b>
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i>	<b>X</b>	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i>		<b>X</b>
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>		<b>X</b>
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		<b>X</b>
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		<b>X</b>
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		<b>X</b>
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>	<b>X</b>	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i>	<b>X</b>	
b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i>	<b>X</b>	
c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		<b>X</b>
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>	<b>X</b>	
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i>	<b>X</b>	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i>	<b>X</b>	
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i>		<b>X</b>
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i>	<b>X</b>	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		<b>X</b>
14a Did the organization maintain an office, employees, or agents outside of the United States?	<b>X</b>	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i>	<b>X</b>	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i>		<b>X</b>
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i>		<b>X</b>
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>	<b>X</b>	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	<b>X</b>	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		<b>X</b>

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**Part IV Checklist of Required Schedules** *(continued)*

	Yes	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H		X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	X	
22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	X	
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a		X
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I		X
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If "Yes," complete Schedule L, Part II		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
b A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV		X
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV		X
29 Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	X	
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I		X
34 Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	X	
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2		
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	X	

**Note.** All Form 990 filers are required to complete Schedule O

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**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
<b>1a</b> Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a 289		
<b>b</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0		
<b>c</b> Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		X	
<b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 1177		
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)		X	
<b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year?		X	
<b>b</b> If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O		X	
<b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			X
<b>b</b> If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
<b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			X
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			X
<b>c</b> If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			
<b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			X
<b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>			
<b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X	
<b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided?		X	
<b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			X
<b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year	7d		
<b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			X
<b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			X
<b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			
<b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			
<b>8 Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?			
<b>9 Sponsoring organizations maintaining donor advised funds.</b>			
<b>a</b> Did the sponsoring organization make any taxable distributions under section 4966?			
<b>b</b> Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?			
<b>10 Section 501(c)(7) organizations.</b> Enter:			
<b>a</b> Initiation fees and capital contributions included on Part VIII, line 12	10a		
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b		
<b>11 Section 501(c)(12) organizations.</b> Enter:			
<b>a</b> Gross income from members or shareholders	11a		
<b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?			
<b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b		
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>			
<b>a</b> Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.			
<b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b		
<b>c</b> Enter the amount of reserves on hand	13c		
<b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year?			X
<b>b</b> If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O			

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**Part VI Governance, Management, and Disclosure**

For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

**Section A. Governing Body and Management**

	1a	1b	2	3	4	5	6	7a	7b	8a	8b	9	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year	16													
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.														
b Enter the number of voting members included in line 1a, above, who are independent		15												
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?			X											
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?				X										
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?					X									
5 Did the organization become aware during the year of a significant diversion of the organization's assets?						X								
6 Did the organization have members or stockholders?							X							
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?								X						
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?									X					
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:														
a The governing body?										X				
b Each committee with authority to act on behalf of the governing body?										X				
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O												X		

**Section B. Policies** (This Section B requests information about policies not required by the Internal Revenue Code.)

	10a	10b	11a	12a	12b	12c	13	14	15a	15b	16a	16b	Yes	No
10a Did the organization have local chapters, branches, or affiliates?														X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?														
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?			X											
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.														
12a Did the organization have a written conflict of interest policy? If "No," go to line 13				X										
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?					X									
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done						X								
13 Did the organization have a written whistleblower policy?							X							
14 Did the organization have a written document retention and destruction policy?								X						
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?														
a The organization's CEO, Executive Director, or top management official									X					
b Other officers or key employees of the organization										X				
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).														
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?												X		
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?														

**Section C. Disclosure**

17 List the states with which a copy of this Form 990 is required to be filed **AL, AK, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☒ Own website ☒ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, address, and telephone number of the person who possesses the organization's books and records: **PETER ACCINNO - 212-876-7700**  
**520 EIGHTH AVENUE, NEW YORK, NY 10018**

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**Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII ☐

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) FREDERICK TANNE CHAIRPERSON	1.00 0.00	X		X				0.	0.	0.
(2) SALLY SPOONER VICE CHAIRPERSON	1.00 0.00	X		X				0.	0.	0.
(3) CATHY WALLACH SECRETARY	1.00 0.00	X		X				0.	0.	0.
(4) DODIE GUMAER TREASURER	1.00 0.00	X		X				0.	0.	0.
(5) TIM F. WRAY DIRECTOR	3.00 0.00	X						0.	0.	0.
(6) HELEN S.C. PILKINGTON DIRECTOR	1.00 0.00	X						0.	0.	0.
(7) ARRIANA BOARDMAN DIRECTOR	1.00 0.00	X						0.	0.	0.
(8) C. ALLEN PARKER DIRECTOR	1.00 0.00	X						0.	0.	0.
(9) GEORGINA BLOOMBERG DIRECTOR	1.00 0.00	X						0.	0.	0.
(10) JANE W. PARVER DIRECTOR	1.00 0.00	X						0.	0.	0.
(11) JEFF PFEIFLE DIRECTOR	1.00 0.00	X						0.	0.	0.
(12) LINDA LLOYD LAMBERT DIRECTOR	1.00 0.00	X						0.	0.	0.
(13) MARTIN PURIS DIRECTOR	1.00 0.00	X						0.	0.	0.
(14) SCOTT THIEL DIRECTOR	1.00 0.00	X						0.	0.	0.
(15) TRACY V. MAITLAND DIRECTOR	1.00 0.00	X						0.	0.	0.
(16) MATTHEW BERSHADKER PRESIDENT & CEO	65.00 2.00	X		X				437,390.	0.	26,261.
(17) JOHANNA RICHMAN SVP & CFO TO 10/21/16	50.00 0.00			X				189,067.	0.	35,116.

**THE AMERICAN SOCIETY FOR THE PREVENTION  
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**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) ELIZABETH ESTROFF SVP, COMMUNICATIONS	50.00 0.00				X			291,958.	0.	54,564.
(19) TODD HENDRICKS SVP, DEV. & MARKETING	50.00 0.00				X			294,295.	0.	50,371.
(20) SARAH LEVIN GOODSTINE SVP, OPERATIONS & STRATEGY	50.00 0.00				X			250,205.	0.	53,716.
(21) BEVERLY JONES SVP, CHIEF LEGAL OFFICER	50.00 0.00				X			215,176.	0.	43,244.
(22) JULIE MORRIS SVP, COMMUNITY OUTREACH	50.00 0.00				X			285,581.	0.	33,317.
(23) JED ROGERS III, DVM SVP, ANIMAL HEALTH SVCS TO 9/9/16	50.00 0.00				X			255,009.	0.	36,197.
(24) BERT TROUGHTON SVP, ANIMAL HEALTH SERVICES	50.00 0.00				X			212,780.	0.	37,189.
(25) STACY WOLF SVP, ANTI-CRUELTY GROUP	50.00 0.00				X			252,706.	0.	29,929.
(26) J'MAI GAYLE DIRECTOR, SURGERY	50.00 0.00					X		275,109.	0.	49,032.
<b>1b Sub-total</b>								2,959,276.	0.	448,936.
<b>c Total from continuation sheets to Part VII, Section A</b>								940,560.	0.	136,846.
<b>d Total (add lines 1b and 1c)</b>								3,899,836.	0.	585,782.

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 131

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual		X
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
EAGLE-COM, INC., 110 EGLINGTON AVENUE EAST, STE 604, TORONTO, ONTARIO, M4P 1E	MEDIA BROADCAST	20,710,103.
TRUE NORTH, INC, 630 THIRD AVENUE, 12TH FLOOR, NEW YORK, NY 10017	MEDIA PLACEMENT	8,547,578.
SMS DIRECT, INC., 8461 VIRGINIA MEADOWS DR, MANASSAS, VA 20109	PRINTING SERVICES	4,047,830.
FORUM SERVICES GROUP, INC. 260 MADISON AVENUE, NEW YORK, NY 10016	STAFFING & CONSULTING SERVICES	3,819,434.
PATTON KIEHL P.O. BOX 590, THORNBURG, VA 22565	DATA PROCESSING	3,407,809.

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 161

**SEE PART VII, SECTION A CONTINUATION SHEETS**

Form 990 (2016)



## Form 990

## Part VII

[illegible]

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**Part VIII Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
<b>Contributions, Gifts, Grants and Other Similar Amounts</b>	<b>1 a</b> Federated campaigns	<b>1a</b>	1,168,761.				
	<b>b</b> Membership dues	<b>1b</b>					
	<b>c</b> Fundraising events	<b>1c</b>	1,585,566.				
	<b>d</b> Related organizations	<b>1d</b>					
	<b>e</b> Government grants (contributions)	<b>1e</b>					
	<b>f</b> All other contributions, gifts, grants, and similar amounts not included above	<b>1f</b>	194,309,980.				
	<b>g</b> Noncash contributions included in lines 1a-1f: \$		1,081,054.				
	<b>h</b> <b>Total.</b> Add lines 1a-1f			197,064,307.			
<b>Program Service Revenue</b>	<b>Business Code</b>						
	<b>2 a</b> ANIMAL POISON CONTROL CENTER	900000	8,235,150.	8,235,150.			
	<b>b</b> ANIMAL HOSPITAL FEES	900000	2,995,053.	2,995,053.			
	<b>c</b> MOBILE CLINIC VET. & CLINIC REVEN	900000	2,023,083.	2,023,083.			
	<b>d</b> ADOPTION CENTER FEES	900000	386,152.	386,152.			
	<b>e</b>						
	<b>f</b> All other program service revenue						
	<b>g</b> <b>Total.</b> Add lines 2a-2f			13,639,438.			
<b>Other Revenue</b>	<b>3</b> Investment income (including dividends, interest, and other similar amounts)		2,299,268.			2,299,268.	
	<b>4</b> Income from investment of tax-exempt bond proceeds						
	<b>5</b> Royalties		2,289,369.			2,289,369.	
	<b>6 a</b> Gross rents	(i) Real (ii) Personal					
	<b>b</b> Less: rental expenses						
	<b>c</b> Rental income or (loss)						
	<b>d</b> Net rental income or (loss)						
	<b>7 a</b> Gross amount from sales of assets other than inventory	(i) Securities (ii) Other	19,290,197.				
	<b>b</b> Less: cost or other basis and sales expenses		17,580,064.				
	<b>c</b> Gain or (loss)		1,710,133.				
	<b>d</b> Net gain or (loss)		1,710,133.			1,710,133.	
	<b>8 a</b> Gross income from fundraising events (not including \$ 1,585,566. of contributions reported on line 1c). See Part IV, line 18	<b>a</b>	1,024,655.				
	<b>b</b> Less: direct expenses	<b>b</b>	919,768.				
	<b>c</b> Net income or (loss) from fundraising events		104,887.			104,887.	
	<b>9 a</b> Gross income from gaming activities. See Part IV, line 19	<b>a</b>					
	<b>b</b> Less: direct expenses	<b>b</b>					
	<b>c</b> Net income or (loss) from gaming activities						
	<b>10 a</b> Gross sales of inventory, less returns and allowances	<b>a</b>					
<b>b</b> Less: cost of goods sold	<b>b</b>						
<b>c</b> Net income or (loss) from sales of inventory							
<b>Miscellaneous Revenue</b>			<b>Business Code</b>				
<b>11 a</b> LIST SALES	900099	253,763.			253,763.		
<b>b</b> INCOME FROM K-1 ACTIVITY	900099	35,116.		35,116.			
<b>c</b> GAIN FROM K-1 ACTIVITY	900099	1,932.		1,932.			
<b>d</b> All other revenue							
<b>e</b> <b>Total.</b> Add lines 11a-11d		290,811.					
<b>12</b> <b>Total revenue.</b> See instructions.			217,398,213.	13,639,438.	37,048.	6,657,420.	

**THE AMERICAN SOCIETY FOR THE PREVENTION  
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**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21	14,144,477.	14,144,477.		
2 Grants and other assistance to domestic individuals. See Part IV, line 22				
3 Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	3,084,071.	2,591,957.	227,455.	264,659.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	56,729,163.	47,677,090.	4,183,867.	4,868,206.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	3,081,019.	2,660,062.	184,997.	235,960.
9 Other employee benefits	11,946,371.	10,302,896.	724,033.	919,442.
10 Payroll taxes	4,600,976.	3,966,257.	279,902.	354,817.
11 Fees for services (non-employees):				
a Management	543,888.	393,464.	113,901.	36,523.
b Legal	1,026,087.	247,399.	488,953.	289,735.
c Accounting	538,988.	5,819.	527,350.	5,819.
d Lobbying	348,275.	348,275.		
e Professional fundraising services. See Part IV, line 17	1,745,019.			1,745,019.
f Investment management fees	733,036.		733,036.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	12,051,612.	9,901,466.	203,358.	1,946,788.
12 Advertising and promotion	34,050,538.	19,299,968.	281,983.	14,468,587.
13 Office expenses	18,263,506.	11,022,579.	343,919.	6,897,008.
14 Information technology	12,147,236.	5,261,428.	574,855.	6,310,953.
15 Royalties				
16 Occupancy	5,392,097.	3,895,024.	730,272.	766,801.
17 Travel	572,213.	571,379.	817.	17.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	6,665,779.	6,249,775.	143,970.	272,034.
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	5,400,029.	4,202,910.	657,199.	539,920.
23 Insurance	1,093,500.	913,921.	102,395.	77,184.
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a <b>VETERINARY &amp; MEDICAL SE</b>	9,038,472.	9,038,472.		
b <b>OPERATING SUPPLIES</b>	3,216,652.	3,031,897.	58,878.	125,877.
c <b>REPAIRS AND MAINTENANCE</b>	1,587,430.	1,395,909.	126,549.	64,972.
d <b>DUE/MEMBERSHIPS</b>	143,759.	108,318.	11,054.	24,387.
e All other expenses	290,435.	213,909.	34,200.	42,326.
25 Total functional expenses. Add lines 1 through 24e	208,434,628.	157,444,651.	10,732,943.	40,257,034.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				
Check here <input checked="" type="checkbox"/> if following SOP 98-2 (ASC 958-720)	64,668,715.	33,182,861.	497,339.	30,988,515.

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

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**Part X Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b> Cash - non-interest-bearing .....	20,972,302.	1	16,518,289.
	<b>2</b> Savings and temporary cash investments .....	3,270,438.	2	11,955,496.
	<b>3</b> Pledges and grants receivable, net .....	10,997,247.	3	13,363,334.
	<b>4</b> Accounts receivable, net .....	8,995,705.	4	6,344,338.
	<b>5</b> Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L .....		5	
	<b>6</b> Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L .....		6	
	<b>7</b> Notes and loans receivable, net .....		7	
	<b>8</b> Inventories for sale or use .....		8	
	<b>9</b> Prepaid expenses and deferred charges .....	2,160,548.	9	2,028,296.
	<b>10a</b> Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D .....	90,434,220.		
	<b>b</b> Less: accumulated depreciation .....	40,908,923.		
		51,418,168.	10c	49,525,297.
	<b>11</b> Investments - publicly traded securities .....	83,741,279.	11	91,617,401.
	<b>12</b> Investments - other securities. See Part IV, line 11 .....	46,514,161.	12	52,007,844.
	<b>13</b> Investments - program-related. See Part IV, line 11 .....		13	
	<b>14</b> Intangible assets .....		14	
<b>15</b> Other assets. See Part IV, line 11 .....	18,958,299.	15	19,077,718.	
<b>16</b> <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) .....	247,028,147.	16	262,438,013.	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses .....	12,895,044.	17	11,588,929.
	<b>18</b> Grants payable .....	3,357,868.	18	2,115,468.
	<b>19</b> Deferred revenue .....		19	
	<b>20</b> Tax-exempt bond liabilities .....		20	
	<b>21</b> Escrow or custodial account liability. Complete Part IV of Schedule D .....		21	
	<b>22</b> Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L .....		22	
	<b>23</b> Secured mortgages and notes payable to unrelated third parties .....		23	
	<b>24</b> Unsecured notes and loans payable to unrelated third parties .....		24	
	<b>25</b> Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D .....	16,720,300.	25	17,378,890.
	<b>26</b> <b>Total liabilities.</b> Add lines 17 through 25 .....	32,973,212.	26	31,083,287.
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.</b>			
	<b>27</b> Unrestricted net assets .....	141,439,635.	27	161,673,149.
	<b>28</b> Temporarily restricted net assets .....	47,721,885.	28	44,634,468.
	<b>29</b> Permanently restricted net assets .....	24,893,415.	29	25,047,109.
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.</b>			
	<b>30</b> Capital stock or trust principal, or current funds .....		30	
	<b>31</b> Paid-in or capital surplus, or land, building, or equipment fund .....		31	
	<b>32</b> Retained earnings, endowment, accumulated income, or other funds .....		32	
<b>33</b> <b>Total net assets or fund balances</b> .....	214,054,935.	33	231,354,726.	
<b>34</b> <b>Total liabilities and net assets/fund balances</b> .....	247,028,147.	34	262,438,013.	

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**THE AMERICAN SOCIETY FOR THE PREVENTION  
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**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	217,398,213.
2	Total expenses (must equal Part IX, column (A), line 25)	2	208,434,628.
3	Revenue less expenses. Subtract line 2 from line 1	3	8,963,585.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	214,054,935.
5	Net unrealized gains (losses) on investments	5	8,852,755.
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-516,549.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	231,354,726.

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

Form 990 (2016)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Name of the organization **THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS** Employer identification number **13-1623829**

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E (Form 990 or 990-EZ).)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: \_\_\_\_\_
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An agricultural research organization described in **section 170(b)(1)(A)(ix)** operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: \_\_\_\_\_
- 10 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 11 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g.
- a ☐ **Type I.** A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. **You must complete Part IV, Sections A and B.**
- b ☐ **Type II.** A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). **You must complete Part IV, Sections A and C.**
- c ☐ **Type III functionally integrated.** A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). **You must complete Part IV, Sections A, D, and E.**
- d ☐ **Type III non-functionally integrated.** A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). **You must complete Part IV, Sections A and D, and Part V.**
- e ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.
- f Enter the number of supported organizations \_\_\_\_\_
- g Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-10 above (see instructions))	(iv) Is the organization listed in your governing document?		(v) Amount of monetary support (see instructions)	(vi) Amount of other support (see instructions)
			Yes	No		
<b>Total</b>						

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**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	137616740	144513028	163600103	182705546	197064307	825499724
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 <b>Total.</b> Add lines 1 through 3	137616740	144513028	163600103	182705546	197064307	825499724
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 <b>Public support.</b> Subtract line 5 from line 4.						825499724

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
7 Amounts from line 4	137616740	144513028	163600103	182705546	197064307	825499724
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	5228468.	4567926.	5093532.	5222599.	4588637.	24701162.
9 Net income from unrelated business activities, whether or not the business is regularly carried on					37,048.	37,048.
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)	2492883.	1680318.	2372646.	396,241.	253,763.	7195851.
11 <b>Total support.</b> Add lines 7 through 10						857433785
12 Gross receipts from related activities, etc. (see instructions)					12	73,121,529.

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3)

organization, check this box and **stop here** ☐

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))	14	96.28	%
15 Public support percentage from 2015 Schedule A, Part II, line 14	15	95.36	%
16a <b>33 1/3% support test - 2016.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization	<input checked="" type="checkbox"/>		
b <b>33 1/3% support test - 2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
17a <b>10% -facts-and-circumstances test - 2016.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
b <b>10% -facts-and-circumstances test - 2015.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part VI how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	<input type="checkbox"/>		

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**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") .....						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose .....						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513 .....						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf .....						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge .....						
<b>6 Total.</b> Add lines 1 through 5 .....						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons .....						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year .....						
<b>c</b> Add lines 7a and 7b .....						
<b>8 Public support.</b> (Subtract line 7c from line 6.) .....						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2012	(b) 2013	(c) 2014	(d) 2015	(e) 2016	(f) Total
<b>9</b> Amounts from line 6 .....						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources .....						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 .....						
<b>c</b> Add lines 10a and 10b .....						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on .....						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.) .....						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12.) .....						

**14 First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ..... ☐

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f)) .....	<b>15</b>	%
<b>16</b> Public support percentage from 2015 Schedule A, Part III, line 15 .....	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f)) .....	<b>17</b>	%
<b>18</b> Investment income percentage from 2015 Schedule A, Part III, line 17 .....	<b>18</b>	%

**19a 33 1/3% support tests - 2016.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ..... ☐

**b 33 1/3% support tests - 2015.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ..... ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ..... ☐



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**Part IV Supporting Organizations**

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

**Section A. All Supporting Organizations**

	Yes	No
1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.		
2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).		
3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.		
b Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in Part VI when and how the organization made the determination.		
c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.		
4a Was any supported organization not organized in the United States ("foreign supported organization")? If "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.		
b Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.		
c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.		
5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).		
b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document?		
c Substitutions only. Was the substitution the result of an event beyond the organization's control?		
6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.		
7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).		
9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.		
b Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in Part VI.		
c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.		
10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.		
b Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)		

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**Part IV Supporting Organizations** (continued)

**11** Has the organization accepted a gift or contribution from any of the following persons?

**a** A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization?

**b** A family member of a person described in (a) above?

**c** A 35% controlled entity of a person described in (a) or (b) above? *If "Yes" to a, b, or c, provide detail in Part VI.*

	Yes	No
<b>11a</b>		
<b>11b</b>		
<b>11c</b>		

**Section B. Type I Supporting Organizations**

**1** Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? *If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.*

**2** Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? *If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.*

	Yes	No
<b>1</b>		
<b>2</b>		

**Section C. Type II Supporting Organizations**

**1** Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? *If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s).*

	Yes	No
<b>1</b>		

**Section D. All Type III Supporting Organizations**

**1** Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided?

**2** Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? *If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s).*

**3** By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? *If "Yes," describe in Part VI the role the organization's supported organizations played in this regard.*

	Yes	No
<b>1</b>		
<b>2</b>		
<b>3</b>		

**Section E. Type III Functionally Integrated Supporting Organizations**

**1** Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).

**a** ☐ The organization satisfied the Activities Test. Complete line 2 below.

**b** ☐ The organization is the parent of each of its supported organizations. Complete line 3 below.

**c** ☐ The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

**2** Activities Test. Answer (a) and (b) below.

**a** Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? *If "Yes," then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.*

**b** Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? *If "Yes," explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.*

**3** Parent of Supported Organizations. Answer (a) and (b) below.

**a** Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? *Provide details in Part VI.*

**b** Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? *If "Yes," describe in Part VI the role played by the organization in this regard.*

	Yes	No
<b>2a</b>		
<b>2b</b>		
<b>3a</b>		
<b>3b</b>		

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**Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations**

- 1 ☐ Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI.) See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

<b>Section A - Adjusted Net Income</b>		(A) Prior Year	(B) Current Year (optional)
1	Net short-term capital gain	1	
2	Recoveries of prior-year distributions	2	
3	Other gross income (see instructions)	3	
4	Add lines 1 through 3	4	
5	Depreciation and depletion	5	
6	Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)	6	
7	Other expenses (see instructions)	7	
8	<b>Adjusted Net Income</b> (subtract lines 5, 6, and 7 from line 4)	8	

<b>Section B - Minimum Asset Amount</b>		(A) Prior Year	(B) Current Year (optional)
1	Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):		
a	Average monthly value of securities	1a	
b	Average monthly cash balances	1b	
c	Fair market value of other non-exempt-use assets	1c	
d	<b>Total</b> (add lines 1a, 1b, and 1c)	1d	
e	Discount claimed for blockage or other factors (explain in detail in Part VI):		
2	Acquisition indebtedness applicable to non-exempt-use assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5	
6	Multiply line 5 by .035	6	
7	Recoveries of prior-year distributions	7	
8	<b>Minimum Asset Amount</b> (add line 7 to line 6)	8	

<b>Section C - Distributable Amount</b>		Current Year	
1	Adjusted net income for prior year (from Section A, line 8, Column A)	1	
2	Enter 85% of line 1	2	
3	Minimum asset amount for prior year (from Section B, line 8, Column A)	3	
4	Enter greater of line 2 or line 3	4	
5	Income tax imposed in prior year	5	
6	<b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions)	6	

- 7 ☐ Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

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**Part V** Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)

**Section D - Distributions**

	Current Year
1 Amounts paid to supported organizations to accomplish exempt purposes	
2 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity	
3 Administrative expenses paid to accomplish exempt purposes of supported organizations	
4 Amounts paid to acquire exempt-use assets	
5 Qualified set-aside amounts (prior IRS approval required)	
6 Other distributions (describe in Part VI). See instructions	
7 <b>Total annual distributions.</b> Add lines 1 through 6	
8 Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions	
9 Distributable amount for 2016 from Section C, line 6	
10 Line 8 amount divided by Line 9 amount	

Section E - Distribution Allocations (see instructions)	(i) Excess Distributions	(ii) Underdistributions Pre-2016	(iii) Distributable Amount for 2016
1 Distributable amount for 2016 from Section C, line 6			
2 Underdistributions, if any, for years prior to 2016 (reasonable cause required- explain in Part VI). See instructions			
3 Excess distributions carryover, if any, to 2016:			
a			
b			
c From 2013			
d From 2014			
e From 2015			
f <b>Total</b> of lines 3a through e			
g Applied to underdistributions of prior years			
h Applied to 2016 distributable amount			
i Carryover from 2011 not applied (see instructions)			
j Remainder. Subtract lines 3g, 3h, and 3i from 3f.			
4 Distributions for 2016 from Section D, line 7: \$			
a Applied to underdistributions of prior years			
b Applied to 2016 distributable amount			
c Remainder. Subtract lines 4a and 4b from 4			
5 Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions			
6 Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions			
7 <b>Excess distributions carryover to 2017.</b> Add lines 3j and 4c			
8 Breakdown of line 7:			
a			
b Excess from 2013			
c Excess from 2014			
d Excess from 2015			
e Excess from 2016			

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**Part VI**

**Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.  
(See instructions.)

**SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME:**

**LIST RENTALS**

2012 AMOUNT: \$ 350,780.

2013 AMOUNT: \$ 360,693.

2015 AMOUNT: \$ 368,475.

2016 AMOUNT: \$ 253,763.

**FUNDRAISING EVENTS**

2012 AMOUNT: \$ 2,118,713.

2013 AMOUNT: \$ 1,108,668.

**MISCELLANEOUS**

2012 AMOUNT: \$ 23,390.

2013 AMOUNT: \$ 210,957.

2014 AMOUNT: \$ 2,372,646.

2015 AMOUNT: \$ 27,766.

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

- ▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization	THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS	Employer identification number	13-1623829
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**Part I-A** Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.

2 Political campaign activity expenditures ..... ▶ \$

3 Volunteer hours for political campaign activities .....

**Part I-B** Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ..... ▶ \$

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ..... ▶ \$

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ..... ☐ Yes ☐ No

4a Was a correction made? ..... ☐ Yes ☐ No

b If "Yes," describe in Part IV.

**Part I-C** Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ..... ▶ \$

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527  
exempt function activities ..... ▶ \$

3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL,  
line 17b ..... ▶ \$

4 Did the filing organization file Form 1120-POL for this year? ..... ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

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**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
<b>1a</b> Total lobbying expenditures to influence public opinion (grass roots lobbying) .....														
<b>b</b> Total lobbying expenditures to influence a legislative body (direct lobbying) .....														
<b>c</b> Total lobbying expenditures (add lines 1a and 1b) .....														
<b>d</b> Other exempt purpose expenditures .....														
<b>e</b> Total exempt purpose expenditures (add lines 1c and 1d) .....														
<b>f</b> Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
<b>g</b> Grassroots nontaxable amount (enter 25% of line 1f) .....														
<b>h</b> Subtract line 1g from line 1a. If zero or less, enter -0- .....														
<b>i</b> Subtract line 1f from line 1c. If zero or less, enter -0- .....														
<b>j</b> If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? .....	<input type="checkbox"/> Yes <input type="checkbox"/> No													

**4-Year Averaging Period Under section 501(h)**  
 (Some organizations that made a section 501(h) election do not have to complete all of the five columns below.  
 See the separate instructions for lines 2a through 2f.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2013	(b) 2014	(c) 2015	(d) 2016	(e) Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					

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**Part II-B** Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers?	X		
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	X		
<b>c</b> Media advertisements?	X		21,623.
<b>d</b> Mailings to members, legislators, or the public?	X		39,783.
<b>e</b> Publications, or published or broadcast statements?		X	
<b>f</b> Grants to other organizations for lobbying purposes?	X		209,875.
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?	X		493,284.
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?	X		49,168.
<b>i</b> Other activities?	X		161,421.
<b>j</b> Total. Add lines 1c through 1i			975,154.
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	1	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
<b>3</b> Did the organization agree to carry over lobbying and political campaign activity expenditures from the prior year?	3	

**Part III-B** Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

<b>1</b> Dues, assessments and similar amounts from members	<b>1</b>	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year	<b>2a</b>	
<b>b</b> Carryover from last year	<b>2b</b>	
<b>c</b> Total	<b>2c</b>	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	<b>4</b>	
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions)	<b>5</b>	

**Part IV** Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.

**PART II-B, LINE 1, LOBBYING ACTIVITIES:**

GENERAL - THE ASPCA'S MISSION TO PREVENT CRUELTY TO ANIMALS IS

PRIMARILY ADVANCED THROUGH A SERIES OF SIGNIFICANT DIRECT CARE

PROGRAMS. THE ASPCA'S NATIONAL RELOCATION PROGRAM TO SAVE LIVES OF

AT-RISK HOMELESS ANIMALS; PARTNERSHIPS WITH COMMUNITIES TO INCENTIVIZE

MORE LIVE RELEASE AND RESCUE FOR HOMELESS ANIMALS; PROFESSIONAL



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**Part IV** Supplemental Information (continued)

DEVELOPMENT FOR SHELTERS AND RESCUE ORGANIZATIONS; A BEHAVIORAL RESEARCH CENTER TO REHABILITATE UNDER SOCIALIZED, FEARFUL DOGS FROM PUPPY MILLS, HOARDING AND OTHER CRUELTY CASES; A COLLABORATION WITH THE NEW YORK CITY POLICE DEPARTMENT; THE ASPCA'S CRUELTY INTERVENTION ADVOCACY PROGRAM TO ADDRESS THE ROOT CAUSES OF SUFFERING IN HOARDING CASES; THE ASPCA ANIMAL HOSPITAL; AND SPAY/NEUTER OPERATIONS AND ADOPTION CENTER IN NEW YORK CITY ARE ALL LABORATORIES FOR UNDERSTANDING THE MYRIAD PROBLEMS ANIMALS FACE AND INFORM THEIR WORK TO ADVANCE POLICIES THAT WILL PREVENT CRUELTY IN THE FUTURE. THE LESSONS THE ASPCA TAKES FROM THESE PROGRAMS ENABLE THEM TO BRING EXPERT VOICES AND INFORMED OPINIONS TO THEIR WORK FOR LAWS TO DETER CRUEL TREATMENT OF ANIMALS.

1A. VOLUNTEERS: THE ASPCA WORKS WITH VOLUNTEERS HOLDING CITIZEN TRAINING WORKSHOPS IN LOCAL COMMUNITIES, PROVIDING OPPORTUNITIES FOR THEM TO JOIN THEIR STAFF AT THE STATE AND FEDERAL CAPITOLS TO PROMOTE OR OPPOSE LEGISLATION THROUGH MEETINGS WITH LEGISLATORS AND THEIR AIDES. THE ASPCA EMPLOYS TRAINING TOOLS SUCH AS WEBINARS AND CONFERENCES.

1B. PAID STAFF OR MANAGEMENT: ASPCA MANAGEMENT AND STAFF STRATEGIZE AND COORDINATE THEIR PUBLIC POLICY EFFORTS AIMED AT ENHANCING OUR ABILITY TO PERFORM DIRECT CARE WORK AND TO HELP PREVENT CRUELTY. THEY CULTIVATE AND EXPAND CONTACTS WITHIN GOVERNMENT BODIES, INCLUDING LEGISLATURES AND REGULATORY AGENCIES, AND WORK WITH OTHER NATIONAL AND LOCAL ORGANIZATIONS TO PROMOTE HUMANE POLICIES.

1C. MEDIA ADVERTISEMENTS: PERIODICALLY, THE ASPCA BUYS ADVERTISEMENT

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**Part IV** Supplemental Information (continued)

SPACE IN PUBLIC POLICY-FOCUSED NEWSPAPERS (E.G., ROLL CALL, THE HILL) THAT MEMBERS OF CONGRESS AND THEIR STAFFS REGULARLY READ. THE ADVERTISEMENTS ARE STRATEGICALLY SCHEDULED (OFTEN AHEAD OF A CRUCIAL COMMITTEE VOTE OR FLOOR VOTE) TO MAXIMIZE EXPOSURE AND TIMELINESS TO THEIR ISSUES. THE ASPCA ALSO BUYS STRATEGICALLY TARGETED ADVERTISING SPACE ON SOCIAL MEDIA (E.G., FACEBOOK) FOR THE SAME PURPOSE.

1D. MAILINGS TO MEMBERS, LEGISLATORS, OR THE PUBLIC: THE ASPCA COMMUNICATES WITH THEIR MEMBERS, UNPAID VOLUNTEERS, LEGISLATORS AND THE PUBLIC THROUGH MAILINGS, EMAIL, AND ELECTRONIC ALERTS TO UPDATE AND INFORM AS WELL AS TO ENCOURAGE THEIR PARTICIPATION IN POSITIVE OUTCOMES FOR ANIMALS. THE ASPCA EMPLOYS TRADITIONAL AND SOCIAL MEDIA TOOLS TO INFORM THE PUBLIC OF LEGISLATION, REGULATIONS, AND OTHER POLICIES THAT PROMOTE ANIMAL WELFARE OR THAT ARE HOSTILE TO IT AND TO PROVIDE THEM WITH SUPPORT AND TOOLS FOR POLICY CHANGE.

1F. GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES: THE ASPCA PROVIDES GRANTS TO ORGANIZATIONS TO PROMOTE ANIMAL WELFARE INCLUDING THOSE WORKING TO FURTHER ANIMAL PROTECTION EFFORTS IN LOCAL AND STATE LEGISLATURES AND CONGRESS AS WELL AS IN REGULATIONS AT ALL LEVELS.

1G. DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY: THE ASPCA PROMOTES ANTI-CRUELTY LEGISLATION THROUGH DIRECT CONTACTS WITH FEDERAL AND STATE LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS AT ALL LEVELS, AND LOCAL LEGISLATURES. THE ASPCA'S STAFF, UNPAID VOLUNTEERS, AND CONSULTANTS WORK TO INFLUENCE LEGISLATION TO HELP ANIMALS THROUGH SUCH CONTACTS.

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**Part IV** Supplemental Information (continued)

1H. RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES,  
OR ANY OTHER MEANS: THE ASPCA HOLDS VOICES FOR ANIMALS DAYS, LOBBY  
DAYS, LEADERSHIP TRAINING SUMMITS, CITIZEN LOBBYING WORKSHOPS,  
INCLUDING SPEECHES AND SEMINARS, AND GIVES PRESENTATIONS AND SPEECHES  
TO ENCOURAGE PUBLIC AWARENESS OF HUMANE LEGISLATION AND TO PROMOTE  
ACTION INFLUENCING POSITIVE OUTCOMES FOR ANIMAL WELFARE POLICY.

1I. OTHER ACTIVITIES: THE ASPCA WORKS CLOSELY WITH OTHER NATIONAL,  
STATE, AND LOCAL SHELTERS AND ANIMAL WELFARE ORGANIZATIONS AS WELL AS  
OTHER INDUSTRY OR NON-PROFIT ORGANIZATIONS WITH COMMON INTERESTS TO  
ALIGN PUBLIC POLICIES WITH BEST PRACTICES FOR ANIMAL WELFARE AND TO  
ENSURE THAT LAW ENFORCEMENT, FIELD WORK, DISASTER RELIEF, ANTI-CRUELTY  
EFFORTS, AND SHELTERING OPERATIONS ARE ABLE TO BEST PROTECT ANIMALS.  
THE ASPCA EMPLOYS PROFESSIONAL CONSULTANTS TO SUPPORT AND INFORM THEIR  
LOBBYING EFFORTS AND TO CONDUCT COALITION WORK, INTERNAL COORDINATION  
AND GRASSROOTS NETWORKING AND CULTIVATION FOR HUMANE PUBLIC POLICY  
ADVANCEMENT.

**SCHEDULE D**  
(Form 990)Department of the Treasury  
Internal Revenue Service**Supplemental Financial Statements**▶ Complete if the organization answered "Yes" on Form 990,  
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
▶ Attach to Form 990.▶ Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**  
Open to Public  
InspectionName of the organization **THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**Employer identification number  
**13-1623829****Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year .....		
2 Aggregate value of contributions to (during year) .....		
3 Aggregate value of grants from (during year) .....		
4 Aggregate value at end of year .....		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? .....		<input type="checkbox"/> Yes <input type="checkbox"/> No

**Part II Conservation Easements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of a historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements .....	2a
b Total acreage restricted by conservation easements .....	2b
c Number of conservation easements on a certified historic structure included in (a) .....	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register .....	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ .....

4 Number of states where property subject to conservation easement is located ▶ .....

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? .....

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ .....

7 Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ▶ \$ .....

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? .....

☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenue included on Form 990, Part VIII, line 1 .....	▶ \$ .....
(ii) Assets included in Form 990, Part X .....	▶ \$ .....

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenue included on Form 990, Part VIII, line 1 .....	▶ \$ .....
b Assets included in Form 990, Part X .....	▶ \$ .....

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**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items

(check all that apply):

a ☐ Public exhibition

d ☐ Loan or exchange programs

b ☐ Scholarly research

e ☐ Other

c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII

☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance	52,413,558.	54,180,975.	54,562,237.	49,486,784.	46,609,083.
b Contributions		51,514.	62,521.	15,594.	174,701.
c Net investment earnings, gains, and losses	4,646,665.	-1,818,931.	1,871,471.	7,297,776.	5,023,490.
d Grants or scholarships					
e Other expenditures for facilities and programs			2,315,254.	2,237,917.	2,320,490.
f Administrative expenses					
g End of year balance	57,060,223.	52,413,558.	54,180,975.	54,562,237.	49,486,784.

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment 84.10 %

b Permanent endowment 13.17 %

c Temporarily restricted endowment 2.73 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		X
3a(ii)		X
3b		

b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

**Part VI Land, Buildings, and Equipment.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land		5,321,057.		5,321,057.
b Buildings		18,781,877.	9,455,261.	9,326,616.
c Leasehold improvements		37,500,346.	11,117,053.	26,383,293.
d Equipment		20,333,770.	15,842,548.	4,491,222.
e Other		8,497,170.	4,494,061.	4,003,109.
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)				49,525,297.

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**Part VII Investments - Other Securities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) EQUITY LONG	10,258,333.	END-OF-YEAR MARKET VALUE
(B) GLOBAL ASSET ALLOCATION	15,289,496.	END-OF-YEAR MARKET VALUE
(C) FUND OF FUNDS - PRIVATE		
(D) EQUITY	1,792,177.	END-OF-YEAR MARKET VALUE
(E) FUND OF FUNDS - CAPITAL	6,701,127.	END-OF-YEAR MARKET VALUE
(F) PRIVATE EQUITY	12,244,455.	END-OF-YEAR MARKET VALUE
(G) EMERGING MARKETS	5,722,256.	END-OF-YEAR MARKET VALUE
(H)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	<b>52,007,844.</b>	

**Part VIII Investments - Program Related.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
<b>Total.</b> (Col. (b) must equal Form 990, Part X, col. (B) line 13.)		

**Part IX Other Assets.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) PERPETUAL TRUSTS	18,143,554.
(2) REMAINDER TRUSTS	934,164.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 15.)	<b>19,077,718.</b>

**Part X Other Liabilities.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) DEFERRED RENT	4,834,717.
(3) ANNUITY OBLIGATIONS	6,904,508.
(4) UNFUNDED PENSION OBLIGATIONS	5,639,665.
(5)	
(6)	
(7)	
(8)	
(9)	
<b>Total.</b> (Column (b) must equal Form 990, Part X, col. (B) line 25.)	<b>17,378,890.</b>

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2016

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements	<b>1</b>	225,525,153.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains (losses) on investments	<b>2a</b>	8,852,755.
b	Donated services and use of facilities	<b>2b</b>	7,221.
c	Recoveries of prior year grants	<b>2c</b>	
d	Other (Describe in Part XIII.)	<b>2d</b>	-733,036.
e	Add lines 2a through 2d	<b>2e</b>	8,126,940.
<b>3</b>	Subtract line 2e from line 1	<b>3</b>	217,398,213.
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	
b	Other (Describe in Part XIII.)	<b>4b</b>	
c	Add lines 4a and 4b	<b>4c</b>	0.
<b>5</b>	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	<b>5</b>	217,398,213.

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements	<b>1</b>	207,708,813.
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	<b>2a</b>	7,221.
b	Prior year adjustments	<b>2b</b>	
c	Other losses	<b>2c</b>	
d	Other (Describe in Part XIII.)	<b>2d</b>	
e	Add lines 2a through 2d	<b>2e</b>	7,221.
<b>3</b>	Subtract line 2e from line 1	<b>3</b>	207,701,592.
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	<b>4a</b>	733,036.
b	Other (Describe in Part XIII.)	<b>4b</b>	
c	Add lines 4a and 4b	<b>4c</b>	733,036.
<b>5</b>	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	<b>5</b>	208,434,628.

**Part XIII Supplemental Information.**

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

**PART V, LINE 4:**

THE ASPCA MAINTAINS AN ENDOWMENT FOR THE PURPOSE OF GENERATING INCOME TO SUPPORT THE ORGANIZATION'S CHARITABLE MISSION. THE ORGANIZATION'S ENDOWMENT CONSISTS OF A PORTFOLIO OF ACTIVELY MANAGED FUNDS ESTABLISHED TO PROVIDE BOTH A SOURCE OF OPERATING FUNDS AS WELL AS LONG-TERM FINANCIAL STABILITY. THE ENDOWMENT'S PRINCIPAL IS INTENDED TO BE LEFT UNTOUCHED, WHILE THE INCOME GENERATED IS USED TO FUND ASPCA PROGRAMS. SOME OF THE ENDOWMENT FUNDS MAY HAVE PURPOSE RESTRICTIONS ON THE USE OF INCOME.

**PART X, LINE 2:**

THE ASPCA QUALIFIES AS A TAX-EXEMPT ORGANIZATION UNDER SECTION 501(C)(3) OF THE IRC, AND IS NOT SUBJECT TO FEDERAL INCOME TAXES. ACCORDINGLY,

**Part XIII** Supplemental Information (continued)

DONORS ARE ENTITLED TO A CHARITABLE CONTRIBUTION DEDUCTION AS DEFINED IN THE IRC. CONTINUED QUALIFICATION OF TAX-EXEMPT STATUS IS CONTINGENT UPON COMPLIANCE WITH THE REQUIREMENTS OF THE IRC. THE ASPCA RECOGNIZES THE EFFECTS OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED. NO PROVISION FOR INCOME TAXES WAS REQUIRED FOR 2016 OR 2015.

## PART XI, LINE 2D - OTHER ADJUSTMENTS:

INVESTMENT EXPENSES -733,036.



**SCHEDULE F**  
**(Form 990)**Department of the Treasury  
Internal Revenue Service**Statement of Activities Outside the United States**

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990.

▶ Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016****Open to Public  
Inspection**

Name of the organization

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Employer identification number

**13-1623829****Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on  
Form 990, Part IV, line 14b.

**1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ..... ☐ Yes ☐ No

**2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

**3 Activities per Region.** (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in the region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
NORTH AMERICA - CANADA	0	1	PROGRAM SERVICES	COMMUNITY OUTREACH SVC	151,992.
CENTRAL AMERICA AND THE CARIBBEAN	0	0	INVESTMENTS		17,081,670.
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	INVESTMENTS		4,459,128.
<b>3 a Sub-total</b> .....	0	1			21,692,790.
<b>b Total from continuation sheets to Part I</b> .....	0	0			0.
<b>c Totals (add lines 3a and 3b)</b> .....	0	1			21,692,790.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2016

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

13-1623829

## Part II

**Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]



**Part IV Foreign Forms**

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ..... ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A; do not file with Form 990)* ..... ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations (see Instructions for Form 5471)* ..... ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ..... ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ..... ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713; do not file with Form 990)* ..... ☐ Yes ☒ No

THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule F (Form 990) 2016

13-1623829

Page 5

**Part V** Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

**SCHEDULE G**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information Regarding Fundraising or Gaming Activities**

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public Inspection**

Name of the organization **THE AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS**

Employer identification number  
**13-1623829**

**Part I Fundraising Activities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a ☒ Mail solicitations  
b ☒ Internet and email solicitations  
c ☒ Phone solicitations  
d ☒ In-person solicitations  
e ☒ Solicitation of non-government grants  
f ☒ Solicitation of government grants  
g ☒ Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ Yes ☐ No

b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
DONOR SERVICES GROUP, LLC - 6715 SUNSET BLVD, HOLLYWOOD, FL 33024	DIRECT MARKETING		X	4,040,556.	1,632,243.	2,408,313.
DIALOGUEDIRECT, INC. - 589 8TH AVE, FL# 21, NEW YORK, NY 10013	DIRECT MARKETING		X	2,428,910.	2,506,415.	-77,505.
TELEFUND, INC. - 2141 W NORTH AVE, 2ND FLOOR, CHICAGO, IL 60612	DIRECT MARKETING		X	1,033,185.	361,399.	671,786.
MDS COMMUNICATIONS CORPORATION - 545 W JUANITA AVE, SUITE 100, CHICAGO, IL 60607	DIRECT MARKETING		X	185,635.	56,930.	128,705.
<b>Total</b>				7,688,286.	4,556,987.	3,131,299.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY

**THE AMERICAN SOCIETY FOR THE PREVENTION**

Schedule G (Form 990 or 990-EZ) 2016 **OF CRUELTY TO ANIMALS**

13-1623829 Page 2

**Part II Fundraising Events.** Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events (add col. (a) through col. (c))	
		BERGH BALL (event type)	HUMANE AWARD (event type)	1 (total number)		
Revenue	1	Gross receipts .....	1,365,385.	382,790.	862,046.	2,610,221.
	2	Less: Contributions .....	653,075.	87,945.	844,546.	1,585,566.
	3	Gross income (line 1 minus line 2) .....	712,310.	294,845.	17,500.	1,024,655.
Direct Expenses	4	Cash prizes .....				
	5	Noncash prizes .....				
	6	Rent/facility costs .....	20,495.	22,300.	37,602.	80,397.
	7	Food and beverages .....	110,766.	77,674.	7,739.	196,179.
	8	Entertainment .....	25,185.			25,185.
	9	Other direct expenses .....	56,187.	75,704.	486,116.	618,007.
	10	Direct expense summary. Add lines 4 through 9 in column (d) .....				919,768.
11	Net income summary. Subtract line 10 from line 3, column (d) .....				104,887.	

**Part III Gaming.** Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1	Gross revenue			
	2	Cash prizes			
Direct Expenses	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No
	7	Direct expense summary. Add lines 2 through 5 in column (d)			
	8	Net gaming income summary. Subtract line 7 from line 1, column (d)			

9 Enter the state(s) in which the organization conducts gaming activities: \_\_\_\_\_

a Is the organization licensed to conduct gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain: \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

**THE AMERICAN SOCIETY FOR THE PREVENTION**

Schedule G (Form 990 or 990-EZ) 2016 **OF CRUELTY TO ANIMALS**

**13-1623829** Page **3**

- 11** Does the organization conduct gaming activities with nonmembers? ☐ Yes ☐ No
- 12** Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No
- 13** Indicate the percentage of gaming activity conducted in:
- |                                      |            |   |
|--------------------------------------|------------|---|
| <b>a</b> The organization's facility | <b>13a</b> | % |
| <b>b</b> An outside facility         | <b>13b</b> | % |
- 14** Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► \_\_\_\_\_

Address ► \_\_\_\_\_

**15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

**b** If "Yes," enter the amount of gaming revenue received by the organization ► \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ► \$ \_\_\_\_\_

**c** If "Yes," enter name and address of the third party:

Name ► \_\_\_\_\_

Address ► \_\_\_\_\_

**16** Gaming manager information:

Name ► \_\_\_\_\_

Gaming manager compensation ► \$ \_\_\_\_\_

Description of services provided ► \_\_\_\_\_

☐ Director/officer

☐ Employee

☐ Independent contractor

**17** Mandatory distributions:

**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

**b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ \_\_\_\_\_

**Part IV** Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions

**SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:**

(I) NAME OF FUNDRAISER: DONOR SERVICES GROUP, LLC

(I) ADDRESS OF FUNDRAISER: 6715 SUNSET BLVD, HOLLYWOOD, CA 90028

(I) NAME OF FUNDRAISER: DIALOGUEDIRECT, INC.

(I) ADDRESS OF FUNDRAISER: 589 8TH AVE, FL# 21, NEW YORK, NY 10018

(I) NAME OF FUNDRAISER: TELEFUND, INC.



(I) ADDRESS OF FUNDRAISER: 2141 W NORTH AVE, 2ND FLOOR, CHICAGO, IL 60647

(I) NAME OF FUNDRAISER: MDS COMMUNICATIONS CORPORATION

(I) ADDRESS OF FUNDRAISER: 545 W JUANITA AVE, MESA, AZ 85210

**SCHEDULE I**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Grants and Other Assistance to Organizations,  
Governments, and Individuals in the United States**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

► Attach to Form 990.

► Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization **THE AMERICAN SOCIETY FOR THE PREVENTION**

**OF CRUELTY TO ANIMALS**

Name of the organization

Employer identification number  
**13-1623829**

**2016**

Open to Public  
Inspection

**Part I** General Information on Grants and Assistance

**1** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

**2** Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

☒ Yes ☐ No

**Part II** Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FAUNALYTICS PO BOX 6476 OLYMPIA, WA 98507	01-0686889	501(C)3	16,000.	0.			OTHER
GREATER ANDROSCOGGIN HUMANE SOCIETY - 55 STRAWBERRY AVE - LEWISTON, ME 04240-5962	01-6011843	501(C)3	25,000.	0.			SPAY/NEUTER
NEW ENGLAND FEDERATION OF HUMANE SOCIETIES - 73 PRESCOTT ST - READING, MA 01867	02-0447142	501(C)3	10,000.	0.			LIVE RELEASE
ALAQUA ANIMAL REFUGE INC 914 WHITFIELD RD FREEPORT, FL 32439	02-0806313	501(C)3	11,000.	0.			LIVE RELEASE/EQUINE
NEW HAMPSHIRE SPCA 104 PORTSMOUTH AVE STRATHAM, NH 03885	02-6000614	501(C)3	6,000.	0.			EQUINE
TUFTS UNIVERSITY 200 WESTBORO ROAD NORTH GRAFTON, MA 01536	04-2103634	501(C)3	32,882.	0.			DISASTER/EMERGENCY/LIVE RELEASE

**2** Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

263.

**3** Enter total number of other organizations listed in the line 1 table

44.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2016)

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Schedule I (Form 990) **13-1623829** Page 1

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BERKSHIRE HUMANE SOCIETY INC 214 BARKER RD PITTSFIELD, MA 01201	04-3148018	501(C)3	7,000.	0.			LIVE RELEASE/RELOCATION
MASSACHUSETTS ANIMAL COALITION PO BOX 766 WESTBOROUGH, MA 01581	04-3540202	501(C)3	7,500.	0.			RELOCATION
GREY 2K USA WORLDWIDE, INC. P.O. BOX F ARLINGTON, MA 02476	04-3554776	501(C)4	15,000.	0.			ANTI-CRUELTY
WESTPORT POLICE DEPARTMENT 818 MAIN ROAD WESTPORT, MA 02790	04-6001361		30,000.	0.			FARM ANIMALS
CENTRAL NEW YORK CAT COALITION INCORPORATED - PO BOX 6182 - SYRACUSE, NY 13217	06-1688749	501(C)3	25,000.	0.			SPAY/NEUTER
SHELTER OUTREACH SERVICES (SOS) 78 DODGE RD ITHACA, NY 14850	06-1697719	501(C)3	50,000.	0.			SPAY/NEUTER
S T A R RANCH 970 RABBIT SKIN ROAD WAYNESVILLE, NC 28785	06-1808105	501(C)3	8,840.	0.			EQUINE
URBAN RESOURCE INSTITUTE 75 BROAD STREET, SUITE 505 NEW YORK, NY 10004	11-2561648	501(C)3	50,000.	0.			SAFETY NET/SURRENDER PREVENTION
HAMPTON CLASSIC HORSE SHOW, INC. P.O. BOX 3013 BRIDGEHAMPTON, NY 11932	11-2597077	501(C)3	15,000.	0.			EQUINE

Schedule I (Form 990)

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Schedule I (Form 990) **Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II) **13-1623829** Page 1

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMPLETE CARE VETERINARY CENTER 1293 CLOVE ROAD STATEN ISLAND, NY 10301	11-3037412		10,000.	0.			SAFETY NET/SURRENDER PREVENTION
ANIMAL HAVEN 200 CENTRE ST. NEW YORK, NY 10013	11-6101487	501(C)3	50,000.	0.			LIVE RELEASE
AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS - 520 8TH AVENUE - NEW YORK, NY 10018	13-1623829	501(C)3	1,732,887.	0.			ANTI-CRUELTY
UNLEASHED/FCNY PO BOX 8175 NEW YORK, NY 10150	13-2612524	501(C)3	6,000.	0.			ANTI-CRUELTY
NATIONAL HORSE SHOW ASSOCIATION OF AMERICA LTD - 2245 STONE GARDEN LANE - LEXINGTON, KY 40513	13-2726232	501(C)3	10,000.	0.			EQUINE
ANIMAL CARE CENTERS OF NYC 11 PARK PLACE, SUITE 805 NEW YORK, NY 10007	13-3788986	501(C)3	577,548.	0.			LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION/SPAY/NEUTER
FRIENDS OF GREEN CHIMNEYS 400 DOANSBURG RD., BOX 719 BREWSTER, NY 10509	13-3897106	501(C)3	26,000.	0.			ANTI-CRUELTY
PARADISE GARDEN ANIMAL HAVEN 598 KENT HILL ROAD WOODHULL, NY 14898	13-4244183	501(C)3	48,000.	0.			SPAY/NEUTER
ANIMAL PROTECTIVE FOUNDATION OF SCHENECTADY INC - 53 MAPLE AVENUE - SCOTIA, NY 12302	14-0472728	501(C)3	70,600.	0.			SPAY/NEUTER

Schedule I (Form 990)

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Schedule I (Form 990)

13-1623829

Page 1

**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MOHAWK HUDSON HUMANE SOCIETY 3 OAKLAND AVE. MENANDS, NY 12204	14-1338459	501(C)3	48,700.	0.			ANTI-CRUELTY/SPAY/NEUTER
ANIMALKIND INC P.O. BOX 902 HUDSON, NY 12534	14-1820248	501(C)3	99,220.	0.			SPAY/NEUTER/LIVE RELEASE
CATSKILL ANIMAL SANCTUARY 316 OLD STAGE ROAD SAUGERTIES, NY 12477	14-1827972	501(C)3	72,587.	0.			ANTI-CRUELTY
WEST PLACE ANIMAL SANCTUARY 3198 MAIN RD TIVERTON, RI 02878	14-2005606	501(C)3	17,486.	0.			ANTI-CRUELTY
BROOME COUNTY HUMANE SOCIETY AND RELIEF ASSOCIATION - 2 JACKSON ST. - BINGHAMTON, NY 13903	15-062327	501(C)3	43,340.	0.			SPAY/NEUTER
TOMPKINS COUNTY SPCA 1640 HANSHAW ROAD ITHACA, NY 14850	15-0624378	501(C)3	15,000.	0.			SPAY/NEUTER
NIAGARA COUNTY SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS - 2100 LOCKPORT ROAD - NIAGARA FALLS, NY 14302	16-0743092	501(C)3	47,950.	0.			SPAY/NEUTER
EASTVIEW VETERINARY CLINIC 1260 STATE ROUTE 14A PENN YAN, NY 14527	16-1271008		10,000.	0.			SAFETY NET/SURRENDER PREVENTION
HUMANE SOCIETY OF SCHUYLER COUNTY, INC - 124 MARINA DRIVE - MONTEUR FALLS, NY 14865	16-1315207	501(C)3	12,025.	0.			SPAY/NEUTER

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(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OLD FRIENDS INC 1841 PAYNES DEPOT ROAD GEORGETOWN, KY 40324	20-0049798	501(C)3	20,000.	0.			EQUINE
CAT DEPOT 2542 17TH ST SARASOTA, FL 34234	20-0217681	501(C)3	6,000.	0.			LIVE RELEASE
ANIMAL WELFARE SOCIETY OF CAMDEN COUNTY AKA CAMDEN COUNTY ANIMAL SHELTER - 125 COUNTY HOUSE ROAD - BLACKWOOD, NJ 08012	20-0549531	501(C)3	7,500.	0.			RELOCATION
CAT ADOPTION TEAM 14175 SW GALBREATH DR. SHERWOOD, OR 97140	20-0773189	501(C)3	22,525.	0.			RELOCATION/LIVE RELEASE
HORSE PLUS HUMANE SOCIETY P.O. BOX 485 HOHENWALD, TN 38462	20-1156396	501(C)3	14,500.	0.			EQUINE
SAVE A PET RESCUE ADOPTION AND TRANSPORT INC - 206 VULCAN WAY - DOTHAN, AL 36303	20-1285614	501(C)3	39,400.	0.			RELOCATION
BARK AVENUE FOUNDATION 3940 LAUREL CANYON BLVD, SUITE 1506 STUDIO CITY, CA 91604	20-1329182	501(C)3	30,000.	0.			SPAY/NEUTER
FREEDOM HILL HORSE RESCUE P.O. BOX 606 DUNKIRK, MD 20754	20-1933165	501(C)3	5,500.	0.			EQUINE
PETFIX NORTHEAST OHIO INC 885 E. 22ND STREET EUCLID, OH 44123	20-2205609	501(C)3	33,000.	0.			SPAY/NEUTER

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SECOND STRIDE, INC 7204 HIGHWAY 329 CRESTWOOD, KY 40014	20-2947614	501(C)3	8,000.	0.			EQUINE
CENTRAL NEW YORK SPAY NEUTER ASSISTANCE PROGRAM (CNY SNAP) - 178 CENTRAL AVENUE - CORTLAND, NY 13045	20-3322730	501(C)3	25,000.	0.			SPAY/NEUTER
HORSES OF TIR NA NOG 6364 ARMS LAKE AVE. SAN DIEGO, CA 92119	20-3681634	501(C)3	15,500.	0.			EQUINE
FOUND ANIMALS FOUNDATION INC PO BOX 56370 LOS ANGELES, CA 90066	20-3944602	501(C)3	16,625.	0.			LIVE RELEASE/RELOCATION
BLUE ROSE RANCH INC 30997 US HWY 287 SPRINGFIELD, CO 81073	20-4541576	501(C)3	5,500.	0.			EQUINE
ANIMAL HOUSE PETS & GROOMING INC 1104 W VINE DR FORT COLLINS, CO 80521	20-5415891	501(C)3	27,000.	0.			LIVE RELEASE
SAVE A FORGOTTEN EQUINE 10407 192ND AVE NE REDMOND, WA 98053	20-5825355	501(C)3	5,500.	0.			EQUINE
KENTUCKY EQUINE HUMANE CENTER INC P.O. BOX 910124 LEXINGTON, KY 40591-0124	20-5883736	501(C)3	23,000.	0.			EQUINE
WEST VALLEY HUMANE SOCIETY INC 5801 GRAYE LANE CALDWELL, ID 83607	20-8179233	501(C)3	26,500.	0.			LIVE RELEASE

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UPTOWN VETERINARY ASSOCIATES 295 WEST 112TH STREET NEW YORK, NY 10026	20-8423324		10,000.	0.			SAFETY NET/SURRENDER PREVENTION
CENTRAL OKLAHOMA HUMANE SOCIETY PO BOX 18471 OKLAHOMA CITY, OK 73154	20-8446621	501(C)3	30,000.	0.			RELOCATION
SPAY NEUTER PROJECT OF LOS ANGELES INC - 957 N GAFFEY ST - SAN PEDRO, CA 90731	20-8542566	501(C)3	10,000.	0.			SPAY/NEUTER
ST HUBERTS ANIMAL WELFARE CENTER PO BOX 159 MADISON, NJ 07940	22-1627726	501(C)3	204,000.	0.			LIVE RELEASE/RELOCATION
WYOMING COUNTY SPCA 808 CREEK RD ATTICA, NY 14011	22-2365422	501(C)3	7,200.	0.			SPAY/NEUTER
ANIMALS & SOCIETY INSTITUTE 2512 CARPENTER RD #202-A ANN ARBOR, MI 48108	22-2527462	501(C)3	40,000.	0.			ANTI-CRUELTY
YATES COUNTY HUMANE SOCIETY PO BOX 12, 1216 STATE RTE 14A PENN YAN, NY 14527	22-3495082	501(C)3	30,000.	0.			SPAY/NEUTER
RONDOUT VALLEY ANIMALS FOR ADOPTION INC - 4628 ROUTE 209 - ACCORD, NY 12404	22-3584869	501(C)3	10,000.	0.			SAFETY NET/SURRENDER PREVENTION
THE PENNSYLVANIA SPCA 350 EAST ERIE AVE. PHILADELPHIA, PA 19134	23-1352269	501(C)3	83,035.	0.			ANTI-CRUELTY/RELOCATION/EQUINE/LIVE RELEASE

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UNIVERSITY OF PENNSYLVANIA 3800 SPRUCE STREET PHILADELPHIA, PA 19104	23-1352685	501(C)3	30,000.	0.			SAFETY NET/SURRENDER PREVENTION
HUMANE SOCIETY OF CENTRAL DELAWARE COUNTY INC - PO BOX 88 - DELHI, NY 13753-0088	23-7023530	501(C)3	10,000.	0.			LIVE RELEASE
COLORADO STATE UNIVERSITY FOUNDATION - 1601 CAMPUS MAIL - FORT COLLINS, CO 80523	23-7098397	501(C)3	25,000.	0.			SAFETY NET/SURRENDER PREVENTION
HOOVED ANIMAL HUMANE SOCIETY 10804 MCCONNELL ROAD WOODSTOCK, IL 60098	23-7150339	501(C)3	10,250.	0.			EQUINE
MT. PLEASANT ANIMAL SHELTER INC 194 ROUTE 10 WEST EAST HANOVER, NJ 07936-0000	23-7189562	501(C)3	15,000.	0.			LIVE RELEASE
COLUMBIA COUNTY HUMANE SOCIETY N7768 INDUSTRIAL ROAD PORTAGE, WI 53901	23-7219878	501(C)3	13,000.	0.			LIVE RELEASE
HUMANE SOCIETY OF MEMPHIS AND SHELLEY COUNTY - 935 FARM ROAD - MEMPHIS, TN 38134	23-7236238	501(C)3	10,050.	0.			ANTI-CRUELTY
ACADIANA ANIMAL AID 142 LE MEDICIN RD. CAHENCRO, LA 70520	23-7414331	501(C)3	35,000.	0.			RELOCATION
OPEN DOOR ANIMAL SANCTUARY P.O. BOX 870 HOUSE SPRINGS, MO 63051	23-7444249	501(C)3	7,000.	0.			LIVE RELEASE

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TRI-COUNTY HUMANE SOCIETY P.O. BOX 701, 735 8TH ST NE ST. CLOUD, MN 56302	23-7449686	501(C)3	9,500.	0.			LIVE RELEASE
NEIGH SAVERS FOUNDATION INC 1547 PALOS VERDES MALL, SUITE 259 WALNUT CREEK, CA 94597-2228	26-0265377	501(C)3	10,000.	0.			EQUINE
KANSAS SART INC 6505 E CENTRAL, BOX 160 WICHITA, KS 67208	26-0752144	501(C)3	11,500.	0.			DISASTER/EMERGENCY
YELLOWSTONE VALLEY ANIMAL SHELTER INC - PO BOX 20920 - BILLINGS, MT 59104	26-1389957	501(C)3	27,000.	0.			LIVE RELEASE
FARM FORWARD INC 325 W PIERPONT SALT LAKE CITY, UT 84101	26-1643614	501(C)3	50,000.	0.			FARM ANIMALS
GLOBAL FEDERATION OF ANIMAL SANCTUARIES - P.O. BOX 32294 - WASHINGTON, DC 20007	26-1676217	501(C)3	30,000.	0.			EQUINE
PARTNERS CANINES COUNSELING AND SHELTER SERVICES INC - 476 SHOTWELL RD. SUITE 102-224 - CLAYTON, NC 27520	26-2324565	501(C)3	111,500.	0.			LIVE RELEASE/RELOCATION
COALITION TO UNCHAIN DOGS PO BOX 3259 DURHAM, NC 27715	26-2584285	501(C)3	10,000.	0.			SAFETY NET/SURRENDER PREVENTION
HOPE EQUINE RESCUE, INC. 1200 DIXIE DR AUBURNDALE, FL 33823	26-2647977	501(C)3	5,500.	0.			EQUINE

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WILD FOR LIFE FOUNDATION 19510 VAN BUREN BLVD, STE F3236 STUDIO CITY, CA 91604	26-3052458	501(C)3	5,500.	0.			EQUINE
VALLEY VIEW RANCH EQUINE RESCUE 57025 JOLON ROAD KING CITY, CA 93930	26-3832985	501(C)3	10,000.	0.			EQUINE
THE DELTA HUMANE SOCIETY OF LOUISIANA - 165 COVINGTON RD - RAVILLER, LA 71269	26-3900505	501(C)3	5,500.	0.			EQUINE
SCRAPS HOPE FOUNDATION 6815 E. TRENT SPOKANE VLY, WA 99212	26-4118735	501(C)3	23,500.	0.			LIVE RELEASE
OCEAN STATE ANIMAL COALITION PO BOX 6785 WARWICK, RI 02882	26-4536470	501(C)3	14,800.	0.			SPAY/NEUTER
BEGIN AGAIN HORSE RESCUE INC PO BOX 28 HONEYE, NY 14471	27-0234285	501(C)3	16,000.	0.			EQUINE
KAELE KRAMER FOUNDATION 160 SWEET HOLLOW ROAD HUNTINGTON, NY 11743	27-0315122	501(C)3	5,500.	0.			EQUINE
ALL ABOUT EQUINE ANIMAL RESCUE, INC. - 2201 FRANCISCO DRIVE, #140-174 - EL DORADO HILLS, CA 95762	27-0384523	501(C)3	20,500.	0.			EQUINE
VILLIA CHARDONNAY HORSES WITH WINGS PO BOX 890130 TENEUCULA, CA 92589	27-0666624	501(C)3	10,000.	0.			EQUINE

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EQUINE 808 HORSE RESCUE 2222 NORTH ELLICOTT HIGHWAY ELLICOTT, CO 80808	27-1985130	501(C)3	13,500.	0.			EQUINE
THE PEGASUS PROJECT INC PO BOX 26 BEN WHEELER, TX 75754	27-2108244	501(C)3	28,350.	0.			EQUINE
OMEGA HORSE RESCUE AND REHABILITATION CENTER - 8272 WOODBINE ROAD - AIRVILLE, PA 17302	27-2849659	501(C)3	7,000.	0.			EQUINE
LAUGHING PONY RESCUE INC PO BOX 32 RANCHO SANTA FE, CA 92067	27-2914210	501(C)3	5,500.	0.			EQUINE
CENTRAL VIRGINIA HORSE RESCUE 389 BOYDTON PLANK ROAD BRODNAX, VA 23920	27-2967793	501(C)3	11,000.	0.			EQUINE
PARK SLOPE VETERINARY CENTER 639 4TH AVENUE BROOKLYN, NY 11232	27-3044477		10,000.	0.			SAFETY NET/SURRENDER PREVENTION
THE PAWS CLINIC 21210 GODDARD ROAD TAYLOR, MI 48180	27-3257737	501(C)3	40,600.	0.			SPAY/NEUTER
FLORIDA THOROUGHBRED RETIREMENT AND ADOPTION CARE PROGRAM - 2740 SW MARTIN DOWNS BLVD. SUITE 110 - PALM CITY, FL 34990	27-3466408	501(C)3	10,000.	0.			EQUINE
MIDATLANTIC HORSE RESCUE, INC PO BOX 407 CHESAPEAKE CITY, MD 21915	27-3543490	501(C)3	18,000.	0.			EQUINE

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SPAY NEUTER CHARLOTTE 2017 N. DAVIDSON STREET CHARLOTTE, NC 28205	27-3665227	501(C)3	70,000.	0.			SPAY/NEUTER
A FAIR SHAKE FOR YOUTH, INC. 210 WEST 101ST ST. PH 6 NEW YORK, NY 10025	27-3855519	501(C)3	10,000.	0.			ANTI-CRUELTY
GUARDIANS OF RESCUE INC. 34 E. MAIN STREET SMITHTOWN, NY 11787	27-4205517	501(C)3	30,000.	0.			ANTI-CRUELTY
FENCES FOR FIDO PO BOX 42265 PORTLAND, OR 97242	30-0554675	501(C)3	15,000.	0.			ANTI-CRUELTY
NEW VOCATION RACEHORSE ADOPTION PROGRAM - 3293 WRIGHT RD - LAURA, OH 45337	31-1681380	501(C)3	24,000.	0.			EQUINE
TEXAS ANIMAL SHELTER COALITION/TEXAS UNITES - 1330 COLUMBIA ST. - RICHARDSON, TX 75081	31-1717528	501(C)3	20,000.	0.			LIVE RELEASE
CAPITAL AREA HUMANE SOCIETY 3015 SCIOTO-DARBY EXECUTIVE COURT HILLIARD, OH 43026	31-4379492	501(C)3	144,462.	0.			RELOCATION/ANTI-CRUELTY/LI VE RELEASE
LOUISVILLE METRO ANIMAL SERVICES & KENTUCKY HUMANE SOCIETY - 3705 MANSLICK ROAD - LOUISVILLE, KY 40215	32-0049006		22,300.	0.			SAFETY NET/SURRENDER PREVENTION
ROOTERVILLE, A SANCTUARY, INC 5579 DARWOOD STREET MELROSE, FL 32666	32-0110655	501(C)3	7,500.	0.			ANTI-CRUELTY

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STARTING GAITS STANDARDIZED TRANSITION PROGRAM, INC. ~ 1599 IRELAND ROAD ~ XENIA, OH 45385	32-0404755	501(C)3	11,000.	0.			EQUINE
CANTER OHIO 9277 BALDWIN ROAD MENTOR, OH 44060	34-1951330	501(C)3	6,000.	0.			EQUINE
ALLEN COUNTY SPCA 4914 SOUTH HANNA STREET FORT WAYNE, IN 46806	35-6042135	501(C)3	48,650.	0.			SAFETY NET/SURRENDER PREVENTION
THE ANTI-CRUELTY SOCIETY 157 W. GRAND AVENUE CHICAGO, IL 60654	36-2179814	501(C)3	12,000.	0.			LIVE RELEASE
FOOD ANIMAL CONCERNS TRUST (FACT) 3525 W. PETERSON AVE, STE 213 CHICAGO, IL 60659	36-3173605	501(C)3	25,000.	0.			FARM ANIMALS
LIVESTOCK AND EQUINE AWARENESS AND RESCUE NETWORK - P.O. BOX 619 - RAVENEL, SC 29470	37-1586417	501(C)3	14,000.	0.			EQUINE
BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS - OFFICE OF SPONSORED PROGRAMS AND RESEARCH ADMINISTRATION, 1901 S. FIRST STRE	37-6000511	501(C)3	7,014.	0.			ANTI-CRUELTY
MARQUETTE COUNTY HUMANE SOCIETY INC - 84 SNOWFIELD ROAD - NEGAUNEE, MI 49866	38-2228501	501(C)3	5,300.	0.			SAFETY NET/SURRENDER PREVENTION/LIVE RELEASE
CANTER COMMUNICATION ALLIANCE TO NETWORK THOROUGHBRED EX-RACEHORSES - 8619 EDGEWOOD PARK DRIVE - COMMERCE TOWNSHIP, MI 48382	38-3483606	501(C)3	20,000.	0.			EQUINE

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P A C PEOPLE ASSISTING ANIMAL CONTROL - 5804 AYERS STREET - CORPUS CHRISTI, TX 78415	38-3817365	501(C)3	21,050.	0.			SAFETY NET/SURRENDER PREVENTION
ROCK COUNTY HUMANE SOCIETY 222 S ARCH STREET JANESVILLE, WI 53548	39-0973879	501(C)3	55,000.	0.			RELOCATION
SHEBOYGAN COUNTY HUMANE SOCIETY 3107 N. 20TH ST SHEBOYGAN, WI 53083	39-1050684	501(C)3	12,000.	0.			LIVE RELEASE/SPAY/NEUTER
LAKESHORE HUMANE SOCIETY INC 1551 NORTH 8TH STREET MANITOWOC, WI 54220	39-1142305	501(C)3	10,260.	0.			LIVE RELEASE
SOUTH WOOD COUNTY HUMANE SOCIETY INC - 3621 64TH ST NORTH - WISCONSIN RAPIDS, WI 54494	39-1205672	501(C)3	10,000.	0.			LIVE RELEASE
MILWAUKEE AREA DOMESTIC ANIMAL CONTROL ASSOCIATION - 3839 W. BURNHAM STREET - WEST MILWAUKEE, WI 53215	39-1947192		65,000.	0.			LIVE RELEASE
4 LUV OF DOG RESCUE PO BOX 9283 FARGO, ND 58106	39-2075804	501(C)3	6,000.	0.			SAFETY NET/SURRENDER PREVENTION/LIVE RELEASE
THE BOARD OF REGENTS OF THE UNIVERSITY OF WISCONSIN SYSTEM - 21 N. 21 N. PARK STREET, SUITE 6401 - MADISON, WI 53715-1218	39-6006492	501(C)3	30,000.	0.			LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION
EAU CLAIRE COUNTY HUMANE ASSOCIATION - 3900 OLD TOWN HALL RD - EAU CLAIRE, WI 54701-8949	39-6125537	501(C)3	8,900.	0.			LIVE RELEASE

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HUMANE SOCIETY OF GOODHUE COUNTY 1213 BRICK AVE RED WING, MN 55066	41-1461895	501(C)3	13,900.	0.			LIVE RELEASE
SOCIETY OF ANIMAL WELFARE ADMINISTRATORS - 15508 W. BELL ROAD, STE 101-613 - SURPRISE, AZ 85374	41-1618666	501(C)6	25,000.	0.			LIVE RELEASE
PENNINGTON COUNTY HUMANE SOCIETY 15598 US HWY 59 NE THIEF RIVER FALLS, MN 56701	41-1907418	501(C)3	7,200.	0.			LIVE RELEASE
ALLIANCE FOR CONTRACEPTION IN CATS AND DOGS - 11145 NW OLD CORNELIUS PASS ROAD - PORTLAND, OR 97231	41-2185841	501(C)3	105,000.	0.			SPAY/NEUTER
MINNEAPOLIS ANIMAL CARE & CONTROL 212 17TH AVE N MINNEAPOLIS, MN 55411	41-6005375		90,000.	0.			ANTI-CRUELTY/SPAY/NEUTER
ANIMAL RESCUE LEAGUE OF IOWA INCORPORATED - 5452 NE 22ND STREET - DES MOINES, IA 50313	42-0680427	501(C)3	14,800.	0.			EQUINE/DISASTER/EMERGENCY/ LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION
CEDAR BEND HUMANE SOCIETY INC 1166 W. AIRLINE HIGHWAY WATERLOO, IA 50703	42-0843378	501(C)3	6,500.	0.			LIVE RELEASE/SPAY/NEUTER
HUMANE SOCIETY OF MISSOURI 1201 MACKLIND AVE ST LOUIS, MO 63110-1431	43-0652638	501(C)3	9,183.	0.			ANTI-CRUELTY
BLAZES TRIBUTE EQUINE RESCUE 17667 MARKITA DRIVE JONES, OK 73049	43-2024364	501(C)3	8,000.	0.			EQUINE



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ST LOUIS COUNTY OFFICE OF EMERGENCY MANAGEMENT/ST LOUIS COUNTY POLICE DEPT - 1150 HANNA ROAD - BALLWIN, MO 63021	43-6003242		6,500.	0.			DISASTER/EMERGENCY
PLATTE COUNTY SHERIFFS OFFICE 415 THIRD STREET, STE 10 PLATTE CITY, MO 64079	44-6000582		5,500.	0.			DISASTER/EMERGENCY
HUMANE SOCIETY INC (DBA HOMEWARD ANIMAL SHELTER) - 1201 28TH AVE N - FARGO, ND 58102	45-0284164	501(C)3	11,800.	0.			LIVE RELEASE
SOURIS VALLEY HUMANE SOCIETY INC 1935 20TH AVE SE MINOT, ND 58701	45-0345317	501(C)3	16,000.	0.			LIVE RELEASE
PRETTY GOOD CAT 6475 E. PACIFIC COAST HWY, BOX 432 LONG BEACH, CA 90803	45-0829960	501(C)3	23,300.	0.			LIVE RELEASE
RVR HORSE RESCUE INC 12611 HAYES CLAN RD RIVERVIEW, FL 33579	45-1536701	501(C)3	10,000.	0.			EQUINE
HIDDEN ACRES THOROUGHBRED RESCUE INC - 6360 ARBORWOOD AVE - COCOA, FL 32927	45-2373616	501(C)3	10,500.	0.			EQUINE
KANSAS CITY PET PROJECT 4400 RAYTOWN ROAD KANSAS CITY, MO 64129	45-3067615	501(C)3	31,000.	0.			LIVE RELEASE
THE GREAT ESCAPE MUSTANG SANCTUARY 3980 BROADWAY STREET, SUITE 103-102 BOULDER, CO 80304	45-3417604	501(C)3	10,500.	0.			EQUINE

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ANIMAL CARE AND CONTROL TEAM OF PHILADELPHIA - 111 W HUNTING PARK AVE - PHILADELPHIA, PA 19140	45-3985637	501(C)3	10,351.	0.			LIVE RELEASE
SOUL DOG RESCUE 4844 S. KALAMATH STREET ENGLEWOOD, CO 80110	45-4137227	501(C)3	35,000.	0.			SPAY/NEUTER
SHELTER TRANSPORT ANIMAL RESCUE TEAM (START) - PO BOX 4792 - VALLEY VILLAGE, CA 91617	45-4258426	501(C)3	30,000.	0.			RELOCATION
HEART OF PHOENIX EQUINE RESCUE INC 3368 FLYMALE BRANCH RD HUNTINGTON, WV 25704	45-4421742	501(C)3	9,500.	0.			EQUINE
THE UNITED STATES HEALTHFUL FOOD COUNCIL - 1200 18TH ST. NW, SUITE 700 - WASHINGTON, DC 20036	45-4482654	501(C)3	50,000.	0.			FARM ANIMALS
FRIENDS OF THE MONTCLAIR TOWNSHIP ANIMAL SHELTER - PO BOX 3141 - MONTCLAIR, NJ 07043	45-4506433	501(C)3	7,500.	0.			LIVE RELEASE
ALASKA RURAL VETERINARY OUTREACH INC - 9138 ARLON ST. #A3-584 - ANCHORAGE, AK 99507	45-4779560	501(C)3	25,000.	0.			SAFETY NET/SURRENDER PREVENTION
EXETER ANIMAL SHELTER (FRIENDS OF EXETER ANIMALS) - 169 SOUTH COUNTY TRAIL - EXETER, RI 02822	45-5272215	501(C)3	7,000.	0.			ANTI-CRUELTY
YOUNG-WILLIAMS ANIMAL CENTER OF EAST TENNESSEE - 3201 DIVISION ST - KNOXVILLE, TN 37919	45-5326778	501(C)3	5,800.	0.			LIVE RELEASE

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PEACEFUL ANIMAL ADOPTION SHELTER INC - 628 SWILSON STREET - VINITA, OK 74301	45-5414625	501(C)3	7,500.	0.			RELOCATION
WARD COUNTY EMERGENCY MANAGEMENT PO BOX 5005 MINOT, ND 58702	45-6002248		16,675.	0.			DISASTER/EMERGENCY
DOWNTOWN DOG RESCUE 10941 GARFIELD PLACE SOUTH GATE, CA 90280	46-1958507	501(C)3	50,000.	0.			SPAY/NEUTER
SHELTER ANIMALS COUNT - A NATIONAL DATABASE INITIATIVE - 2700 WOODLANDS VILLAGE BOULEVARD 300-167 - FLAGSTAFF, AZ 86001	46-2215168	501(C)3	50,000.	0.			LIVE RELEASE
GLENDALE VETERINARY CLINIC 67-35 MYRTLE AVE GLENDALE, NY 11385	46-2284836		10,000.	0.			SAFETY NET/SURRENDER PREVENTION
OPERATION SNIP INC 13489 WALSHINGHAM ROAD LARGO, FL 33774	46-2934527	501(C)3	64,100.	0.			SPAY/NEUTER
PROTECT OUR PETS FOUNDATION 2 CAMBRIDGE CIRCLE AUBURN, NY 13021	46-3634821	501(C)3	16,900.	0.			SPAY/NEUTER
BRUNSWICK VETERINARY HOSPITAL 1632 STATE ROUTE 7 TROY, NY 12180	46-3796267		10,000.	0.			SAFETY NET/SURRENDER PREVENTION
FLEET OF ANGELS 3212-224 11TH AVENUE EVANS, CO 80620	46-3895690	501(C)3	50,000.	0.			EQUINE

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LITTLE LONGEARS MINI DONKEY RESCUE 1558 BOLLINGER ROAD WESTMINSTER, MD 21157	46-4921857	501(C)3	10,000.	0.			EQUINE
ALL CREATURES VETERINARY HOSPITAL OF BROOKLYN - 643 WASHINGTON AVE - BROOKLYN, NY 11238	46-5309231		9,000.	0.			SAFETY NET/SURRENDER PREVENTION
DOGS PLAYING FOR LIFE 728 ROCKY MOUNTAIN PLACE LONGMONT, CO 80504	46-5559418	501(C)3	27,200.	0.			LIVE RELEASE
DEWEY COUNTY SHERIFFS OFFICE PO BOX 340 TIMBER LAKE, SD 57656-0340	46-6000485		20,000.	0.			EQUINE
CITY OF SIOUX FALLS ANIMAL CONTROL AND SIOUX FALLS AREA HUMANE SOCIETY - 320 W. 4TH ST. - SIOUX FALLS, SD 57104	46-6000425		14,000.	0.			DISASTER/EMERGENCY
HUMANE FARM ANIMAL CARE PO BOX 727 HERNDON, VA 20172-0727	47-0910622	501(C)3	50,000.	0.			FARM ANIMALS
ANIMAL HOSPITAL OF THE ROCKAWAYS/ VETCOR - 114-10 BEACH CHANNEL DRIVE - ROCKAWAY PARK, NY 11694	47-2257262		10,000.	0.			SAFETY NET/SURRENDER PREVENTION
LOW COST ANIMAL MEDICAL CENTER 170 WALNUT STREET, UNIT 8F NEW ORLEANS, LA 70118	47-2883629	501(C)3	88,000.	0.			SPAY/NEUTER
DREAM WRANGLERS RANCH 3642 SAVANNAH HWY SUITE 116 #232 JOHNS ISLAND, SC 29449	47-3147886	501(C)3	6,250.	0.			EQUINE

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TAMERLAINE FARM ANIMAL SANCTUARY 147 RIVER ROAD MONTAGUE, NJ 07827	47-3566009	501(C)3	30,500.	0.			ANTI-CRUELTY
BROADWAY BARKS INC. C/O FREEDMAN, BRODER AND ASSOCIATES, 11100 SANTA MONICA BLVD, STE 400 - LOS	47-4080996		10,000.	0.			LIVE RELEASE
CITIZENS FOR FARM ANIMAL PROTECTION (YES ON 3) - PO BOX 470857 - BROOKLINE, MA 02447	47-4919474		100,000.	0.			FARM ANIMALS
MISFIT SPAY NEUTER CLINIC INC 220 N. ROCKINGHAM AVE. TAVARES, FL 32778	47-5181298	501(C)3	12,800.	0.			SPAY/NEUTER
OKLAHOMA STEWARDSHIP COUNCIL 1110 CLASSEN DRIVE SUITE 100 OKLAHOMA CITY, OK 73103	47-5596112	501(C)4	50,000.	0.			FARM ANIMALS
LAWRENCE HUMANE SOCIETY INC 1805 E. 19TH STREET LAWRENCE, KS 66046	48-0641821	501(C)3	54,500.	0.			LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION
THE AMANDA FOUNDATION 351 NORTH FOOTHILL ROAD BEVERLY HILLS BEVERLY HILLS, CA 90210	51-0183667	501(C)3	50,000.	0.			SPAY/NEUTER
STANDARDRED RETIREMENT FOUNDATION INC - 353 SWEETMANS LANE, STE 101 - MILLSTONE TOWNSHIP, NJ 08535	52-0325043	501(C)3	10,000.	0.			EQUINE
HUMANE SOCIETY OF WASHINGTON COUNTY, INCORPORATED - 13011 MAUGANSVILLE ROAD - HAGERSTOWN, MD 21740	52-0542025	501(C)3	5,859.	0.			LIVE RELEASE/RELOCATION

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CHARLOTTE/NECKLENBURG ANIMAL CARE AND CONTROL - 8315 BYRUM DR - CHARLOTTE, NC 28217	52-1333483		7,000.	0.			LIVE RELEASE
THE HORSE SHELTER 1600 LENA ST. C.10 SANTA FE, NM 87505	52-2214286	501(C)3	10,000.	0.			EQUINE
WASHINGTON HUMANE SOCIETY/SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS - 7319 GEORGIA AVENUE, NW - WASHINGTON, DC 20012	53-0219724	501(C)3	115,000.	0.			LIVE RELEASE
HUMANE SOCIETY OF THE UNITED STATES - 700 PROFESSIONAL DRIVE, STE. C - GAITHERSBURG, MD 20879	53-0225390	501(C)3	63,000.	0.			ANTI-CRUELTY/LIVE RELEASE/EQUINE
ROANOKE VALLEY SPCA 1340 BALDWIN AVE. NE ROANOKE, VA 24012	54-0679796	501(C)3	17,600.	0.			LIVE RELEASE
ANGELS OF ASSISI 415 CAMPBELL SW AVE ROANOKE, VA 24016	54-2021941	501(C)3	8,000.	0.			LIVE RELEASE
KING WILLIAM SHERIFFS OFFICE 351 COURTHOUSE LANE, STE 160 KING WILLIAM, VA 23086	54-6001376		20,000.	0.			EQUINE
KANAWHA-CHARLESTON HUMANE ASSOCIATION INC - 1248 GREENBRIER STREET - CHARLESTON, WV 25311	55-0435381	501(C)3	5,900.	0.			LIVE RELEASE/DISASTER/EMERGENCY
ASHEVILLE HUMANE SOCIETY 14 FOREVER FRIEND LN ASHEVILLE, NC 28806	56-1444098	501(C)3	50,750.	0.			LIVE RELEASE/RELOCATION/SAFETY NET/SURRENDER PREVENTION

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DURHAM COUNTY SHERIFFS OFFICE - ANIMAL SERVICES DIVISION - 3005 GLENN ROAD - DURHAM, NC 27704	56-6000297		50,000.	0.			SAFETY NET/SURRENDER PREVENTION
MARTIN COUNTY ANIMAL ENFORCEMENT DIVISION - P.O. BOX 308 - WILLIAMSTON, NC 27892	56-6000317		7,500.	0.			ANTI-CRUELTY
DARLINGTON COUNTY HUMANE SOCIETY INC - PO BOX 503 - DARLINGTON, SC 29540	57-1050670	501(C)3	7,500.	0.			RELOCATION
CHARLESTON ANIMAL SOCIETY 2455 REMOUNT ROAD NORTH CHARLESTON, SC 29406	57-6021863	501(C)3	7,925.	0.			LIVE RELEASE
HUMANE SOCIETY OF CHARLOTTE INC 2700 TOOMEY AVE. CHARLOTTE, NC 28203	58-1342479	501(C)3	29,850.	0.			DISASTER/EMERGENCY/LIVE RELEASE/SPAY/NEUTER
HUMANE SOCIETY OF MARLBORO COUNTY INC - PO BOX 135 - BENNETTSVILLE, SC 29512	58-2360360	501(C)3	12,700.	0.			LIVE RELEASE
JACKSONVILLE HUMANE SOCIETY 8464 BEACH BLVD JACKSONVILLE, FL 32216	59-0624410	501(C)3	16,600.	0.			LIVE RELEASE/SPAY/NEUTER
HUMANE SOCIETY OF TAMPA 3607 N. ARMENIA AVE. TAMPA, FL 33607	59-0799907	501(C)3	6,000.	0.			RELOCATION
GULF COAST HUMANE SOCIETY 2010 ARCADIA STREET FORT MYERS, FL 33916	59-0806978	501(C)3	49,978.	0.			SPAY/NEUTER

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UNIVERSITY OF FLORIDA FOUNDATION COLLEGE OF VETERINARY MEDICINE, 2015 SW 16TH AVENUE - GAINESVILLE, FL 32610	59-0974739	501(C)3	274,396.	0.			ANTI-CRUELTY
S P C A INC 5850 BRANNEN ROAD S. LAKELAND, FL 33813	59-1939655	501(C)3	7,000.	0.			LIVE RELEASE
FLAGLER COUNTY HUMANE SOCIETY INC AKA FLAGLER HUMANE SOCIETY - 1 SHELTER DR. - PALM COAST, FL 32137	59-2247034	501(C)3	6,300.	0.			LIVE RELEASE/ANTI-CRUELTY
THE FLORIDA RESEARCH INSTITUTE FOR EQUINE NURTURING DEVEL & SAF - 1840 NE 65 COURT - FORT LAUDERDALE, FL 33308-1055	59-2825751	501(C)3	11,000.	0.			EQUINE
FLORIDA ANIMAL CONTROL ASSOCIATION INC - P.O. BOX 211267 - ROYAL PALM BEACH, FL 33421	59-2929688	501(C)6	6,000.	0.			ANTI-CRUELTY
PROTECTORS OF COMPANION ANIMALS INC, D/B/A SNIP-IT OF CENTRAL FLORIDA - 3096 MICHIGAN AVE. - KISSIMEE, FL 34744	59-3760425	501(C)3	87,600.	0.			SPAY/NEUTER
CITRUS COUNTY SHERIFFS OFFICE ANIMAL CONTROL UNIT - 3549 SAUNDERS WAY - LECANTO, FL 34461	59-6000550		10,000.	0.			ANTI-CRUELTY
MIAMI-DADE ANIMAL SERVICES 3599 NW 79 AVENUE DORAL, FL 33122	59-6000573		173,883.	0.			LIVE RELEASE/ANTI-CRUELTY/SAFETY NET/SURRENDER PREVENTION
MANATEE COUNTY ANIMAL SERVICES 305 25TH ST W PALMETTO, FL 34221	59-6000727		10,000.	0.			ANTI-CRUELTY

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HUMANE SOCIETY OF SARASOTA COUNTY, INC - 2331 15TH STREET - SARASOTA, FL 34237	59-6014943	501(C)3	8,000.	0.			LIVE RELEASE/RELOCATION
LEXINGTON HUMANE SOCIETY 1600 OLD FRANKFORT PIKE LEXINGTON, KY 40504	61-0444762	501(C)3	10,000.	0.			LIVE RELEASE/EQUINE
KENTUCKY HUMANE SOCIETY 1000 LYNDON LN. LOUISVILLE, KY 40222	61-0463938	501(C)3	61,370.	0.			SAFETY NET/SURRENDER PREVENTION/EQUINE/RELOCATI ON
RERUN, INC. 236B WATERS ROAD EAST GREENBUSH, NY 12061	61-1336739	501(C)3	20,000.	0.			EQUINE
ALLEY CAT ADVOCATES 3044 BARDSTOWN RD., #204 LOUISVILLE, KY 40205	61-1343210	501(C)3	18,450.	0.			SAFETY NET/SURRENDER PREVENTION/LIVE RELEASE/SPAY/NEUTER
MCMINN REGIONAL HUMANE SOCIETY 3 DAVIDSON ROAD ATHENS, TN 37303	62-1443811	501(C)3	50,824.	0.			LIVE RELEASE/RELOCATION
PEACEFUL KINGDOM P.O. BOX 9394 KNOXVILLE, TN 37940	62-1818180	501(C)3	47,250.	0.			RELOCATION
SHELEY HUMANE SOCIETY 381 MCDOW ROAD COLUMBIANA, AL 35051	63-0817987	501(C)3	13,172.	0.			LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION
NATCHEZ-ADAMS COUNTY HUMANE SOCIETY - P.O. BOX 549 - NATCHEZ, MS 39121	64-0562683	501(C)3	58,875.	0.			LIVE RELEASE/RELOCATION

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OKTIBBEHA COUNTY HUMANE SOCIETY INC - 510 INDUSTRIAL PARK ROAD - STARKVILLE, MS 39759	64-0618170	501(C)3	25,000.	0.			RELOCATION
ANIMAL RELIEF AND RESCUE FELLOWSHIP - P.O. BOX 66 - LELAND, MS 38756	64-0926255	501(C)3	8,000.	0.			RELOCATION
HUMANE SOCIETY OF SOUTH MISSISSIPPI - 2615 25TH AVENUE, SUITE B - GULFPORT, MS 39501	64-6034439	501(C)3	25,000.	0.			SAFETY NET/SURRENDER PREVENTION
EQUINE RESCUE AND ADOPTION FOUNDATION INC - P O BOX 1199 - PALM CITY, FL 34991	65-1037400	501(C)3	20,000.	0.			EQUINE
EL FARO DE LOS ANIMALES PO BOX 637 PUNTA SANTIAGO, PR 00741	66-0601885	501(C)3	9,000.	0.			LIVE RELEASE
TONY LA RUSSAS ANIMAL RESCUE FOUNDATION - 2890 MITCHELL DR - WALNUT CREEK, CA 94598	68-0240341	501(C)3	50,000.	0.			SPAY/NEUTER
ANIMAL OUTREACH OF THE MOTHER LODGE 6101 ENTERPRISE DR SUITE B DIAMOND SPRINGS, CA 95619	68-0272499	501(C)3	25,000.	0.			LIVE RELEASE
STEVENS COUNTY CAT CARE P.O. BOX 776 CHEWELAH, WA 99109	71-0982966	501(C)3	20,000.	0.			SPAY/NEUTER
LOUISIANA SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS - 1700 MARDI GRAS BLVD. - NEW ORLEANS, LA 70114	72-0471368	501(C)3	108,000.	0.			LIVE RELEASE

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LOUISIANA STATE ANIMAL RESPONSE TEAM - 8550 UNITED PLAZA BOULEVARD, SUITE 1001 - BATON ROUGE, LA 70809	72-1507753	501(C)3	10,000.	0.			DISASTER/EMERGENCY
JEFFERSON PARISH ANIMAL SHELTER 1 HUMANE WAY JEFFERSON, LA 70123	72-6013920		150,000.	0.			LIVE RELEASE
HUMANE SOCIETY OF CHEROKEE COUNTY P.O. BOX 1354 TAHLEQUAH, OK 74465	73-1409570	501(C)3	8,000.	0.			RELOCATION
ASSOCIATION OF SHELTER VETERINARIANS INC - 3225 ALPHAWOOD DRIVE - APEX, NC 27539	73-1627937	501(C)3	26,250.	0.			LIVE RELEASE
MAYORS ALLIANCE FOR NYC'S ANIMALS 244 FIFTH AVE STE R290 NEW YORK, NY 10001-7604	73-1653635	501(C)3	1,260,000.	0.			LIVE RELEASE/SPAY/NEUTER
LINCOLN COUNTY EMERGENCY MANAGEMENT - 811 MANVEL AVE, STE 4 - CHANDLER, OK 74834	73-6006385		11,500.	0.			DISASTER/EMERGENCY
HOUSTON HUMANE SOCIETY 14700 ALMEDA RD. HOUSTON, TX 77053	74-1340341	501(C)3	10,000.	0.			LIVE RELEASE
PALM VALLEY ANIMAL CENTER 2501 WEST TRENTON ROAD EDINBURG, TX 78539	74-1819910	501(C)3	10,000.	0.			LIVE RELEASE
HILL COUNTRY ANIMAL LEAGUE SPCA INC - 924 NORTH MAIN STREET - BOERNE, TX 78006	74-2401243	501(C)3	6,428.	0.			SPAY/NEUTER

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AUSTIN PETS ALIVE! 1156 W. CESAR CHAVEZ STREET AUSTIN, TX 78703	74-2893360	501(C)3	50,000.	0.			LIVE RELEASE
EMANCIPET 7010 EASY WIND DRIVE #260 AUSTIN, TX 78752	74-2913624	501(C)3	270,000.	0.			SPAY/NEUTER
EQUINE VOICES RESCUE & SANCTUARY PO BOX 1685 GREEN VALLEY, AZ 85622-1685	74-3127794	501(C)3	25,000.	0.			EQUINE
THE CITY OF SAN ANTONIO, TEXAS - ANIMAL CARE SERVICES - 4710 STATE HIGHWAY 151 - SAN ANTONIO, TX 78227	74-6002070		50,000.	0.			SPAY/NEUTER
SPCA OF TEXAS 2400 LONE STAR DR. DALLAS, TX 75212	75-1216660	501(C)3	57,500.	0.			RELOCATION/SAFETY NET/SURRENDER PREVENTION
HUMANE SOCIETY OF NORTH TEXAS 1840 E LANCASTER AVE FORT WORTH, TX 76103	75-1245911	501(C)3	7,000.	0.			EQUINE
DFW HUMANE SOCIETY OF IRVING INC 4140 VALLEY VIEW LANE IRVING, TX 75038	75-1433154	501(C)3	20,000.	0.			RETURN TO OWNER (RTO)
TEXAS HUMANE LEGISLATION NETWORK INC - 8333 DOUGLAS AVE., #1350 - DALLAS, TX 75225	75-2236932	501(C)4	25,000.	0.			ANTI-CRUELTY
HUMANE TOMORROW 1601 ARROWHEAD DRIVE FLOWER MOUND, TX 75028	75-2727224	501(C)3	30,000.	0.			RELOCATION

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**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE EXCELLER FUND INC P.O. BOX 1422 BATAVIA, IL 60510	75-2937532	501(C)3	15,000.	0.			EQUINE
HABITAT FOR HORSES INC POB 213 HITCHCOCK, TX 77563-0213	76-0586024	501(C)3	10,000.	0.			EQUINE
OPERATION BLANKETS OF LOVE 16911 SAN FERNANDO MISSION, PMB 187 GRANADA HILLS, CA 91344	80-0238786	501(C)3	10,000.	0.			SAFETY NET/SURRENDER PREVENTION
ANIMAL FOLKS 1043 GRAND AVE #115 SAINT PAUL, MN 55105	80-0530102	501(C)3	10,000.	0.			ANTI-CRUELTY
DENVER ANIMAL PROTECTION 1241 W BAYAUD AVENUE DENVER, CO 80223	80-6000580		50,000.	0.			SAFETY NET/SURRENDER PREVENTION
THE SCIENCE AND CONSERVATION CENTER, INC. - SCIENCE AND CONSERVATION CENTER, 2100 S. SHOLOH RD - BILLINGS, MT 59106	81-0539631	501(C)3	21,250.	0.			EQUINE
CHATTAUNEUTER 6332 EAST VALLEY ROAD#224 DUNLAP, TN 37327	81-1072918	501(C)3	132,709.	0.			LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION/SPAY/NEUTER
CAPTAIN CARE SHELTER INTERVENTION PO BOX 5202 NOVATO, CA 94948	81-2015973	501(C)3	24,500.	0.			SAFETY NET/SURRENDER PREVENTION
A GREENER WORLD 629 SW BLACK BUTTE BLVD REDMOND, OR 97756	81-2116665	501(C)3	30,000.	0.			FARM ANIMALS

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CITY OF HAVRE ANIMAL SHELTER 520 4TH STREET HAVRE, MT 59501	81-6001274		30,140.	0.			LIVE RELEASE
LEWIS CLARK ANIMAL SHELTER INC 6 SHELTER RD LEWISTON, ID 83501-7899	82-0207503	501(C)3	51,300.	0.			LIVE RELEASE
IDAHO HUMANE SOCIETY INC 4775 DORMAN STREET BOISE, ID 83705	82-0212536	501(C)3	21,150.	0.			RELOCATION/LIVE RELEASE
BRIAR PATCH VETERINARY HOSPITAL 706 ELMIRA RD. ITHACA, NY 14850	82-0572787		10,000.	0.			SAFETY NET/SURRENDER PREVENTION
FIXNATION, INC. 7680 CLYBOURN AVENUE LOS ANGELES, CA 91352	83-0452460	501(C)3	118,000.	0.			SPAY/NEUTER/RELOCATION
HUMANE SOCIETY OF BOULDER VALLEY 2323 55TH STREET BOULDER, CO 80301	84-0152768	501(C)3	50,000.	0.			LIVE RELEASE
HUMANE SOCIETY OF THE PIKES PEAK REGION - 610 ABBOT LANE - COLORADO SPRINGS, CO 80905	84-0410111	501(C)3	50,000.	0.			LIVE RELEASE
LONGMONT HUMANE SOCIETY INC 9595 NELSON ROAD LONGMONT, CO 80501	84-0645455	501(C)3	8,015.	0.			ANTI-CRUELTY
COLORADO HORSE RESCUE 10386 N. 65TH ST. LONGMONT, CO 80503	84-1095741	501(C)3	5,500.	0.			EQUINE

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FOOTHILLS ANIMAL SHELTER 580 MCINTYRE STREET GOLDEN, CO 80401	84-1311450		25,000.	0.			
FRONT RANGE EQUINE RESCUE PO BOX 458 OCALA, FL 34478	84-1418525	501(C)3	10,000.	0.			SPAY/NEUTER/SPAY/NEUTER
DREAM CATCHER THERAPY CENTER 5814 HIGHWAY 348 OLATHE, CO 81425-9714	84-1488284	501(C)3	10,000.	0.			EQUINE
PETAID COLORADO 191 YUMA STREET DENVER, CO 80223	84-1612045	501(C)3	50,000.	0.			SAFETY NET/SURRENDER PREVENTION
ANIMAL HUMANE ASSOCIATION OF NEW MEXICO, INC. - 615 VIRGINIA ST. SE - ALBUQUERQUE, NM 87108	85-0207652	501(C)3	70,000.	0.			LIVE RELEASE
ANIMAL PROTECTION OF NEW MEXICO INC - PO BOX 11395 - ALBUQUERQUE, NM 87192-0395	85-0283292	501(C)3	20,100.	0.			ANTI-CRUELTY/LIVE RELEASE
FOOD DEPOT 1222 A SILER ROAD SANTA FE, NM 87507	85-0416803	501(C)3	50,000.	0.			SAFETY NET/SURRENDER PREVENTION
BERNALILLO COUNTY 1136 GATEWOOD AVENUE SW ALBUQUERQUE, NM 87109	85-6000202		10,000.	0.			DISASTER/EMERGENCY
SANTA FE ANIMAL SHELTER INC 100 CAJA DEL RIO ROAD SANTA, NM 87507	85-6000484	501(C)3	10,375.	0.			RELOCATION/LIVE RELEASE

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Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)							
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OKECHOBEE COUNTY SHERIFFS OFFICE ANIMAL CONTROL SERVICE - 1480 NW 25TH DRIVE - OKECHOBEE, FL 34972	85-8012646		11,000.	0.			ANTI-CRUELTY
ARIZONA HUMANE SOCIETY 1521 W DOBBINS RD PHOENIX, AZ 85041-8200	86-0135567	501(C)3	15,000.	0.			ANTI-CRUELTY
FOOTHILLS ANIMAL RESCUE INC 23030 N. PIMA ROAD SCOTTSDALE, AZ 85255	86-0789269	501(C)3	8,000.	0.			LIVE RELEASE
HALO HELPING ANIMALS LIVE ON 2500 SOUTH 27TH AVENUE PHOENIX, AZ 85009	86-0832160	501(C)3	25,000.	0.			LIVE RELEASE
BALTIMORE ANIMAL RESCUE AND CARE SHELTER INC - 301 STOCKHOLM STREET - BALTIMORE, MD 21230	86-1130456	501(C)3	15,500.	0.			LIVE RELEASE
PIMA ANIMAL CARE CENTER 4000 N. SILVERBELL RD. TUCSON, AZ 85745	86-6000543		60,500.	0.			ANTI-CRUELTY/LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION
SOUTH OGDEN ANIMAL SERVICES 3950 SOUTH ADAMS AVENUE SOUTH OGDEN, UT 84403	87-6000282		8,500.	0.			LIVE RELEASE/SPAY/NEUTER/RELOC ATION
NEVADA HUMANE SOCIETY INC 2825 B LONGLEY LANE RENO, NV 89502	88-0072720	501(C)3	6,750.	0.			LIVE RELEASE
THE ANIMAL FOUNDATION 655 N MOJAVE RD LAS VEGAS, NV 89101	88-0144253	501(C)3	60,000.	0.			LIVE RELEASE/SAFETY NET/SURRENDER PREVENTION

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COMMUNICATION ALLIANCE TO NETWORK THOROUGHBRED EX-RACEHORSES IN MICHIGAN - 8619 EDGEWOOD PARK DRIVE - COMMERCE TOWNSHIP, MI	90-0626283	501(C)3	7,000.	0.			EQUINE
NO PAWS LEFT BEHIND 13120 MEACHAM RD BAKERSFIELD, CA 93314	90-0669767	501(C)3	10,000.	0.			RELOCATION
YAKIMA HUMANE SOCIETY 2405 W. BIRCHFIELD RD. YAKIMA, WA 98901	91-0580938	501(C)3	80,000.	0.			SPAY/NEUTER
KITSAP HUMANE SOCIETY 9167 DICKEY ROAD NW SILVERDALE, WA 98383	91-0728353	501(C)3	13,950.	0.			RELOCATION/LIVE RELEASE
VANCOUVER HUMANE SOCIETY & S P C A 1100 NE 192ND AVE VANCOUVER, WA 98684	91-0759124	501(C)3	25,765.	0.			RELOCATION/LIVE RELEASE
WENATCHEE VALLEY HUMANE SOCIETY INC - 1474 S WENATCHEE AVE - WENATCHEE, WA 98801	91-0838299	501(C)3	10,000.	0.			EQUINE
HUMANE SOCIETY OF SKAGIT VALLEY 18841 KELLEHER ROAD BURLINGTON, WA 98233	91-0903532	501(C)3	5,675.	0.			RELOCATION
SPOKANIMAL C A R E 710 N NAPA SPOKANE, WA 99202	91-1223929	501(C)3	39,825.	0.			RELOCATION
NORTHWEST ORGANIZATION FOR ANIMAL HELP - 31300 BRANDSTROM ROAD - STANWOOD, WA 98292	91-1362069	501(C)3	31,400.	0.			RELOCATION/LIVE RELEASE

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**Part II** Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990) Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PERAL CAT SPAY/NEUTER PROJECT 4001 198TH ST SW SUITE 3 LYNNWOOD, WA 98036	91-1827152	501(C)3	85,000.	0.			SPAY/NEUTER
SEATTLE AREA FELINE RESCUE 14717 AURORA AVE N SHORELINE, WA 98133	91-2041961	501(C)3	21,600.	0.			RELOCATION/LIVE RELEASE
CALIFORNIA COASTAL HORSE RESCUE PO BOX 1646 OAK VIEW, CA 93022	91-2170457	501(C)3	20,500.	0.			EQUINE
GASTINEAU HUMANE SOCIETY 7705 GLACIER HWY JUNEAU, AK 99801	92-0057621	501(C)3	5,800.	0.			LIVE RELEASE/RETURN TO OWNER (RTO)
ANCHORAGE ANIMAL CARE AND CONTROL 4711 ELMORE ROAD ANCHORAGE, AK 99507	92-0059987		32,300.	0.			LIVE RELEASE
OREGON HUMANE SOCIETY 1067 NE COLUMBIA BLVD PORTLAND, OR 97211	93-0386880	501(C)3	517,875.	0.			RELOCATION/LIVE RELEASE/ANTI-CRUELTY/EQUINE
WILLAMETTE HUMANE SOCIETY PO BOX 13005 SALEM, OR 97309	93-0577975	501(C)3	7,100.	0.			RELOCATION/LIVE RELEASE
COLUMBIA HUMANE SOCIETY BOX 845 SAINT HELENS, OR 97051	93-0919021	501(C)3	8,825.	0.			RELOCATION/LIVE RELEASE
CENTRAL CALIFORNIA SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS - 103 S HUGHES AVE - FRESNO, CA 93706	94-1207695	501(C)3	50,000.	0.			LIVE RELEASE

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BERKELEY-EAST BAY HUMANE SOCIETY INC. - 2700 NINTH STREET - BERKELEY, CA 94710	94-1347069	501(C)3	10,000.	0.			LIVE RELEASE
CITY OF SAN JOSE ANIMAL CARE CENTER - 2750 MONTEREY RD. - SAN JOSE, CA 95111	94-6000419		25,000.	0.			SPAY/NEUTER
CITY OF STOCKTON 1575 S LINCOLN STREET STOCKTON, CA 95206	94-6000436		27,000.	0.			LIVE RELEASE
LAKE COUNTY ANIMAL CARE & CONTROL 4949 HELBUSH DRIVE LAKEPORT, CA 95453	94-6000825		16,000.	0.			DISASTER/EMERGENCY
SAN DIEGO HUMANE SOCIETY AND SPCA 572 AIRPORT ROAD OCEANSIDE, CA 92058	95-1661688	501(C)3	23,575.	0.			RELOCATION/LIVE RELEASE
INNER CITY LAW CENTER 1309 EAST SEVENTH STREET LOS ANGELES, CA 90021	95-3697572	501(C)3	10,000.	0.			SAFETY NET/SURRENDER PREVENTION
LOS ANGELES COUNTY ANIMAL CARE FOUNDATION - 5898 CHERRY AVENUE - LONG BEACH, CA 90805	95-3909782	501(C)3	500,000.	0.			LIVE RELEASE
LIFESAVERS INC 23809 E AVENUE J LANCASTER, CA 93535	95-4631906	501(C)3	15,000.	0.			EQUINE
VOICE FOR THE ANIMALS 2633 LINCOLN BLVD #202 SANTA MONICA, CA 90405	95-4754776	501(C)3	8,000.	0.			LIVE RELEASE

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LOS ANGELES ANIMAL SERVICES 221 N. FIGUEROA STREET, SUITE #600 LOS ANGELES, CA 90012	95-6000735		10,000.	0.			LIVE RELEASE
RIVERSIDE COUNTY DEPARTMENT OF ANIMAL SERVICES - 6851 VAN BUREN BLVD. - JURUPA VALLEY, CA 92509	95-6000930		41,400.	0.			LIVE RELEASE
COUNTY OF SAN LUIS OBISPO PO BOX 4110 SAN LUIS OBISPO, CA 93403	95-6000939		7,500.	0.			ANTI-CRUELTY
HAWAIIAN HUMANE SOCIETY 2700 WAIALAE AVE HONOLULU, HI 96826-1806	99-0073490	501(C)3	15,000.	0.			LIVE RELEASE

Schedule I (Form 990)



**Part IV** Supplemental Information

ITS STABILITY AND PROFESSIONALISM. ORGANIZATIONS THAT CAN DEMONSTRATE THE FOLLOWING QUALIFICATIONS IN THEIR APPLICATION ARE IN THE BEST POSITION TO RECEIVE FUNDING FROM THE ASPCA IN A TIMELY MANNER:

- ACCESS TO OTHER SOURCES OF FUNDING
- ACTIVE FUNDRAISING EFFORTS
- COLLABORATION WITH OTHER ANIMAL WELFARE ORGANIZATIONS
- UP-TO-DATE AND ACCURATE WEBSITE

THE ASPCA'S FUNDING PRIORITIES INCLUDE GRANTS FOR THE FOLLOWING PURPOSES:

- ANTI-CRUELTY EFFORTS
- EMERGENCY AND DISASTER RESPONSE AND PREPAREDNESS
- EQUINE PROJECTS
- SHELTER AND SPAY/NEUTER PROGRAMS
- ANIMAL RELOCATION INITIATIVES
- ANIMAL WELFARE SPONSORSHIPS AND SCHOLARSHIPS
- RESEARCH

THE ASPCA CONDUCTS REGULAR REVIEWS OF ITS APPLICANTS' NON-PROFIT STATUS. GRANTEES ARE EXPECTED TO REPORT BACK TO THE ASPCA WITH RESPECT TO THE USE OF THE GRANT FUNDS FOR THE PURPOSES REQUESTED.

**SCHEDULE J  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Compensation Information**

For certain Officers, Directors, Trustees, Key Employees, and Highest  
Compensated Employees

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**

▶ **Attach to Form 990.**

▶ **Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

Name of the organization

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Employer identification number

**13-1623829**

**Part I Questions Regarding Compensation**

	Yes	No
<b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. <input type="checkbox"/> First-class or charter travel <input type="checkbox"/> Travel for companions <input type="checkbox"/> Tax indemnification and gross-up payments <input type="checkbox"/> Discretionary spending account <input type="checkbox"/> Housing allowance or residence for personal use <input type="checkbox"/> Payments for business use of personal residence <input type="checkbox"/> Health or social club dues or initiation fees <input type="checkbox"/> Personal services (such as, maid, chauffeur, chef)		
<b>b</b> If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain .....	<b>1b</b>	
<b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a? .....	<b>2</b>	
<b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. <input checked="" type="checkbox"/> Compensation committee <input checked="" type="checkbox"/> Independent compensation consultant <input checked="" type="checkbox"/> Form 990 of other organizations <input type="checkbox"/> Written employment contract <input checked="" type="checkbox"/> Compensation survey or study <input checked="" type="checkbox"/> Approval by the board or compensation committee		
<b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:		
<b>a</b> Receive a severance payment or change-of-control payment? .....	<b>4a</b>	<b>X</b>
<b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan? .....	<b>4b</b>	<b>X</b>
<b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement? ..... If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.	<b>4c</b>	<b>X</b>
<b>Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b>		
<b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:		
<b>a</b> The organization? .....	<b>5a</b>	<b>X</b>
<b>b</b> Any related organization? ..... If "Yes" on line 5a or 5b, describe in Part III.	<b>5b</b>	<b>X</b>
<b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:		
<b>a</b> The organization? .....	<b>6a</b>	<b>X</b>
<b>b</b> Any related organization? ..... If "Yes" on line 6a or 6b, describe in Part III.	<b>6b</b>	<b>X</b>
<b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If "Yes," describe in Part III .....	<b>7</b>	<b>X</b>
<b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III .....	<b>8</b>	<b>X</b>
<b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)? .....	<b>9</b>	

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.**

**Schedule J (Form 990) 2016**

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**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

**Note:** The sum of columns (B)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation				(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation					
(1) MATTHEW BERSHADKER PRESIDENT & CEO	(i) 436,580.	0.	810.		22,108.	4,153.	463,651.	0.
(2) JOHANNA RICHMAN SVP & CFO TO 10/21/16	(i) 186,858.	0.	2,209.		14,802.	20,314.	224,183.	0.
(3) ELIZABETH ESTROFF SVP, COMMUNICATIONS	(i) 291,148.	0.	810.		21,200.	33,364.	346,522.	0.
(4) TODD HENDRICKS SVP, DEV. & MARKETING	(i) 293,573.	0.	722.		21,200.	29,171.	344,666.	0.
(5) SARAH LEVIN GOODSTONE SVP, OPERATIONS & STRATEGY	(i) 249,781.	0.	424.		20,851.	32,865.	303,921.	0.
(6) BEVERLY JONES SVP, CHIEF LEGAL OFFICER	(i) 214,723.	0.	453.		17,542.	25,702.	258,420.	0.
(7) JULIE MORRIS SVP, COMMUNITY OUTREACH	(i) 282,419.	0.	3,162.		21,200.	12,117.	318,898.	0.
(8) JED ROGERS III, DVM SVP, ANIMAL HEALTH SVCS TO 9/9/16	(i) 226,003.	0.	29,006.		16,117.	20,080.	291,206.	0.
(9) BERT TROUGHTON SVP, ANIMAL HEALTH SERVICES	(i) 202,124.	0.	10,656.		15,983.	21,206.	249,969.	0.
(10) STACY WOLF SVP, ANTI-CRUELTY GROUP	(i) 251,690.	0.	1,016.		17,706.	12,223.	282,635.	0.
(11) J'MAI GAYLE DIRECTOR, SURGERY	(i) 274,576.	0.	533.		19,750.	29,282.	324,141.	0.
(12) STEPHEN J. MUSSO EVP, CAPITAL PROJECTS & FACILITIES P	(i) 249,985.	6,159.	2,322.		21,024.	21,481.	300,971.	0.
(13) NANCY PERRY SVP, GOVERNMENT RELATIONS	(i) 235,359.	0.	1,175.		18,656.	10,180.	265,370.	0.
(14) GAIL BUCHWALD SVP, ADOPTIONS & NYC NO-KILL	(i) 222,513.	0.	480.		17,894.	9,450.	250,337.	0.
(15) RANDALL LOCKWOOD SVP, FORENSIC SCIENCE & ANTI-CRUELTY	(i) 216,557.	0.	6,010.		17,782.	20,379.	260,728.	0.
	(i) 0.	0.	0.		0.	0.	0.	0.



THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS

Schedule J (Form 990) 2016

Part III Supplemental Information

13-1623829

Page 3

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 4A:

JED ROGERS III, DVM, SVP, ANIMAL HEALTH SERVICES THROUGH 09/09/2016

RECEIVED \$28,539 SEVERANCE PAYMENT NOT INCLUDED IN THE BASE COMPENSATION.

PART I, LINE 7:

THE FOLLOWING EMPLOYEES RECEIVED DISCRETIONARY, NON-FIXED PAYMENTS THAT ARE  
REPORTED IN SCHEDULE J, PART II, COLUMN B(II). THE DISCRETIONARY NON-FIXED  
PAYMENT IS BASED ON A PERCENTAGE OF SALARY DETERMINED BY A PERFORMANCE

EVALUATION PROCESS :

STEPHEN J. MUSSO \$ 6,159

**SCHEDULE M**  
**(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Noncash Contributions**

OMB No. 1545-0047

**2016**

Open To Public  
Inspection

- ▶ **Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.**  
▶ **Attach to Form 990.**  
▶ **Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

Name of the organization

**THE AMERICAN SOCIETY FOR THE PREVENTION  
OF CRUELTY TO ANIMALS**

Employer identification number  
**13-1623829**

**Part I** Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art - Works of art .....				
2 Art - Historical treasures .....				
3 Art - Fractional interests .....				
4 Books and publications .....				
5 Clothing and household goods .....				
6 Cars and other vehicles .....				
7 Boats and planes .....				
8 Intellectual property .....				
9 Securities - Publicly traded .....	X	100	643,846.	QUOTE PRICE
10 Securities - Closely held stock .....				
11 Securities - Partnership, LLC, or trust interests .....				
12 Securities - Miscellaneous .....				
13 Qualified conservation contribution - Historic structures .....				
14 Qualified conservation contribution - Other .....				
15 Real estate - Residential .....				
16 Real estate - Commercial .....				
17 Real estate - Other .....				
18 Collectibles .....				
19 Food inventory .....				
20 Drugs and medical supplies .....				
21 Taxidermy .....				
22 Historical artifacts .....				
23 Scientific specimens .....				
24 Archeological artifacts .....				
25 Other ▶ ( <u>GIFTS IN KIND</u> ) .....	X	7	437,208.	COST
26 Other ▶ ( ..... ) .....				
27 Other ▶ ( ..... ) .....				
28 Other ▶ ( ..... ) .....				

29 Number of Forms 8283 received by the organization during the tax year for contributions  
for which the organization completed Form 8283, Part IV, Donee Acknowledgement .....

29

0

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it  
must hold for at least three years from the date of the initial contribution, and which isn't required to be used for  
exempt purposes for the entire holding period? .....

b If "Yes," describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions? .....

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash  
contributions? .....

b If "Yes," describe in Part II.

33 If the organization didn't report an amount in column (c) for a type of property for which column (a) is checked,  
describe in Part II.

	Yes	No
30a		X
31	X	
32a		X
33		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule M (Form 990) (2016)

THE AMERICAN SOCIETY FOR THE PREVENTION

Schedule M (Form 990) (2016) OF CRUELTY TO ANIMALS

13-1623829

Page 2

**Part II**

**Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

SCHEDULE M, PART I, COLUMN (B):

THE AMOUNT IN COLUMN (B) REPRESENTS THE NUMBER OF CONTRIBUTIONS.

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on  
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No. 1545-0047

**2016**

**Open to Public  
Inspection**

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FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE ASPCA WAS FOUNDED ON THE BELIEF THAT ANIMALS ARE ENTITLED TO KIND  
AND RESPECTFUL TREATMENT AT THE HANDS OF HUMANS AND MUST BE PROTECTED  
UNDER THE LAW. THE ASPCA'S MISSION, AS STATED BY FOUNDER HENRY BERGH IN  
1866, IS "TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO  
ANIMALS THROUGHOUT THE UNITED STATES."

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:

ANIMAL HEALTH SERVICES -

ANIMAL HEALTH SERVICES IS COMPRISED OF RESOURCES AND PROGRAMS THAT  
SUPPORT THE WELFARE OF ANIMALS AND ASSIST PET OWNERS, INCLUDING THE  
ASPCA ANIMAL HOSPITAL, THE ASPCA ANIMAL POISON CONTROL CENTER, ASPCA IN  
LOS ANGELES, THE HUMANE ALLIANCE BASED IN ASHEVILLE, NORTH CAROLINA,  
AND COMMUNITY MEDICINE (FORMERLY SPAY/NEUTER OPERATIONS) IN NEW YORK  
CITY, LOS ANGELES, AND SOON TO BE IN MIAMI.

THE MANHATTAN-BASED ASPCA ANIMAL HOSPITAL (AAH) PROVIDES HIGH-QUALITY,  
PRACTICAL, URGENT MEDICAL CARE AND SHORT-TERM HOSPITALIZATION TO THE  
PETS OF LOW-INCOME FAMILIES, ADOPTABLE ANIMALS AND ANIMALS WHO ARE  
VICTIMS OR ARE AT RISK OF CRUELTY AND NEGLECT, INCLUDING THE NEW YORK  
CITY POLICE DEPARTMENT (NYPD)/HUMANE LAW ENFORCEMENT (HLE), CRUELTY  
INTERVENTION ADVOCACY (CIA) AND TROOPER FUND CASES, AS WELL AS THE  
ASPCA ADOPTION CENTER AND KITTEN NURSERY ANIMALS.

ASPCA ANIMAL HOSPITAL PROVIDED CARE FOR 343 ANIMALS FROM THE NYPD;  
PERFORMED 1,059 PRE- AND POST-ADOPTION EXAMS; PERFORMED 9,684 EMERGENCY

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990 or 990-EZ) (2016)

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EXAMS (A 25% INCREASE OVER 2015); TREATED 279 MEDICALLY COMPROMISED STRAYS; PROVIDED CARE FOR 32 ANIMALS RESCUED BY CIA; AND FUNDED AND TREATED THROUGH THE AAH TROOPER FUND 5,341 ANIMALS WHOSE OWNERS WERE UNABLE TO AFFORD VETERINARY CARE--A 97% INCREASE OVER 2015.

AS NORTH AMERICA'S PREMIER ANIMAL POISON CONTROL CENTER, THE URBANA, ILLINOIS-BASED ASPCA ANIMAL POISON CONTROL CENTER (APCC) PROVIDES 24/7 EMERGENCY ASSISTANCE TO PET OWNERS AND VETERINARIANS, HELPING ANIMALS THROUGHOUT THE COUNTRY WHO HAVE BEEN EXPOSED TO POTENTIALLY HAZARDOUS SUBSTANCES. IN 2016, APCC ANSWERED MORE THAN 285,000 CALLS AND MANAGED MORE THAN 195,000 POISON CASES. THE APCC ALSO PROVIDES POISON-PREVENTION INFORMATION TO PET OWNERS AND ADVANCED TOXICOLOGY CONSULTATION AND TRAINING TO VETERINARIANS.

THE ASPCA'S COMMUNITY MEDICINE (CM) DEPARTMENT OFFERS SPAY/NEUTER TACTICS INCLUDING DOOR-TO-DOOR ADVOCACY, FULLY SUBSIDIZED SERVICES AND MOBILE CLINICS IN KEY AREAS, AS WELL AS SERVICES LIKE VACCINATIONS, PREVENTATIVE MEDICATIONS, TREATMENTS FOR SKIN DISEASE, EAR DISEASE AND GASTROINTESTINAL ISSUES, AND REFERRALS TO PARTNERS FOR MORE SPECIALIZED CARE IN AN EFFORT TO PREVENT RELINQUISHMENT AND FOCUS ON PET RETENTION. IT PLAYS A SIGNIFICANT ROLE IN THE ASPCA'S WORK TO PROTECT ANIMALS AND REDUCE OVERPOPULATION. IN 2016, THE CM TEAM COMPLETED 85,151 SPAY/NEUTER SURGERIES--THE MOST EVER IN A SINGLE YEAR IN CLINICS FROM COAST TO COAST. THE ASPCA ALSO EXPANDED ITS PRIMARY PET CARE (PPC) PROGRAM, WHICH PROVIDES BASIC HEALTH SERVICES TO HELP KEEP PETS IN HOMES, INTO LOS ANGELES COUNTY, AND PROVIDED OVER 2,000 APPOINTMENTS TO ANIMALS IN NEW YORK CITY AND LOS ANGELES. THE ASPCA ALSO ADDED NEW MOBILE SPAY/NEUTER CLINICS, A NEW SPAY/NEUTER TEAM IN LOS ANGELES AND A

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NEW PPC TEAM IN NEW YORK.

IN ADDITION TO THE LAUNCH OF THE PPC PROGRAM, THE ASPCA TEAM IN LOS ANGELES CELEBRATED A YEAR OF GROWTH ON THE WEST COAST. THE L.A. SPAY/NEUTER CLINIC REACHED A GRAND TOTAL OF 12,699 SURGERIES IN 2016. ADDITIONALLY, SUPPORT FROM AN ASPCA GRANT THAT COVERED ADOPTION FEES FOR CATS AT THE L.A. CITY AND COUNTY SHELTERS INCREASED FELINE ADOPTIONS BY 19% AND 38%, RESPECTIVELY.

THE ASPCA'S SAFETY NET TEAM WORKED CLOSELY WITH LOS ANGELES COUNTY TO ASSIST 10,816 ANIMALS, PROVIDING 14,366 SERVICES INCLUDING EMERGENCY MEDICAL CARE, SPAY/NEUTER OPERATIONS, VACCINES, PET SUPPLIES, BEHAVIOR COUNSELING, BOARDING, FOSTER CARE AND MORE. IN THE PAST, THESE ANIMALS WERE AT RISK OF BEING SURRENDERED TO SHELTERS, BUT NOW THEY ARE ABLE TO STAY WITH THEIR FAMILIES.

IN A PARTNERSHIP WITH MIAMI-DADE COUNTY, FLORIDA THE ASPCA IS BUILDING AN ASPCA COMMUNITY MEDICINE CLINIC THAT WILL PROVIDE SUBSIDIZED SPAY/NEUTER SURGERIES AND PRIMARY PET CARE TO AN UNDERSERVED AREA OF MIAMI. AN ASPCA DIRECTOR OF COMMUNITY ENGAGEMENT AT MIAMI DADE ANIMAL SERVICES' SHELTER ALSO WILL DEVELOP A PET RETENTION PROGRAM TO HELP REDUCE SHELTER INTAKE AND KEEP PETS AND PEOPLE TOGETHER.

HUMANE ALLIANCE (HA), A PROGRAM OF THE ASPCA, CONTINUED TO INCREASE CAPACITY FOR SPAY/NEUTER SURGERIES ACROSS THE COUNTRY. IN 2016, HA PERFORMED 24,787 SURGERIES AT ITS CLINIC IN ASHEVILLE, NORTH CAROLINA, WORKING WITH MORE THAN 30 ANIMAL WELFARE GROUPS ACROSS THE WESTERN PART OF THE STATE. HA ALSO TRAINED OVER 600 VETERINARIANS, VETERINARY

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EXTERNS AND PROFESSIONALS IN SPAY/NEUTER BEST PRACTICES, INCLUDING  
STAFF FROM SPAY/NEUTER CLINICS NATIONWIDE. CUMULATIVELY, THE NETWORK OF  
SPAY/NEUTER CLINICS FOSTERED AND TRAINED BY HA STERILIZED OVER 1.1  
MILLION COMPANION ANIMALS DURING 2016.

FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

PUBLIC EDUCATION AND COMMUNICATIONS -

CRITICALLY IMPORTANT TO THE ASPCA'S MISSION IS EDUCATING THE PUBLIC AND  
BRINGING AWARENESS TO ITS PROGRAMS, ILLUSTRATING HOW PEOPLE AND  
ORGANIZATIONS CAN GET INVOLVED. IN 2016, THE ASPCA HAD MORE THAN 50.8  
MILLION PAGE VIEWS ON ASPCA.ORG, BRINGING AWARENESS TO SUPPORTERS AND  
THE GENERAL PUBLIC ABOUT ACTIONS THEY CAN TAKE ON BEHALF OF ANIMALS.

AS PART OF THE ASPCA'S EDUCATION INITIATIVES, SOCIAL MEDIA POSTINGS  
UPDATED THE PUBLIC OF REGULATORY WINS AND SHARED DETAILS OF THE ASPCA'S  
ANTI-CRUELTY EFFORTS. THE ORGANIZATION'S PROMOTIONS GENERATED TENS OF  
MILLIONS OF SOCIAL MEDIA IMPRESSIONS. THE PUBLIC WAS UPDATED ON ACTION  
THAT CAN BE TAKEN TO ENSURE THAT ANIMALS ARE GIVEN THE GREATEST  
POSSIBLE PROTECTION UNDER THE LAW AND MADE AWARE OF HOW EACH PERSON CAN  
HELP THIS EFFORT. THE ASPCA SENT MORE THAN 150 ADVOCACY E-MAILS TO  
SUPPORTERS, URGING THEM TO TAKE ACTION ON A WIDE RANGE OF ANIMAL  
WELFARE ISSUES. WITH THE HELP OF ADVOCATES, THE ASPCA SECURED NEW  
ANIMAL PROTECTION LAWS AND REGULATORY WINS FOR ANIMALS.

IN THE SAME YEAR, THE ASPCA DISTRIBUTED MORE THAN 1,800,000 COPIES OF  
ITS MEMBER MAGAZINE, ASPCA ACTION, AND 15,000 COPIES, COMBINED PRINT  
AND DIGITAL, OF ITS ANNUAL REPORT. ASPCA ACTION INCLUDES INFORMATION  
ON ASPCA EVENTS AND PROGRAMS AS WELL AS PET CARE BEHAVIOR AND ADVICE.

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LEGISLATIVE AND ANIMAL ADVOCACY NEWS KEEPS MEMBERS UP-TO-DATE ON  
CURRENT AND FUTURE INITIATIVES AND HOW THEY CAN HELP ENSURE THAT  
ANIMALS RECEIVE NECESSARY PROTECTION UNDER THE LAW. THIS MAGAZINE CAN  
ALSO BE OBTAINED ON THE ASPCA WEBSITE, WHICH HAS MANY ADDITIONAL  
EDUCATIONAL RESOURCES FOR THE PUBLIC.

THE ASPCA IS CONSISTENTLY ONE OF THE NATION'S LEADING VOICES IN THE  
MEDIA ON ANIMAL CRUELTY AND WELFARE ISSUES. HIGH-PROFILE MEDIA OUTLETS  
FEATURING THE WORK OF THE ASPCA FIRMLY POSITIONED THEIR EXPERTS ON  
CRITICALLY IMPORTANT TOPICS INCLUDING BLOOD SPORTS, THEIR  
GROUNDBREAKING PARTNERSHIP WITH THE NEW YORK CITY POLICE DEPARTMENT  
(NYPD), ANIMAL HOMELESSNESS AND SHELTERING, SPAY/NEUTER, BETTER LEGAL  
PROTECTIONS FOR COMPANION AND FARM ANIMALS AS WELL AS SAFETY NET  
PROGRAMS THAT KEEP PETS OUT OF SHELTERS AND IN HOMES. IN TOTAL, THE  
ASPCA GENERATED MORE THAN 20,000 FAVORABLE MEDIA PLACEMENTS ACROSS  
TRADITIONAL MEDIA OUTLETS AND BLOGS IN 2016.

APRIL 10TH MARKED THE ASPCA'S 150TH ANNIVERSARY AND ONGOING COMMITMENT  
TO PROTECTING VULNERABLE AND VICTIMIZED ANIMALS NATIONWIDE.  
COMMEMORATING THIS MILESTONE, THE ASPCA PARTICIPATED IN A NUMBER OF  
MAJOR PRESS ACTIVITIES, WHICH GENERATED SIGNIFICANT MEDIA COVERAGE IN  
NYC AND ACROSS THE U.S. ABC'S GOOD MORNING AMERICA AND ELLEN  
DEGENERES' SOCIAL MEDIA SITE HELPED LAUNCH THE CAMPAIGN, WHICH ALSO WAS  
COVERED BY POPULAR NATIONAL OUTLETS.

THE ASPCA'S DOCUMENTARY FILM, "SECOND CHANCE DOGS," DEBUTED NATIONALLY  
ON ANIMAL PLANET ON APRIL 16, AND WAS FEATURED ON NETFLIX LATER IN THE  
YEAR. FOCUSING ON INNOVATIVE TACTICS AND INSPIRING STORIES FROM THE



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ASPCA BEHAVIORAL REHABILITATION CENTER, THE FILM GENERATED EXTENSIVE  
MEDIA COVERAGE IN LOCAL AND NATIONAL OUTLETS INCLUDING TODAY SHOW, THE  
ASSOCIATED PRESS, KNOXVILLE NEWS SENTINEL, THE NY DAILY NEWS AND  
PEOPLEPETS.COM.

THE COMPELLING NATURE OF THE ASPCA'S GROUNDBREAKING PARTNERSHIP WITH  
THE NYPD CONTINUES TO GET THE ATTENTION OF HIGH-PROFILE OUTLETS LIKE  
THE NEW YORK TIMES, THE ASSOCIATED PRESS AND CBSNEWS.COM. IN JUNE, THE  
NEW YORK TIMES PROFILED THE ASPCA'S COMPREHENSIVE REHABILITATION WORK  
AND ITS VOLUNTEER READING, OR STORYTELLING, PROGRAM. THE ARTICLE  
FOCUSED ON THE ASPCA'S EFFORTS TO HEAL AND REHABILITATE THE VICTIMS OF  
ANIMAL CRUELTY RESCUED BY THE NYPD, GIVING THOSE ANIMALS THE BEST  
POSSIBLE CHANCE TO FIND A SAFE AND LOVING HOME.

IN JULY, THE ASPCA ASSISTED IN THE RESCUE OF MORE THAN 1,000 FARM  
ANIMALS FOUND TO BE LIVING IN DEPLORABLE CONDITIONS ON A WESTPORT,  
MASSACHUSETTS FARM. THIS WAS THE LARGEST FARM ANIMAL CRUELTY CASE FOR  
THE ASPCA IN THE NORTHEAST. NEWS OF THE RESCUE RECEIVED CONSIDERABLE  
MEDIA COVERAGE FROM LOCAL NEWS OUTLETS INCLUDING THE BOSTON GLOBE, THE  
REPUBLICAN, WFXT, AND THE MILFORD PATCH, AS WELL AS NATIONAL COVERAGE  
FROM THE ASSOCIATED PRESS. THE ASPCA'S EFFORTS TO DOCUMENT EVIDENCE OF  
ANIMAL CRUELTY AND CARE FOR THE ANIMALS AT A TEMPORARY SHELTER  
POSITIONED THE ORGANIZATION AS AN EXPERT IN ANIMAL CRUELTY AND  
VETERINARY CARE.

THE ASPCA CONTINUED TO BRING ATTENTION TO THE PLIGHT OF ANIMALS RAISED  
FOR FOOD IN THE U.S. A COALITION INCLUDING THE ASPCA, THE HSUS,  
MASSACHUSETTS SPCA AND THE ANIMAL RESCUE LEAGUE OF BOSTON ANNOUNCED A

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2016 BALLOT INITIATIVE TO IMPROVE CONDITIONS FOR PIGS, VEAL CALVES AND EGGLAYING HENS. THE NEWS OF THIS IMPORTANT LEGISLATIVE INITIATIVE AND ITS SUBSEQUENT APPROVAL BY VOTERS WAS REPORTED WIDELY BY BOTH NATIONAL AND LOCAL MASSACHUSETTS MEDIA OUTLETS.

IN ANOTHER INITIATIVE AIMED AT IMPROVING THE LIVES OF FARM ANIMALS, THE ASPCA FOCUSED ITS EFFORTS TO EDUCATE CONSUMERS ON THE POWER THEY HAVE TO INFLUENCE FOOD COMPANIES TO BE MORE WELFARE-CONSCIOUS. THE CHICAGO TRIBUNE PUBLISHED AN ARTICLE EXPLAINING WHAT DIFFERENT FOOD LABELS MEAN AND INCLUDED THE ASPCA'S EXPERTISE ON EACH LABEL'S IMPACT ON ANIMAL WELFARE.

IN ITS SECOND FULL SEASON OF OPERATION, THE ASPCA'S NEONATE KITTEN NURSERY GENERATED PROMINENT VISIBILITY FROM A NUMBER OF HIGH-PROFILE NATIONAL AND NYC MEDIA OUTLETS, SHEDDING LIGHT ON A VERY VULNERABLE POPULATION OF ANIMALS DURING THE KITTEN SEASON. THE NURSERY WAS FEATURED IN A NOVEMBER NEW YORK TIMES ARTICLE HIGHLIGHTING THE WORK OF THE ASPCA, AND POSITIONED THE ASPCA AS AN EXPERT IN LIFE-SAVING VETERINARY CARE AND ANIMAL SHELTERING ISSUES.

IN 2016, THE ASPCA TEAMED UP WITH ACTRESS DAISY FUENTES TO CREATE A VIDEO CAMPAIGN PROMOTING SPAY/NEUTER SURGERY AND ANIMAL ADOPTION. THE CAMPAIGN WAS COVERED BY ACCESS HOLLYWOOD, KTLA AND PEOPLE EN ESPANOL.

FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

ANTI-CRUELTY PROGRAMS -

IN 2016, THE ASPCA DEVELOPED INNOVATIVE NEW PROGRAMS AND EXPANDED EXISTING ONES TO HELP END ANIMAL CRUELTY AND SAVE THE LIVES OF ANIMALS

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ACROSS AMERICA.

THE ASPCA HUMANE LAW ENFORCEMENT (HLE) DEPARTMENT HAS CONTINUED TO WORK CLOSELY WITH THE NEW YORK CITY POLICE DEPARTMENT (NYPD) SINCE THE PARTNERSHIP BEGAN IN 2014. THROUGH COMBINED EFFORTS, HLE AND THE NYPD ASSISTED MORE THAN 1,000 ANIMALS VIA LAW ENFORCEMENT AND/OR INTERVENTION IN NEW YORK CITY IN 2016. THE ASPCA-NYPD PARTNERSHIP ALSO LOGGED 153 ARRESTS, ISSUED 112 SUMMONSES, LOGGED MORE THAN 1,400 NYPD CALLS TO THE 24-HOUR HLE HOTLINE AND ISSUED 16 SEARCH WARRANTS. THE ASPCA USED AN ORGANIZED OUTREACH MODEL TO CONNECT WITH PRIVATE AND CITY AGENCIES AT PRECINCT COMMUNITY COUNCIL MEETINGS, COMMUNITY BOARD MEETINGS AND DISTRICT CABINET MEETINGS. HLE STAFF ATTENDED NEARLY 300 SUCH MEETINGS IN 2016.

WHEN AN OFFICER RESPONDS TO A REPORT OF SUSPECTED ANIMAL CRUELTY AND DETERMINES THAT NO CRIME HAS BEEN COMMITTED BUT THE ANIMALS AND PEOPLE INVOLVED NEED ASSISTANCE, HE OR SHE CONTACTS THE ASPCA'S CRUELTY INTERVENTION ADVOCACY (CIA) PROGRAM. THE CIA TEAM PARTNERS WITH THE NYPD AND ANIMAL CARE CENTERS OF NYC TO IMPROVE THE WELFARE OF THOUSANDS OF PETS ANNUALLY. THESE EFFORTS FOCUS ON HOARDING SITUATIONS, EMERGENCY VETERINARY CARE, AND RESOURCES FOR DOMESTIC VIOLENCE SURVIVORS. IN 2016, CIA ASSISTED OVER 900 ANIMALS IN NEW YORK CITY ALONE, REFERRING 111 CASES TO THE ASPCA'S HLE DEPARTMENT, A 56% INCREASE OVER 2015.

IN 2016, THE CIA TEAM WORKED TO EXPAND SERVICES ON THE WEST COAST AND IN SOUTH FLORIDA. IN LOS ANGELES, THEY ASSISTED NEARLY 11,000 ANIMALS THROUGH SAFETY NET PROGRAMS, ARRANGED FOR MORE THAN 6,300 SPAY/NEUTER SURGERIES, PROVIDED ADDITIONAL NECESSARY MEDICAL SERVICES, AND

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FACILITATED 1,164 REUNIONS OF LOST PETS WITH THEIR OWNERS.

THE CIA TEAM IN MIAMI WORKING WITH MIAMI DADE ANIMAL SERVICES (MDAS)  
IDENTIFIED CRITICAL AREAS OF NEED AND RESPONDED BY CUSTOMIZING A  
TRAINING PROGRAM FOR APPROXIMATELY 100 MDAS STAFF AND MANAGERS.

THE ASPCA FIELD INVESTIGATIONS & RESPONSE (FIR) HAD A RECORD-BREAKING  
YEAR IN 2016, DEPLOYING ACROSS 17 STATES TO RESPOND TO SOME OF THE  
LARGEST CASES AND NATURAL DISASTERS IN RECENT HISTORY. THESE INCLUDED A  
MASSIVE COMPANION ANIMAL RESCUE, THE LARGEST-EVER FARM ANIMAL CRUELTY  
CASE IN THE NORTHEAST, MULTI-STATE DOG FIGHTING CASES AND WILDFIRES.

FOLLOWING THE FLOODING AND DEVASTATION LEFT ACROSS LOUISIANA, GEORGIA,  
SOUTH CAROLINA AND NORTH CAROLINA IN THE WAKE OF HURRICANE MATTHEW, THE  
FIR TEAM CONDUCTED EMERGENCY TRANSPORTS, SEARCH AND RESCUE, AND WATER  
RESCUES. THEY ALSO ESTABLISHED A TEMPORARY SHELTER TO HOUSE ANIMAL  
VICTIMS DISPLACED BY THE STORM, AND LATER WORKED TO REUNITE THESE  
VICTIMS WITH THEIR FAMILIES.

IN 2016, ASPCA FIR LED 26 DEPLOYMENTS AND 57 INVESTIGATIONS, WAS  
INVOLVED IN THE FILING OF 443 CRIMINAL CHARGES AND 73 CONVICTIONS, AND  
WITH MORE THAN 1,580 RESPONDERS, RESCUED OR ASSISTED 11,503 ANIMALS.

THE ASPCA'S GROUNDBREAKING FORENSIC SCIENCES AND ANTI-CRUELTY  
INITIATIVES TEAMS WERE INVOLVED IN 12 DEPLOYMENTS, 299 CASES, 980  
ANIMAL FORENSIC EXAMS, AND 80 TRAININGS THAT TRAINED MORE THAN 5,000  
PEOPLE.

IN MIAMI, THE ASPCA SUPPORTED THE EFFORTS OF MIAMI LAW ENFORCEMENT AND

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MIAMI-DADE ANIMAL SERVICES (MDAS) TO EFFECTIVELY IDENTIFY AND COMBAT  
ANIMAL CRUELTY BY PROVIDING AN ASPCA FORENSIC VETERINARIAN TO OFFER  
CRUELTY CASE SUPPORT.

IN 2016, THE ASPCA'S BEHAVIORAL REHABILITATION CENTER (BRC), LOCATED  
WITHIN THE ST. HUBERT'S ANIMAL WELFARE CENTER IN MADISON, NEW JERSEY,  
CONTINUED TO DEVELOP NEW PROTOCOLS AND SHARE THEIR FINDINGS. THEY  
ACCEPTED MORE THAN 300 DOGS INTO THE PROGRAM SINCE ITS LAUNCH IN 2013,  
MAINTAINING A HIGH SUCCESS RATE OF 87%, HELPING DOGS OVERCOME  
DEVASTATING BEHAVIORAL TRAUMA TO BECOME ADOPTABLE PETS.

THE BRC ACCEPTED DOGS FROM AND CONSULTED WITH OVER 30 ANIMAL WELFARE  
ORGANIZATIONS IN 2016 (AND MORE THAN 150 SINCE LAUNCH), HOSTED 38  
PROFESSIONAL/STUDENT VISITORS, AND ANALYZED DATA THAT WILL SERVE AS THE  
BASIS FOR A FORMAL STUDY. IN ADDITION, THE ASPCA ANNOUNCED PLANS TO  
RELOCATE THE BRC TO A PERMANENT NEW FACILITY IN WEAVERVILLE, NORTH  
CAROLINA.

THROUGH ITS GOVERNMENT RELATIONS (GR) EFFORTS, THE ASPCA INITIATED AND  
LED THE PROCESS THAT, IN 2016, CULMINATED IN SUBSTANTIAL IMPROVEMENTS  
TO U.S. SENTENCING COMMISSION (USSC) FEDERAL SENTENCING GUIDELINES FOR  
DOG FIGHTING. THE ORGANIZATION PROVIDED TESTIMONY AND GUIDANCE TO THE  
USSC TO ENCOURAGE STRICTER PENALTIES FOR THIS GRISLY CRIME.

OTHER GR HIGHLIGHTS FROM 2016 INCLUDE:

- HORSE SLAUGHTER IN THE U.S. WAS THWARTED AGAIN WHEN THE ASPCA  
SUCCESSFULLY LOBBIED TO CONTINUE THE BAN ON FEDERAL FUNDING OF HORSE  
SLAUGHTER INSPECTIONS IN THE 2017 FISCAL YEAR APPROPRIATIONS PACKAGE.

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AS A RESULT OF THIS WORK, HORSE SLAUGHTER PLANTS REMAIN CLOSED IN THE  
U.S. MEANWHILE, THE ASPCA HORSE ACTION TEAM, CONSISTING OF 1,500  
ADVOCATES, FOCUSED ON BUILDING SUPPORT FOR THE SAFEGUARD AMERICAN FOOD  
EXPORTS ACT, HELPING THE BILL ULTIMATELY EARN 200 COSPONSORS IN THE  
U.S. HOUSE OF REPRESENTATIVES.

- THE ASPCA SPEARHEADED A SUCCESSFUL CAMPAIGN TO PERSUADE THE DISTRICT  
OF COLUMBIA HOUSING AUTHORITY TO REVERSE ITS DISCRIMINATORY PET POLICY.  
FOR THE FIRST TIME IN 13 YEARS, NEARLY 3,000 UNITS OF D.C. PUBLIC  
HOUSING ARE NOW OPEN TO PET OWNERSHIP WITHOUT BREED RESTRICTIONS.

- IN NEW YORK CITY, THE ASPCA HELPED EXPAND SHELTER FUNDING BY SECURING  
\$10 MILLION IN THE NEW YORK CITY BUDGET TO BE DIRECTED TOWARD THE  
CREATION OF FULL-SERVICE ANIMAL SHELTERS IN THE BRONX AND QUEENS. THE  
ORGANIZATION ALSO HELPED MOVE CRITICAL LEGISLATION TO REMEDY THE  
HARMFUL IMPACT OF "NO-PET POLICIES" ON FAMILIES WITH PETS SEEKING  
HOUSING, MARKING A CRUCIAL FIRST STEP IN SETTING THE STAGE FOR FUTURE  
LEGISLATIVE ACTION.

- THE ASPCA SUCCESSFULLY PUSHED FOR IMPROVEMENTS IN WASHINGTON STATE'S  
COST OF CARE LAW SO THAT LAW ENFORCEMENT CAN MORE EFFECTIVELY  
INVESTIGATE CASES OF ANIMAL CRUELTY.

- IN CALIFORNIA, IT IS NO LONGER MANDATED THAT ALL DOGS SEIZED FROM  
FIGHTS BE AUTOMATICALLY CATEGORIZED AS VICIOUS, WHICH WILL HELP ENSURE  
THAT THESE VICTIMS OF EXTREME CRUELTY GET FAIR SHOTS AT NEW LIVES.

IN ADDITION TO ITS WORK IN NEW YORK CITY, IN 2016 THE ASPCA'S LEGAL  
ADVOCACY (LA) TEAM PROVIDED SUBSTANTIAL LEGAL ASSISTANCE IN  
APPROXIMATELY 25 ANIMAL CRUELTY CASES NATIONWIDE. THE ASPCA CONTINUES  
TO ADVOCATE FOR STATE STATUTES THAT ALLOW FOR FASTER DISPOSITION OF  
SEIZED ANIMALS SO THAT ANIMAL CRUELTY VICTIMS CAN BE PLACED IN HOMES

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MORE QUICKLY. IN NEW YORK CITY, LA FILED 12 PETITIONS UNDER NEW YORK'S SECURITY POSTING LAW; SIX RESULTED IN COURT ORDERED FORFEITURE OF ANIMALS AND SIX IN VOLUNTARY SURRENDER OF OWNERSHIP, SIGNIFICANTLY REDUCING THE TIME THAT ANIMALS SEIZED IN NYPD CASES ARE HELD IN LEGAL LIMBO. LA PROVIDED LEGAL ANALYSIS AND DRAFTING EXPERTISE ON APPROXIMATELY 40 LEGISLATIVE BILLS. IN 2016, LA CONTINUED TO PROVIDE TRAINING TO LAW ENFORCEMENT AND PROSECUTORS AROUND THE COUNTRY.

THROUGH ITS FARM ANIMAL WELFARE INITIATIVES, THE ASPCA CONTINUED ITS WORK TO IMPROVE THE LIVES OF FARM ANIMALS RAISED FOR FOOD BY FIGHTING FOR CONSUMER, CORPORATE, LEGISLATIVE AND REGULATORY CHANGES TO SUPPORT MORE HUMANE AND TRANSPARENT FARMING PRACTICES. ACCOMPLISHMENTS IN 2016 INCLUDE:

- LAUNCHING "SHOP WITH YOUR HEART," A CAMPAIGN HELPING CONSUMERS PUT THEIR PURCHASING POWER TO WORK FOR FARM ANIMALS BY MAKING IT EASIER TO FIND AND DEMAND CERTIFIED HIGHER-WELFARE PRODUCTS.
- WORKING WITH COMPANIES LIKE WALMART AND PANERA BREAD TO GAIN COMMITMENTS TO IMPROVE THE WELFARE OF CHICKENS IN THEIR SUPPLY CHAINS.
- LEADING A COALITION OF ADVOCACY GROUPS, FARMERS, VETERINARIANS AND COMPANIES TO DEMAND STRONGER ANIMAL WELFARE STANDARDS UNDER THE USDA ORGANIC PROGRAM, REPRESENTING THE FIRST COMPREHENSIVE SET OF REGULATIONS GOVERNING THE ON-FARM TREATMENT OF ANIMALS EVER ISSUED BY THE FEDERAL GOVERNMENT.
- HELPING TO PASS A BALLOT MEASURE IN MASSACHUSETTS THAT BANS THE USE OF GESTATION CRATES, BATTERY CAGES AND VEAL CRATES IN THE STATE, AS WELL AS THE SALE OF PRODUCTS PRODUCED USING THOSE CRUEL METHODS.
- COLLABORATING WITH WELFARE-CERTIFICATION ORGANIZATIONS TO IMPROVE

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THEIR ANIMAL CARE STANDARDS.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

OTHER PROGRAMS

COMMUNITY OUTREACH

THE ASPCA ONYX AND BREEZY SHEFTS ADOPTION CENTER ADOPTED OUT 4,290 PETS IN 2016, ITS SECOND-MOST SUCCESSFUL YEAR EVER. THE ADOPTION CENTER ALSO SAW A 15% INCREASE IN THE NUMBER OF ANNUAL VISITORS, WITH MORE THAN 21,000 PEOPLE VISITING THE FACILITY ON NEW YORK CITY'S UPPER EAST SIDE. IN ADDITION, THE ADOPTION CENTER TRANSFERRED 75 ANIMALS TO PARTNER ORGANIZATIONS AND REUNITED 104 ANIMALS WITH THEIR FAMILIES.

THE ASPCA KITTEN NURSERY, AS PART OF THE ASPCA'S ONGOING EFFORTS TO REDUCE THE NUMBER OF AT-RISK ANIMALS IN NEW YORK CITY, TOOK IN MORE THAN 1,700 ANIMALS IN 2016, INCLUDING 60 NURSING MOTHERS AND THEIR KITTENS--MANY OF WHOM CAME TO THE NURSERY VIA ANIMAL CARE CENTERS OF NYC. THIS WAS THE KITTEN NURSERY'S MOST SUCCESSFUL YEAR.

THE KITTEN NURSERY SHARES REAL ESTATE WITH THE ASPCA'S GLORIA GURNEY CANINE ANNEX FOR RECOVERY AND ENRICHMENT (CARE) WARD, HOME TO DOGS RESCUED THROUGH THE ASPCA'S PARTNERSHIP WITH THE NYPD WHO REQUIRE EXTRA REHABILITATION PRIOR TO BECOMING AVAILABLE FOR ADOPTION. MANY OF THE MORE THAN 150 DOGS WHO ENTERED THE CARE PROGRAM IN 2016 WERE SHY, FEARFUL OR UNDERSOCIALIZED; THE ASPCA PROVIDED PERSONALIZED BEHAVIORAL REHABILITATION TO PREPARE THEM FOR NEW LIVES IN NEW HOMES.

ASPCA COMMUNITY PARTNERS ARE CITIES OR REGIONS WHOSE MAJOR ANIMAL SHELTERING AGENCIES HAVE APPLIED AND BEEN ACCEPTED TO WORK INTENSIVELY



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WITH ASPCA EXPERTS TO SAVE AT-RISK ANIMALS IN THEIR AREAS. IN 2016, THE  
THREE ACTIVE ASPCA PARTNER COMMUNITIES WERE CHARLOTTE, NORTH CAROLINA;  
LOUISVILLE, KENTUCKY; AND ALBUQUERQUE, NEW MEXICO. THROUGH A VARIETY OF  
SUCCESSFUL LIFE-SAVING PROGRAMS SUCH AS OFF-SITE AND JOINT ADOPTION  
EVENTS, FEE-WAIVED ADOPTIONS, ADOPTION AMBASSADOR PROGRAMS AND  
PET-RETENTION STRATEGIES, THESE COMMUNITIES IMPACTED A TOTAL OF 36,963  
ANIMALS IN 2016.

SINCE 2007, THE ASPCA HAS SUPPORTED 16 PARTNER COMMUNITIES IN 13  
STATES, INVOLVING 47 DIFFERENT ANIMAL WELFARE AGENCIES.

IN PARTNERSHIP WITH THE ASPCA, NEW YORK CITY'S MUNICIPAL SHELTER  
SYSTEM, ANIMAL CARE CENTERS (ACC) OF NYC, HAS REDUCED INTAKE AND  
INCREASED ADOPTIONS YEAR OVER YEAR SINCE 2005, RESULTING IN RECORD  
BREAKING NUMBERS IN 2016.

THE ASPCA'S RESEARCH & DEVELOPMENT TEAM CONTINUED TO CONDUCT STUDIES TO  
PROVIDE KEY INSIGHTS TO THE ANIMAL WELFARE COMMUNITY IN 2016. THE  
RESEARCH HAS BEEN PUBLISHED IN NUMEROUS PEER-REVIEWED JOURNALS AND HAS  
HELPED GUIDE PROGRAM AND POLICY WORK ACROSS THE COUNTRY.

THE NORTHERN TIER SHELTER INITIATIVE (NTSI) IS AN ASPCA PROGRAM THAT  
PROVIDES FUNDING, TRAINING AND CONSULTATION TO ANIMAL WELFARE AGENCIES  
IN SEVEN MIDWESTERN AND NORTHWESTERN STATES. THE NTSI WORKS TO SAVE  
LIVES BY ADDRESSING THE IMMEDIATE NEEDS OF THE REGION'S VULNERABLE  
ANIMAL POPULATIONS, WHILE SIMULTANEOUSLY COLLECTING AND ANALYZING DATA  
TO ADDRESS LONGER-TERM CHALLENGES. IN 2016, THE NTSI PRESENTED THREE  
STATE AND REGIONAL CONFERENCES WITH INSTRUCTION ON SHELTER PROGRAMS,

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COMMUNITY OUTREACH, AND SHELTER MEDICINE AND OPERATIONS, AND ASSISTED  
IN OPENING THREE NEW ANIMAL SHELTER SPAY/NEUTER CLINICS AND EXPANDED  
SERVICES AT OTHERS. IN THE NTSI'S SECOND FULL OPERATIONAL YEAR, IT HELD  
117 ON-SITE TRAININGS FOR SHELTER STAFF FROM 32 ORGANIZATIONS ACROSS  
ALL SEVEN TARGET STATES AND AWARDED 38 GRANTS TO 34 ANIMAL WELFARE  
AGENCIES, IMPACTING A TOTAL OF 67,395 ANIMALS.

WITH OPERATIONS ON BOTH THE EAST AND WEST COASTS, THE ASPCA ANIMAL  
RELOCATION INITIATIVE TRANSPORTS ANIMALS FROM AREAS OF OVERPOPULATION  
TO LOCATIONS WHERE THEY STAND A GREATER CHANCE OF BEING ADOPTED. IN  
2016, THE PROGRAM TRANSPORTED A TOTAL OF 16,776 ANIMALS.

ON THE WEST COAST, 209 FLIGHTS AND 266 GROUND TRANSPORTS MOVED 7,825  
ANIMALS FROM SHELTERS IN THE GREATER-LOS ANGELES AREA TO SHELTERS  
FURTHER NORTH OR SOUTH. ON THE EAST COAST, THE NANCY SILVERMAN RESCUE  
RIDE PROGRAM TRANSPORTED 8,951 ANIMALS VIA 113 GROUND AND  
GRANT-SUPPORTED TRANSPORTS FROM SHELTERS THROUGHOUT THE SOUTHEAST TO  
SHELTERS IN THE MID-ATLANTIC AND NORTHEAST REGIONS.  
EXPENSES \$ 26,824,727. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

IN ITS 150TH YEAR, THE ASPCA REACHED A CUMULATIVE TOTAL OF MORE THAN  
\$100 MILLION IN GRANTS GIVEN SINCE THE INSTITUTION OF ITS FORMAL  
GRANT-MAKING PROGRAM IN 2008. GRANTS ARE GIVEN TO SUPPORT U.S.-BASED  
NON-PROFIT ORGANIZATIONS AND GOVERNMENT AGENCIES HELPING TO IMPROVE  
ANIMALS' LIVES; IN 2016, THE ASPCA AWARDED 1,091 GRANTS TOTALING OVER  
\$14.3 MILLION TO 757 ORGANIZATIONS IN ALL 50 STATES, PUERTO RICO AND  
WASHINGTON, D.C. GRANT HIGHLIGHTS FOR 2016 INCLUDE:

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- MORE THAN \$1 MILLION TO THE ASPCA'S LOS ANGELES PARTNERS AS PART OF THE ASPCA'S \$25 MILLION, MULTIYEAR COMMITMENT TO PROVIDE CRITICAL SERVICES TO L.A.'S ANIMALS;

- \$3.2 MILLION TO SUPPORT ANTI-CRUELTY AND DISASTER-RELATED WORK, FARM ANIMAL GRANTS, AND THE UNIVERSITY OF FLORIDA FORENSICS PROGRAM;

- MORE THAN TRIPLING TO 2,176 THE NUMBER OF NEW YORK CITY PETS ASSISTED BY THE ASPCA'S PARTNERS IN CARING GRANTS; AND

- OVER \$1.1 MILLION TOWARD EFFORTS THAT PROTECT EQUINES AT RISK OF SLAUGHTER. THROUGH ITS EQUINE FUND, THE ASPCA DISTRIBUTED 217 EQUINE-RELATED GRANTS TO 171 RESCUE ORGANIZATIONS IN 36 STATES AND WASHINGTON, D.C.

EXPENSES \$ 15,090,910. INCLUDING GRANTS OF \$ 14,144,477. REVENUE \$ 0.

FORM 990, PART VI, SECTION A, LINE 1:

THE ASPCA HAS TWO CATEGORIES OF MEMBERS, "GOVERNING MEMBERS" AND "MEMBERS", BUT ONLY GOVERNING MEMBERS HAVE VOTING RIGHTS. THE ASPCA'S "GOVERNING MEMBERS" CONSIST OF THOSE PERSONS WHO ARE CURRENTLY SERVING AS MEMBERS OF THE BOARD OF DIRECTORS. ONLY GOVERNING MEMBERS HAVE THE RIGHT TO ELECT THE MEMBERS OF THE BOARD OF DIRECTORS UNDER THE ASPCA'S BY-LAWS. THE ASPCA'S "MEMBERS" CONSIST OF ONE OR MORE MEMBERSHIP CATEGORIES (E.G., CHAMPIONS, BENEFACTORS, SPONSORS, ASSOCIATES, FRIENDS, JUNIORS, ETC.) AS MAY BE ESTABLISHED FROM TIME TO TIME BY THE BOARD OF DIRECTORS. WITH THE EXCEPTION OF THOSE MEMBERS WHO ARE ALSO GOVERNING MEMBERS, NO "MEMBER" HAS THE RIGHT TO VOTE ON THE ELECTION OF DIRECTORS TO THE BOARD OF DIRECTORS. ANY CONTRIBUTOR OVER AGE 18 WHO MAKES A DONATION OF \$25 OR MORE TO THE ASPCA IS DEEMED A "MEMBER".

FORM 990, PART VI, SECTION B, LINE 11B:

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THE FORM 990 WAS PREPARED BY A NATIONALLY-RENOWNED ACCOUNTING FIRM IN  
CONJUNCTION WITH THE ORGANIZATION'S FINANCE DEPARTMENT. THE DRAFT OF THE  
FORM 990 IS REVIEWED BY SENIOR MANAGEMENT, LEGAL COUNSEL, AS WELL AS THE  
AUDIT COMMITTEE OF THE BOARD OF DIRECTORS.

A COPY IS CIRCULATED TO THE FULL BOARD OF DIRECTORS PRIOR TO ITS FILING  
WITH THE INTERNAL REVENUE SERVICE.

FORM 990, PART VI, SECTION B, LINE 12C:

ALL DIRECTORS, OFFICERS AND KEY EMPLOYEES COMPLETE A WRITTEN CONFLICT OF  
INTEREST QUESTIONNAIRE AND DECLARATION ANNUALLY. THE SECRETARY OF THE ASPCA  
PROVIDES COPIES OF ALL COMPLETED DISCLOSURE STATEMENTS TO THE CHAIR OF THE  
AUDIT COMMITTEE AND TO THE CHIEF LEGAL OFFICER. ANY POTENTIAL CONFLICTS ARE  
ADDED TO RECORDS MAINTAINED BY THE ASPCA'S LEGAL DEPARTMENT.

FORM 990, PART VI, SECTION B, LINE 15:

THE AUDIT COMMITTEE OF THE ASPCA BOARD IS THE AUTHORIZED  
COMPENSATION-SETTING BODY THAT REVIEWS AND APPROVES THE COMPENSATION OF THE  
"DISQUALIFIED PERSONS" OF THE ASPCA. THE ASPCA ENGAGES AN INDEPENDENT  
COMPENSATION EXPERT TO CONDUCT A COMPENSATION STUDY TO ASSESS THE  
REASONABLENESS OF EACH "DISQUALIFIED PERSON'S" TOTAL COMPENSATION IN  
ACCORDANCE WITH THE REBUTTABLE PRESUMPTION "SAFE HARBOR" PROVISIONS OF  
SECTION 4958 OF THE INTERNAL REVENUE CODE. THE COMPENSATION EXPERT ASSESSES  
THE REASONABLENESS OF EACH PERSON'S TOTAL COMPENSATION BASED ON  
COMPARABILITY DATA FOR THE POSITIONS UNDER REVIEW AND PROVIDES SUCH DATA  
AND ANALYSIS TO THE AUDIT COMMITTEE FOR ITS REVIEW. THE COMPARABILITY DATA  
IS DRAWN FROM INDUSTRY SURVEYS AND DATA SOURCES FOR COMPARABLE POSITIONS IN  
ORGANIZATIONS OF SIMILAR SCOPE, OPERATING BUDGET, AND TYPE.

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WITH RESPECT TO "DISQUALIFIED PERSONS" OTHER THAN THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA AND THE PRESIDENT & CEO'S ANALYSIS OF EACH INDIVIDUAL'S PERFORMANCE, DELIBERATES, AND VOTES ON WHETHER TO APPROVE THE TOTAL COMPENSATION RECOMMENDATION PROPOSED BY THE PRESIDENT & CEO. THE PERSON WHOSE COMPENSATION IS UNDER REVIEW IS NOT PRESENT AND DOES NOT PARTICIPATE IN THE DELIBERATIONS, EXCEPT THAT SUCH PERSON MAY ANSWER QUESTIONS THAT WILL HELP THE COMMITTEE IN ITS DELIBERATIONS.

WITH RESPECT TO THE PRESIDENT & CEO, THE AUDIT COMMITTEE REVIEWS THE COMPENSATION EXPERT'S STUDY AND COMPARABILITY DATA, DELIBERATES, AND VOTES ON A RECOMMENDATION FOR THE PRESIDENT'S TOTAL COMPENSATION (INCLUDING PERFORMANCE BONUS), WHICH RECOMMENDATION IT PROVIDES TO THE FULL BOARD OF DIRECTORS. THE FULL BOARD OF DIRECTORS ASSESSES THE AUDIT COMMITTEE'S RECOMMENDATIONS AND VOTES WHETHER TO APPROVE THE TOTAL COMPENSATION (INCLUDING PERFORMANCE BONUS) FOR THE PRESIDENT & CEO.

FOR ALL "DISQUALIFIED PERSONS," THE AUDIT COMMITTEE DOCUMENTS THE BASIS FOR ITS DETERMINATIONS CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATIONS WERE MADE. THE MINUTES INCLUDE THE FOLLOWING INFORMATION: 1. THE TERMS OF THE APPROVED COMPENSATION AND THE DATE APPROVED; 2. THE NAMES OF MEMBERS OF THE AUDIT COMMITTEE WHO WERE PRESENT DURING DISCUSSION OF THE COMPENSATION AND THOSE WHO VOTED ON IT; 3. THE COMPARABILITY DATA THAT WAS RELIED ON BY THE AUDIT COMMITTEE AND HOW SUCH DATA WAS OBTAINED; AND 4. ANY ACTIONS (SUCH AS RECUSAL) TAKEN BY A MEMBER OF THE AUDIT COMMITTEE HAVING A CONFLICT OF INTEREST. THE AUDIT COMMITTEE THEN APPROVES THE

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MINUTES WITHIN A REASONABLE PERIOD OF TIME AFTER ITS PREPARATION.

SIMILARLY, THE BOARD DOCUMENTS THE BASIS FOR ITS DETERMINATION OF THE PRESIDENT & CEO'S COMPENSATION CONCURRENTLY WITH THE APPROVAL OF THE COMPENSATION BY DRAFTING MINUTES OF THE MEETING AT WHICH THE DETERMINATION WAS MADE.

FORM 990, PART VI, LINE 17, LIST OF STATES RECEIVING COPY OF FORM 990:  
AL, AK, AR, CA, CO, CT, FL, GA, HI, IL, KS, KY, LA, MD, MA, MI, MN, MS, NH, NJ, NM, NY, OK, OR, PA  
SC, TN, UT, VA, WV, WI

FORM 990, PART VI, SECTION C, LINE 19:

AUDITED FINANCIAL STATEMENTS, CONFLICT OF INTEREST POLICY, CERTIFICATE OF INCORPORATION AND BY-LAWS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST AND THROUGH CHARITABLE REGISTRATION REQUIREMENTS IN OVER 40 STATES. THE ASPCA MAKES ITS FORM 990 AVAILABLE TO THE PUBLIC BY RETAINING A COPY AT ITS PLACE OF BUSINESS AND PLACING A COPY ON ITS WEBSITE. THE FORM 990 IS ALSO PUBLISHED ON THE INTERNET AT WWW.GUIDESTAR.ORG.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

BENEFICIAL INTEREST IN TRUSTS HELD BY OTHERS	144,419.
PENSION-RELATED ADJUSTMENT	-660,968.
TOTAL TO FORM 990, PART XI, LINE 9	-516,549.

FORM 990, PART IV, LINE 2

THE ASPCA IS NOT REQUIRED TO COMPLETE SCHEDULE B FOR THE PERIOD ENDED 12/31/2016, IN ACCORDANCE WITH THE FORM 990 AND 990 SCHEDULE B INSTRUCTIONS, BECAUSE NO ONE CONTRIBUTOR DONATED, IN THE AGGREGATE, AN AMOUNT GREATER THAN 2% OF THE TOTAL CONTRIBUTIONS RECEIVED BY THE

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ORGANIZATION DURING THE YEAR.

OMB No. 1545-0047

2016

▶ Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

## OF CRUELTY TO ANIMALS

## OF CRUELTY TO ANIMALS

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**Part I Identification of Disregarded Entities.** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

Part II	Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

or Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2016



**Part III** Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

[illegible]

Part IV	Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

[illegible]

13-1623829

**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

		Yes	No
<b>1</b>	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts I-IV?		
<b>a</b>	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity		X
<b>b</b>	Gift, grant, or capital contribution to related organization(s)		X
<b>c</b>	Gift, grant, or capital contribution from related organization(s)		X
<b>d</b>	Loans or loan guarantees to or for related organization(s)		X
<b>e</b>	Loans or loan guarantees by related organization(s)		X
<b>f</b>	Dividends from related organization(s)		X
<b>g</b>	Sale of assets to related organization(s)		X
<b>h</b>	Purchase of assets from related organization(s)		X
<b>i</b>	Exchange of assets with related organization(s)		X
<b>j</b>	Lease of facilities, equipment, or other assets to related organization(s)		X
<b>k</b>	Lease of facilities, equipment, or other assets from related organization(s)		X
<b>l</b>	Performance of services or membership or fundraising solicitations for related organization(s)		X
<b>m</b>	Performance of services or membership or fundraising solicitations by related organization(s)		X
<b>n</b>	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)		X
<b>o</b>	Sharing of paid employees with related organization(s)		X
<b>p</b>	Reimbursement paid to related organization(s) for expenses		X
<b>q</b>	Reimbursement paid by related organization(s) for expenses		X
<b>r</b>	Other transfer of cash or property to related organization(s)		X
<b>s</b>	Other transfer of cash or property from related organization(s)		X

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
ASPCA VETERINARY SERVICES OF NORTH CAROLINA, PC	L	212,757.	
ASPCA VETERINARY SERVICES OF NORTH CAROLINA, PC	O	332,487.	
(3)			
(4)			
(5)			
(6)			

Part VI	Unrelated Organizations Taxable as a Partnership.	Complete if the organization answered "Yes" on Form 990, Part IV, line 27.	13-1023829	Page 4
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Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

**Part VII** Supplemental Information.

Provide additional information for responses to questions on Schedule R. See instructions.